

Searching, Sorting

part 1

Week 3 Objectives

- Searching: binary search
- Comparison-based search: running time bound
- Sorting: bubble, selection, insertion, merge
- Sorting: Heapsort
- Comparison-based sorting time bound

Brute force/linear search

- Linear search: look through all values of the array until the desired value/event/condition found
- Running Time: linear in the number of elements, call it $O(n)$
- Advantage: in most situations, array does not have to be sorted

Binary Search

- Array must be sorted
- Search array A from index b to index e for value V
- Look for value V in the middle index $m = (b+e)/2$
 - That is compare V with $A[m]$; if equal return index m
 - If $V < A[m]$ search the first half of the array
 - If $V > A[m]$ search the second half of the array

$V=3$

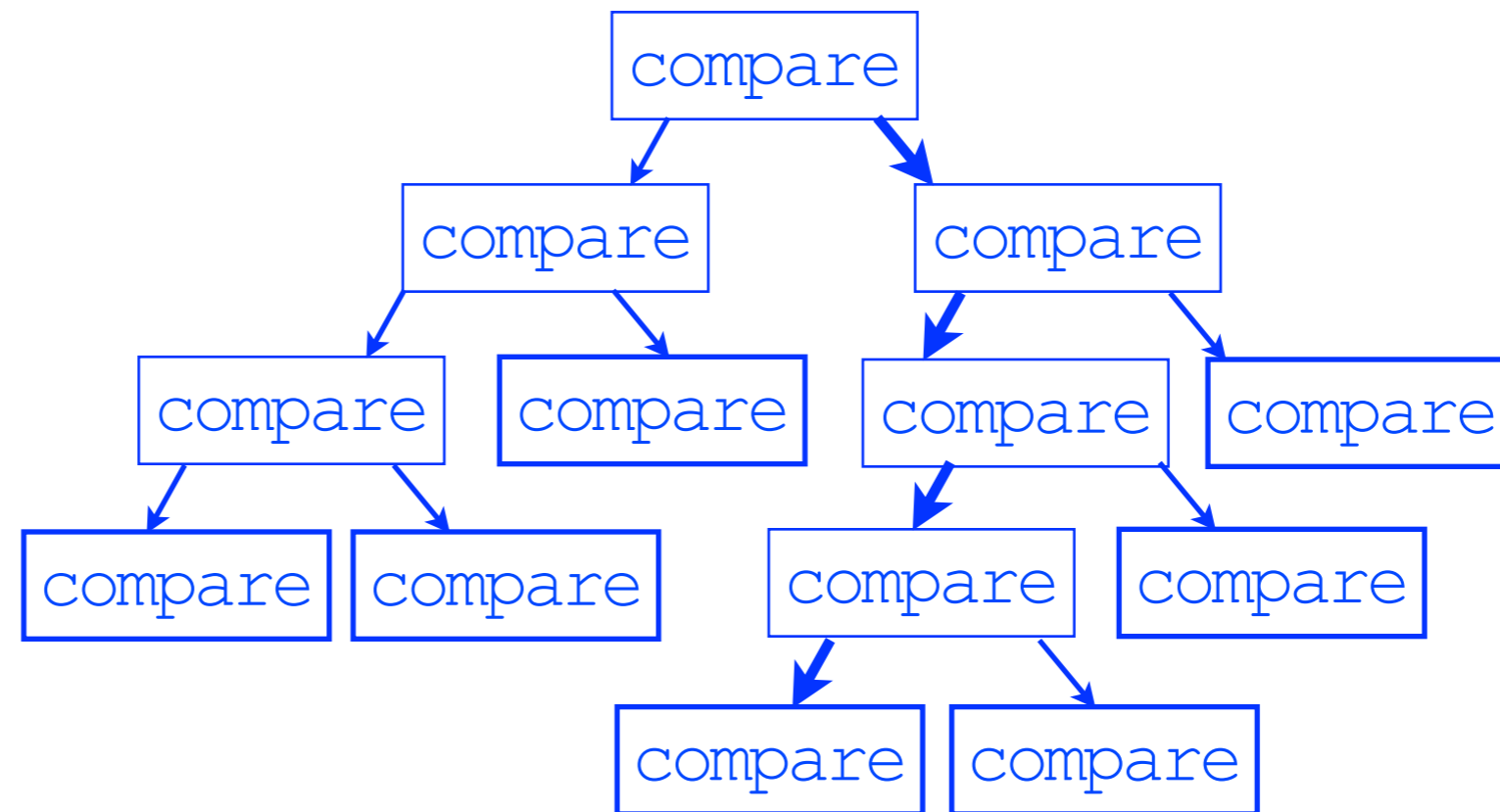
	b			m				e		
	-4	-1	0	0	1	1	3	19	29	47

$A[m]=1 < V=3 \Rightarrow$ search moves to the right half

Binary Search Efficiency

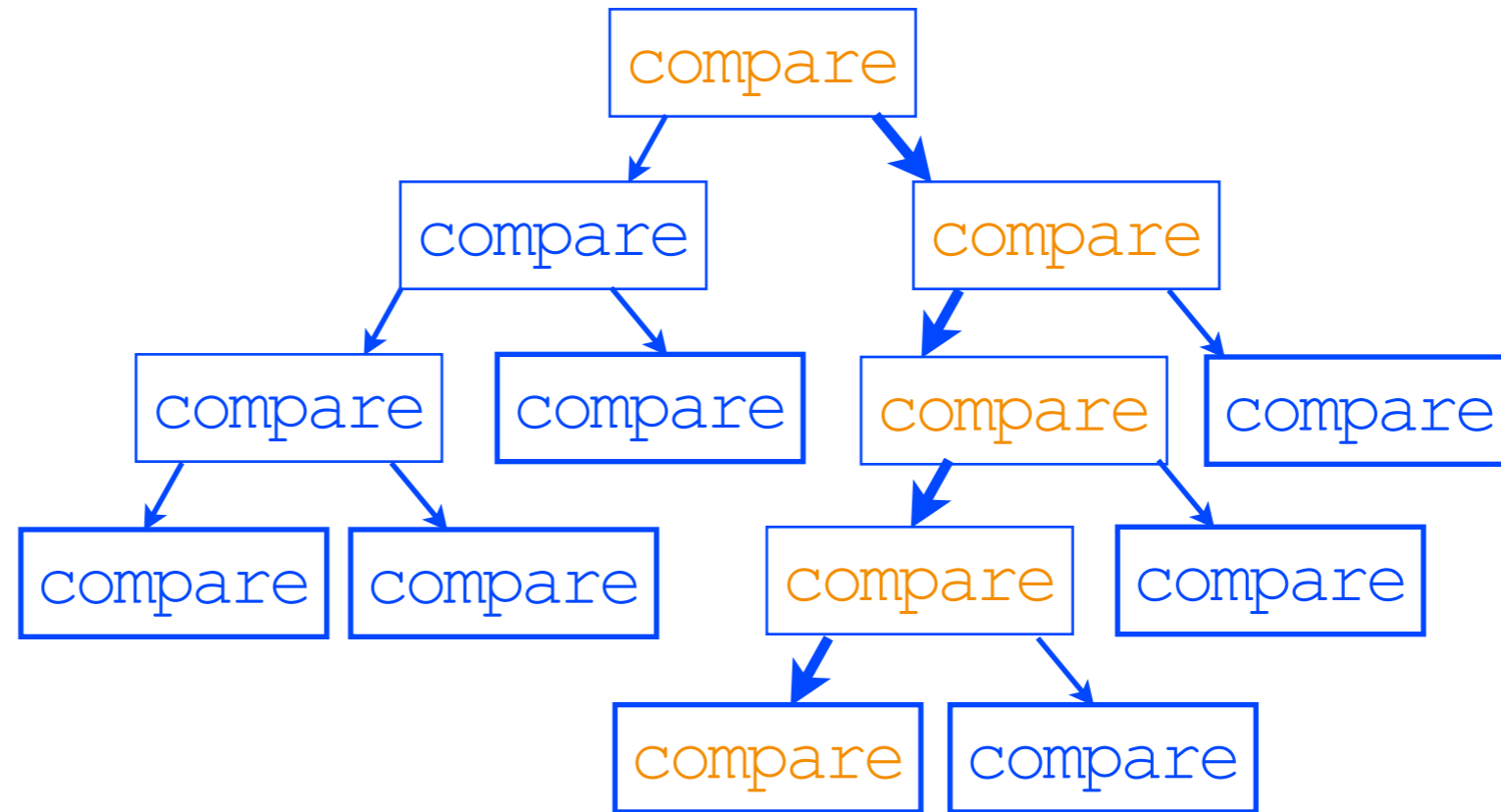
- every iteration/recursion
 - ends the procedure if value is found
 - if not, reduces the problem size (search space) by half
- worst case : value is not found until problem size=1
 - how many reductions have been done?
 - $n / 2 / 2 / 2 / \dots / 2 = 1$. How many 2-s do I need ?
 - if k 2-s, then $n = 2^k$, so k is about $\log(n)$
 - worst running time is $O(\log n)$

Search: tree of comparisons



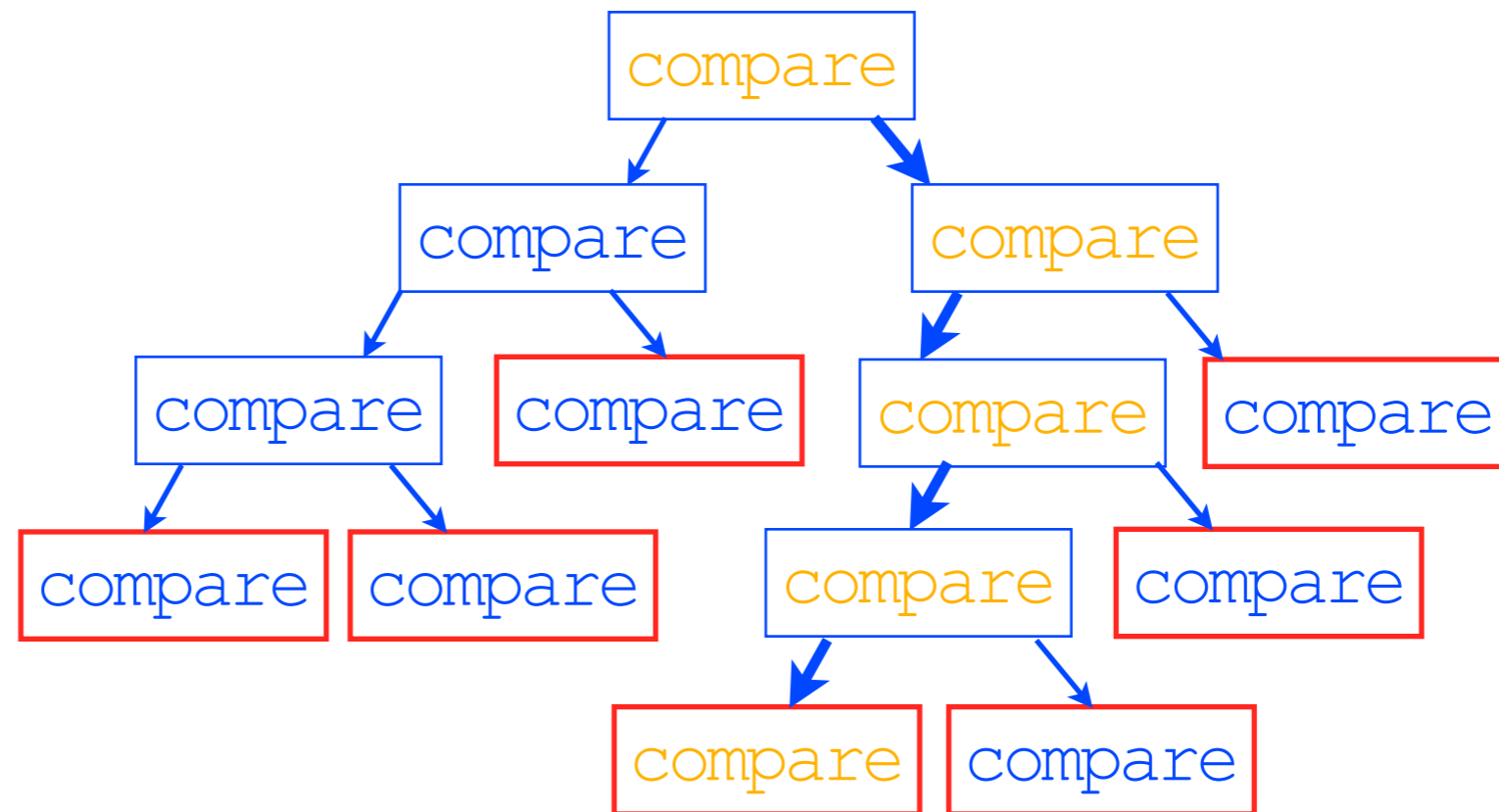
tree of comparisons : essentially what the algorithm does

Search: tree of comparisons



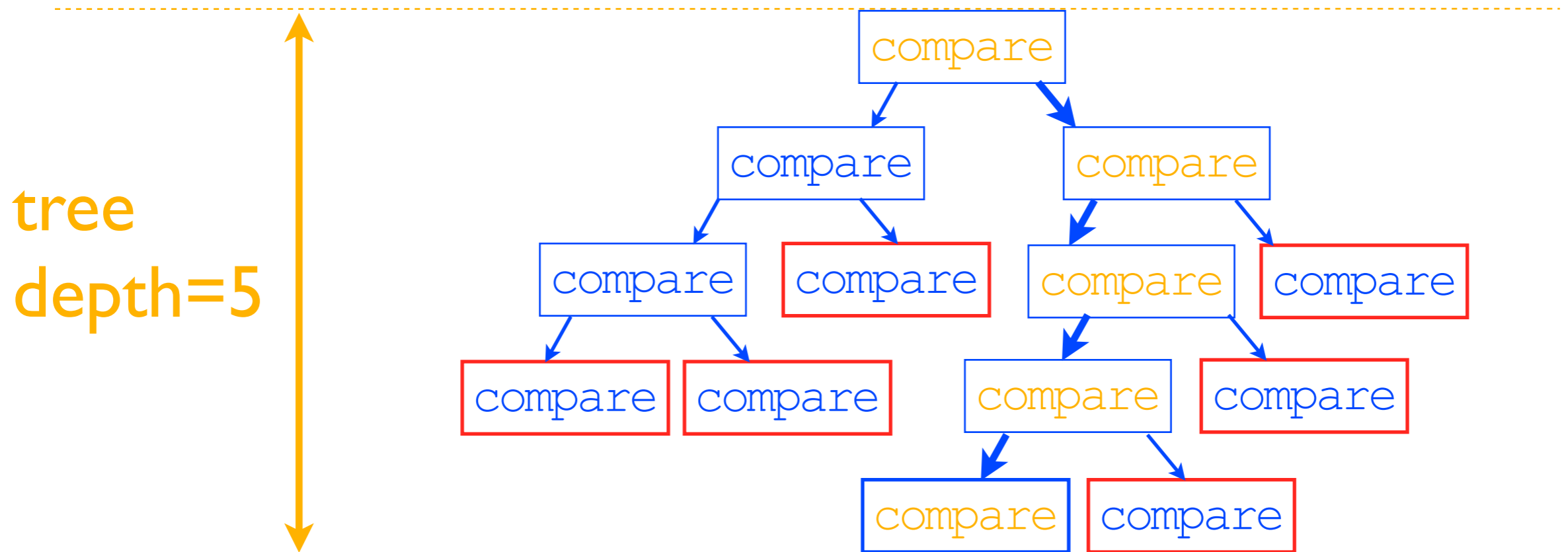
- tree of comparisons : essentially what the algorithm does
 - each program execution follows a certain path

Search: tree of comparisons



- tree of comparisons : essentially what the algorithm does
 - each program execution follows a certain **path**
 - **red** nodes are terminal / output
 - the algorithm has to have at least n output nodes... why ?

Search: tree of comparisons



- tree of comparisons : essentially what the algorithm does
 - each program execution follows a certain path
 - red nodes are terminal / output
 - the algorithm has to have n output nodes... why ?
 - if tree is balanced, longest path = tree depth = $\log(n)$

Bubble Sort

- Simple idea: as long as there is an **inversion**, swap the **bubble**
 - inversion = a pair of indices $i < j$ with $A[i] > A[j]$
 - swap $A[i] \leftrightarrow A[j]$
 - directly swap `(A[i], A[j]);`
 - code it yourself: `aux = A[i]; A[i]=A[j];A[j]=aux;`
- how long does it take?
 - worst case : how many inversions have to be swapped?
 - $O(n^2)$

Insertion Sort

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

- get a new element $V=9$

Insertion Sort

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

- get a new element $V=9$
- find correct position with binary search $i=3$

Insertion Sort

- partial array is sorted

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- get a new element $V=9$

- find correct position with binary search $i=3$

- move elements to make space for the new element

1	5	8		20	49				
---	---	---	--	----	----	--	--	--	--

Insertion Sort

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- get a new element $V=9$

- find correct position with binary search $i=3$

- move elements to make space for the new element

1	5	8		20	49				
---	---	---	--	----	----	--	--	--	--

- insert into the existing array at correct position

1	5	8	9	20	49				
---	---	---	---	----	----	--	--	--	--

Insertion Sort - variant

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

Insertion Sort - variant

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

Insertion Sort - variant

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

- get a new element $V=9$; put it at the end of the array

1	5	8	20	49	9				
---	---	---	----	----	---	--	--	--	--

Insertion Sort - variant

- partial array is sorted

1	5	8	20	49					
---	---	---	----	----	--	--	--	--	--

- get a new element $V=9$; put it at the end of the array

1	5	8	20	49	9				
---	---	---	----	----	---	--	--	--	--

- Move in $V=9$ from the back until reaches correct position

1	5	8	20	9	49				
---	---	---	----	---	----	--	--	--	--

Insertion Sort - variant

- partial array is sorted

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Insertion Sort Running Time

- For one element, there might be required to move $O(n)$ elements (worst case $\Theta(n)$)
 - $O(n)$ insertion time
- Repeat insertion for each element of the n elements gives $n * O(n) = O(n^2)$ running time

Selection Sort

- sort array $A[]$ into a new array $C[]$
- while (condition)
 - find **minimum** element x in A at index i , ignore "used" elements
 - write x in next available position in C
 - mark index i in A as "used" so it doesn't get picked up again
- Insertion/Selection
Running Time = $O(n^2)$

used	A	C
	10	
	-1	
	-5	
	12	
	-1	
	9	

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- Running Time = $O(n^2)$

used	A	C
	10	-5
	-1	
X	-5	
	12	
	-1	
	9	

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used	A	C
	10	-5
X	-1	-1
X	-5	
	12	
	-1	
	9	

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	10	-5
X	-1	-1
X	-5	-1
	12	
X	-1	
	9	

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	10	-5
X	-1	-1
X	-5	-1
	12	9
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X	9	

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- Running Time = $O(n^2)$

	used	A	C
	×	10	-5
	×	-1	-1
	×	-5	-1
		12	9
	×	-1	10
	×	9	

Selection Sort

- sort array $A[]$ into a new array $C[]$
- while (condition)
 - find **minimum** element x in A at index i , ignore "used" elements
 - write x in next available position in C
 - mark index i in A as "used" so it doesn't get picked up again
- Running Time = $O(n^2)$

used	A	C
×	10	-5
×	-1	-1
×	-5	-1
×	12	9
×	-1	10
×	9	12

Merge two sorted arrays

- two sorted arrays

- $A[] = \{1, 5, 10, 100, 200, 300\}$; $B[] = \{2, 5, 6, 10\}$;

- merge them into a new array C

- ▶ index i for array $A[]$, j for $B[]$, k for $C[]$

- ▶ `init i=j=k=0;`

- ▶ `while (what_condition?)`

- ▶ `if (A[i] <= B[j]) { C[k]=A[i], i++ } //advance i`
in A

- ▶ `else {C[k]=B[j], j++} // advance j in B`

- ▶ `advance k`

- ▶ `end_while`

Merge two sorted arrays

- complete pseudocode

- ▶ index i for array $A[]$, j for $B[]$, k for $C[]$
- ▶ `init i=j=k=0;`
- ▶ `while (k < size(A)+size(B)+1)`
 - ▶ `if(i>size(A) {C[k]=B[j], j++} // copy elem from B`
 - ▶ `else if (j>size(B) {C[k]=A[i], i++} // copy elem from A`
 - ▶ `else if (A[i] <= B[j]) { C[k]=A[i], i++ } //advance i`
 - ▶ `else {C[k]=B[j], j++} // advance j`
 - ▶ `k++ //advance k`
- ▶ `end_while`

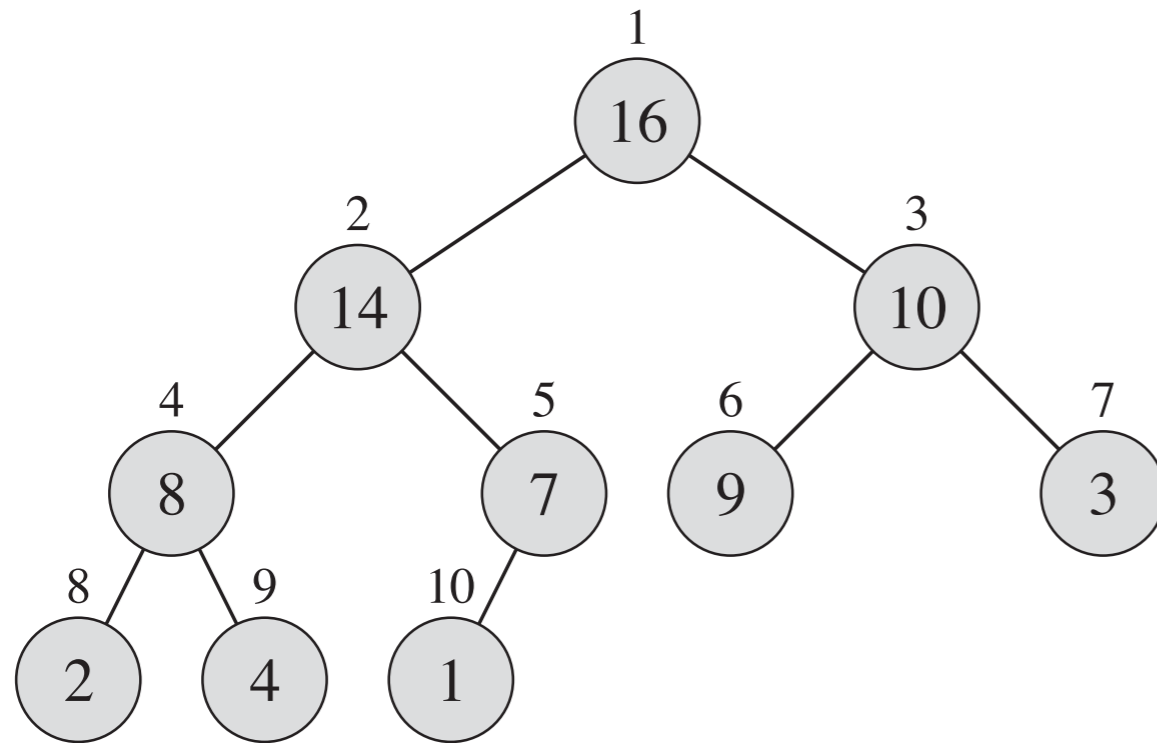
MergeSort

- divide and conquer strategy
- MergeSort array A
 - divide array A into two halves A -left, A -right
 - MergeSort A -left (recursive call)
 - MergeSort A -right (recursive call)
 - Merge (A -left, A -right) into a fully sorted array
- running time : $O(n\log(n))$

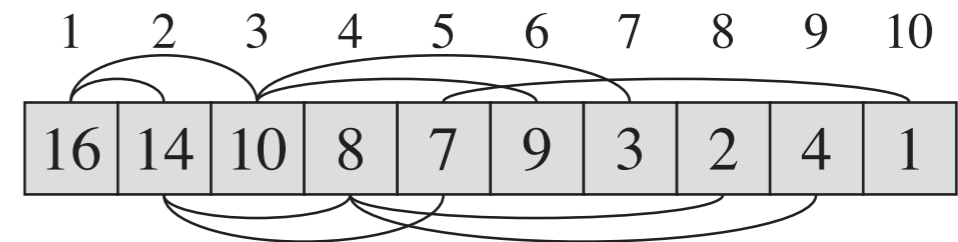
MergeSort running time

- $T(n) = 2T(n/2) + \Theta(n)$
 - 2 sub-problems of size $n/2$ each, and a linear time to combine results
 - Master Theorem case 2 ($a=2, b=2, c=1$)
 - Running time $T(n) = \Theta(n \log n)$

Heap DataStructure



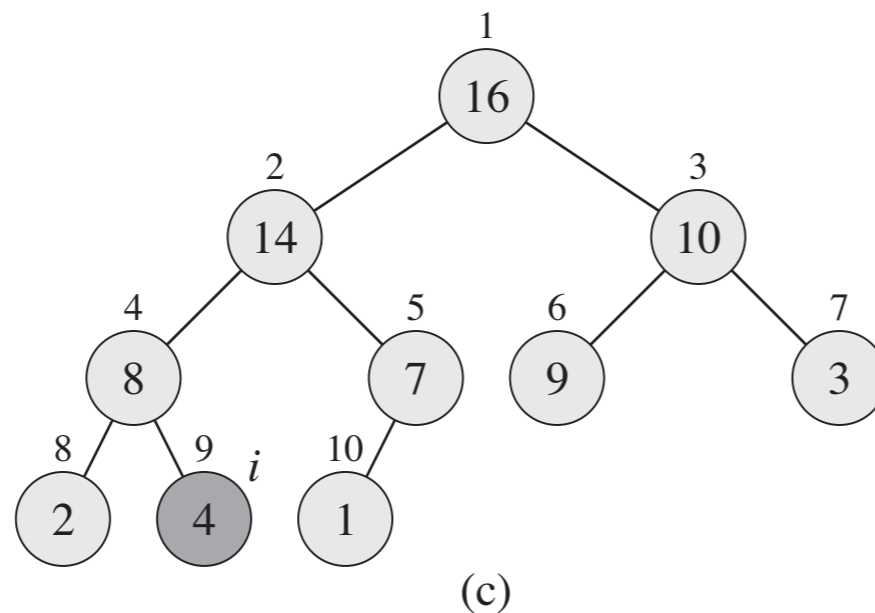
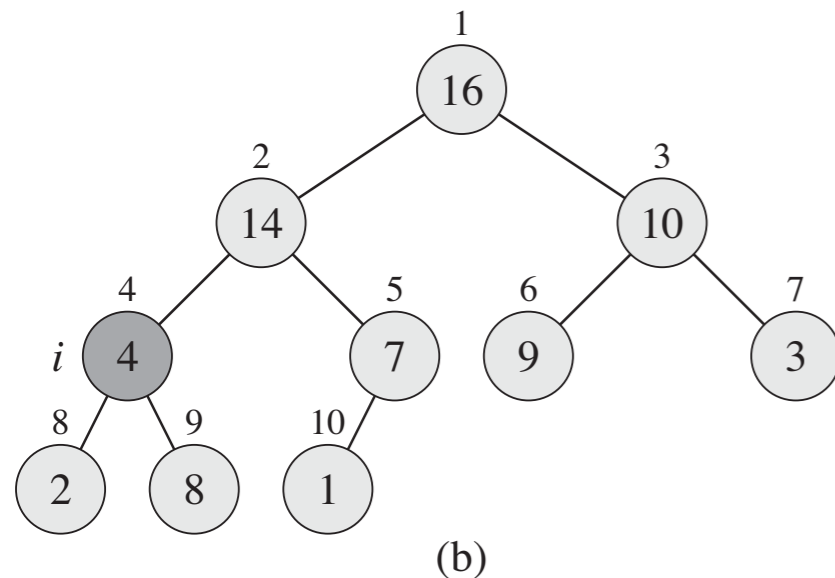
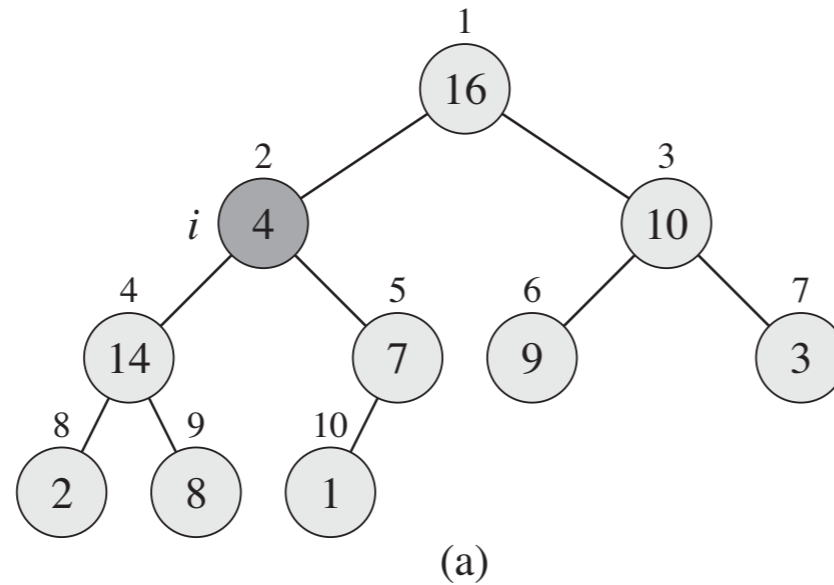
(a)



(b)

- binary tree
- max-heap property : parent > children

Max Heap property



- Assume the Left and Right subtrees satisfy the Max-Heap property, but the top node does not
- Float down the node by consecutively swapping it with higher nodes below it.

Building a heap

- Representing the heap as array datastructure
 - $\text{Parent}(i) = i/2$
 - $\text{Left_child}(i) = 2i$
 - $\text{Right_child}(i) = 2i+1$
 - A = input array has the last half elements leafs
 - **MAX-HEAPIFY** the first half of A , reverse order
- ```
▶ for i=size(A)/2 downto 1
 ▶ MAX-HEAPIFY (A,i)
```

# Heapsort

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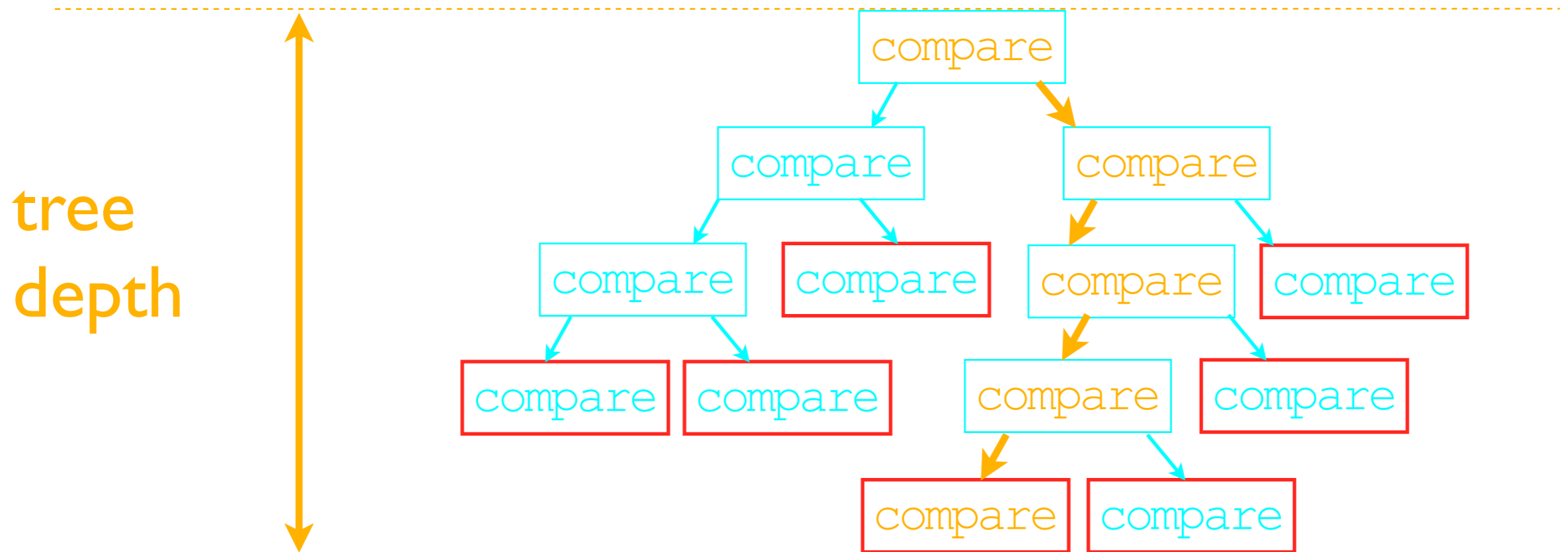
- Build a Max-Heap from input array
- LOOP
  - swap heap\_root (max) with a leaf
  - output (take out) the max element; reduce size
  - MAX-HEAPIFY from the root to maintain the heap property
- END LOOP
- the output is in order

# HeapSort running time

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- Max-Heapify procedure time is given by recurrence
  - $T(n) \leq T(2n/3) + \Theta(1)$
  - master Theorem  $T(n) = O(\log n)$
- Build Max-Heap : running  $n$  times the Max-Heapify procedure gives the running time  $O(n \log n)$
- Extracting values: again run  $n$  times the Max-Heapify procedure gives the running time  $O(n \log n)$
- Total  $O(n \log n)$

# Sorting : tree of comparisons



- tree of comparisons : essentially what the algorithm does
  - each program execution follows a certain path
  - red nodes are terminal / output
  - the algorithm has to have  $n!$  output nodes... why ?
  - if tree is balanced, longest path = tree depth =  $n \log(n)$