

# Block Ciphers

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# Product Cryptosystems

- A way to combine cryptosystems
- For simplicity, assume **endomorphmic cryptosystems**
  - I.e., where  $C=P$

- $S_1 = (P, P, K_1, E_1, D_1)$

- $S_2 = (P, P, K_2, E_2, D_2)$

- Product cryptosystem  $S_1 \times S_2$  is defined to be  
 $(P, P, K_1 \times K_2, E, D)$

where

$$e_{(k_1, k_2)}(x) = e_{k_2}(e_{k_1}(x))$$

$$d_{(k_1, k_2)}(y) = d_{k_1}(d_{k_2}(y))$$

# Product Cryptosystems

- If  $\text{Pr}_1$  and  $\text{Pr}_2$  are probability distributions over the keys of  $S_1$  and  $S_2$  (resp.)
  - Take  $\text{Pr}$  on  $S_1 \times S_2$  to be  $\text{Pr}(\langle k_1, k_2 \rangle) = \text{Pr}_1(k_1)\text{Pr}_2(k_2)$
  - That is, keys are chosen independently
- Some cryptosystems commute,  $S_1 \times S_2 = S_2 \times S_1$
- Some cryptosystems can be **decomposed** into  $S_1 \times S_2$ 
  - Affine cipher can be decomposed into  $S \times M = M \times S$
  - (Some subtleties about key probabilities matching)

# Idempotence

- A cryptosystem is **idempotent** if  $S \times S = S$ 
  - E.g. shift cipher, substitution cipher, Vigenère cipher...
  - (Again, subtleties about key probabilities matching)
- An idempotent cryptosystem does not gain additional security by iterating it
- But iterating a nonidempotent cryptosystem does!

# A Nonidempotent Cryptosystem

- Fix  $m > 1$
- Let  $S_{\text{sub}}$  a substitution cipher over  $(\mathbb{Z}_{26})^m$
- Let  $S_{\text{prm}}$  be the **permutation** cipher:
  - $C = P = (\mathbb{Z}_{26})^m$
  - $K = \{ \pi : \pi \text{ a permutation } \{1, \dots, m\} \rightarrow \{1, \dots, m\} \}$
  - $e_{\pi} (\langle x_1, \dots, x_m \rangle) = \langle x_{\pi(1)}, \dots, x_{\pi(m)} \rangle$
  - $d_{\pi} (\langle y_1, \dots, y_m \rangle) = \langle y_{\eta(1)}, \dots, y_{\eta(m)} \rangle$ , where  $\eta = \pi^{-1}$
- Theorem:  $S_{\text{sub}} \times S_{\text{prm}}$  is not idempotent

# Iterated Cryptosystems

- A kind of product cryptosystem
- Idea: given  $S$  a cryptosystem, an iterated cryptosystem is  $S \times S \times \dots \times S = S^N$ 
  - $N$  = number of iterations (= **rounds**)
  - A key is a tuple  $\langle k_1, \dots, k_N \rangle$ 
    - $k_i$  = key for round  $i$  (= **round key**)
  - Only useful if  $S$  is not idempotent
- Generally, the key is derived from an initial key  $k$ 
  - $k$  is used to derive  $\langle k_1, \dots, k_N \rangle$  (= **key schedule**)
  - Derivation is via a fixed and known algorithm

# Iterated Cryptosystems

- Iterated cryptosystems are often described using a **round function**  $g : P \times K \rightarrow C$ 
  - $g(w, k)$  gives the encryption of  $w$  using round key  $k$
- To encrypt  $x$  using key schedule  $\langle k_1, \dots, k_N \rangle$ :
  - $w_0 \leftarrow x$
  - $w_1 \leftarrow g(w_0, k_1)$
  - $w_2 \leftarrow g(w_1, k_2)$
  - ...
  - $w_N \leftarrow g(w_{N-1}, k_N)$
  - $y \leftarrow w_N$

# Iterated Ciphers

- To decrypt, require  $g$  to be **invertible** when round key is fixed
  - I.e., there exists  $g^{-1}$  such that  $g^{-1}(g(w, k), k) = w$
  - Requires  $g$  to be injective in its first argument
- To decrypt ciphertext  $y$  using key schedule  $\langle k_1, \dots, k_N \rangle$ 
  - $w_N \leftarrow y$
  - $w_{N-1} \leftarrow g^{-1}(w_N, k_N)$
  - $w_{N-2} \leftarrow g^{-1}(w_{N-1}, k_{N-1})$
  - ...
  - $w_0 \leftarrow g^{-1}(w_1, k_1)$
  - $x \leftarrow w_0$

# Substitution-Permutation Networks

- A special case of iterated cryptosystem
  - Foundation for DES and AES
- Plaintext/ciphertext: binary vectors of length  $l \times m$ 
  - $(\mathbb{Z}_2)^{lm}$
- Substitution  $\pi_S : (\mathbb{Z}_2)^l \rightarrow (\mathbb{Z}_2)^l$ 
  - Replace  $l$  bits by new  $l$  bits
  - Often called an S-box
  - Creates **confusion**
- Permutation  $\pi_P : (\mathbb{Z}_2)^{lm} \rightarrow (\mathbb{Z}_2)^{lm}$ 
  - Reorder  $lm$  bits
  - Creates **diffusion**

# Substitution-Permutation Networks

- $N$  rounds
- Assume a key schedule for key  $k = \langle k_1, \dots, k_{N+1} \rangle$ 
  - Don't care how it is produced
  - Round keys have length  $l \times m$
- Write string  $x$  of length  $l \times m$  as  $x_{\langle 1 \rangle} \parallel \dots \parallel x_{\langle m \rangle}$ 
  - Where  $x_{\langle i \rangle} = \langle x_{(i-1)l+1}, \dots, x_{il} \rangle$  of length  $l$
- At each round but the last:
  1. Add round key bits to  $x$
  2. Perform  $\pi_S$  substitution to each  $x_{\langle i \rangle}$
  3. Apply permutation  $\pi_P$  to result
- Permutation not applied on the last round
  - Allows the "same" algorithm to be used for decryption

# Substitution-Permutation Networks

- Algorithmically (with key schedule  $\langle k_1, \dots, k_{N+1} \rangle$ ):

$$w_0 \leftarrow x$$

for  $r \leftarrow 1$  to  $N-1$

$$u^r \leftarrow w_{r-1} \oplus k_r$$

for  $i \leftarrow 1$  to  $m$

$$v^r_{\langle i \rangle} \leftarrow \pi_S(u^r_{\langle i \rangle})$$

$$w_r \leftarrow \langle v^r_{\pi P(1)}, \dots, v^r_{\pi P(l \times m)} \rangle$$

$$u^N \leftarrow w_{N-1} \oplus k_N$$

for  $i \leftarrow 1$  to  $m$

$$v^N_{\langle i \rangle} \leftarrow \pi_S(u^N_{\langle i \rangle})$$

$$y \leftarrow v^N \oplus k_{N+1}$$

# Example

- Stinson, Example 3.1
- $l = m = N = 4$ 
  - So plaintexts are 16 bits strings
- Fixed  $\pi_S$  that substitutes four bits into four bits
  - Table: E,4,D,1,2,F,B,8,3,A,6,C,5,9,0,7 (in hexadecimal!)
- Fixed  $\pi_P$  that permutes 16 bits
  - Perm: 1,5,9,13,2,6,10,14,3,7,11,15,4,8,12,16
- Key schedule:
  - Initial key: 32 bits key  $K$
  - Round  $r$  key: 16 bits of  $K$  from positions 1, 5, 9, 13

# Comments

- We could use different S-boxes at each round
- Example not very secure
  - Key space too small:  $2^{32}$
- Could improve:
  - Larger key size
  - Larger block length
  - More rounds
  - Larger S-boxes

break

# Feistel Cryptosystems

- A special case of iterated cryptosystems
- At each round, string is divided equally into L and R
- Round function  $g$  takes  $L_{i-1}R_{i-1}$  and  $K_i$ , and returns a new string  $L_iR_i$  given by:

$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus f(R_{i-1}, K_i)$$

- To decrypt, use inverse of  $g$ :

$$R_{i-1} = L_i$$

$$L_{i-1} = R_i \oplus f(L_i, K_i)$$

- **OBSERVATION:**  $f$  need not be invertible!

# DES

- "Data Encryption Standard"
- Developed by IBM, from an earlier cryptosystem Lucifer
- Adopted as a standard for "unclassified" data: 1977
- 16 round Feistel cryptosystem:
  - encrypts 64 bits vectors

# DES Key Schedule

- Initial key: 64 bits
  - Only 56 bits of the key are used
  - every 8th bit is a **parity bit** to ensure no error in transmission
  - the 8th bit is set to 0 or 1 to make the number of 1's in the full 8 bits odd.
- Key schedule:
  - 56 bits key  $k$  produces  $\langle k_1, \dots, k_{16} \rangle$ , 48 bits each
  - Round keys obtained by permutation of selection of bits from key  $k$ 
    - (Details in the handout)

# DES Encryption/Decryption

- To encrypt plaintext  $x$ :
  1. Apply fixed permutation  $IP$  to  $x$  to get  $L_0R_0$
  2. Do 16 rounds of DES
  3. Apply fixed permutation  $IP^{-1}$  to get ciphertext
- (Permutation  $IP$  motivated by hardware considerations)
- To decrypt ciphertext  $y$ :
  1. Apply fixed permutation  $IP$  to  $y$  to get  $L_{16}R_{16}$
  2. Do 16 "inverse" rounds of DES
  3. Apply fixed permutation  $IP^{-1}$  to get plaintext

# DES Round

- To describe a round of DES, need to give function  $f$ 
  - Takes string  $A$  of 32 bits and a round key  $J$  of 48 bits
- Computing  $f(A, J)$  :
  1. Expand  $A$  to 48 bits via fixed expansion  $E(A)$
  2. Compute  $E(A) \oplus J = B_0B_1\dots B_8$  (each  $B_i$  is 6 bits)
  3. Use 8 fixed S-boxes  $S_1, \dots, S_8$ , each  $\{0,1\}^6 \rightarrow \{0,1\}^4$   
Get  $C_i = S_i(B_i)$
  4. Set  $C = C_1C_2\dots C_8$  of length 32 bits
  5. Apply fixed permutation  $P$  to  $C$

# Linear Cryptanalysis

- Known-plaintext attack
  - Aim: find some bits of the key
- **Basic idea:** Try to find a linear approximation to the action of a cipher
- Can you find a (probabilistic) linear relationship between some plaintext bits and some bits of the string produced in the last round (before the last substitution)?
  - If yes, then some bits occur with nonuniform probability
  - By looking at a large enough number of plaintexts, can determine the most likely key for the last round

# Differential Cryptanalysis

- Usually a chosen-plaintext attack
  - Aim: find some bits of the key
- **Basic idea:** try to find out how differences in the inputs affect differences in the output
  - Many variations; usually, difference =  $\oplus$
- For a **chosen specific** difference in the inputs, can you find an expected difference for some bits in the string produced before the last substitution is applied?
  - If yes, then some bits occur with nonuniform probability
  - By looking at a large enough number of pairs of plaintexts  $(x_1, x_2)$  with  $x_1 \oplus x_2 = \text{chosen difference}$ , can determine most likely key for last round

# Comments on DES

- Key space is too small
  - Can build specialized hardware to do automatic search
  - This is a known-plaintext attack
- Differential and linear cryptanalysis are difficult
  - Need  $2^{43}$  plaintexts for linear cryptanalysis
  - S-boxes resilient to differential cryptanalysis
- Number of rounds is important
  - 8 rounds DES is easy to break

# AES

- "Advanced Encryption Standard"
  - Developed in Belgium (as Rijndael)
  - Adopted in 2001 as a new US standard
- Iterated cryptosystem
- Block length: 128 bits
- 3 possible key lengths, with varying number of rounds
  - 128 bits (N=10)
  - 192 bits (N=12)
  - 256 bits (N=14)

# High-Level View of AES

- To encrypt plaintext  $x$  with key schedule  $\langle k_0, \dots, k_N \rangle$ :
  1. Initialize STATE to  $x$  and add ( $\oplus$ ) round key  $k_0$
  2. For first  $N-1$  rounds:
    - a. Substitute using S-box
    - b. Permutation SHIFT-ROWS
    - c. Substitution MIX-COLUMNS
    - d. Add ( $\oplus$ ) round key  $k_i$
  3. Substitute using S-Box, SHIFT-ROWS, add  $k_N$
  4. Ciphertext is resulting STATE
- (Next slide describes the terms)

# AES Operations

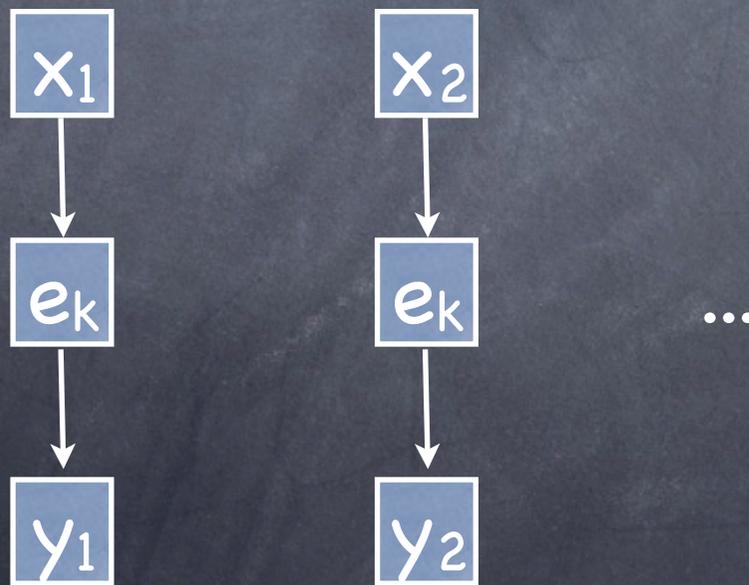
- STATE is a 4x4 array of bytes (= 8 bits)
  - Split 128 bits into 16 bytes
  - Arrange first 4 bytes into first column, then second, then third, then fourth
- S-box: apply fixed substitution  $\{0,1\}^8 \rightarrow \{0,1\}^8$  to each cell
- SHIFT-ROWS: shift second row of STATE one cell to the left, third row of STATE two cells to the left, and fourth row of STATE three cells to the left
- MIX-COLUMNS: multiply fixed matrix with each column

# AES Key Schedule

- For  $N=10$ , 128 bits key
  - 16 bytes:  $k[0], \dots, k[15]$
  - Algorithm is word-oriented (word = 4 bytes = 32 bits)
  - A round key is 128 bits (= 4 words)
  - Key schedule produces 44 words (= 11 round keys)
    - $w[0], w[1], \dots, w[43]$
- $w[0] = \langle k[0], \dots, k[3] \rangle$
- $w[1] = \langle k[4], \dots, k[7] \rangle$
- $w[2] = \langle k[8], \dots, k[11] \rangle$
- $w[3] = \langle k[12], \dots, k[15] \rangle$
- $w[i] = w[i-4] \oplus w[i-1]$ 
  - Except at  $i$  multiples of 4 (more complex; see book)

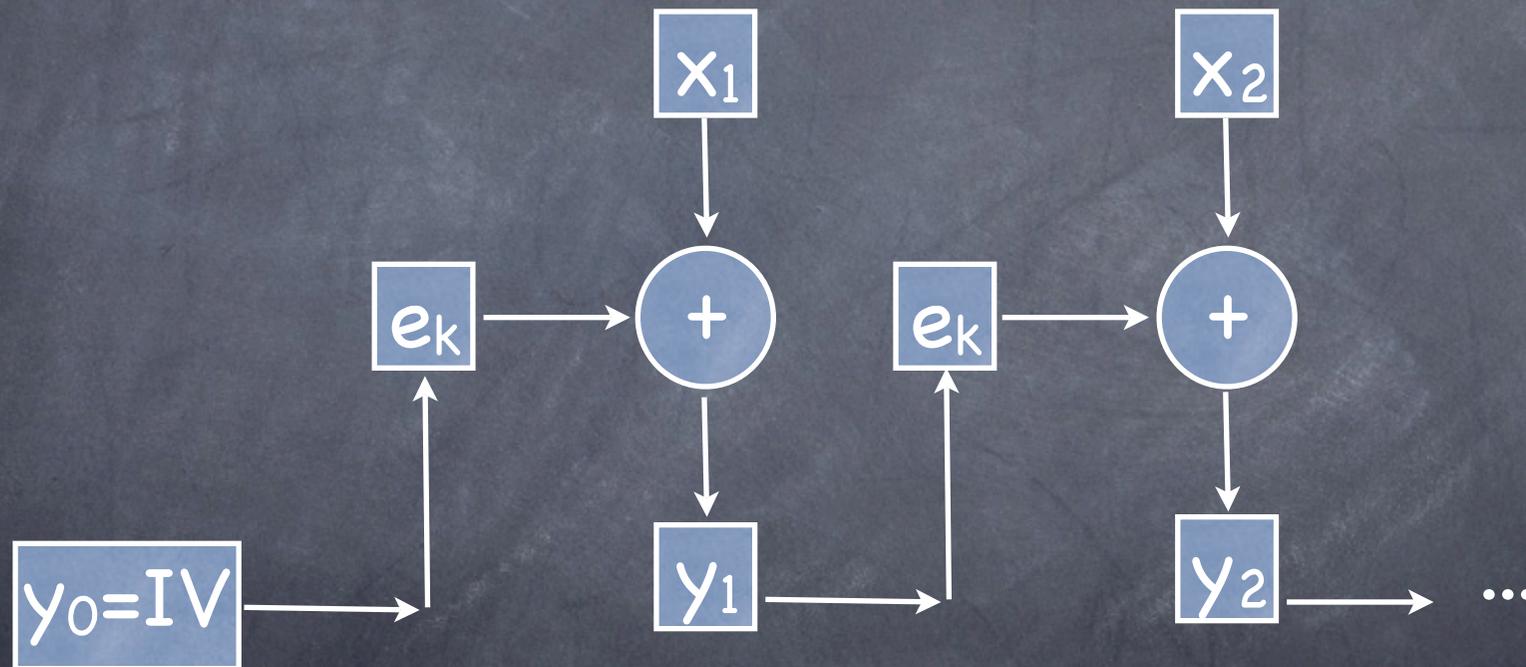
# Modes of Operation

- How to use block ciphers when plaintext is more than block length
- Simplest: ECB (Electronic Codebook Mode):



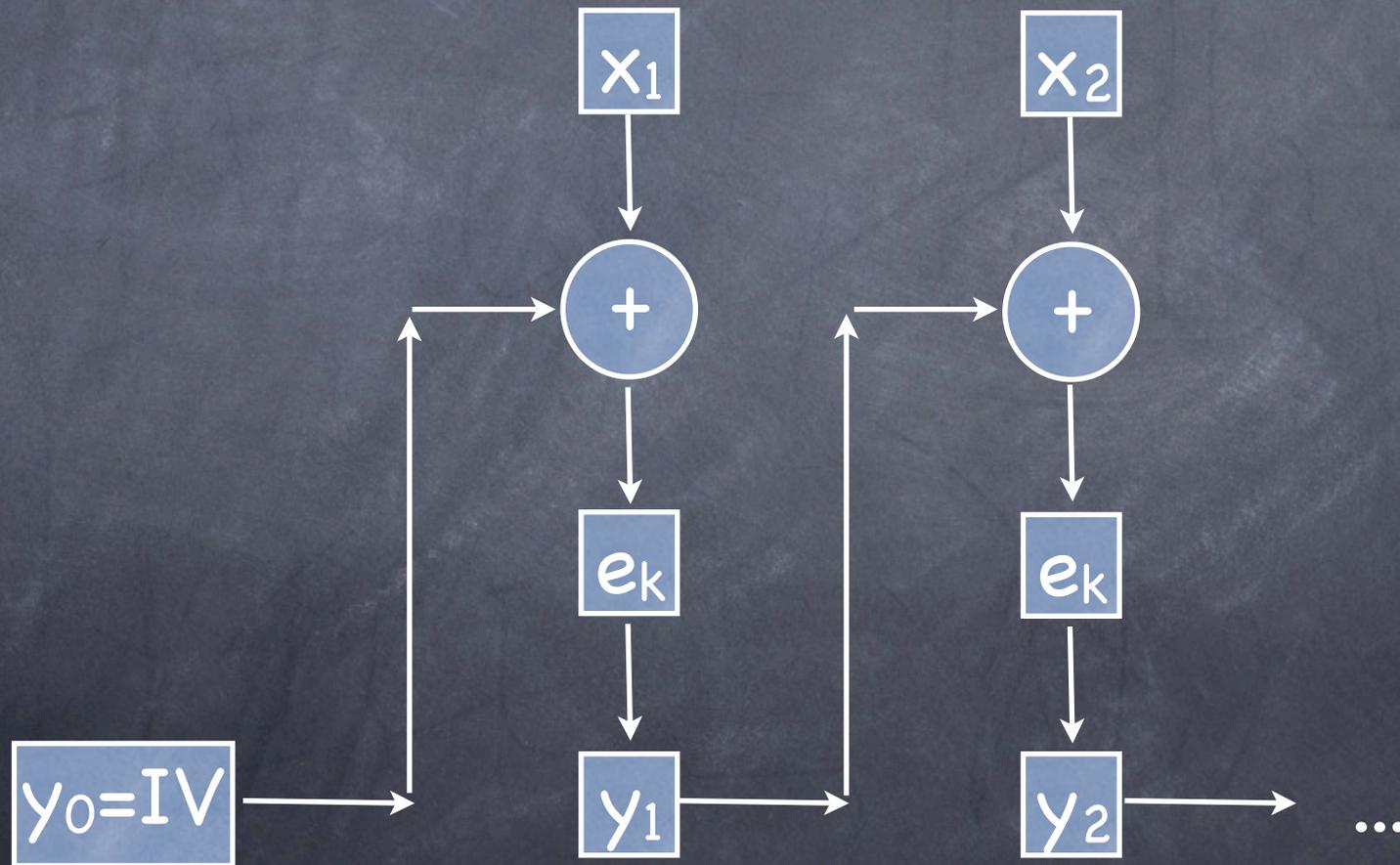
# Modes of Operation

- CFB (Cipher Feedback Mode):



# Modes of Operation

- CBC (Cipher Block Chaining):



# Modes of Operation

- OFB (Output Feedback Mode)

