# CS4800: Algorithms & Data Jonathan Ullman

#### Lecture 10:

- Dynamic Programming Wrap-up
- Midterm Review

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Notes for Hackenrank Problems More public test cases · List of common issues

### **Midterm I Review**

Edit Distance / Optimal Alignment  
x: peas 
$$P = a = s = -$$
  
y: east  $P = a = s = -$   
 $P = a = s = t$   
 $OPT(n,m)$   
 $OPT(i,j) = s = the cost of aligning = x_1...x_i = v/y_1...y_j$   
 $N = m$   $N \cdot N \cdot (2n) = 2n^3$ 

### **Topics: Induction**

- Proof by Induction:
  - Mathematical formulae, e.g.  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$
  - Spot the bug
  - Solutions to recurrences
  - Correctness of divide-and-conquer algorithms
- Good way to study:
  - Lehman-Leighton-Meyer, Mathematics for CS
  - Review D&C algorithms in Kleinberg-Tardos
  - HW2.3

#### **Example Question: Induction**

Question: Consider the recurrence  $T(n) = T\left(\frac{n}{4}\right) + T\left(\frac{3n}{4}\right) + 2n$ Use the substitution method to show that  $T(n) = \Omega(n \log n)$ 

Solution: We will prove  $T(n) \ge cn \log n$  for some *c*. We first prove the induction step.

$$T(n) = T\left(\frac{n}{4}\right) + T\left(\frac{3n}{4}\right) + 2n \ge \frac{cn}{4}\log\frac{n}{4} + \frac{3cn}{4}\log\left(\frac{3n}{4}\right) + 2n$$

After some math (omitted), we get that  $T(n) \ge cn \log n$  is true whenever  $c \le \frac{2}{2-\left(\frac{3}{4}\right)\log 3}$ . In particular, we can set c=1

- Asymptotic Notation
  - $o, O, \omega, \Omega, \Theta$
  - Relationships between common function types
- Good way to study:
  - Kleinberg-Tardos Chapter 2

Notation	means	Think	E.g.
f(n)=O(n)	$ \exists c > 0, n_0 > 0, \forall n \ge n_0: \\ 0 \le f(n) \le cg(n) $	Upper bound "≤"	$100n^2 = \mathcal{O}(n^3)$
$f(n)=\Omega(g(n))$	$ \exists c > 0, n_0 > 0, \forall n \ge n_0: \\ 0 \le cg(n) \le f(n) $	Lower bound "≥"	$2^n = \Omega(n^{100})$
$f(n)=\Theta(g(n))$	$f(n) \in O(g(n))$ and $f(n) \in \Omega(g(n))$	Tight bound "="	$\log(n!) = \Theta(n \log n)$
f(n)=o(g(n))	$ \begin{aligned} \forall c > 0, \exists n_0 > 0, \forall n \geq n_0: \\ 0 \leq f(n) < cg(n) \end{aligned} $	"<"	$n^2 = o(2^n)$
$f(n)=\omega(g(n))$	$ \begin{aligned} \forall c > 0, \exists n_0 > 0, \forall n \ge n_0: \\ 0 \le cg(n) < f(n) \end{aligned} $	···>"	$n^2 = \omega(\log n)$

For all real a > 0, m, and n, we have the following identities:

$$a^{0} = 1,$$
  
 $a^{1} = a,$   
 $a^{-1} = 1/a,$   
 $(a^{m})^{n} = a^{mn},$   
 $(a^{m})^{n} = (a^{n})^{m},$   
 $a^{m}a^{n} = a^{m+n}.$ 

For all real 
$$a > 0$$
,  $b > 0$ ,  $c > 0$ , and  $n$ ,  
 $a = b^{\log_b a}$ ,  
 $\log_c(ab) = \log_c a + \log_c b$ ,  
 $\log_b a^n = n \log_b a$ ,  
 $\log_b a = \frac{\log_c a}{\log_c b}$ ,  
 $\log_b (1/a) = -\log_b a$ ,  
 $\log_b a = \frac{1}{\log_a b}$ ,  
 $a^{\log_b c} = c^{\log_b a}$ ,

where, in each equation above, logarithm bases are not 1.

• Polynomials.  $a_0 + a_1 n + \dots + a_d n^d$  is  $\Theta(n^d)$  if  $a_d > 0$ .

• Logarithms.  $\log_a n_{\uparrow} = \Theta(\log_b n)$  for all constants a, b > 0.

can avoid specifying the base

log grows slower than every polynomial

For every x > 0, log  $n = O(n^x)$ .

• **Exponentials.** For all r > 1 and all d > 0,  $n^d = O(r^n)$ .

Every exponential grows faster than every polynomial

• Factorial.

 $\log(n!) = \Theta(n \log n)$ 

factorial grows faster than every exponential

#### **Example Question: Asymptotics**

$$2n^2 = o(n^3)$$

Question: Give a value of  $n_0$  which proves the above statement.

Solution: Recall definition

$$\approx \lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$
for any constant  $c > 0$ 

Λ

 $O(g(n)) = \{f(n) :$ 

for any constant *c* > 0, there is a constant  $n_0 > 0$ such that  $0 \leq f(n) < cg(n)$ for all  $n \ge n_0$  }

Apply definition:  $0 \le 2n^2 < cn^3$ 

Solve for 
$$n: n > \frac{2}{c}$$
 for  $n: n > \frac{2}{c}$  for  $n > \frac{2}{c+1}$  for  $2n^2 < c \cdot n^3$   
Let  $n_0 = \frac{2}{c} + 1$ 

### **Example Question: Asymptotics**

True or False?

- 1)  $n^2 5n 100 = O(n)$
- 2)  $n^3 + 10n^2 + 125 = \omega(n)$
- 3)  $n^2 + O(n) = O(n^2)$
- 4)  $2^{n+1} = O(2^n)$
- 5)  $2^{5n} = O(2^n)$
- 6)  $\log(n^2) = O(\log(n))$

## **Topics: Recurrences**

- Recurrences
- ecurrences e.g. My alg mater 2 recursive callyon Representing running time by a recurrence  $\frac{1}{2}$  work
  - Solving common recurrences
  - Master Theorem
- Good way to study:
  - Erickson book
  - Kleinberg-Tardos D&C Chapter



 $T(n) = 2T(\frac{n}{3}) + n^2$ 

· Recursion Tree

#### **Example Question: Recurrences**

Consider the recurrence T(n) = 5T(n/3) + n

- a) Draw the recursion tree (at least two levels)
- b) What is the depth of the tree?
- c) What is the amount of work done at level *i*?
- d) What is the total amount of work done?

Know your geometric series

$$\sum_{i=0}^{n} x^{i} = \frac{x^{n+1} - 1}{x - 1} = \frac{1 - x^{n+1}}{1 - x}$$

### **Topics: Divide-and-Conquer**

- Divide-and-Conquer
  - Writing pseudocode
  - Proving correctness by induction
  - Analyzing running time via recurrences
- Good way to study:
  - Example problems from Kleinberg-Tardos or Erickson
  - HW 2.2, 2.3, 3.1
  - Practice, practice, practice!

## **Topics: Dynamic Programming**

- Dynamic Programming
  - Identify sub-problems
  - Write a recurrence,  $OPT(n) = \max\{v_n + OPT(n-6), OPT(n-1)\}$
  - Fill the dynamic programming table
  - Find the optimal solution
  - Analyze running time
- Good way to study:
  - Example problems from Kleinberg-Tardos or Erickson
  - HW 4.1, 4.2 (solutions posted Saturday morning)
  - Practice, practice, practice!