

Hardness amplification proofs require majority

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Work also done at Harvard and IAS

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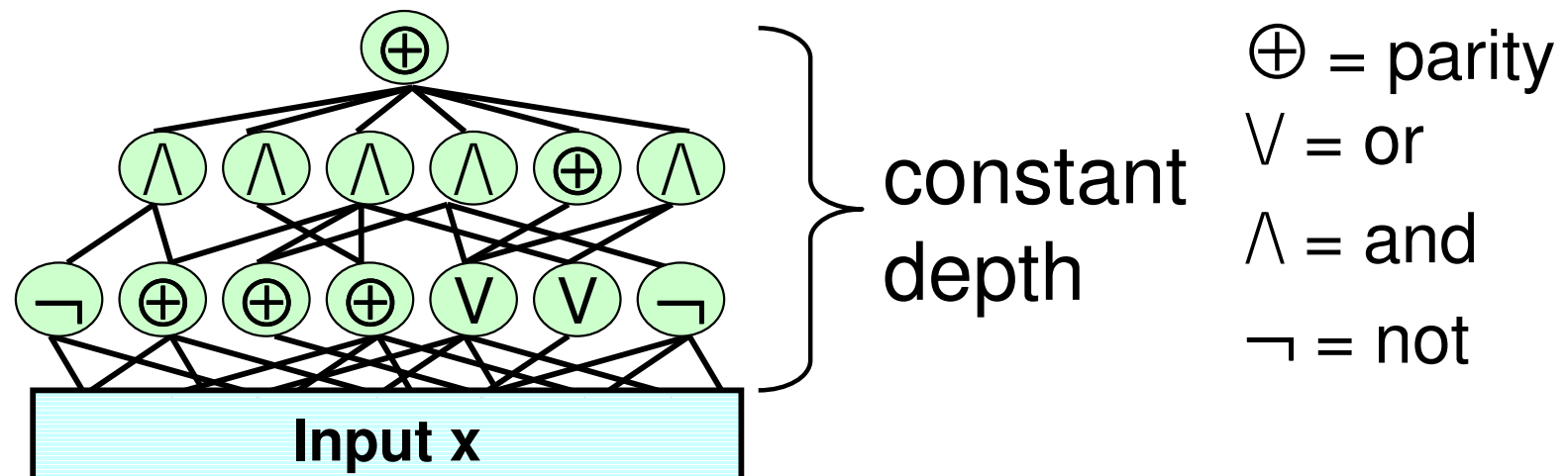
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Circuit lower bounds

- Success with **restricted** circuits
[Furst Saxe Sipser, Ajtai, Yao, Hastad, Razborov, Smolensky,...]
- **Theorem**[Razborov '87] Majority $\notin AC^0[\oplus]$

$$\text{Majority}(x) = 1 \Leftrightarrow \sum x_i > |x|/2$$

$$AC^0[\oplus] =$$



Natural proofs barrier

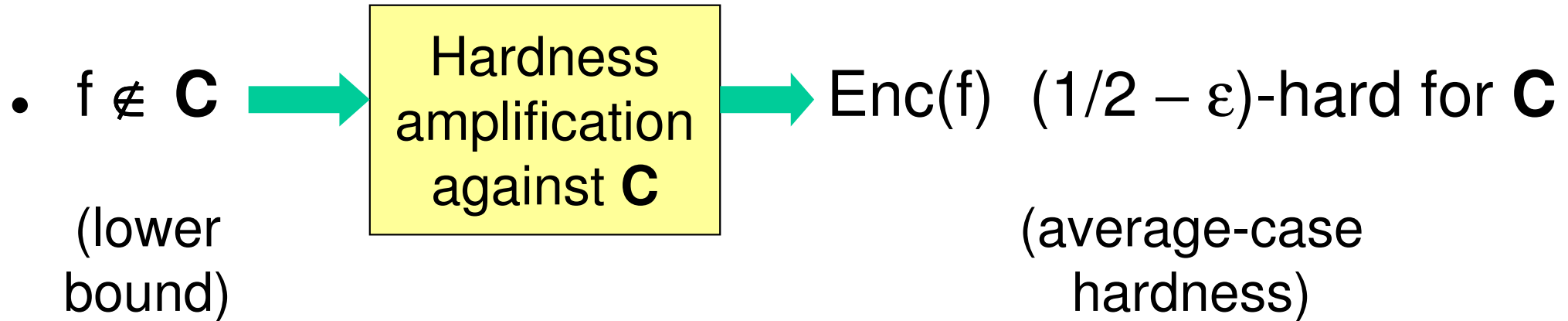
- Little progress for **general** circuit models
- **Natural Proofs** [Razborov Rudich] + [Naor Reingold]: Standard techniques cannot prove lower bounds for circuit classes that can compute **Majority**
- “ We have lower bounds for $AC^0[\oplus]$
because $Majority \notin AC^0[\oplus]$ ”

Average-case hardness

- **Definition:** $f : \{0,1\}^n \rightarrow \{0,1\}$ **$(1/2 - \epsilon)$ -hard** for class **\mathbf{C}** :
for every $M \in \mathbf{C}$: $\Pr_x[f(x) \neq M(x)] \geq 1/2 - \epsilon$
- E.g. **\mathbf{C}** = general circuits of size $n^{\log n}$, $AC^0[\oplus]$, ...
- **Strong average-case hardness:** $1/2 - \epsilon = 1/2 - 1/n^{\omega(1)}$
Need for **cryptography**
pseudorandom generators [Nisan Wigderson,...]
lower bounds [Hajnal Maass Pudlak Szegedy Turan,...]

Hardness amplification

[Y, GL, L, BF, BFL, BFNW, I, GNW, FL, IW, CPS, STV, TV, SU, T, O, V, HVV, GK, IJK, ...]



- Usually **black-box**, i.e. code-theoretic

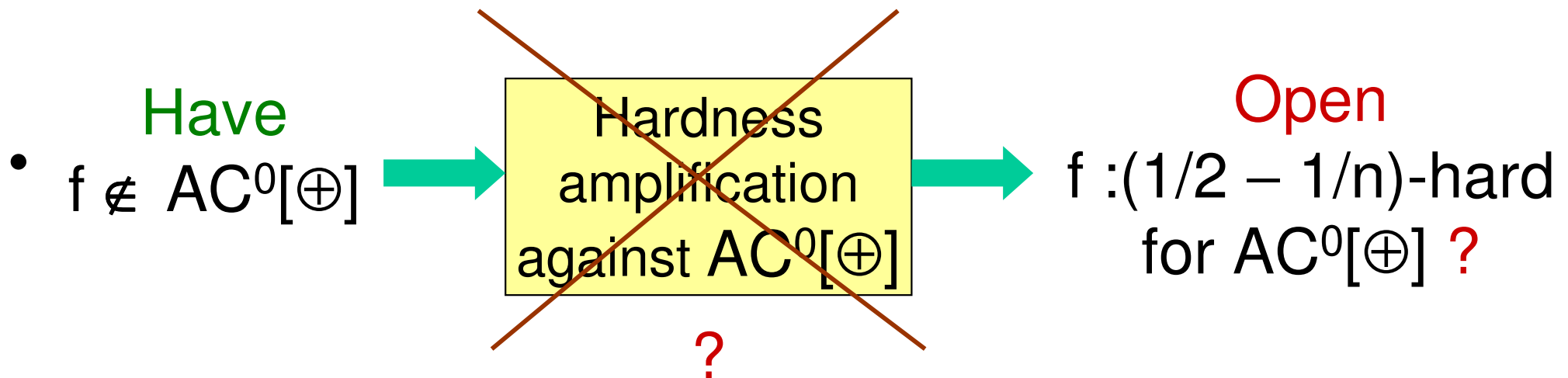
$\text{Enc}(f)$ = Encoding of (truth-table of) f

Proof of correctness = decoding algorithm in \mathbf{C}

- Results hold when \mathbf{C} = **general** circuits

The problem we study

- Known hardness amplifications **fail** against any class **C** for which we have lower bounds



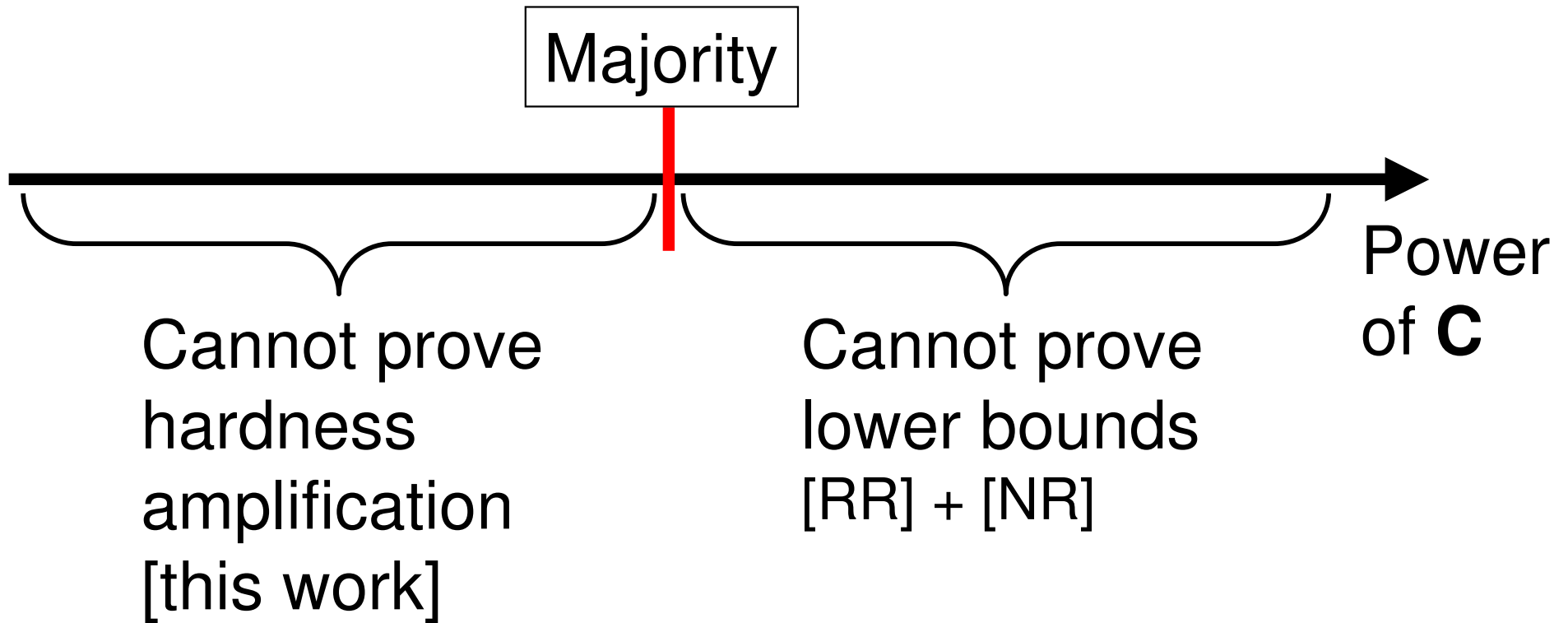
- **Conjecture** [V. '04]: Black-box hardness amplification against class **C** \Rightarrow **Majority** \in **C**

Our results

- **Theorem[This work]** Black-box (non-adaptive) $(1/2 - \epsilon)$ -hardness amplification against class $\mathbf{C} \Rightarrow$
 - (i) $C \in \mathbf{C}$ computes majority on $1/\epsilon$ bits
 - (ii) $C \in \mathbf{C}$ makes $\geq n/\epsilon^2$ queries
- Generalizes to $\delta \rightarrow (1/2 - \epsilon)$ -hardness amplification
- Both tight
 - (i) [Impagliazzo, Goldwasser Gutfreund Healy Kaufman Rothblum]
 - (ii) [Impagliazzo, Klivans Servedio]

Our results + [Razborov Rudich] + [Naor Reingold]

“Lose-lose” reach of standard techniques:



“You can only amplify the hardness you don’t know”

Other consequences of our results

- **Boolean vs. non-Boolean hardness amplification**
Enc(f)(x) $\in \{0,1\}$ requires **majority**
Enc(f)(x) $\in \{0,1\}^t$ **does not** [Impagliazzo Jaiswal
Kabanets Wigderson]
- **Loss in circuit size**: Lower bound for size s
 $\Rightarrow (1/2 - \epsilon)$ -hard for size $s \cdot \epsilon^2/n$
- **Decoding is more difficult than encoding**
Encoding: Parity (\oplus)
Decoding: **Majority**

Outline

- Overview and our results
- Formal statement of our results
- Proof

Black-box hardness amplification

$$f = \boxed{0\ 1\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ \dots\ 1}$$

↓ arbitrary

$$\text{Enc}(f) = \boxed{0\ 1\ 1\ 1\ 0\ 1\ 0\ 0\ 1\ 0\ 1\ 1\ 0\ 0\ 0\ 1\ 0\ \dots\ 0}$$

$$h = \boxed{0\ 0\ 0\ 0\ 0\ 1\ 1\ 0\ 1\ 1\ 1\ 1\ 1\ 0\ 0\ 0\ 0\ \dots\ 0}$$

$(1/2 - \epsilon \text{ errors})$

queries (non-adaptive)

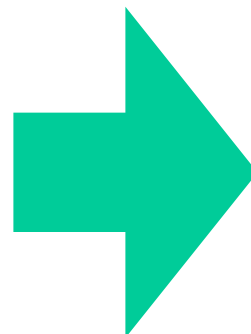
$$C^h(x) = f(x)$$

- In short: $\forall f \forall h \approx \text{Enc}(f) \Rightarrow \exists C \in \mathbf{C} : C^h = f$
- Rationale: $f \notin \mathbf{C} \Rightarrow \text{Enc}(f)$ $(1/2 - \epsilon)$ -hard for \mathbf{C}

Our results

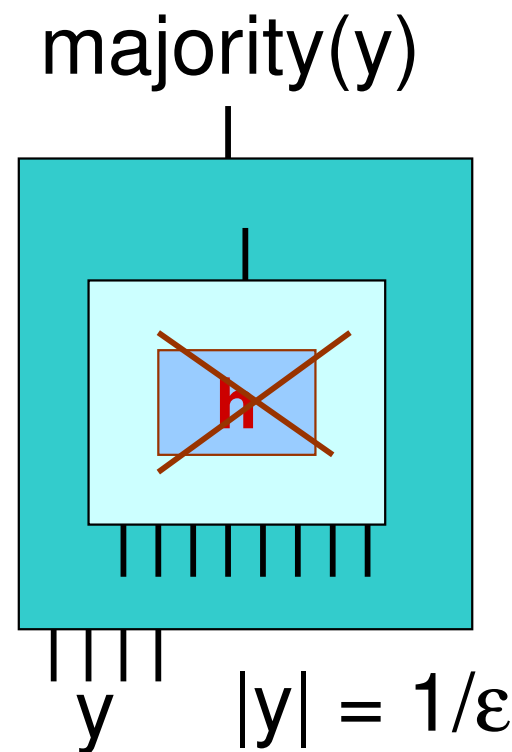
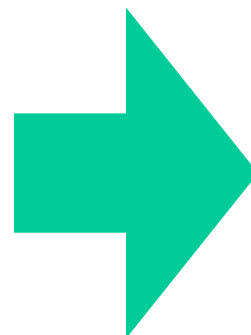
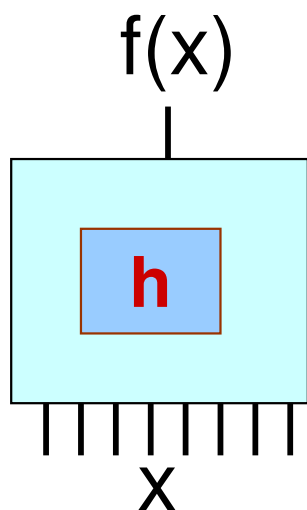
- Theorem**

Black-box non-adaptive
($1/2 - \epsilon$)-hardness
amplification against \mathbf{C}



$\exists M \in \mathbf{C}$ computes
majority on $1/\epsilon$ bits

$$\forall f, h \approx \text{Enc}(f)$$
$$\exists C \in \mathbf{C} : C^h = f$$



Outline

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Proof

- Recall **Theorem**: Black-box (non-adaptive) $(1/2 - \epsilon)$ -hardness amplification against class $\mathbf{C} \Rightarrow$
 - $C \in \mathbf{C}$ computes majority on $1/\epsilon$ bits
 - $C \in \mathbf{C}$ makes $q \geq n/\epsilon^2$ queries
- We show hypot. $\Rightarrow C \in \mathbf{C}$: tells **Noise 1/2** from $1/2 - \epsilon$
(D) $\left| \Pr[C(\underbrace{N_{1/2}, \dots, N_{1/2}}_q)=1] - \Pr[C(\underbrace{N_{1/2-\epsilon}, \dots, N_{1/2-\epsilon}}_q)=1] \right| > 0.1$
- (i) \Leftarrow **(D)** + manipulations Ack: Madhu Sudan
- (ii) \Leftarrow **(D)** + tightness of Chernoff bound

Warm-up: uniform reduction

- Want: **non-uniform** reductions ($\forall f, h \exists C$)

For every $f, h : \Pr_y[\text{Enc}(f)(y) \neq h(y)] < 1/2 - \epsilon$

there is circuit $C \in \mathbf{C} : C^h(x) = f(x) \quad \forall x$

- Warm-up: **uniform** reductions ($\exists C \forall f, h$)

There is circuit $C \in \mathbf{C} :$

For every $f, h : \Pr_y[\text{Enc}(f)(y) \neq h(y)] < 1/2 - \epsilon$

$C^h(x) = f(x) \quad \forall x$

Proof in uniform case

- Random $F : \{0,1\}^k \rightarrow \{0,1\}$, $X \in \{0,1\}^k$
Consider $C(X)$ with oracle access to $\text{Enc}(F)(y) \oplus H(y)$

$$H(y) \sim N_{1/2} \Rightarrow C^{\text{Enc}(F) \oplus H}(X) = C^H(X) \neq F(X) \text{ w.h.p.}$$

C has no information about F

$$H(y) \sim N_{1/2-\varepsilon} \Rightarrow C^{\text{Enc}(F) \oplus H}(X) = F(X) \text{ always}$$

$\text{Enc}(F) \oplus H$ is $(1/2-\varepsilon)$ -close to $\text{Enc}(F)$

- To tell $z \sim$ **Noise 1/2** from $z \sim$ **Noise 1/2 - ε** , $|z| = q$

Run $C(X)$; answer i -th query y_i with $\text{Enc}(F)(y_i) \oplus z_i$

Q.e.d.

Proof outline in non-uniform case

- **Non-uniform**: C depends on F and H ($\forall f, h \exists C$)

- Proof outline:

1) Fix C to C' that works for many f, h

Condition $F' := F \mid C'$, $H' := H \mid C'$

2) **Information-theoretic lemma**

There is good set $G \subseteq \{0, 1\}^n$ s.t. if all $y_i \in G$:

$$\text{Enc}(F') \oplus H'(y_1, \dots, y_q) \approx \text{Enc}(F) \oplus H(y_1, \dots, y_q)$$

Can argue as for uniform case if all $y_i \in G$

3) Deal with queries y_i not in G

Fixing C

- Random $F : \{0,1\}^k \rightarrow \{0,1\}$, $H(x) \sim N_{1/2 - \epsilon}$
- $\text{Enc}(F) \oplus H$ is $(1/2 - \epsilon)$ -close to $\text{Enc}(F)$. We have $(\forall f, h \exists C)$

With probability 1 over F, H **there is** $C \in \mathbf{C}$:

$$C \text{ Enc}(F) \oplus H(x) = F(x) \quad \forall x$$

- \Rightarrow **there is** $C' \in \mathbf{C}$: **with probability** $1/|\mathbf{C}|$ over F, H

$$C' \text{ Enc}(F) \oplus H(x) = F(x) \quad \forall x$$

- **Note:** \mathbf{C} = all circuits of size $\text{poly}(k)$, $1/|\mathbf{C}| = 2^{-\text{poly}(k)}$

The information-theoretic lemma

- **Lemma**

Let V_1, \dots, V_t i.i.d., $V'_1, \dots, V'_t := V_1, \dots, V_t \mid E$

E noticeable \Rightarrow there is large good set $G \subseteq [t]$:

for every $i_1, \dots, i_q \in G$: $(V'_{i_1}, \dots, V'_{i_q}) \approx (V_{i_1}, \dots, V_{i_q})$

- **Proof:** E noticeable $\Rightarrow H(V'_1, \dots, V'_t)$ large
 $\Rightarrow H(V'_i \mid V'_1, \dots, V'_{i-1})$ large for many i ($\in G$)

Closeness $[(V_{i_1}, \dots, V_{i_q}), (V'_{i_1}, \dots, V'_{i_q})] \geq H(V'_{i_1}, \dots, V'_{i_q})$

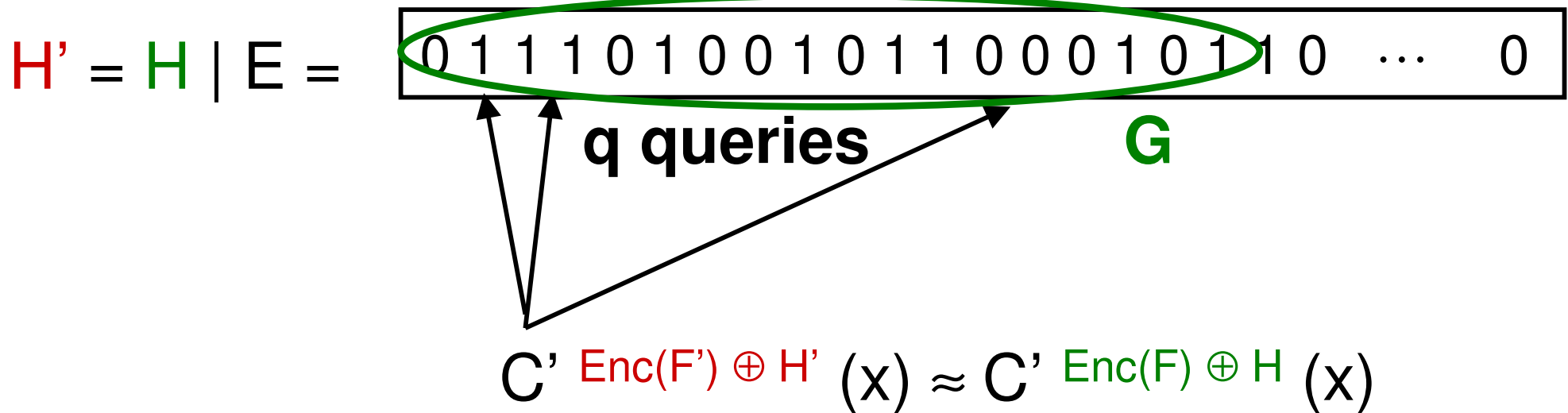
$\geq H(V'_{i_q} \mid V'_1, \dots, V'_{i_q-1}) + \dots + H(V'_{i_1} \mid V'_1, \dots, V'_{i_1-1})$ large

Q.e.d.

- Also in [Edmonds Rudich Impagliazzo Sgall, Raz]

Applying the lemma

- $V_x = H(x) \sim \text{Noise } 1/2 - \epsilon$
- $E := \{ H : C' \text{ Enc}(F) \oplus H(x) = F(x) \ \forall x \}, \ Pr[E] \geq 1/|C|$



- All queries in $G \Rightarrow$ proof for uniform case goes thru

Handling bad queries

- **Problem:** $C(x)$ may query bad $y \in \{0,1\}^n$ not in G
- Idea: **Fix** bad query. Queries either in G or fixed \Rightarrow proof for uniform case goes thru
- Delicate argument:

Fixing bad query $H(y)$ creates **new bad** queries

Instead, **fix heavy** queries: asked by $C(x)$ for many x 's

OK because new bad queries are **light**, affect few x 's

Conclusion

- **This work:** Black-box (non-adaptive)
hardness amplification against $\mathbf{C} \Rightarrow \text{Majority} \in \mathbf{C}$
- **Reach of standard techniques**
[This work] + [Razborov Rudich] + [Naor Reingold]
“Can amplify hardness \Leftrightarrow cannot prove lower bound”
- **Open problems**
Adaptivity? (OK in special cases [V., Gutfreund Rothblum])
1/3-pseudorandom construction \Rightarrow majority?