

Block Ciphers

CS 6750 Lecture 3

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Product Cryptosystems

- A way to combine cryptosystems
- For simplicity, assume **endomorphmic cryptosystems**
 - I.e., where $C=P$

- $S_1 = (P, P, K_1, E_1, D_1)$

- $S_2 = (P, P, K_2, E_2, D_2)$

- Product cryptosystem $S_1 \times S_2$ is defined to be
 $(P, P, K_1 \times K_2, E, D)$

where

$$e_{(k_1, k_2)}(x) = e_{k_2}(e_{k_1}(x))$$

$$d_{(k_1, k_2)}(y) = d_{k_1}(d_{k_2}(y))$$

Product Cryptosystems

- If Pr_1 and Pr_2 are probability distributions over the keys of S_1 and S_2 (resp.)
 - Take Pr on $S_1 \times S_2$ to be $\text{Pr}(\langle k_1, k_2 \rangle) = \text{Pr}_1(k_1)\text{Pr}_2(k_2)$
 - That is, keys are chosen independently
- Some cryptosystems commute, $S_1 \times S_2 = S_2 \times S_1$
- Some cryptosystems can be **decomposed** into $S_1 \times S_2$
 - Affine cipher can be decomposed into $S \times M = M \times S$
 - (Some subtleties about key probabilities matching)

Idempotence

- A cryptosystem is **idempotent** if $S \times S = S$
 - E.g. shift cipher, substitution cipher, Vigenère cipher...
 - (Again, subtleties about key probabilities matching)
- An idempotent cryptosystem does not gain additional security by iterating it
- But iterating a nonidempotent cryptosystem does!

A Nonidempotent Cryptosystem

- Fix $m > 1$
- Let S_{sub} a substitution cipher over $(\mathbb{Z}_{26})^m$
- Let S_{prm} be the **permutation** cipher:
 - $C = P = (\mathbb{Z}_{26})^m$
 - $K = \{ \pi : \pi \text{ a permutation } \{1, \dots, m\} \rightarrow \{1, \dots, m\} \}$
 - $e_{\pi} (\langle x_1, \dots, x_m \rangle) = \langle x_{\pi(1)}, \dots, x_{\pi(m)} \rangle$
 - $d_{\pi} (\langle y_1, \dots, y_m \rangle) = \langle y_{\eta(1)}, \dots, y_{\eta(m)} \rangle$, where $\eta = \pi^{-1}$
- Theorem: $S_{\text{sub}} \times S_{\text{prm}}$ is not idempotent

Iterated Cryptosystems

- A kind of product cryptosystem
- Idea: given S a cryptosystem, an iterated cryptosystem is $S \times S \times \dots \times S = S^N$
 - N = number of iterations (= **rounds**)
 - A key is a tuple $\langle k_1, \dots, k_N \rangle$
 - k_i = key for round i (= **round key**)
 - Only useful if S is not idempotent
- Generally, the key is derived from an initial key k
 - k is used to derive $\langle k_1, \dots, k_N \rangle$ (= **key schedule**)
 - Derivation is via a fixed and known algorithm

Iterated Cryptosystems

- Iterated cryptosystems are often described using a **round function** $g : P \times K \rightarrow C$
 - $g(w, k)$ gives the encryption of w using round key k
- To encrypt x using key schedule $\langle k_1, \dots, k_N \rangle$:
 - $w_0 \leftarrow x$
 - $w_1 \leftarrow g(w_0, k_1)$
 - $w_2 \leftarrow g(w_1, k_2)$
 - ...
 - $w_N \leftarrow g(w_{N-1}, k_N)$
 - $y \leftarrow w_N$

Iterated Ciphers

- To decrypt, require g to be **invertible** when round key is fixed
 - I.e., there exists g^{-1} such that $g^{-1}(g(w, k), k) = w$
 - Requires g to be injective in its first argument
- To decrypt ciphertext y using key schedule $\langle k_1, \dots, k_N \rangle$
 - $w_N \leftarrow y$
 - $w_{N-1} \leftarrow g^{-1}(w_N, k_N)$
 - $w_{N-2} \leftarrow g^{-1}(w_{N-1}, k_{N-1})$
 - ...
 - $w_0 \leftarrow g^{-1}(w_1, k_1)$
 - $x \leftarrow w_0$

Substitution-Permutation Networks

- A special case of iterated cryptosystem
 - Foundation for DES and AES
- Plaintext/ciphertext: binary vectors of length $l \times m$
 - $(\mathbb{Z}_2)^{lm}$
- Substitution $\pi_S : (\mathbb{Z}_2)^l \rightarrow (\mathbb{Z}_2)^l$
 - Replace l bits by new l bits
 - Often called an S-box
 - Creates **confusion**
- Permutation $\pi_P : (\mathbb{Z}_2)^{lm} \rightarrow (\mathbb{Z}_2)^{lm}$
 - Reorder lm bits
 - Creates **diffusion**

Substitution-Permutation Networks

- N rounds
- Assume a key schedule for key $k = \langle k_1, \dots, k_{N+1} \rangle$
 - Don't care how it is produced
 - Round keys have length $l \times m$
- Write string x of length $l \times m$ as $x_{\langle 1 \rangle} \parallel \dots \parallel x_{\langle m \rangle}$
 - Where $x_{\langle i \rangle} = \langle x_{(i-1)l+1}, \dots, x_{il} \rangle$ of length l
- At each round but the last:
 1. Add round key bits to x
 2. Perform π_S substitution to each $x_{\langle i \rangle}$
 3. Apply permutation π_P to result
- Permutation not applied on the last round
 - Allows the "same" algorithm to be used for decryption

Substitution-Permutation Networks

- Algorithmically (with key schedule $\langle k_1, \dots, k_{N+1} \rangle$):

$$w_0 \leftarrow x$$

for $r \leftarrow 1$ to $N-1$

$$u^r \leftarrow w_{r-1} \oplus k_r$$

for $i \leftarrow 1$ to m

$$v^r_{\langle i \rangle} \leftarrow \pi_S(u^r_{\langle i \rangle})$$

$$w_r \leftarrow \langle v^r_{\pi P(1)}, \dots, v^r_{\pi P(l \times m)} \rangle$$

$$u^N \leftarrow w_{N-1} \oplus k_N$$

for $i \leftarrow 1$ to m

$$v^N_{\langle i \rangle} \leftarrow \pi_S(u^N_{\langle i \rangle})$$

$$y \leftarrow v^N \oplus k_{N+1}$$

Example

- Stinson, Example 3.1
- $l = m = N = 4$
 - So plaintexts are 16 bits strings
- Fixed π_S that substitutes four bits into four bits
 - Table: E,4,D,1,2,F,B,8,3,A,6,C,5,9,0,7 (in hexadecimal!)
- Fixed π_P that permutes 16 bits
 - Perm: 1,5,9,13,2,6,10,14,3,7,11,15,4,8,12,16
- Key schedule:
 - Initial key: 32 bits key K
 - Round r key: 16 bits of K from positions 1, 5, 9, 13

Comments

- We could use different S-boxes at each round
- Example not very secure
 - Key space too small: 2^{32}
- Could improve:
 - Larger key size
 - Larger block length
 - More rounds
 - Larger S-boxes

break

Feistel Cryptosystems

- A special case of iterated cryptosystems
- At each round, string is divided equally into L and R
- Round function g takes $L_{i-1}R_{i-1}$ and K_i , and returns a new string L_iR_i given by:

$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus f(R_{i-1}, K_i)$$

- To decrypt, use inverse of g :

$$R_{i-1} = L_i$$

$$L_{i-1} = R_i \oplus f(L_i, K_i)$$

- **OBSERVATION:** f need not be invertible!

DES

- "Data Encryption Standard"
- Developed by IBM, from an earlier cryptosystem Lucifer
- Adopted as a standard for "unclassified" data: 1977
- 16 round Feistel cryptosystem:
 - encrypts 64 bits vectors

DES Key Schedule

- Initial key: 64 bits
 - Only 56 bits of the key are used
 - every 8th bit is a **parity bit** to ensure no error in transmission
 - the 8th bit is set to 0 or 1 to make the number of 1's in the full 8 bits odd.
- Key schedule:
 - 56 bits key k produces $\langle k_1, \dots, k_{16} \rangle$, 48 bits each
 - Round keys obtained by permutation of selection of bits from key k
 - (Details in the handout)

DES Encryption/Decryption

- To encrypt plaintext x :
 1. Apply fixed permutation IP to x to get L_0R_0
 2. Do 16 rounds of DES
 3. Apply fixed permutation IP^{-1} to get ciphertext
- (Permutation IP motivated by hardware considerations)
- To decrypt ciphertext y :
 1. Apply fixed permutation IP to y to get $L_{16}R_{16}$
 2. Do 16 "inverse" rounds of DES
 3. Apply fixed permutation IP^{-1} to get plaintext

DES Round

- To describe a round of DES, need to give function f
 - Takes string A of 32 bits and a round key J of 48 bits
- Computing $f(A, J)$:
 1. Expand A to 48 bits via fixed expansion $E(A)$
 2. Compute $E(A) \oplus J = B_0B_1\dots B_8$ (each B_i is 6 bits)
 3. Use 8 fixed S-boxes S_1, \dots, S_8 , each $\{0,1\}^6 \rightarrow \{0,1\}^4$
Get $C_i = S_i(B_i)$
 4. Set $C = C_1C_2\dots C_8$ of length 32 bits
 5. Apply fixed permutation P to C

Linear Cryptanalysis

- Known-plaintext attack
 - Aim: find some bits of the key
- **Basic idea:** Try to find a linear approximation to the action of a cipher
- Can you find a (probabilistic) linear relationship between some plaintext bits and some bits of the string produced in the last round (before the last substitution)?
 - If yes, then some bits occur with nonuniform probability
 - By looking at a large enough number of plaintexts, can determine the most likely key for the last round

Differential Cryptanalysis

- Usually a chosen-plaintext attack
 - Aim: find some bits of the key
- **Basic idea:** try to find out how differences in the inputs affect differences in the output
 - Many variations; usually, difference = \oplus
- For a **chosen specific** difference in the inputs, can you find an expected difference for some bits in the string produced before the last substitution is applied?
 - If yes, then some bits occur with nonuniform probability
 - By looking at a large enough number of pairs of plaintexts (x_1, x_2) with $x_1 \oplus x_2 = \text{chosen difference}$, can determine most likely key for last round

Comments on DES

- Key space is too small
 - Can build specialized hardware to do automatic search
 - This is a known-plaintext attack
- Differential and linear cryptanalysis are difficult
 - Need 2^{43} plaintexts for linear cryptanalysis
 - S-boxes resilient to differential cryptanalysis
- Number of rounds is important
 - 8 rounds DES is easy to break

AES

- "Advanced Encryption Standard"
 - Developed in Belgium (as Rijndael)
 - Adopted in 2001 as a new US standard
- Iterated cryptosystem
- Block length: 128 bits
- 3 possible key lengths, with varying number of rounds
 - 128 bits (N=10)
 - 192 bits (N=12)
 - 256 bits (N=14)

High-Level View of AES

- To encrypt plaintext x with key schedule $\langle k_0, \dots, k_N \rangle$:
 1. Initialize STATE to x and add (\oplus) round key k_0
 2. For first $N-1$ rounds:
 - a. Substitute using S-box
 - b. Permutation SHIFT-ROWS
 - c. Substitution MIX-COLUMNS
 - d. Add (\oplus) round key k_i
 3. Substitute using S-Box, SHIFT-ROWS, add k_N
 4. Ciphertext is resulting STATE
- (Next slide describes the terms)

AES Operations

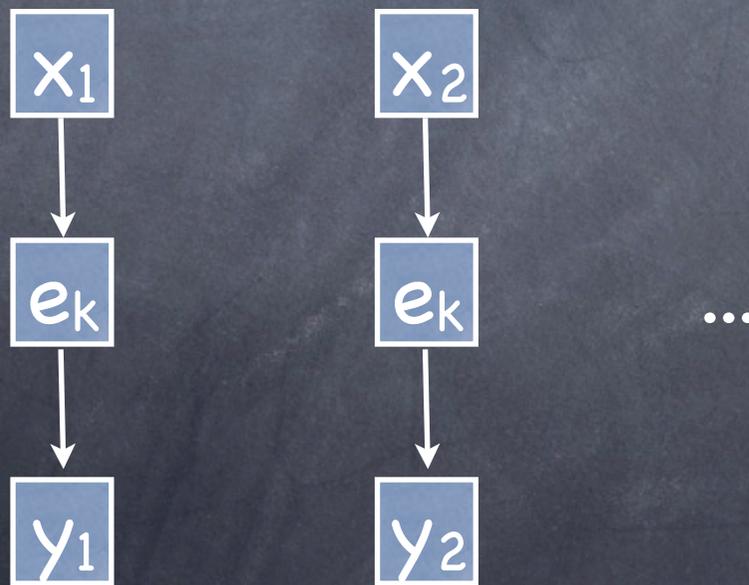
- STATE is a 4x4 array of bytes (= 8 bits)
 - Split 128 bits into 16 bytes
 - Arrange first 4 bytes into first column, then second, then third, then fourth
- S-box: apply fixed substitution $\{0,1\}^8 \rightarrow \{0,1\}^8$ to each cell
- SHIFT-ROWS: shift second row of STATE one cell to the left, third row of STATE two cells to the left, and fourth row of STATE three cells to the left
- MIX-COLUMNS: multiply fixed matrix with each column

AES Key Schedule

- For $N=10$, 128 bits key
 - 16 bytes: $k[0], \dots, k[15]$
 - Algorithm is word-oriented (word = 4 bytes = 32 bits)
 - A round key is 128 bits (= 4 words)
 - Key schedule produces 44 words (= 11 round keys)
 - $w[0], w[1], \dots, w[43]$
- $w[0] = \langle k[0], \dots, k[3] \rangle$
- $w[1] = \langle k[4], \dots, k[7] \rangle$
- $w[2] = \langle k[8], \dots, k[11] \rangle$
- $w[3] = \langle k[12], \dots, k[15] \rangle$
- $w[i] = w[i-4] \oplus w[i-1]$
 - Except at i multiples of 4 (more complex; see book)

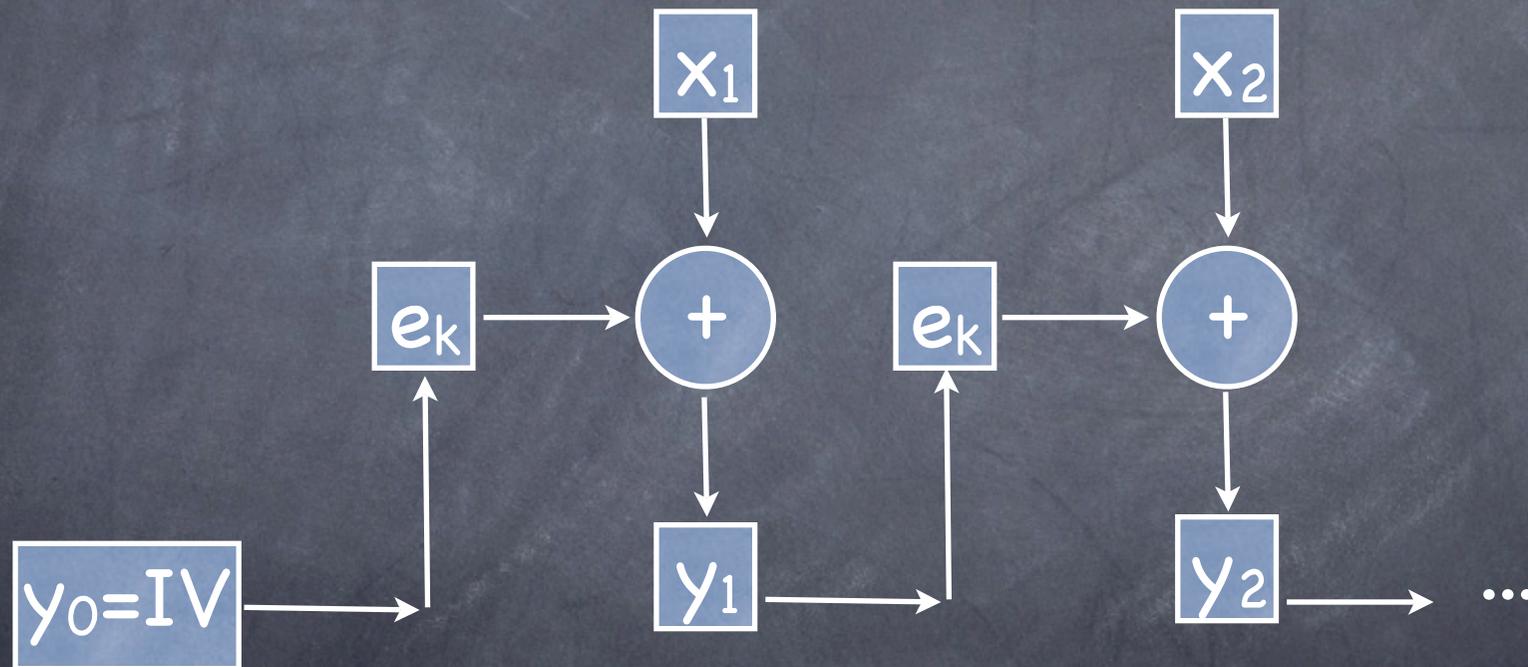
Modes of Operation

- How to use block ciphers when plaintext is more than block length
- Simplest: ECB (Electronic Codebook Mode):



Modes of Operation

- CFB (Cipher Feedback Mode):



Modes of Operation

- CBC (Cipher Block Chaining):

