#### Intro to graphs Minimum Spanning Trees

### Graphs

nodes/vertices and edges between vertices

- set V for vertices, set E for edges
- we write graph G = (V,E)

• example : cities on a map (nodes) and roads (edges)



## Adjacency matrix

- a<sub>ij</sub> =1 if there is an edge from vertex i to vertex j
- if graph is undirected, edges go both ways, and the adj. matrix is symmetric



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

 if the graph is directed, the adj. matrix is not necessarily symmetric
1 2 3 4 5 6

Missing 2

0



Adjacency lists







Inked list marks all edges starting off a given vertex



• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started



• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started

• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started

• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started

• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started

• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started



• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started



• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started



• path: a sequence of vertices (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>,..., v<sub>k</sub>) such that all (v<sub>i</sub>, v<sub>i+1</sub>) are edges in the graph



edges can form a cycle = a path that ends in the same vertex it started



- BFS = breadth-first search. Wave haversal
- Start in a given vertex s, find all reachable vertices from s
  - proceed in waves
  - computes  $d[v] = number of edges from s to v. If v not reachable from s, we have <math>d[v] = \infty$ .



- BFS = breadth-first search.
- Start in a given vertex s, find all reachable vertices from s
  - proceed in waves
  - computes d[v] = number of edges from s to v. If v not reachable from s, we have  $d[v] = \infty$ .



- BFS = breadth-first search.
- Start in a given vertex s, find all reachable vertices from s
  - proceed in waves
  - computes d[v] = number of edges from s to v. If v not reachable from s, we have  $d[v] = \infty$ .



- BFS = breadth-first search.
- Start in a given vertex s, find all reachable vertices from s
  - proceed in waves
  - computes d[v] = number of edges from s to v. If v not reachable from s, we have  $d[v] = \infty$ .



- BFS = breadth-first search.
- Start in a given vertex s, find all reachable vertices from s
  - proceed in waves
  - computes d[v] = number of edges from s to v. If v not reachable from s, we have  $d[v] = \infty$ .



### BFS

use a queue to store processed vertices

- for each vertex in the queue, follow adj matrix to get vertices of the next wave
- ▶ BFS(V,E,s)
- ▶ for each vertex  $v \neq s$ , set  $d[v] = \infty$
- init queue Q; enqueue(Q,s) //puts s in the queue
- while Q not empty
  - u = dequeue(S) // takes the first elem available from the queue
    - for each vertex  $v \in Adj[u]$

 Running time O(V+E), since each edge and vertex is considered once.

DFS = depth-first search (u) DFS-tee

- once a vertex is discovered, proceed to its adj vertices, or "children"(depth) rather than to its "brothers" (breadth)
- DFS-wrapper(V,E)
- ▶ foreach vertex  $u \in V$  {color[u] = white} end for //color all nodes white

class fication

- foreach vertex u∈V
  - if (color[u]==white) then DFS-Visit(u)
- end for

DFS-Visit(u) //recursive function

- color[u] = gray; //gray means "exploring from this node"
- time++; discover\_time[u] = time;//discover time
  - for each  $v \in Adj[u]$ 
    - if (color[v]==white) then DFS-Visit(v) //explore from u
- end for
- color [u] = black; finish\_time[u]=time; //finish time
































#### DFS



#### DFS



# DFS edge classification



"cross" edge from vertices a(gray) to b(black), if b discovered first

- discovery\_time[a] > discovery\_time[b]
- points to a different part of the tree, explored before discovering a



for mal O(E+V) Pun Ture O(E)BPS Q(E)DFS O(t + v)MRindar in edjes" DFS - rec Non rec, use stack

Checkpoint

- on the animated example, label each edge as "tree", "back", "cross", or "forward"
- do the same on the following example (DFS discovery and finish times marked for each node)



Checkpoint

almost same example, with a small modification: one edge was reversed



#### DFS observations

- Running time O(V+E), same as BFS
- vertex v is gray between times discover[v] and finish[v]
- gray time intervals (discover[v], finish[v]) are inclusive of each other



## Undirected graphs cycles

- graph G=(V,E) is acyclic (does not have cycles) if DFS does not find any "back" edge
- since G is undirected, no cycles implies  $|E| \le |V| 1$
- running DFS, if we find more than |V|-1 edges, there must be a cycle
- Undirected graphs: find-cycles algorithm takes O(V)

## Directed graphs cycles

- graph G=(V,E) is acyclic (does not have cycles) if DFS does not find any "back" edge
- for directed graphs, even without cycles they can have more edges, |E| > |V|-1
- algorithm to determine cycles: run DFS, look for back edges – O(V+E) time
- DAG = directed acyclic graph



- DAG admits topological sort: all vertices "sorted" on a line, such that all edges point from left to right-no cycles - 2 graphs below are the same-
- to do this: algorithm: run DFS, time O(V+E). Output vertices in reverse order given by finishing time





- how can we use DFS to determine if there is a path from u to v ?
- prove that by sorting vertices in the reverse order of finishing times, we obtained a topological sort
  - assuming no cycles
  - in other words, all edges point in the same direction





fast disc GCCN E K (Kt) SCC2

#### SCC meta graph = DAG Strongly connected components

- SCC = a set of vertices  $S \subset V$ , such that for any two  $(u,v) \in S$ , graph G contains a path  $u_{\rightarrow}v$  and a path  $v_{\rightarrow}u$
- trivial for undirected graphs
  - all connected vertices are in fact strongly connected
- tricky for directed graphs
- graph below has the DFS discover/finish times and marked 4 strongly connected components; "tree" edges highlighted

CCC<sub>2</sub>

- between two SCC, A and B, there cannot exists paths both ways  $(A \ni u_{\rightarrow}v \in B \text{ and } B \ni v'_{\rightarrow}u' \in A)$ 
  - paths both ways would make A and B a single SCC



topological sort (Meta-SCC graph) abel a construction of the second of the sec (1) Left most (also) see  $\equiv$  (ast finite time (no edges in) 2) reverse all edges in G 7-stort 2nd phase traversal in ale ( becomes "most right ) ho edges out





# Strongly connected components

- run 1st DFS on G to get finishing times f[u]
- run 2nd DFS on G-reversed (all edges reversed -see picture), each DFS-visit in reverse order of f[u]
  - finishing times marked in red for the DFS-visit root vertices
- output each tree (vertices reached) obtained by 2nd DFS as an SCC



# Strongly connected components

- why 2nd DFS produces precisely the SCC -s?
- SCC-graph of G: collapse all SCC into one SCC-vertex, keep edges between the SCC-vertices
- SCC graph is a DAG;
  - contradiction argument: a cycle on the SCC-graph would immediately collapse the cycle's SCC-s into one SCC
- reversed edges (shown in red); reversed-SCC-graph also a DAG
- second DFS runs on reversed-edges (red); once it starts at a high-finish-time (like 16) it can only go through vertices in the same SCC (like abe)



#### Minimum Spanning Trees Lesson 2

capacityw(u,v)

 $\mathcal{N}$ 

un directed «1 pipes"

## Spanning Trees

- context : undirected graphs
- a set of edges A that "span" or "touch" all vertices, and forms no cycles
  - necessary this set of edges A has size = |V|-1
- spanning tree: the tree formed by the set of spanning edges together with vertex set T = (V,F)



## Spanning Trees

- context : undirected graphs
- a set of edges A that "span" or "touch" all vertices, and forms no cycles
  - necessary this set of edges A has size = |V|-1
- spanning tree: the tree formed by the set of spanning edges together with vertex set T = (V,F)



## Spanning Trees

- context : undirected graphs
- a set of edges A that "span" or "touch" all vertices, and forms no cycles
  - necessary this set of edges A has size = |V|-1
- spanning tree: the tree formed by the set of spanning edges together with vertex set T = (V,F)



# Minimum Spanning Tree (MST)

- context : undirected graph, edges have weights
  - edge (u,v)∈E has weight w(u,v)
- MST is a spanning tree of minimum total weight (of its edges)
  - must span all vertices
  - exactly |V|-1 edges

- sum of edges weight be minimum among spanning trees





# Growing Minimum Spanning Trees

- "safe edge" (u,v) for a given set of edges A: there is a MST that uses A and (u,v)
  - that MST may not be unique

```
• GENERIC-MST (G)
```

- A = set of tree edges, initially empty
- while A does not form a spanning tree // meaning while |A| < |V|-1
  - find edge (u, v) that is safe for A
  - add (u, v) to A
  - end while
- how to find a safe edge to a given set of edges A?
  - Prim algorithm
  - Kruskal algorithm

# Cuts in the graph

- "cut" is a partition of vertices in two sets : V=S  $\cup$  V-S
- an edge (u,v) crosses the cut (S,V-S) if u and v are on different partitions (one in S the other in V-S)
- cut (S, V-S) respects set of edges A if A has no cross edge
- "min weight cross edge" is a cross edge for the cut, having minimum weight across all cross edges
- Cut Theorem : if A is a set of edges part of some MST, and (S,V-S)a cut respecting A , then a min-weight cross edge is "safe" for A (can be added to A towards an MST)



- A={ab, ic, cf, hg, fg}
  - cut : S={a,b,d,e} V-S={h,i,c,g,f} respects A
    - safe crossing edge : cd, weight(cd)=7





- as opposed to a forest of smaller disconnected trees
- add a safe edge at a time
  - connecting one more node to the current tree



- as opposed to a forest of smaller disconnected trees
- add a safe edge at a time
  - connecting one more node to the current tree
- define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A



- as opposed to a forest of smaller disconnected trees
- add a safe edge at a time
  - connecting one more node to the current tree
- define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A
  - edge gf in the picture is added to A, vertex g added to the tree



- as opposed to a forest of smaller disconnected trees
- add a safe edge at a time
  - connecting one more node to the current tree
- define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A
  - edge gf in the picture is added to A, vertex g added to the tree



#### add another(next) safe edge

- connecting one more node to the current tree



#### add another(next) safe edge

- connecting one more node to the current tree

 define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A


### Prim algorithm

#### add another(next) safe edge

- connecting one more node to the current tree

 define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A

- edge hg in the picture is added to A, vertex h added to the tree



### Prim algorithm

#### add another(next) safe edge

connecting one more node to the current tree

 define cut (S,V-S), which respects A. Using the cut theorem, the min-weight edge across the cut is the next edge added to A

- edge hg in the picture is added to A, vertex h added to the tree



\* Greedy choice Prin Cut Ø -add inn edge connects a new vole to the earsting Prim-tree Le cannot forn cycle Muin out of edges concerting

# Prim MST algorithm

#### Prim simple

but implementation a bit tricky

- Running Time depends on implementation of Extract-Min from the Queue
  - best theoretical implementation uses Fibonacci Heaps
  - also the most complicated
  - only makes a practical difference for very large graphs

MST-PRIM(G, w, r)

1 for each 
$$u \in G.V$$

$$\begin{array}{ll} 2 & u.key = \infty \\ 3 & u.\pi = \text{NIL} \end{array}$$

$$u.\pi = \text{NIL}$$

$$r.key = 0$$

$$5 \quad Q = G.V$$

7

8

9

10

11

5 while 
$$Q \neq \emptyset$$

$$u = \text{EXTRACT-MIN}(Q)$$

for each 
$$v \in G.Adj[u]$$

if 
$$v \in Q$$
 and  $w(u, v) < v$ . key

$$\sigma.\pi = u$$

$$v.key = w(u, v)$$

- Grows a forest of trees Forrest = (V,A)
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and A is empty



- Grows a forest of trees Forrest = (V,A)
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and A is empty
- each edge added connects two trees (or components)



- Grows a forest of trees Forrest = (V,A)
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and A is empty
- each edge added connects two trees (or components)
  - find the minimum weight edge (u,v) across two components, say connecting trees T1>v and T2>u (edges between nodes of the same trees are no good because they form cycles) (blue in the picture)



- Grows a forest of trees Forrest = (V,A)
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and A is empty
- each edge added connects two trees (or components)
  - find the minimum weight edge (u,v) across two components, say connecting trees T1∋v and T2∋u (edges between nodes of the same trees are no good because they form cycles) (blue in the picture)
  - define cut (S,V-S); S = vertices of T1 (in red). This cut respects set A



- Grows a forest of trees Forrest = (V,A)
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and A is empty
- each edge added connects two trees (or components)
  - find the minimum weight edge (u,v) across two components, say connecting trees T1>v and T2>u (edges between nodes of the same trees are no good because they form cycles) (blue in the picture)
  - define cut (S,V-S); S = vertices of T1 (in red). This cut respects set A
  - edge (u,v) is the minimum cross edge, thus a safe edge to add to A. T1 and T2 are connected now into one tree





# Kruskal algorithm

MST-KRUSKAL(G, w)

- 1  $A = \emptyset$
- 2 for each vertex  $\nu \in G.V$

```
3 MAKE-SET(\nu)
```

- 4 sort the edges of G.E into nondecreasing order by weight w
- 5 for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight

```
if FIND-SET(u) \neq FIND-SET(v)
```

```
A = A \cup \{(u, v)\}
```

```
UNION(u, v)
```

```
9 return A
```

6

7

8

#### Kruskal is simple

- implementation and running time depend on FIND-SET and UNION operations on the disjoint-set forest.
  - chapter 21 in the book, optional material for this course
- running time O(E logV)

# MST algorithm comparison

• if you know graph density (edges to vertices)

	Kruskal	Prim with array implement.	Prim w/ binomial heap	Prim w/ Fibonacci heap	in practice
sparse graph E=O(V)	O(VlogV)	O(V <sup>2</sup> )	O(VlogV)	O(VlogV)	Kruskal, or Prim+binom heap
dense graph E=Θ(V²)	O(V <sup>2</sup> logV)	O(V <sup>2</sup> )	O(V <sup>2</sup> logV)	O(V <sup>2</sup> )	Prim with array
avg density E=⊖(VlogV)	O(Vlog <sup>2</sup> V)	O(V <sup>2</sup> )	O(Vlog <sup>2</sup> V)	O(VlogV)	Prim with Fib heap, if graph is large