# CS 4800: Algorithms \& Data 

## Lecture 16

March 16, 2018

## Minimum spanning tree (MST)



- $G=(V, E, w)$, w positive
- Want a set of edges that connects all V and has minimum cost
- For simplicity, assume all weights are distinct


## Blue rule

- Pick a set of nodes S
- Color minimum weight edge in cut induced by $S$ blue



## Red rule

- Pick a cycle C
- Color the maximum weight edge in C red



## What we proved

- All blue edges belong to the minimum spanning tree
- All red edges do not belong to the minimum spanning tree


## Generic algorithm

- Maintain an acyclic set of blue edges F
- Initially no edge is colored, $F=\emptyset$
- Repeat the following in arbitrary order
- Consider a cut with no blue edge. Color the minimum weight edge in the cut blue.
- Consider a cycle with no red edge. Color the maximum weight edge in the cycle red.
- Terminate when V-1 edges colored blue.


## Kruskal's algorithm

- Consider edges in order of increasing weights
- When considering $e=(u, v)$
- If $u$ and $v$ are connected by $F$, color e red
- If $u$ and $v$ are not connected by F, color e blue

- Consider edges in order of increasing weights
- When considering $e=(u, v)$


## Example

- If $u$ and $v$ are connected by $F$, color e red
- If $u$ and $v$ are not connected by F, color e blue



## Prim's algorithm

- Pick an arbitrary root node u
- $\mathrm{S}=\{$ nodes connected to u by blue edges $\}$
- While $S \neq V$
- Apply blue rule to cut induced by $S$



## Example

- Pick an arbitrary root node u= 웅
- $S=\{$ nodes connected to u by blue edges\}
- While $S \neq V$
- Apply blue rule to cut induced by S


## Prim's algorithm

- Pick an arbitrary root node u
- $\mathrm{S}=\{$ nodes connected to u by blue edges\}
- While $S \neq V$

Need to maintain collection

- Apply blue rule to cut induced by S of edges and find minimum



## Priority queue

- Data structure maintaining collection of pairs (id, key)
- Insert: Insert a new pair (id, key) into the queue
- Find-min: Find the pair with minimum key
- Extract-min: Find the pair with minimum key and remove it from the queue
- Decrease-key(id, D): Decrease the key of element id to D


## Binary heap

- Full binary tree
- Each node stores an (id, key) pair
- Key of parent is no larger than keys of children



## Implicit binary heap

- Store as array Q[1...n]
- The children of node i are nodes $2 i$ and $2 i+1$


| Index | 1 | 2 | 3 | 4 | 5 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Key | 2 | 3 | 7 | 8 | 5 | 9 |

## Insert

- Put new key at next available spot
- Bubble up to maintain heap property
- Insert takes O(log n) time


## Decrease-key

- Bubble up to maintain heap property
- Decrease-key takes O(log n) time



## Find-min

- Minimum is always at the top of the heap
- Find-min runs in $\mathrm{O}(1)$



## Extract-min

- Remove top node
- Put bottom node at the top
- Bubble down to maintain heap property



## Running time of heap

| Operation | Binary heap | Fibonacci heap |
| :--- | :--- | :--- |
| Insert | $\mathrm{O}(\log \mathrm{n})$ | $\mathrm{O}(1)$ |
| Find-min | $\mathrm{O}(1)$ | $\mathrm{O}(1)$ |
| Extract-min | $\mathrm{O}(\log \mathrm{n})$ | $\mathrm{O}(\log \mathrm{n})$ |
| Decrease-key | $\mathrm{O}(\log \mathrm{n})$ | $\mathrm{O}(1) \quad$ (amortized) |

## Prim's algorithm

- Pick root node u
- $\mathrm{S}=\{$ nodes connected to u by blue edges\}
- While $S \neq V$
- Find min weight edge between S and $V \backslash S$ and color it blue
- Update S (new edges between S and $V \backslash S$ )



## Implementing Prim's algorithm

- $Q=\emptyset, F=\varnothing$
- Pick start node $u$, insert $(u, 0)$ into $Q$
- Insert $(v, \infty)$ into $Q$ for all vertices $v \neq u$
- Set $\operatorname{pred}(v)=u$ for all vertices $v$
- While $Q \neq \varnothing$

V times $\cdot z \leftarrow$ ExtractMin $(Q) \longleftarrow \mathrm{O}(\log \mathrm{V})$

- $F \leftarrow F \cup\{(z, \operatorname{pred}(z))\}$
- For $v \in \operatorname{adjacent(z)}$
- If $v \in Q$ and $\operatorname{key}(v)>w(z, v)$

E times

- $\operatorname{DecreaseKey}(v, w(z, v)) \longleftarrow \mathrm{O}(\log \mathrm{V})$

Update $S$ and key(v) S and $V \backslash S$ and color it blue

- $\operatorname{pred}(v) \leftarrow z$
$\mathrm{O}((\mathrm{V}+\mathrm{E}) \log \mathrm{V})$ time

