Constraint Satisfaction Problems (CSPs)

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What is search for?

Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space

Planning: sequences of actions
- The path to the goal is the important thing
- Paths have various costs, depths
- Heuristics give problem-specific guidance

Identification: assignments to variables
- The goal itself is important, not the path
- All paths at the same depth (for some formulations)
- CSPs are specialized for identification problems
What is a CSP?

CSPs ⊆ All search problems

The space of all search problems
– states and actions are atomic
– goals are arbitrary sets of states

The space of all CSPs
– states are defined in terms of variables
– goals are defined in terms of constraints

A CSP is defined by:
1. a set of variables and their associated domains.
2. a set of constraints that must be satisfied.
What is a CSP?

Standard search problem:
- *state* is a “black box”—any old data structure that supports goal test, eval, successor

CSP:
- *state* is defined by *variables* $X_i$ with values from *domain* $D_i$
- goal test is a set of *constraints* specifying allowable combinations of values for subsets of variables

Allows useful general-purpose algorithms with more power than standard search algorithms
CSP example: map coloring

Problem: assign each territory a color such that no two adjacent territories have the same color

Variables: $X = \{WA, NT, Q, NSW, V, SA, T\}$

Domain of variables: $D = \{r, g, b\}$

Constraints: $C = \{SA \neq WA, SA \neq NT, SA \neq Q, \ldots \}$
CSP example: $n$-queens

**Problem:** place $n$ queens on an $nxn$ chessboard such that no two queens threaten each other

**Variables:** $X = ?$

**Domain of variables:** $D = ?$

**Constraints:** $C = ?$
Problem: place \( n \) queens on an \( nxn \) chessboard such that no two queens threaten each other

Variables: \( X = \) One variable for every square

Domain of variables: \( D = \) Binary

Constraints: \( C = \) Enumeration of each possible disallowed configuration

- why is this a bad way to encode the problem?
CSP example: $n$-queens

Problem: place $n$ queens on an $nxn$ chessboard such that no two queens threaten each other.

Variables:

Domain of variables:

Constraints:

One variable for every square

Binary

Enumeration of each possible disallowed configuration

Is there a better way?

– why is this a bad way to encode the problem?
CSP example: $n$-queens

Problem: place $n$ queens on an $n \times n$ chessboard such that no two queens threaten each other

Variables: $X = \text{One variable for each row (i.e., each queen)}$

Domain of variables: $D = \text{A number between 1 and 8}$

Constraints: $C = \text{Enumeration of disallowed configurations}$

– why is this representation better?
The constraint graph

Binary CSP: each constraint relates at most two variables

Constraint graph: nodes are variables, arcs show constraints

General-purpose CSP algorithms use the graph structure to speed up search
  E.g., Tasmania is an independent subproblem!
A harder CSP to represent: Cryptarithmetic

- **Variables:**
  
  \[ F \ T \ U \ W \ R \ O \ X_1 \ X_2 \ X_3 \]

- **Domains:**
  
  \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}

- **Constraints:**
  
  `alldiff(F, T, U, W, R, O)`
  
  \[ O + O = R + 10 \cdot X_1 \]
  
  \[ \ldots \]
Another example: sudoku

- Variables:
  - Each (open) square
- Domains:
  - \{1,2,...,9\}
- Constraints:
  - 9-way alldiff for each column
  - 9-way alldiff for each row
  - 9-way alldiff for each region
  - (or can have a bunch of pairwise inequality constraints)
Varieties of CSPs

Discrete Variables

Finite domains
- Size $d$ means $O(d^n)$ complete assignments
- E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)

Infinite domains (integers, strings, etc.)
- E.g., job scheduling, variables are start/end times for each job
- Linear constraints solvable, nonlinear undecidable

Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by LP methods
Varieties of Constraints

Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

\[ SA \neq \text{green} \]

Binary constraints involve pairs of variables, e.g.:

\[ SA \neq WA \]

Higher-order constraints involve 3 or more variables:

- e.g., cryptarithmetic column constraints

Preferences (soft constraints), e.g., red is better than green often representable by a cost for each variable assignment (e.g., constrained optimization problems)
Real-world CSPs

Assignment problems: e.g., who teaches what class

Timetabling problems: e.g., which class is offered when and where?

Hardware configuration

Transportation scheduling

Factory scheduling

Circuit layout

Fault diagnosis

… lots more!

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Many real-world problems involve real-valued variables…
States defined by the values assigned so far (partial assignments)

- Initial state: the empty assignment, {} 
- Successor function: assign a value to an unassigned variable 
- Goal test: the current assignment is complete and satisfies all constraints 

We’ll start with the straightforward, naïve approach, then improve it
Search methods

What would BFS do?

What would DFS do?

What problems does naïve search have?
Naive solution: apply BFS, DFS, A*, ...

How many leaf nodes are expanded in the worst case?
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How many leaf nodes are expanded in the worst case?

$3^7 = 2187$
Naive solution: apply BFS, DFS, A*, ...

This is bad.
How can we improve it?

How many leaf nodes are expanded in the worst case? $3^7 = 2187$
Backtracking search

When a node is expanded, check that each successor state is consistent before adding it to the queue.
Backtracking search

When a node is expanded, check that each successor state is consistent before adding it to the queue.

Does this state have any valid successors?
Backtracking search

- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?
- Backtracking enables us the ability to solve a problem as big as 25-queens

```plaintext
function Backtracking-Search(csp) returns solution/failure
    return Recursive-Backtracking({}, csp)

function Recursive-Backtracking(assignment, csp) returns soln/failure
    if assignment is complete then return assignment
    var ← Select-Unassigned-Variable(Variables[csp], assignment, csp)
    for each value in Order-Domain-Values(var, assignment, csp) do
        if value is consistent with assignment given Constraints[csp] then
            add {var = value} to assignment
            result ← Recursive-Backtracking(assignment, csp)
            if result ≠ failure then return result
            remove {var = value} from assignment
        return failure
```
Forward checking

Sometimes, failure is inevitable:

Can we detect this situation in advance?
Forward checking

Sometimes, failure is inevitable:

Can we detect this situation in advance?

Yes: keep track of viable variable assignments as you go
Forward checking

Track domain for each unassigned variable
   – initialize w/ domains from problem statement
   – each time you expand a node, update domains of all unassigned variables
Forward checking

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Forward checking

But, failure was inevitable here!
– what did we miss?
Forward checking propagates information from assigned to unassigned variables, but doesn’t provide early detection for all failures:

NT and SA cannot both be blue!

Constraint propagation repeatedly enforces constraints locally
Arc consistency

Simplest form of propagation makes \textit{each arc} consistent

–Forward checking: Enforcing consistency of arcs pointing to each new assignment

Arc consistency: \( X \rightarrow Y \) is consistent iff

\begin{itemize}
  \item for every value \( x \) of \( X \) there is some allowed \( y \)
\end{itemize}

Delete values from tail in order to make each arc consistent
Arc consistency

Simplest form of propagation makes *each arc* consistent

\( X \rightarrow Y \) is consistent iff:

for every value \( x \) of \( X \) there is some allowed \( y \)

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Arc consistency

Simplest form of propagation makes each arc consistent

$X \rightarrow Y$ is consistent iff:

for every value $x$ of $X$ there is some allowed $y$

Delete values from tail in order to make each arc consistent

If $X$ loses a value, neighbors of $X$ need to be rechecked!
Arc consistency

Simplest form of propagation makes *each arc* consistent

\[ X \rightarrow Y \] is consistent iff:

for every value *x* of *X* there is some allowed *y*

Delete values from tail in order to make each arc consistent

If *X* loses a value, neighbors of *X* need to be rechecked!

Arc consistency detects failure earlier than forward checking

Can be run as a preprocessor or after each assignment
Arc consistency

function AC-3(csp) returns false if an inconsistency is found and true otherwise
inputs: csp, a binary CSP with components (X, D, C)
local variables: queue, a queue of arcs, initially all the arcs in csp

while queue is not empty do
  (X_i, X_j) ← REMOVE-FIRST(queue)
  if REVISE(csp, X_i, X_j) then
    if size of D_i = 0 then return false
  for each X_k in X_i.NEIGHBORS - {X_j} do
    add (X_k, X_i) to queue
return true

function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i
revised ← false
for each x in D_i do
  if no value y in D_j allows (x, y) to satisfy the constraint between X_i and X_j then
    delete x from D_i
    revised ← true
return revised

Why does this algorithm converge?

What’s the downside of enforcing arc consistency?
Arc consistency does not detect all inconsistencies...

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

- Arc consistency still runs inside a backtracking search!

What went wrong here?
K-consistency

Increasing degrees of consistency

1-Consistency (Node Consistency): Each single node’s domain has a value which meets that node’s unary constraints.

2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other.

K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the kth node.

Higher k more expensive to compute

(You need to know the k=2 case: arc consistency)
Strong k-consistency

Strong k-consistency: also k-1, k-2, … 1 consistent

Claim: strong n-consistency means we can solve without backtracking!

Why?

Choose any assignment to any variable
Choose a new variable
By 2-consistency, there is a choice consistent with the first
Choose a new variable
By 3-consistency, there is a choice consistent with the first 2
...

Lots of middle ground between arc consistency and n-consistency! (e.g. k=3, called path consistency)
Improving backtracking efficiency

General-purpose methods can give huge gains in speed:

1. Can we detect inevitable failure early?
2. Which variable should be assigned next?
3. In what order should its values be tried?
4. Can we take advantage of problem structure?
Heuristics for improving CSP performance

Minimum remaining values (MRV) heuristic:

– expand variables w/ minimum size domain first
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Degree heuristic:

- tie breaker for MRV heuristic
- choose the variable with the most constraints on remaining variables
Heuristics for improving CSP performance

Least constraining value (LCV) heuristic:

– consider how domains of neighbors would change

– choose value that constrains neighboring domains the least
Heuristics for improving CSP performance

Least constraining value (LCV) heuristic:
- consider how domains of neighbors would change
- choose value that constrains the least

The combination of MRV and LCV w/ backtracking can solve the 1000-queens problem
Tasmania and mainland are independent subproblems
Identifiable as connected components of constraint graph
Using structure to reduce problem complexity

In general, what is the complexity of solving a CSP using backtracking?

(in terms of # variables, $n$, and max domain size, $d$)

But, sometimes CSPs have special structure that makes them simpler!
Using structure to reduce problem complexity

In general, what is the complexity of solving a CSP using backtracking?

(in terms of # variables, \( n \), and max domain size, \( d \)) \( d^n \)

But, sometimes CSPs have special structure that makes them simpler!
When the constraint graph is a tree

This CSP is easier to solve than the general case...
Algorithm for tree-structured CSPs:

Order: Choose a root variable, order variables so that parents precede children

Remove backward: For $i = n : 2$, apply $\text{RemInconsistent}($Par($X_i$), $X_i$)$

Assign forward: For $i = 1 : n$, assign $X_i$ consistently with Parent($X_i$)
When the constraint graph is a tree

1. Do a *topological sort*  
   - a partial ordering over variables
   i. choose any node as the root
   ii. list children after their parents
When the constraint graph is a tree

2. make the graph *directed arc consistent*  
   – start w/ the tail and make each variable arc  
     consistent wrt its parents
When the constraint graph is a tree

2. make the graph *directed arc consistent* – start w/ the tail and make each variable arc consistent wrt its parents

```
A → B → C → D → E → F
```

ok
When the constraint graph is a tree

2. make the graph *directed arc consistent*  
   – start w/ the tail and make each variable arc  
   consistent wrt its parents

![Diagram of directed graph with nodes A, B, C, D, E, F](image)
When the constraint graph is a tree

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2. make the graph \textit{directed arc consistent} – start w/ the tail and make each variable arc consistent wrt its parents
When the constraint graph is a tree

3. Now, start at the root and do backtracking – will backtracking ever actually backtrack?

So, what's the time complexity of this algorithm?
When the constraint graph is a tree

3. Now, start at the root and do backtracking – will backtracking ever actually backtrack?

So, what's the time complexity of this algorithm?

Theorem: if the constraint graph has no loops, the CSP can be solved in $O(n \ d^2)$ time
Tree-structured CSPs

Claim 1: After backward pass, all root-to-leaf arcs are consistent

Proof: Each $X \rightarrow Y$ was made consistent at one point and $Y$’s domain could not have been reduced thereafter (because $Y$’s children were processed before $Y$)

Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack

Proof: Induction on position

Why doesn’t this algorithm work with cycles in the constraint graph?

Note: we’ll see this basic idea again with Bayes’ nets
Using structure to reduce problem complexity

But, what if the constraint graph is not a tree? – is there anything we can do?

But, sometimes CSPs have special structure that makes them simpler!
Using structure to reduce problem complexity

But, what if the constraint graph is not a tree? – is there anything we can do?

This is not a tree...
Nearly tree-structured CSPs

**Conditioning:** instantiate a variable, prune its neighbors' domains

**Cutset conditioning:** instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree

Cutset size $c$ gives runtime $O\left( (d^c)(n-c)d^2 \right)$, very fast for small $c$
Cutset conditioning

Choose a cutset

Instatiate the cutset (all possible ways)

Compute residual CSP for each assignment

Solve the residual CSPs (tree structured)
How many variables need to be assigned to turn this graph into a tree?
Local search methods typically work with “complete” states, i.e., all variables assigned.

To apply to CSPs:

- Take an assignment with unsatisfied constraints
- Operators *reassign* variable values
- No fringe! Live on the edge.

Algorithm: While not solved,

- Variable selection: randomly select any conflicted variable
- Value selection: min-conflicts heuristic:
  - Choose a value that violates the fewest constraints
  - I.e., hill climb with $h(n) = \text{total number of violated constraints}$
Example: 4-Queens

States: 4 queens in 4 columns \((4^4 = 256\) states)  
Operators: move queen in column  
Goal test: no attacks  
Evaluation: \(c(n) = \) number of attacks
Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., $n = 10,000,000$)!

The same appears to be true for any randomly-generated CSP except in a narrow range of the ratio $R = \frac{\text{number of constraints}}{\text{number of variables}}$. 

![Graph showing the critical ratio and CPU time](image)
Aside: Local search more generally

Tree search keeps unexplored alternatives on the fringe (ensures completeness)

Local search: improve a single option until you can’t make it better (no fringe!)

New successor function: local changes

Generally much faster and more memory efficient (but incomplete and suboptimal)

Many local search algorithms (that we won’t cover): hill climbing, simulated annealing, genetic algorithms, etc.
CSPs are a special kind of search problem:
   States are partial assignments
   Goal test defined by constraints

Basic solution: backtracking search

Speed-ups:
   Ordering
   Filtering
   Structure

Iterative min-conflicts is often effective in practice