

On Randomness Extraction in AC^0

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Joint work with Oded Goldreich and Avi Wigderson

Extracting randomness from sources

- **Min-entropy** [Nisan Zuckerman '96, ..., Guruswami Umans Vadhan, Dvir Wigderson, ...]
- **Bit-fixing** [Chor Friedman Goldreich Hastad Rudich Smolensky '85, Cohen Wigderson, Kamp Zuckerman, ...]
- **Independent blocks** [Chor Goldreich 88, Barak Bourgain Impagliazzo Kindler Rao Raz Shaltiel Sudakov Wigderson Li ...]
- **Many more types**
- [This work] Which of these extractors is in AC^0 ?

Motivation

- Still far from understanding power of AC^0
 - Better switching lemma for non-random restriction?
 - AC^0 vs. communication complexity under uniform?
- [Goldreich Wigderson '14] Error reduction in AC^0 for “derandomizing algorithms that err extremely rarely”

Recently obtained without AC^0 extractors

- pseudorandom generator constructions

Outline

- Seeded extractors
- Deterministic extractors

Previous results on seeded AC^0 extractors

- $\text{Ext} : \{0,1\}^n \times \{0,1\}^r \rightarrow \{0,1\}^m$ min-entropy k source

- **Negative:** $m \leq 1.01r$ unless $k/n \geq 1/\text{polylog } n$ [V]

- **Positive:** $m = r + 1, r = n$ [Impagliazzo Naor, V]

Generate $(x, y, \text{InnerProduct}(x,y))$

[Nisan Zuckerman, Vadhan] “Sample-then-extract”

t samples have min-entropy $t \cdot k/n$

Our results on seeded extractors

- $\text{Ext} : \{0,1\}^n \times \{0,1\}^r \rightarrow \{0,1\}^m$ min-entropy k source
- Extracting 1 bit ($m = r+1$):
Can \leftrightarrow $r \geq (n/k) / \log^{O(1)} n + 10 \log n$
- Extracting more bits:
 - If $k/n \leq 1/\log^{\omega(1)} n$: Can \leftrightarrow $m/r \leq 1 + \log^{O(1)}(n) k/n$
“extraction rate $\leq 1 + \text{entropy rate}$ ”
Strong extraction impossible
 - If $k/n \geq 1/\log^{O(1)} n$: Can with $m = 1.01r$, $r = O(\log n)$
Strong
Open problem: $m = \Omega(k)$?

Our AC^0 extractor construction

- Sampling gives shorter source [Vadhan]
→ can extract with smaller complexity/seed
- In general we need new explicit sampler
- To extract 1 bit from entropy k , sample n/k bits
 - If $n/k \leq \log^{O(1)} n$, apply best-known extractor
 - If $n/k \geq \log^{\omega(1)} n$, apply “inner product” extractor, seed n/k
- To extract t bits repeat with t independent seeds

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Extractors for bit-fixing sources

- Bit-fixing source = restriction
Entropy = number of unfixed variables
 - **Switching Lemma:** [Furst Saxe Sipser, Ajtai, Yao, Hastad]
Any depth- d circuit becomes **constant**
on **random restriction** leaving $n/\log^{d-1} n$ variables
 - [This work]
Some depth- d circuits are **far from constant**
on **any restriction** leaving $n/\log^{\Omega(d)} n$ variables
- “Pick restriction after circuit? **No better than random**”

Our extractor for bit-fixing sources

- [Ajtai Linial]: \exists depth-3 circuit : $\{0,1\}^n \rightarrow \{0,1\}$ that extracts if $k = n - n/\text{polylog}(n)$ bits uniform, other $n - k$ function of those k
- Want $k = n/\text{polylog}(n)$. Idea: combine [AL] with sparse linear map: $\{0,1\}^n \text{ polylog}(n) \rightarrow \{0,1\}^n$: any $n \times n$ submatrix has rank $\geq n - n/\text{polylog}(n)$
- Could not prove existence of linear map. Instead:
 - Get map over large field [Blomer Karp Welzl]
 - Combine that with codes, non-linear “condenser”

Extractors for independent sources

#	Best k/n for P-time	Best k/n for AC^0 [This work]
2	0.499 [Bourgain]	$1 - 1/\text{polylog}(n)$ not explicit
3	$n^{-0.49}$ [Li]	$1 - 1/\text{polylog}(n)$ not explicit
4	$n^{-0.49}$ [Li]	0.99
$O(1)$	$\text{polylog}(n)/n$ [Li]	0.01

- Open: Only AC^0 lower bound $k/n \geq 1/\text{polylog}(n)$

Conclusion

- Randomness extraction in AC^0
- Min-entropy source:
complete picture for $m=r+1$, or for $k/n \leq 1/\log^{\omega(1)} n$
- Bit-fixing source:
“Pick restriction after circuit? No better than random”
- Independent sources

... and much more on samplers, zero-fixing sources, generalizations of inner-product extractor, converting min-entropy sources into block, etc.