### Algorithms Slides

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2009 – present

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Also, let me know if you use them.

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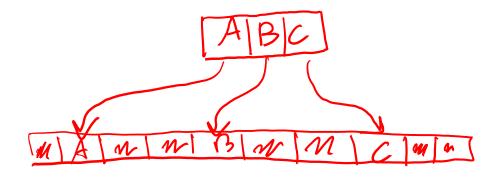
The slides are under construction.

The latest version is at <a href="http://www.ccs.neu.edu/home/viola/">http://www.ccs.neu.edu/home/viola/</a>



Success stories of algorithms:

Shortest path (Google maps)



Pattern matching (Text editors, genome)

Fast-fourier transform (Audio/video processing)

http://cstheory.stackexchange.com/questions/19759/core-algorithms-deployed

#### This class:

- General techniques:
  - Divide-and-conquer,
  - dynamic programming,
  - data structures
  - amortized analysis
- Various topics:
- Sorting
- Matrixes
- Graphs
- Polynomials

### What is an algorithm?

Informally,
 an algorithm for a function f : A → B (the problem) is a simple, step-by-step, procedure that computes f(x) on every input x

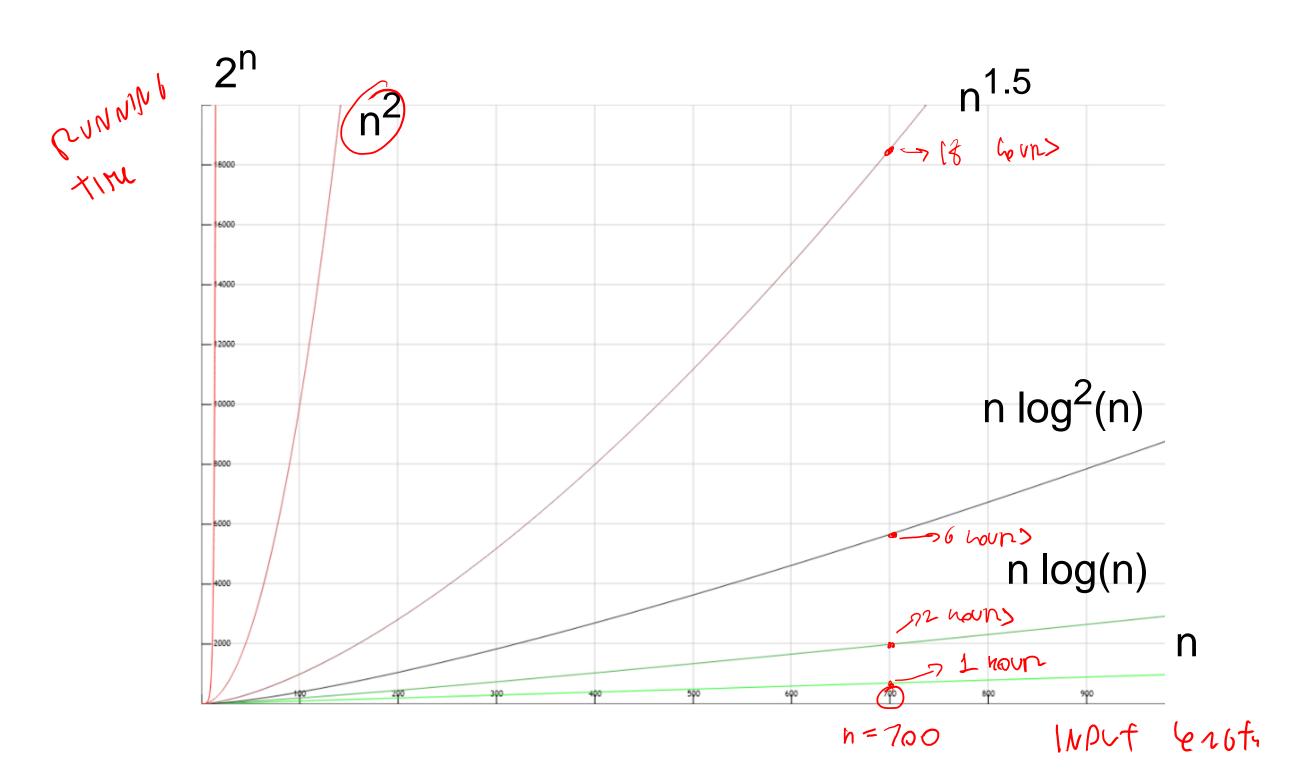
### What operations are simple?

- If, for, while, etc. Control Flow
- Direct addressing: A[n], the n-entry of array A
- Basic arithmetic and logic on variables
  - x \* y, x + y, x AND y, etc.
  - Simple in practice only if the variables are "small".
     For example, 64 bits on current PC
  - Sometimes we get cleaner analysis if we consider them simple regardless of size of variables.

## Measuring performance

- We bound the running time, or the memory (space) used.
- These are measured as a function of the input length.
- Makes sense: need to at least read the input!

- The input length is usually denoted n
- We are interested in which functions of n grow faster



### Asymptotic analysis

The exact time depends on the actual machine

 We ignore constant factors, to have more robust theory that applies to most computer

Example:

on my computer it takes 67 n + 15 operations, on yours 58 n - 15, but that's about the same

· We now give definitions that make this precise

#### Definition:

f(n) = O(g(n)) if there are (∃) constants c,  $n_0$  such that  $f(n) \le c \cdot g(n)$ , for every (∀)  $n \ge n_0$ .

Meaning: f grows no faster than g, up to constant factors

C = 2

#### Definition:

f(n) = O(g(n)) if there are  $(\exists)$  constants c,  $n_0$  such that  $f(n) \le c \cdot g(n)$ , for every  $(\forall)$   $n \ge n_0$ .

#### Example 1:

 $5n + 2n^2 + \log(n) = O(n^2)$ ?

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#### Example 1:

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 True

Pick c = ?

#### Definition:

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#### Example 1:

 $5n + 2n^2 + \log(n) = O(n^2)$  True

Pick c = 3. For large enough n,  $5n + log(n) \le n^2$ . Any c > 2 would work.

# Example 2:

$$100n^2 = O(2^n)$$
 ?

### Example 2:

$$100n^2 = O(2^n)$$
 True

Pick c = ?

### Example 2:

$$100n^2 = O(2^n)$$
 True

Pick c = 1.

Any c > 0 would work, for large enough n.

### Example 3:

 $n^2 \log n = O(n^2)$ ?

### Example 3:

 $n^2 \log n \neq O(n^2)$ 

 $\forall c, n_0 \exists n \ge n_0 \text{ such that } n^2 \log n > c n^2.$ 

 $n > 2^{c} \Rightarrow n^2 \log n > n^2 c$ 

Example 4: 
$$2^n = O(2^{n/2})$$
?

### Example 4:

$$2^n \neq O(2^{n/2}).$$

$$\forall c, n_0 \exists n \ge n_0 \text{ such that } 2^n > c \cdot 2^{n/2}.$$

Pick any n > 2 log c  

$$2^n = 2^{n/2} 2^{n/2} > c \cdot 2^{n/2}$$
.

- n log n =  $O(n^2)$ ?
  - $n^2 = O(n^{1.5} \log 10n)$  ?
  - $2^n = O(n^{1000000})$ ?
  - $(\sqrt{2})^{\log n} = O(n^{1/3})$  ?
  - $n^{\log \log n} = O((\log n)^{\log n})$ ?
  - $2^n = O(4^{\log n})$ ?
  - $n! = O(2^n)$  ?
  - $n! = O(n^n)$  ?
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- $n^2 \neq O(n^{1.5} \log 10n)$ .
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- $(\sqrt{2})^{\log n} = O(n^{1/3}) ? (\sqrt{2})^{\log n} = n^{1/2} \neq O(n^{1/3})$
- $n^{\log \log n} = O((\log n)^{\log n})$ ?
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nlog log n =

2 logn. log log n\_

(log n) log n.

- $n \log n = O(n^2)$ .
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- $n^{\log \log n} = O((\log n)^{\log n}).$
- $2^n = O(4^{\log n})$  ?  $4^{\log n} = 2^{2\log n}$   $2^n = 2^{\log n}$
- $n! = O(2^n)$  ?
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• 
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$$2^n \neq O(4^{\log n})$$
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$$-$$
 • n! = O(2<sup>n</sup>) ?

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$$n! = O(n^n)$$
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$$n2^n = O(2^n \log n)$$
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- $n \log n = O(n^2)$ .
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- $(\sqrt{2})^{\log n} \neq O(n^{1/3})$ .
- $n^{\log \log n} = O((\log n)^{\log n}).$
- $2^n \neq O(4^{\log n})$ .
- $n! \neq O(2^n)$ .  $2.5 \sqrt{n} (n/e)^n \leq n! \leq 2.8 \sqrt{n} (n/e)^n$
- $n! = O(n^n)$  ?
- $n2^n = O(2^n \log n)$  ?

2=2.781.

- $n \log n = O(n^2)$ .
- $n^2 \neq O(n^{1.5} \log 10n)$ .
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- $n! = O(n^n)$ .
- $n2^n = O(2^n \log n)$  ?

- $n \log n = O(n^2)$ .
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- $n! \neq O(2^n)$ .
- $n! = O(n^n)$ .
- $n2^n = O(2^n \log n)$  ?  $n2^n = 2^{\log n + n}$ .

- $n \log n = O(n^2)$ .
- $n^2 \neq O(n^{1.5} \log 10n)$ .
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# Big-omega

#### Definition:

$$f(n) = \Omega (g(n))$$
 means  
 $\exists c, n_0 > 0 \quad \forall n \ge n_0, \quad f(n) \ge c \cdot g(n).$ 

Meaning: f grows no slower than g, up to constant factors

#### Big-omega

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#### Example 1:

$$0.01 \text{ n} = \Omega (\log n)$$
?

#### Big-omega

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 means

$$\exists c, n_0 > 0 \quad \forall n \geq n_0, \quad f(n) \geq c \cdot g(n).$$

#### Example 1:

 $0.01 \text{ n} = \Omega \text{ (log n) True}$ 

Pick c = 1. Any c > 0 would work

 $n^2/100 = \Omega (n \log n)$ ?

 $n^2/100 = \Omega$  (n log n).

c = 1/100 Again, any c would work.

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#### Example 3:

 $\sqrt{n} = \Omega(n/100)$  ?

$$n^2/100 = \Omega \text{ (n log n)}.$$

c = 1/100 Again, any c would work.

#### Example 3:

```
\sqrt{n} \neq \Omega(n/100)
```

 $\forall c, n_0 \exists n \ge n_0 \text{ such that }, \sqrt{n} < c \cdot n/100.$ 

Example 4: 
$$2^{n/2} = \Omega(2^n)$$
 ?

#### Example 4:

 $2^{n/2} \neq \Omega(2^n)$ 

 $\forall c, n_0 \exists n \ge n_0 \text{ such that } 2^{n/2} < c \cdot 2^n.$ 

#### Big-omega, Big-Oh

Note: 
$$\underline{f(n) = \Omega (g(n))} \Leftrightarrow \underline{g(n) = O(f(n))}$$
  
 $\underline{f(n) = O (g(n))} \Leftrightarrow \underline{g(n) = \Omega (f(n))}$ 

#### Example:

10 log n = O(n), and n =  $\Omega$ (10 log n).

5n = O(n), and  $n = \Omega(5n)$ 

#### Definition:

$$f(n) = \Theta(g(n))$$
 means

$$\exists n_0, c_1, c_2 > 0 \quad \forall n \geq n_0,$$

$$f(n) \le c_1 \cdot g(n)$$
 and  $g(n) \le c_2 \cdot f(n)$ .

Meaning: f grows like g, up to constant factors

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#### Example:

$$n = \Theta (n + \log n)$$
?

#### Definition:

$$f(n) = \Theta (g(n)) \text{ means}$$
  
 $\exists n_0, c_1, c_2 > 0 \quad \forall n \ge n_0,$   
 $f(n) \le c_1 \cdot g(n) \text{ and } g(n) \le c_2 \cdot f(n).$ 

#### Example:

$$n = \Theta (n + \log n)$$
 True  
 $c_1 = ?, c_2 = ?n_0 = ?$  such that  $\forall n \ge n_0,$   
 $n \le c_1(n + \log n)$  and  $n + \log n \le c_2 n$ .

#### Definition:

$$f(n) = \Theta (g(n))$$
 means  
 $\exists n_0, c_1, c_2 > 0 \quad \forall n \ge n_0,$   
 $f(n) \le c_1 \cdot g(n)$  and  $g(n) \le c_2 \cdot f(n).$ 

#### Example:

$$n = \Theta$$
 (n + log n) True  
 $c_1 = 1$ ,  $c_2 = 2$   $n_0 = 2$  such that  $\forall n \ge 2$ ,  
 $n \le 1$  (n + log n) and n + log n  $\le 2$  n.

#### Definition:

$$f(n) = \Theta(g(n))$$
 means

$$\exists n_0, c_1, c_2 > 0 \quad \forall n \geq n_0,$$

$$f(n) \le c_1 \cdot g(n)$$
 and  $g(n) \le c_2 \cdot f(n)$ .

#### Note:

$$f(n) = \Theta(g(n)) \Leftrightarrow f(n) = \Omega(g(n))$$
 and  $f(n) = O(g(n))$ 

$$f(n) = \Theta(g(n)) \Leftrightarrow g(n) = \Theta(f(n)).$$

#### Mixing things up

<sub>></sub> • n + <mark>Ö</mark>(log n) = **⊘**(n)

Means  $\forall$  c  $\exists$  c',  $n_0$ :  $\forall$  n >  $n_0$  n + c log n < c' n

•  $n^3 \log (n) = n^{O(1)}$ 

Means  $\exists$  c,  $n_0$ :  $\forall$  n >  $n_0$  n<sup>3</sup> log (n)  $\leq$  n<sup>c</sup>

C = 4

•  $2^n + n^{O(1)} = \Theta(2^n)$ 

Means  $\forall$  c  $\exists$  c<sub>1</sub>, c<sub>2</sub>, n<sub>0</sub>:  $\forall$  n > n<sub>0</sub>

 $c_2 2^n \le 2^n + n^c \le c_1 2^n$ 

### Sorting

#### Sorting problem:

Input:

A sequence (or array) of n numbers (a[1], a[2], ..., a[n]).

Desired output:

A sequence (b[1], b[2], ..., b[n]) of sorted numbers (in increasing order).

#### Example:

Input = (5, 17, -9, 76, 87, -57, 0).

Output = ?

#### Sorting problem:

Input:

A sequence (or array) of n numbers (a[1], a[2], ..., a[n]).

Desired output:

A sequence (b[1], b[2], ..., b[n]) of sorted numbers (in increasing order).

#### Example:

Input = (5, 17, -9, 76, 87, -57, 0).

Output = (-57, -9, 0, 5, 17, 76, 87).

#### Sorting problem:

- Input:
  - A sequence (or array) of n numbers (a[1], a[2], ..., a[n]).
- Desired output:

```
A sequence (b[1], b[2], ..., b[n]) of sorted numbers (in increasing order).
```

#### Who cares about sorting?

- Sorting is a basic operation that shows up in countless other algorithms
- Often when you look at data you want it sorted
- It is also used in the theory of NP-hardness!

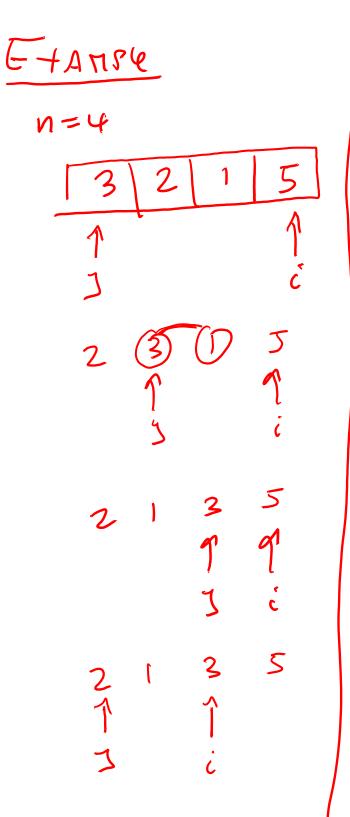
Input (a[1], a[2], ..., a[n]).

for (i=n; i > 1; i - -)

for (j=1; j < i; j++)

if (a[j] > a[j+1])

swap a[j] and a[j+1];



```
Input (a[1], a[2], ..., a[n]).

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Claim: Bubblesort sorts correctly

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Claim: Bubblesort sorts correctly

Proof: Fix i. Let a'[1], ..., a'[n] be array at start of inner loop.

Note at the end of the loop: a'[i] = ?

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Input (a[1], a[2], ..., a[n]).

for (i=n; i > 1; i - -)

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swap a[j] and a[j+1];
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Claim: Bubblesort sorts correctly

Proof: Fix i. Let a'[1], ..., a'[n] be array at start of inner loop.

Note at the end of the loop:  $a'[i] = \max_{k \le i} a'[k]$ 

and the positions k > i are

```
Input (a[1], a[2], ..., a[n]).

for (i=n; i > 1; i - -)

for (j=1; j < i; j++)

if (a[j] > a[j+1])

swap a[j] and a[j+1];
```

Claim: Bubblesort sorts correctly

Proof: Fix i. Let a'[1], ..., a'[n] be array at start of inner loop.

Note at the end of the loop:  $a'[i] = \max_{k < i} a'[k]$ 

and the positions k > i are not touched.

Since the outer loop is from n down to 1, the array is sorted.

T(n) = number of comparisons

$$i = n-1 \Rightarrow n-1$$
 comparisons.

$$i = n-2 \Rightarrow n-2$$
 comparisons.

. . .

 $i = 1 \Rightarrow 1$  comparison.

#### Bubble sort:

Input (a[1], a[2], ..., a[n]).

for 
$$(i=n; i > 1; i--)$$

for 
$$(j=1; j < i; j++)$$

if 
$$(a[j] > a[j+1])$$

$$T(n) = (n-1) + (n-2) + ... + 1 < n^2$$

Is this tight? Is also  $T(n) = \Omega(n^2)$ ?

T(n) = number of comparisons

 $i = n-1 \Rightarrow n-1$  comparisons.

 $i = n-2 \Rightarrow n-2$  comparisons.

. . .

 $i = 1 \Rightarrow 1$  comparison.

## Bubble sort: Input (a[1], a[2], ..., a[n]). for (i=n; i > 1; i--) for (j=1; j < i; j++) if (a[j] > a[j+1]) swap a[j] and a[j+1];

$$T(n) = (n-1) + (n-2) + ... + 1 = n(n-1)/2 = \Theta(n^2)$$

#### Space (also known as Memory)

We need to keep track of i, j

We need an extra element to swap values of input array a.

```
Bubble sort:
Input (a[1], a[2], ..., a[n]).
for (i=n; i > 1; i--)
for (j=1; j < i; j++)
if (a[j] > a[j+1])

swap a[j] and a[j+1];
```

Space = O(1)

Bubble sort takes quadratic time

Can we sort faster?

We now see two methods that can sort in linear time,

under some assumptions

#### Countingsort:

 Assumption: all elements of the input array are integers in the range 0 to k.

Idea: determine, for each A[i], the number of elements in the input array that are smaller than A[i].

This way we can put element A[i] directly into its position.

```
ETAMPLE
                                             K = 6
 // Sorts A[1..n] into array B
 Countingsort (A[1..n]) {
   // Initializes C to 0
 for (i=0; k; i++) C[i] = 0;
   // Set C[i] = number of elements = i.
for (i=1; n; i++) C[A[i]]=C[A[i]]+1;
   // Set C[i] = number of elements ≤ i.
  for (i=1; k; i++) C[i] = C[i]+C[i-1];
                                            B= 13/5/81
   for (i=n; 1; i - -) {
    B[C[A[i]]] = A[i]; //Place A[i] at right location
    C[A[i]] = C[A[i]]-1; //Decrease for equal elements
```

$$T(n)$$
 = number of operations  
=  $O(k) + O(n) + O(k) + O(n)$   
=  $O(n + k)$ .

```
If k = O(n) then T(n) = O(n)
```

```
Countingsort (A[1..n])
 for (i =0; i<k; i++)
   C[i] = 0;
 for (i =1; i<n; i++)
    C[A[i]] = C[A[i]] + 1;
for (i = 1; i < k; i++)
   C[i] = C[i] + C[i-1];
for (i =n; i>1; i--) {
  B[C[A[i]] = A[i];
  C[A[i]] = C[A[i]]-1;
```

#### Space

O(k) for C

Recall numbers in 0..k.

O(n) for B, where output is

Total space: O(n + k)

If k = O(n) then O(n)

```
Countingsort (A[1..n])
 for (i =0; i<k; i++)
   C[i] = 0;
 for (i =1; i<n; i++)
    C[A[i]] = C[A[i]] + 1;
for (i =1; i<k; i++)
    C[i] = C[i] + C[i-1];
for (i =n; i>1; i--) {
  B[C[A[i]] = A[i];
  C[A[i]] = C[A[i]]-1;
```

#### Radix sort

Assumption: all elements of the input array are d-digit integers.

Idea: first sort by least significant digit,
 then according to the next digit,
 ...,
 and finally according to the most significant digit.

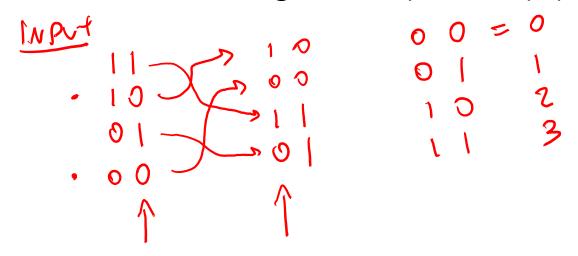
It is essential to use a digit sorting algorithm that is stable: elements with the same digit appear in the output array in the same order as in the input array.

Fact: Counting sort is stable.

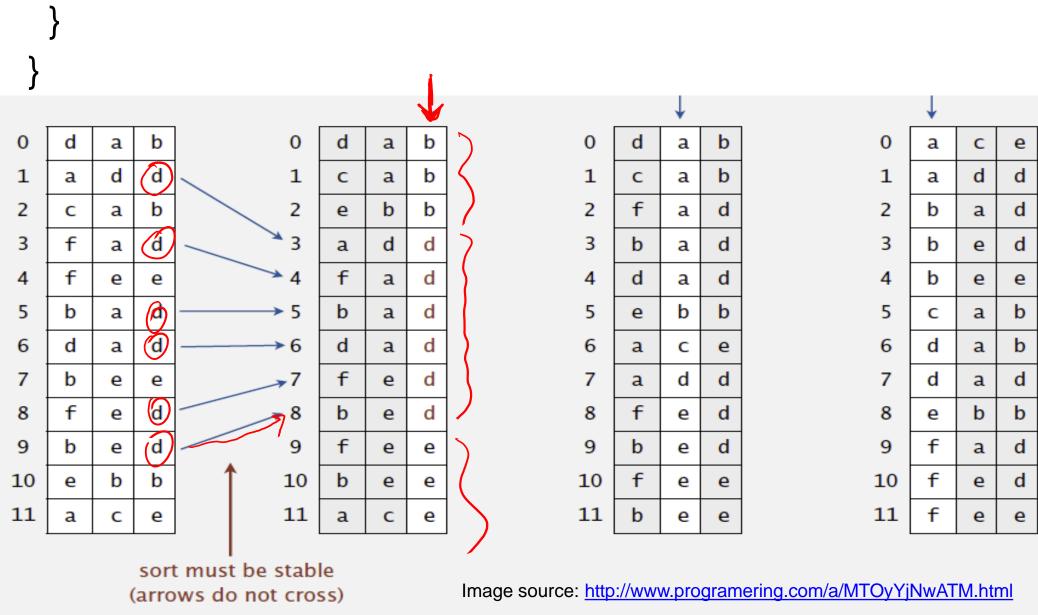
```
Radixsort(A[1..n]) {
  for i that goes from least significant digit to most {
    use counting sort algorithm to sort array A on digit i
  }
}
```

#### Example:

Sort in ascending order (3,2,1,0) (two binary digits).



# Radixsort(A[1..n]) { for i that goes from least significant digit to most { use counting sort algorithm to sort array A on digit i } }



T(n) = number of operations

```
Radixsort(A[1..n]) {
for i from least significant
digit to most {
use counting sort to
sort array A on digit i
}
}
```

T(n) = d•(running time of Counting sort on n elements)
 = Θ(d•(n+k))

Example: To sort numbers in range  $0.. n^{10}$ T(n) = ?

(hint: think numbers in base n)

T(n) = number of operations

```
Radixsort(A[1..n]) {
for i from least significant
digit to most {
use counting sort to
sort array A on digit i
}
}
```

```
T(n) = d\cdot (running time of Counting sort on n elements)
= \Theta(d\cdot (n+k))
```

Example: To sort numbers in range 0.. n<sup>10</sup>

$$T(n) = \Theta(10 \text{ n}) = \Theta(n)$$

While counting sort would take T(n) = ?

T(n) = number of operations

```
Radixsort(A[1..n]) {
for i from least significant
digit to most {
use counting sort to
sort array A on digit i
}
}
```

```
T(n) = d\cdot (running time of Counting sort on n elements)
= \Theta(d\cdot (n+k))
```

Example: To sort numbers in range 0.. n<sup>10</sup>

$$T(n) = \Theta(10 \text{ n}) = \Theta(n)$$

While counting sort would take  $T(n) = \Theta(n^{10})$ 

#### Space

We need as much space as we did for Counting sort on each digit

```
Space = O(d \cdot (n+k))
```

```
Radixsort(A[1..n]) {
for i from least significant
digit to most {
use counting sort to
sort array A on digit i
}
}
```

Can you improve this?

Can we sort faster than n<sup>2</sup> without extra assumptions?

Next we show how to sort with O(n log n) comparisons

We introduce a new general paradigm

#### Deleted scenes

3SAT problem: Given a 3CNF formula such as
 φ := (x V y V z) Λ (¬x V ¬y V z) Λ (x V y V ¬z)
 can we set variables True/False to make φ True?
 Such φ is called satisfiable.

#### Theorem [3SAT is NP-complete]

Let  $M:\{0,1\}^n \to \{0,1\}$  be an algorithm running in time T

Given  $x \in \{0,1\}^n$  we can efficiently compute 3CNF  $\phi$ :

$$M(x) = 1 \Leftrightarrow \phi$$
 satisfiable

• How efficient?

#### Theorem [3SAT is NP-complete]

Let  $M:\{0,1\}^n \to \{0,1\}$  be an algorithm running in time T

Given  $x \in \{0,1\}^n$  we can efficiently compute 3CNF  $\phi$  :

$$M(x) = 1 \Leftrightarrow \phi$$
 satisfiable

• Standard proof:  $\phi$  has  $\Theta(T^2)$  variables (and size),  $x_{i,\ i}$ 

$$x_{1,1}$$
  $x_{1,2}$  ....  $x_{1,T}$   $x_{1,1}$   $x_{1,2}$  ....  $x_{i,T}$  row i = memory, state at time i=1...T

$$X_{i, 1}$$
  $X_{i, 2}$  ....  $X_{i, T}$ 

φ ensures that memory and state evolve according to M

• Theorem [3SAT is NP-complete]
Let  $M: \{0,1\}^n \to \{0,1\}$  be an algorithm running in time TGiven  $x \in \{0,1\}^n$  we can efficiently compute 3CNF  $\phi:$   $M(x) = 1 \Leftrightarrow \emptyset$  satisfiable  $M(x) = 1 \Leftrightarrow \phi$  satisfiable

• Better proof:  $\phi$  has  $O(T \log^{O(1)} T)$  variables (and size),  $C_i := x_{i, 1} x_{i, 2} \dots x_{i, \log T} = \text{state and what algorithm}$ reads, writes at time i = 1...T

Note only 1 memory location is represented per time step.

How do you check C<sub>i</sub> correct? What does φ do?

 Theorem [3SAT is NP-complete]
 Let M: {0,1}<sup>n</sup> → {0,1} be an algorithm running in time T Given  $x \in \{0,1\}^n$  we can efficiently compute 3CNF  $\phi$ :  $M(x) = 1 \Leftrightarrow \phi$  satisfiable

- Better proof: φ has O(T log<sup>O(1)</sup> T) variables (and size),  $C_i := x_{i, 1} x_{i, 2} \dots x_{i, log T} = state and what algorithm$ reads, writes at time i = 1...T
  - φ: Check C<sub>i+1</sub> follows from C<sub>i</sub> assuming read correct Compute C'<sub>i</sub> := C<sub>i</sub> sorted on memory location accessed Check C'<sub>i+1</sub> follows from C'<sub>i</sub> assuming state correct

#### Theorem [3SAT is NP-complete]

Let M:  $\{0,1\}^n \rightarrow \{0,1\}$  be an algorithm running in time T

Given  $x = \{0,1\}^n$  we can efficiently compute 32NF  $\phi$ .

 $M(x) = 1 \Leftrightarrow \phi$  satisfiable

• Better proof: φ has O(T log<sup>S(1)</sup> T) variables (and size),

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reads, writes at time i = 1...

φ: Check C<sub>i+1</sub> follows from C<sub>i</sub> assuming read correct

Let C be C<sub>i</sub> sorted on memory ocation accessed

Check C'<sub>i+1</sub> follows from C'<sub>i</sub> assuming state