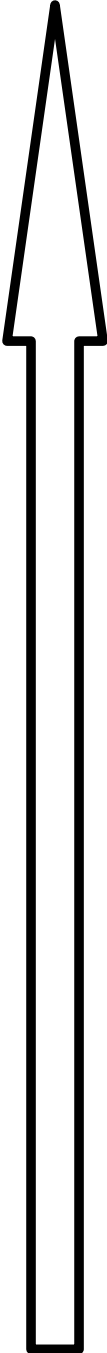
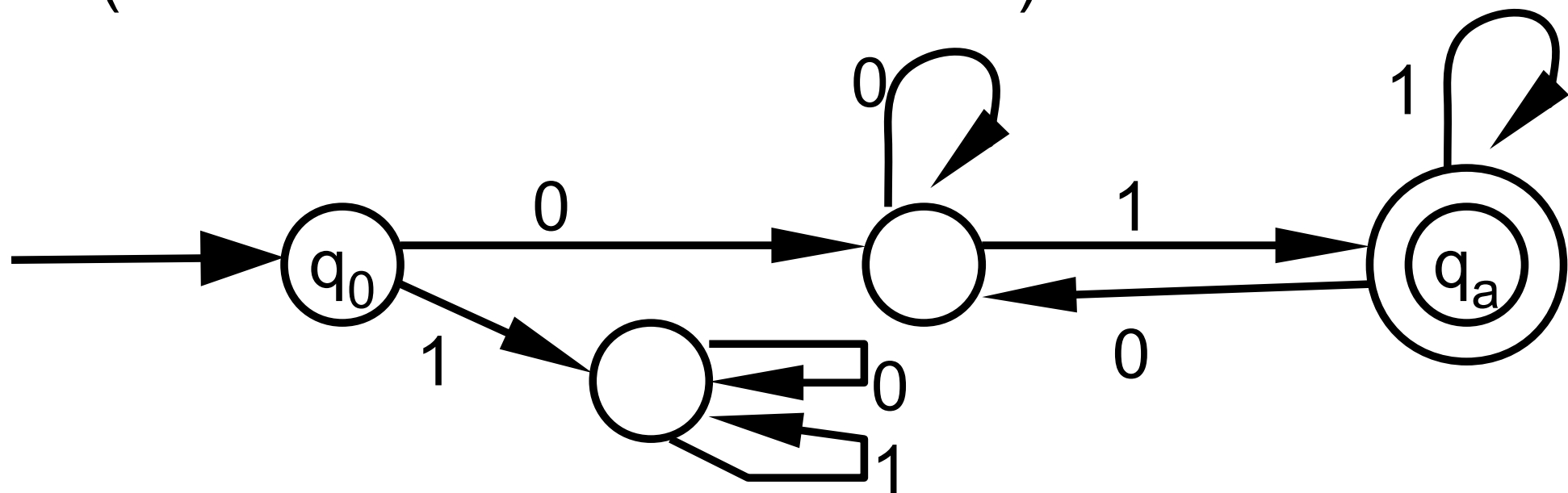


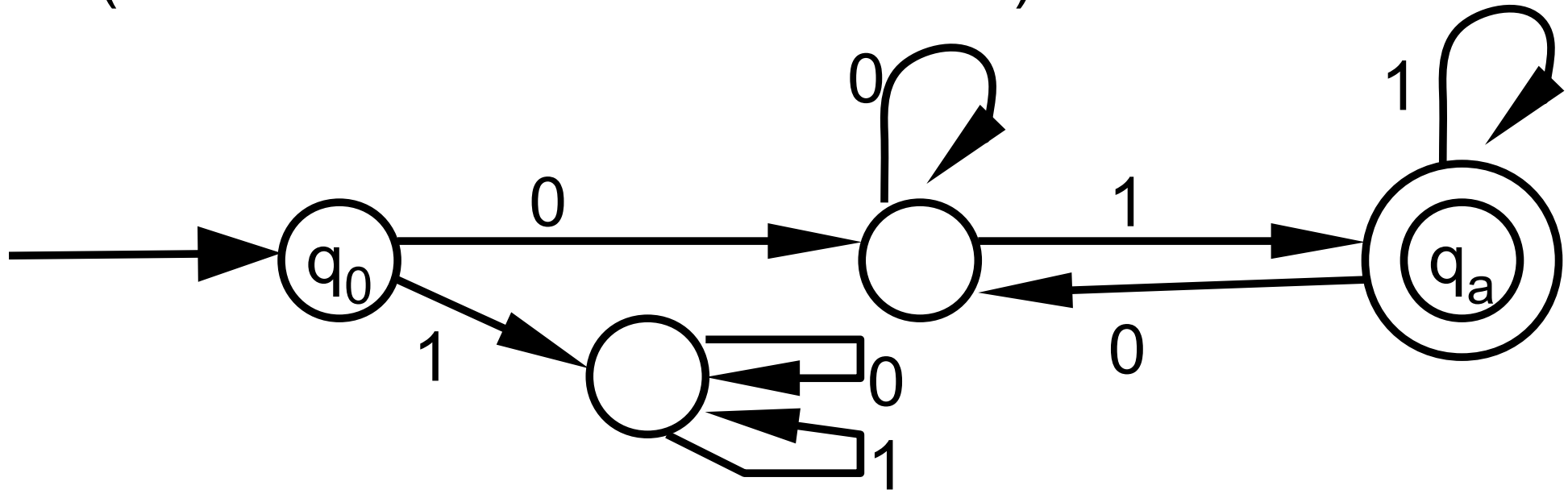
# Big picture

- 
- All languages
  - Decidable
    - Turing machines
  - NP
  - P
  - Context-free
    - Context-free grammars, push-down automata
  - Regular
    - Automata, non-deterministic automata,  
regular expressions

# DFA (Deterministic Finite Automata)



# DFA (Deterministic Finite Automata)

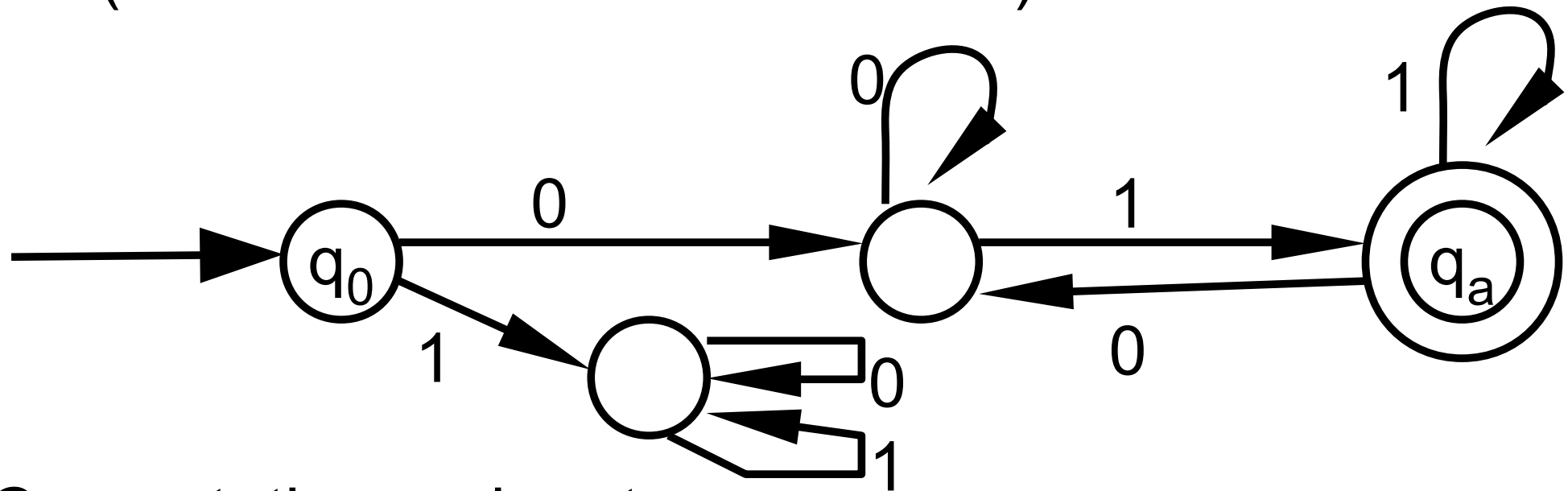


- States  , this DFA has 4 states

- Transitions 

labelled with elements of the alphabet  $\Sigma = \{0, 1\}$

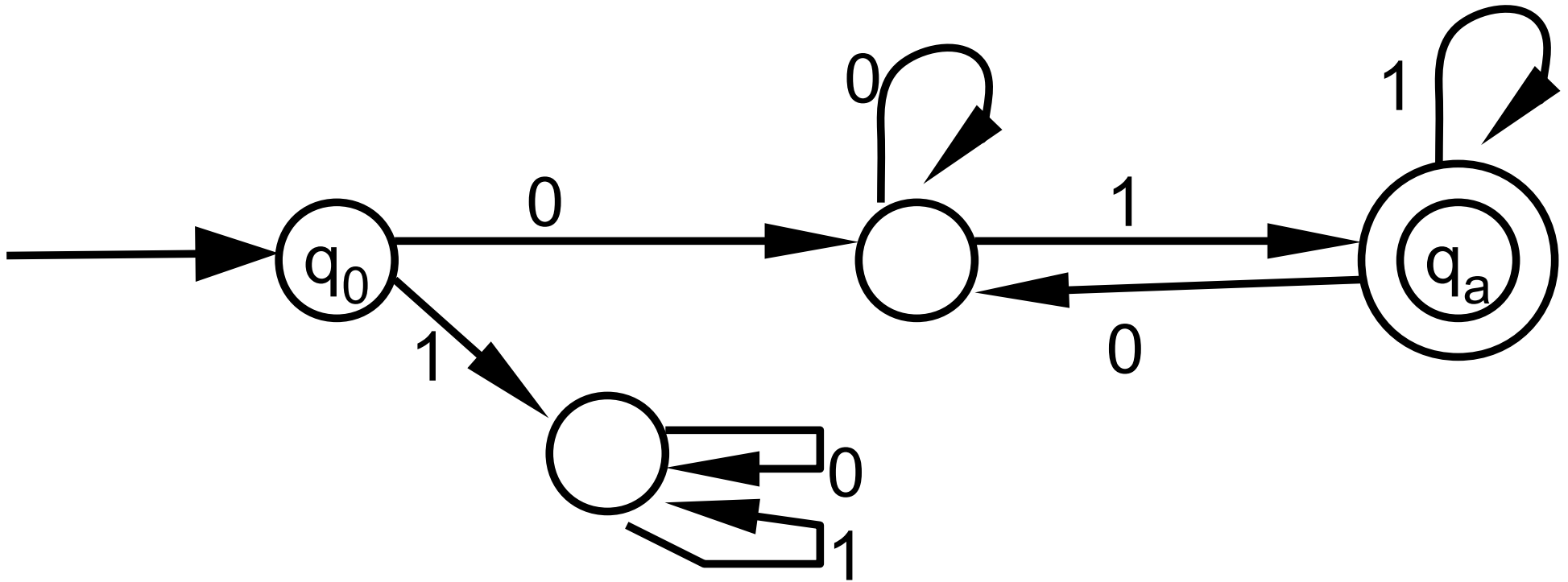
# DFA (Deterministic Finite Automata)



Computation on input  $w$ :

- Begin in **start state**  $\longrightarrow$   $q_0$
- Read input string in a one-way fashion
- Follow the arrows matching input symbols
- When input ends: **ACCEPT** if in **accept state**  $\bigcirc$   
**REJECT** if not

# DFA (Deterministic Finite Automata)

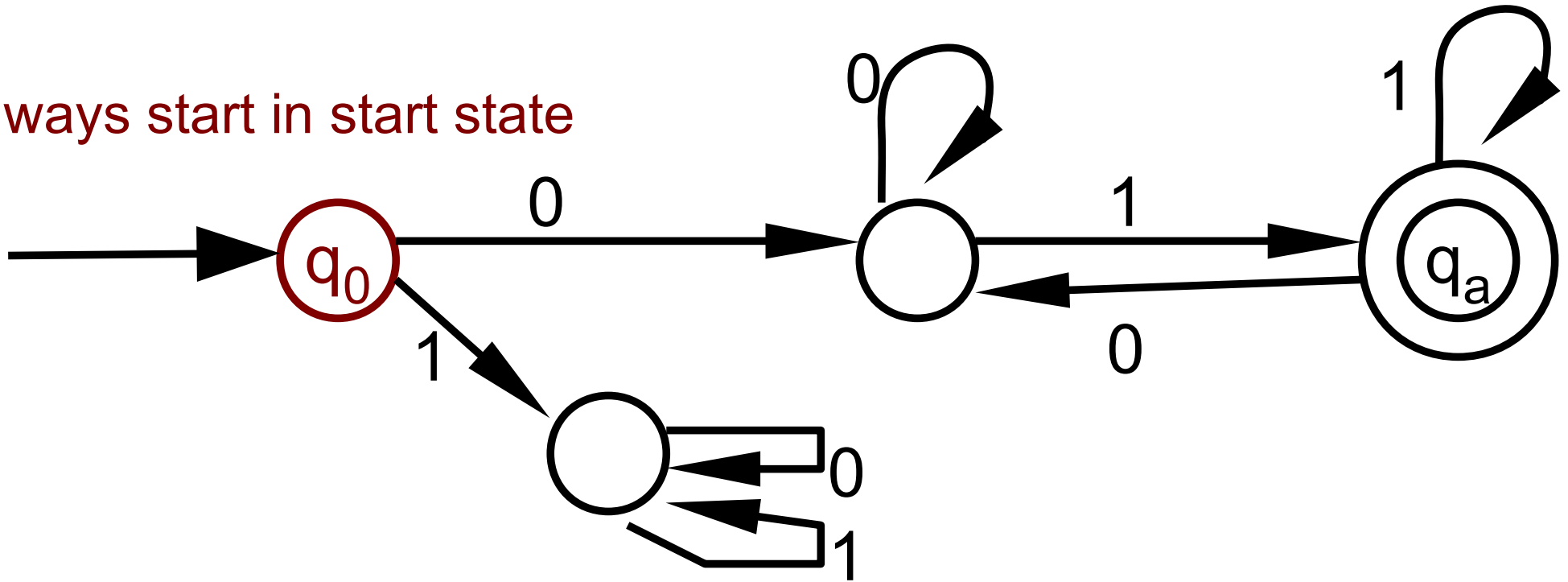


Example: Input string

$w = 0011$

# DFA (Deterministic Finite Automata)

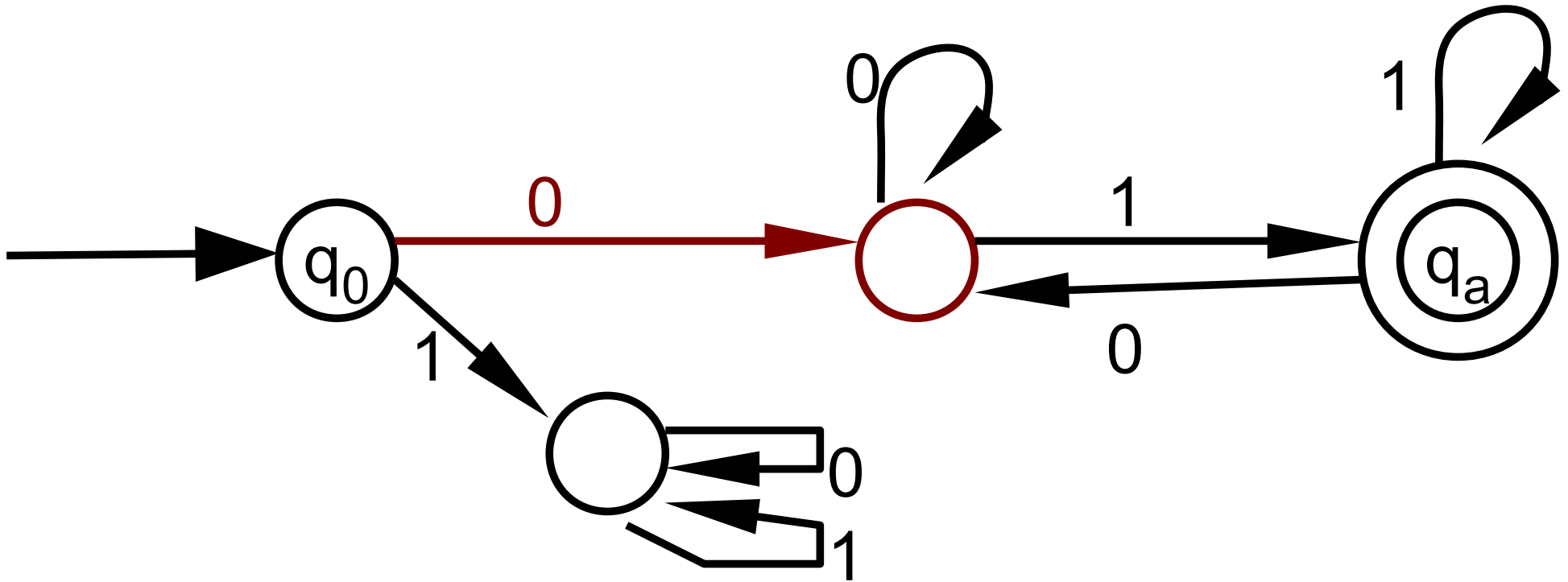
always start in start state



Example: Input string

$w = 0011$

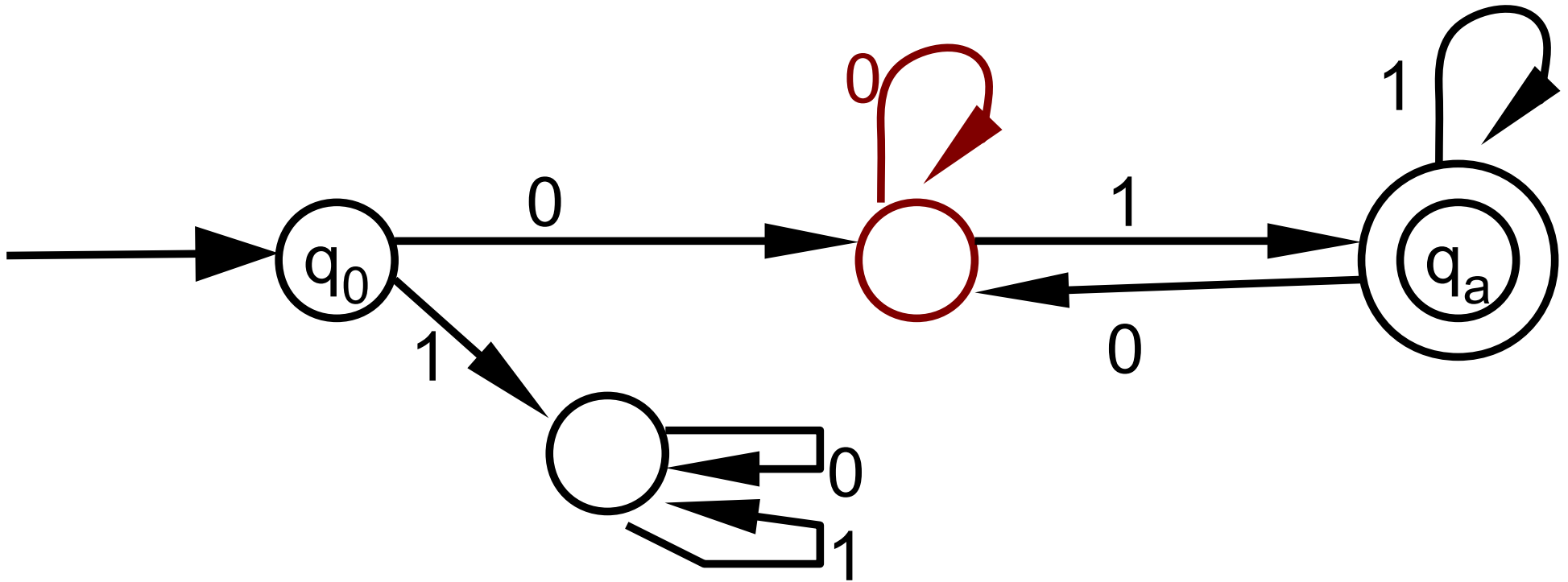
# DFA (Deterministic Finite Automata)



Example: Input string

$w = 0011$

# DFA (Deterministic Finite Automata)

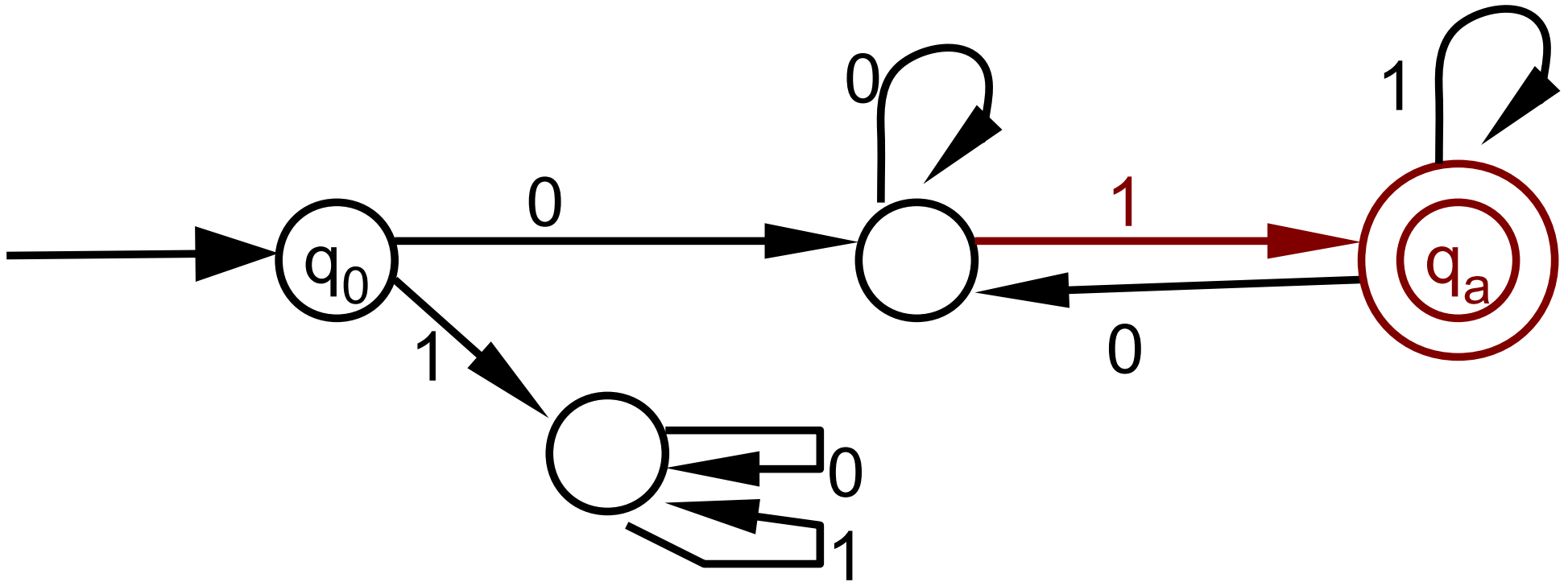


Example: Input string

$w = 0011$



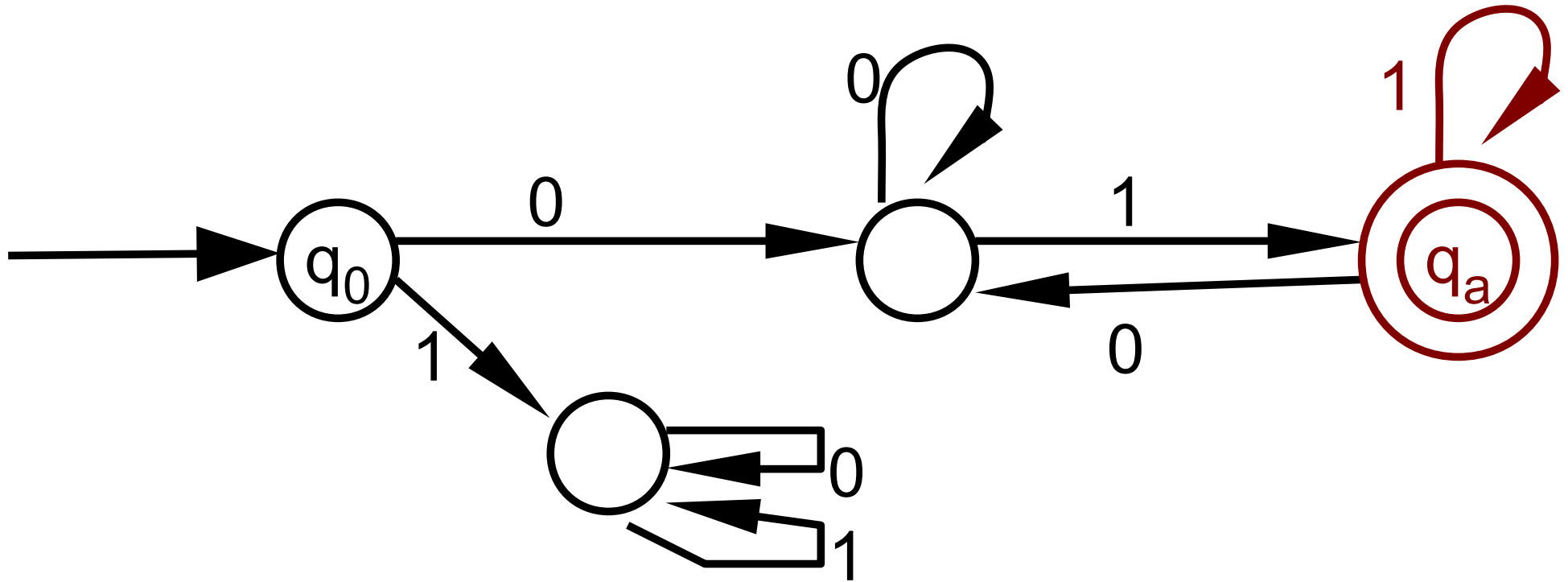
# DFA (Deterministic Finite Automata)



Example: Input string

$w = 0011$

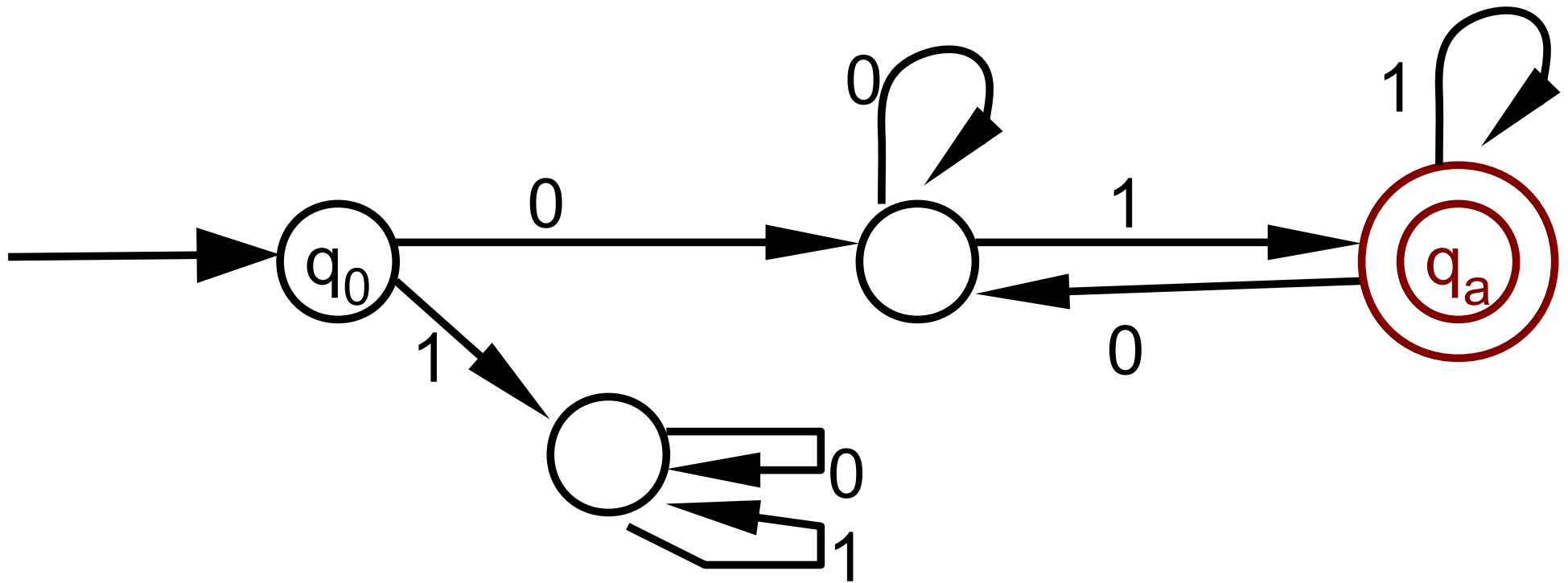
# DFA (Deterministic Finite Automata)



Example: Input string

$w = 0011$

# DFA (Deterministic Finite Automata)



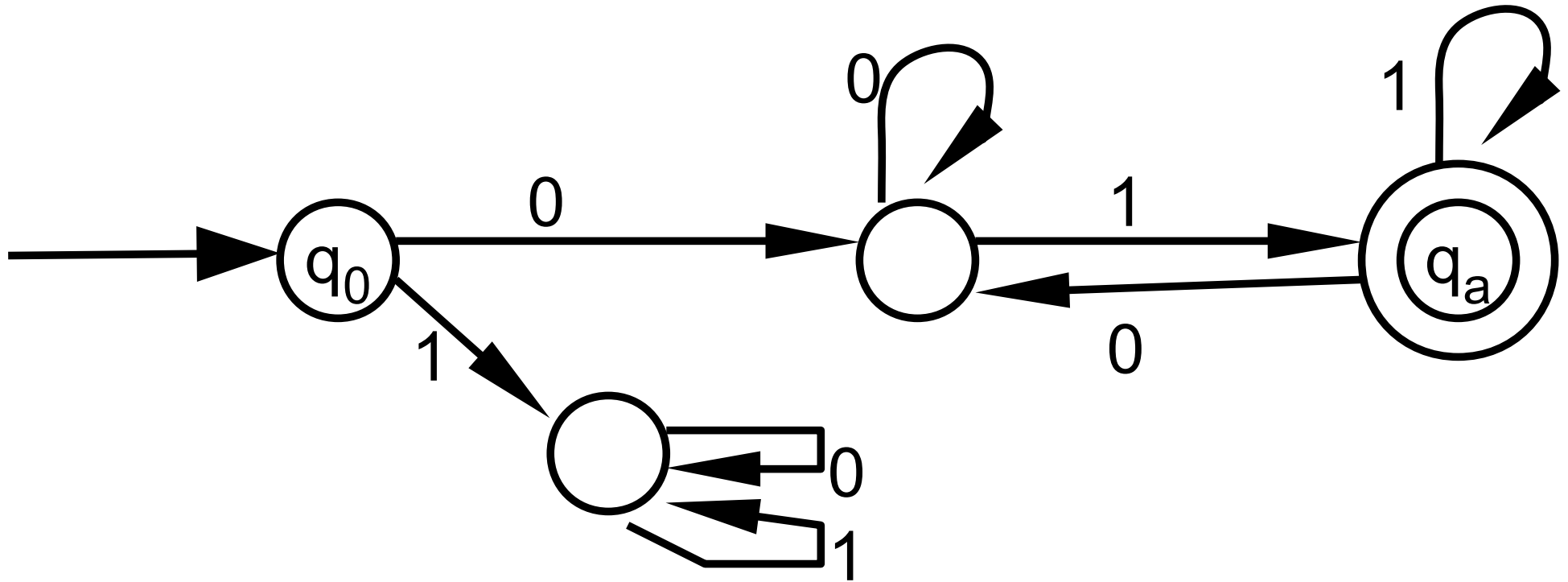
Example: Input string

$w = 0011$

**ACCEPT**

**because end in  
accept state**

# DFA (Deterministic Finite Automata)

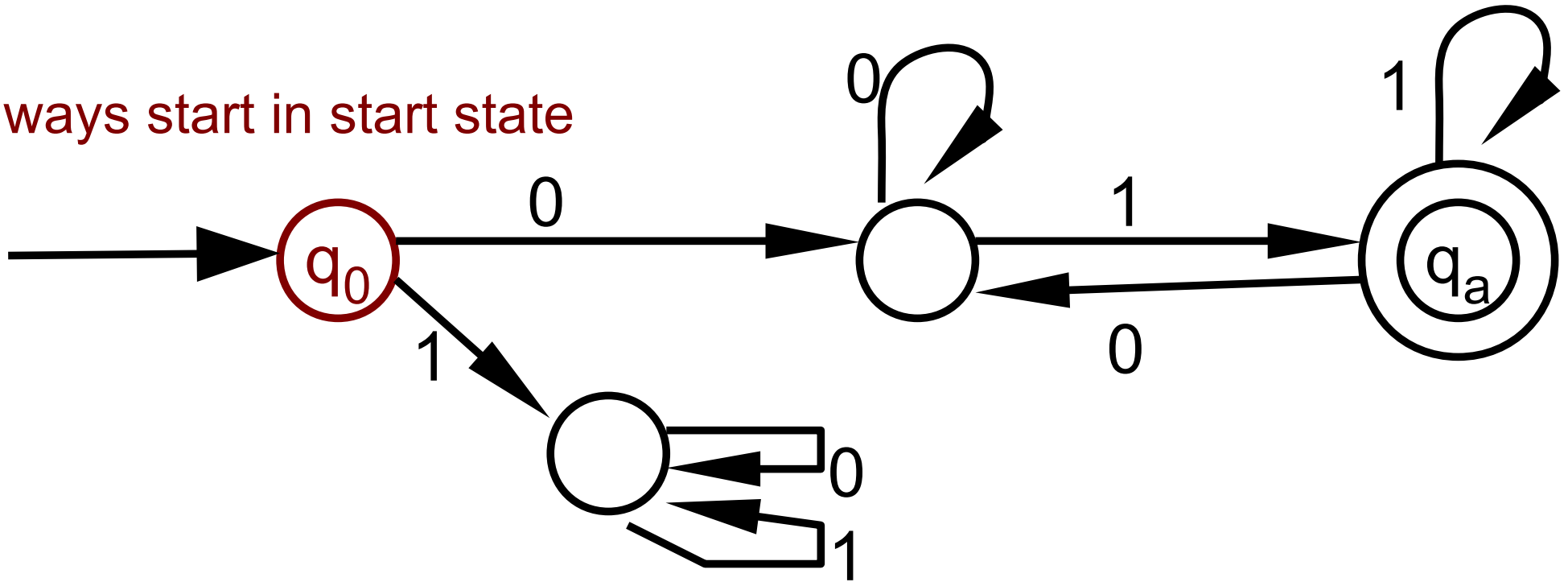


Example: Input string

$w = 010$

# DFA (Deterministic Finite Automata)

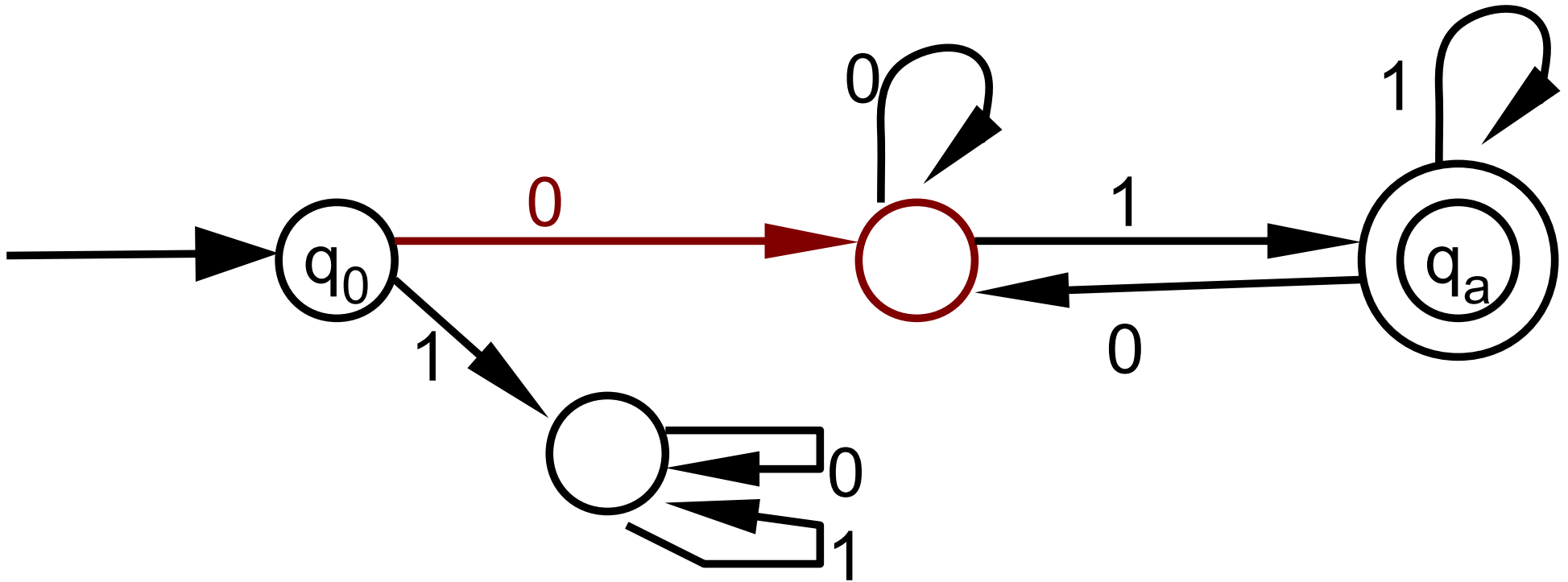
always start in start state



Example: Input string

$w = 010$

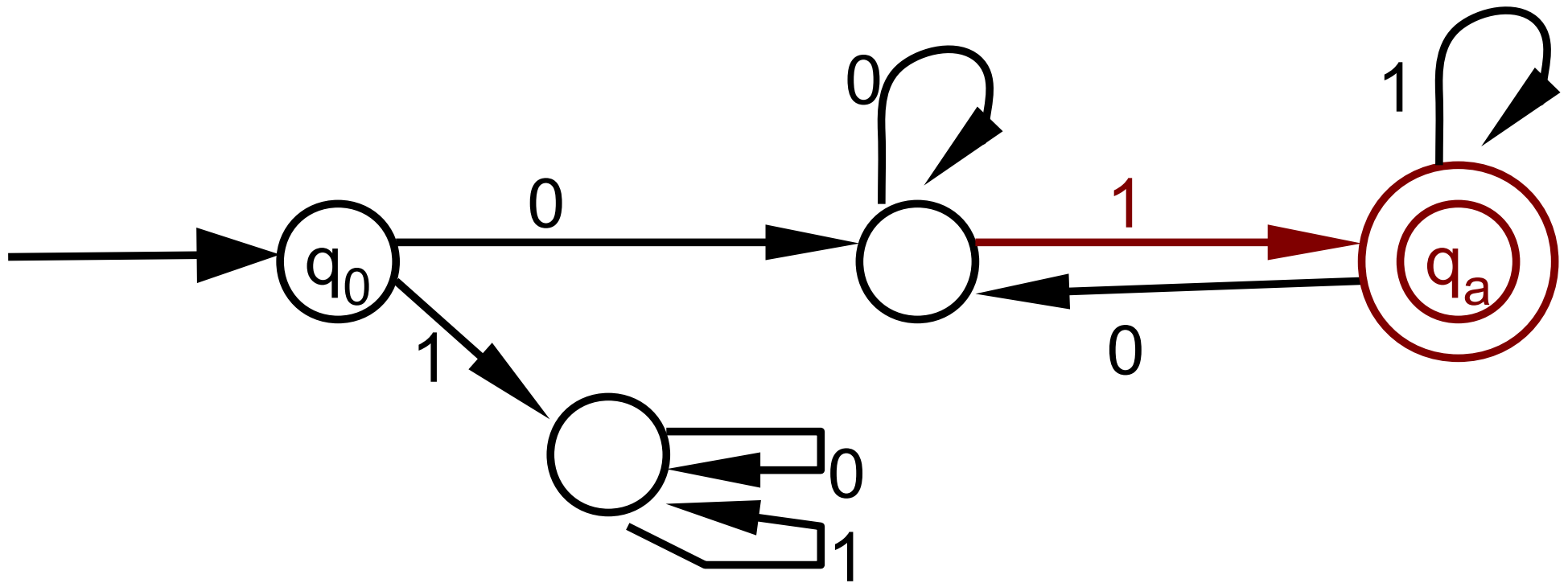
# DFA (Deterministic Finite Automata)



Example: Input string

$w = 010$

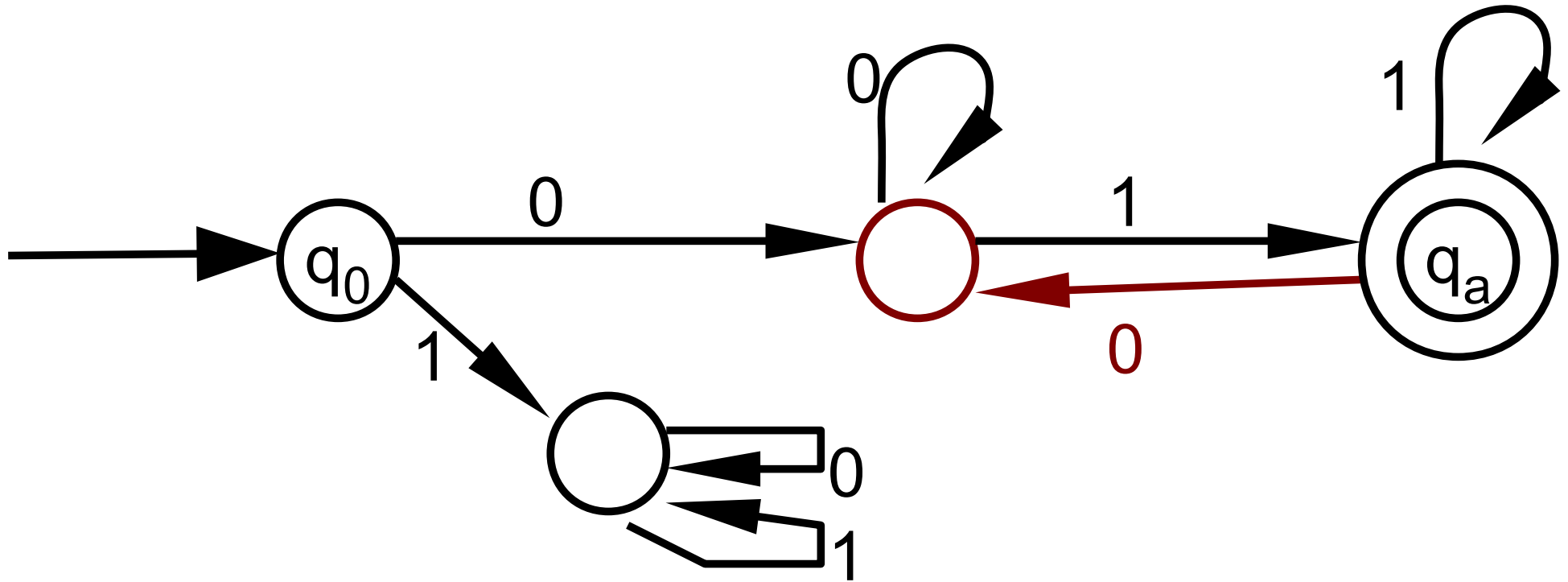
# DFA (Deterministic Finite Automata)



Example: Input string

$w = 010$

# DFA (Deterministic Finite Automata)

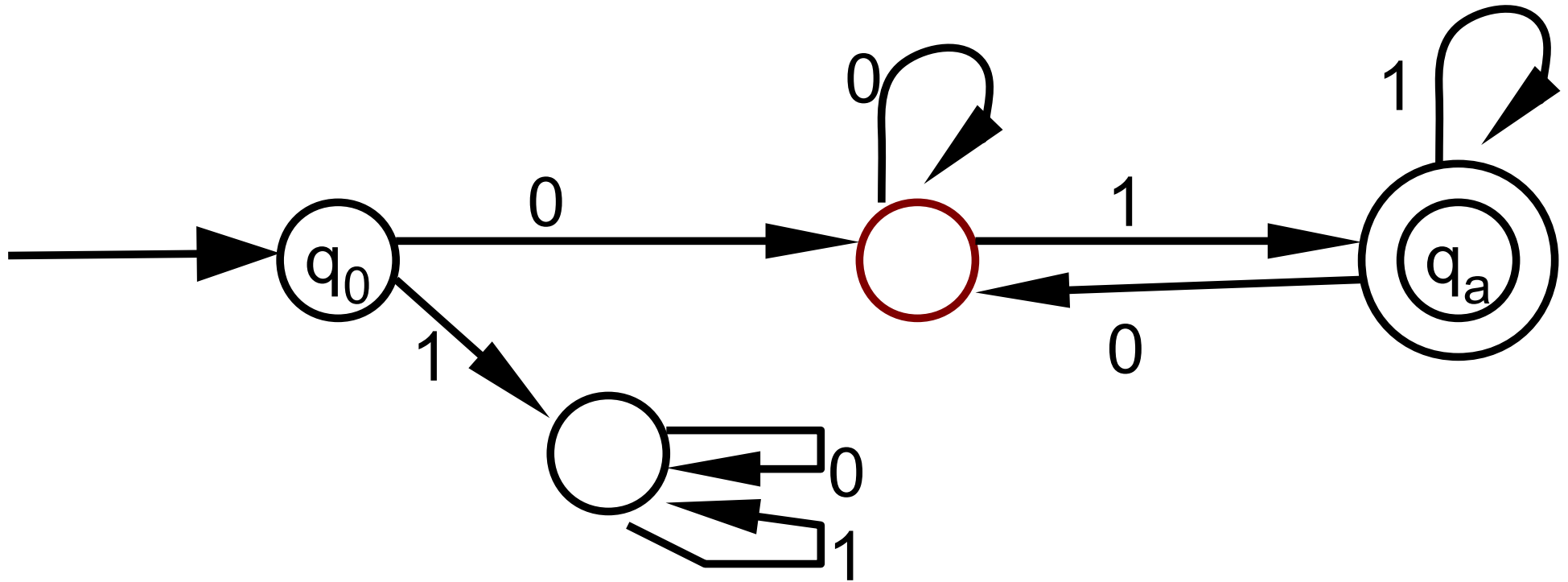


Example: Input string

$w = 010$



# DFA (Deterministic Finite Automata)



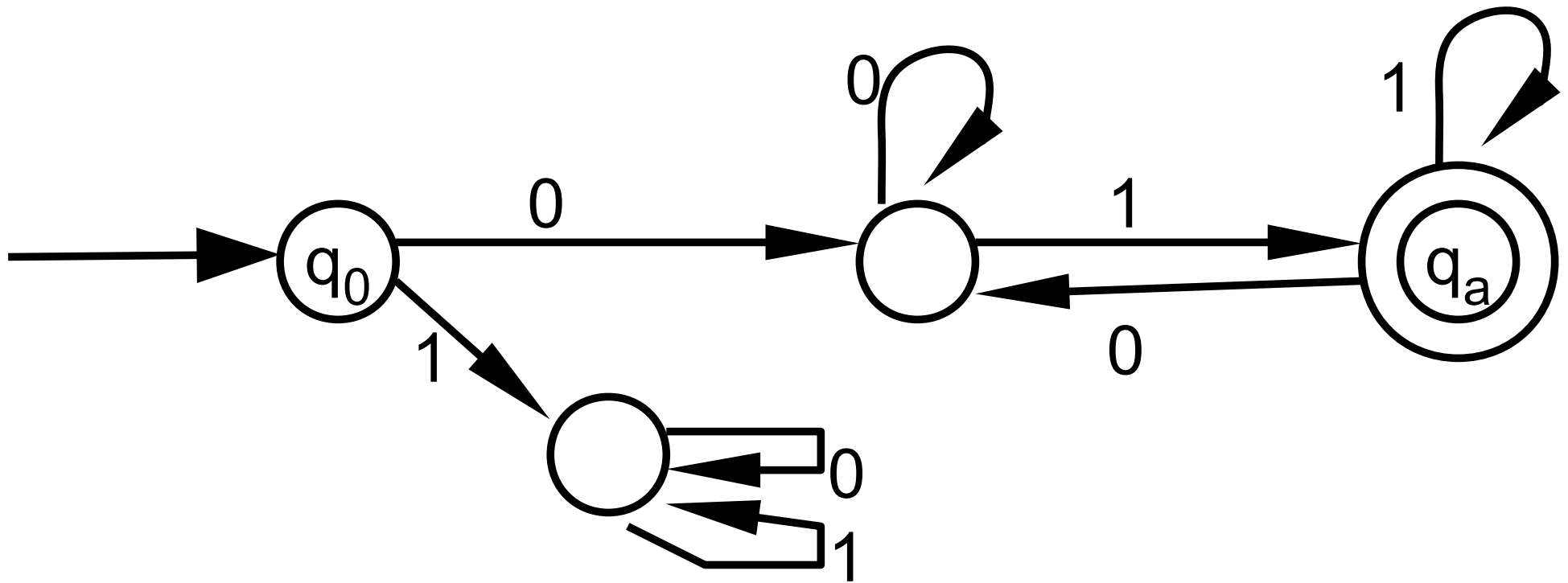
Example: Input string

$w = 010$

**REJECT**

**because does not  
end in accept state**

# DFA (Deterministic Finite Automata)



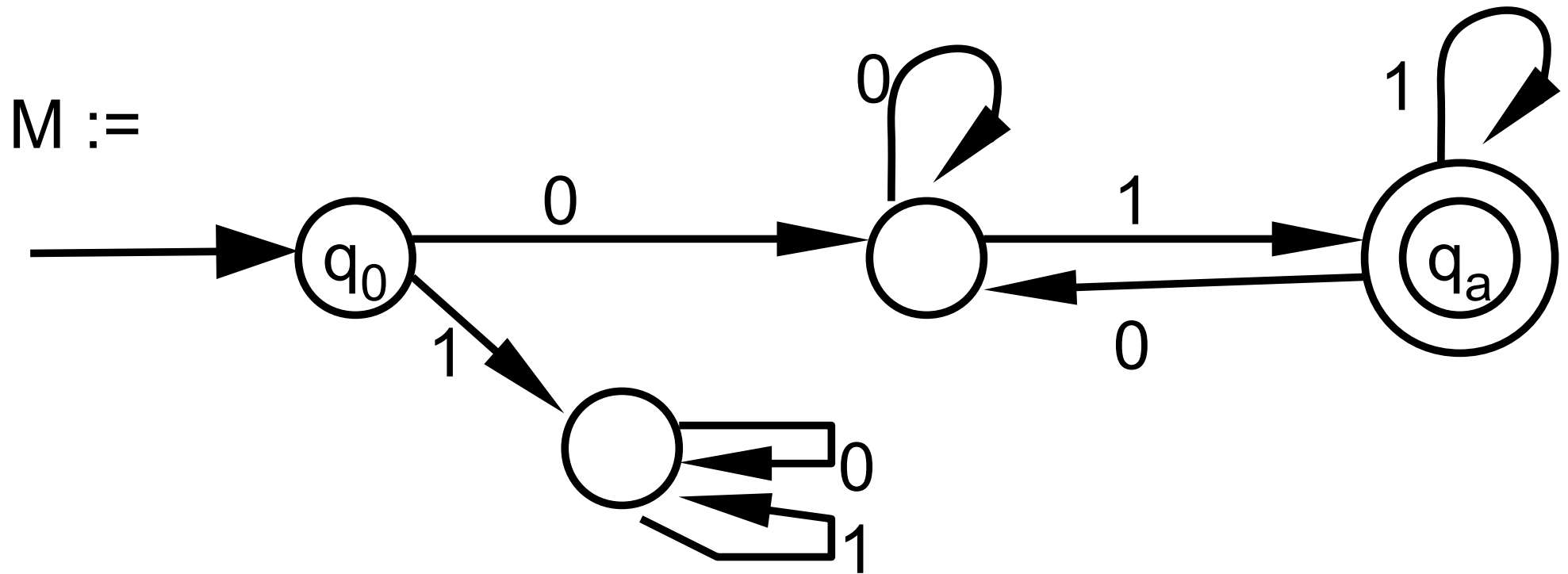
Example: Input string  $w = 01$       ACCEPT

$w = 010$       REJECT

$w = 0011$       ACCEPT

$w = 00110$       REJECT

# DFA (Deterministic Finite Automata)



M recognizes language

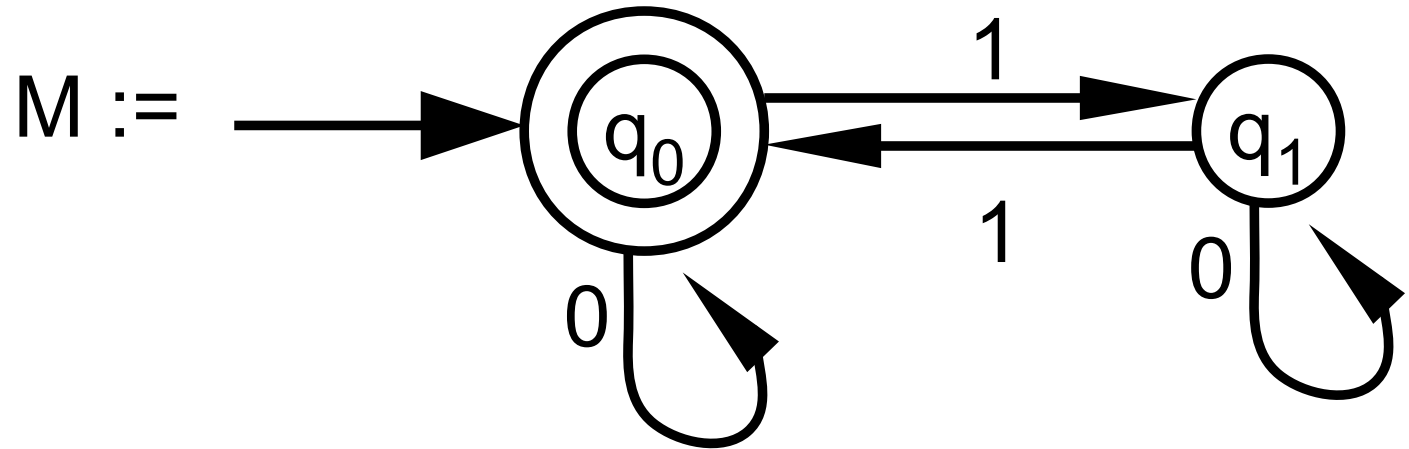
$$L(M) = \{ w : w \text{ starts with } 0 \text{ and ends with } 1 \}$$

$L(M)$  is the language of strings causing M to accept

Example: 0101 is an element of  $L(M)$ ,  $0101 \in L(M)$

# Example

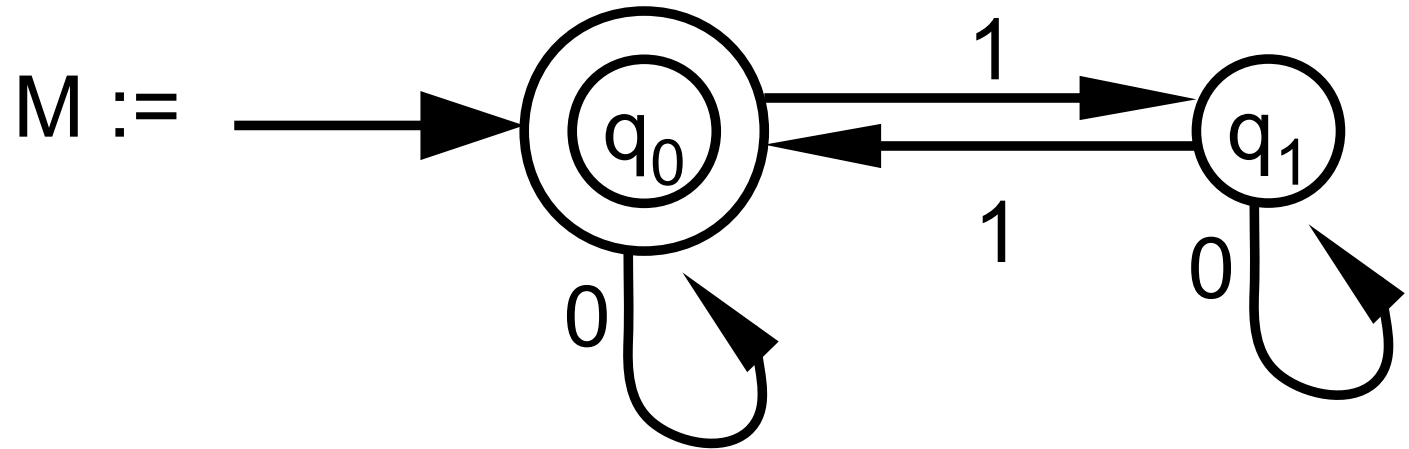
$\Sigma = \{0, 1\}$



- 00 causes M to accept, so 00 is in  $L(M)$   $00 \in L(M)$
- 01 does not cause M to accept, so 01 not in  $L(M)$ ,  
 $01 \notin L(M)$
- 0101  $\in L(M)$
- 01101100  $\in L(M)$
- 011010  $\notin L(M)$

# Example

$\Sigma = \{0,1\}$



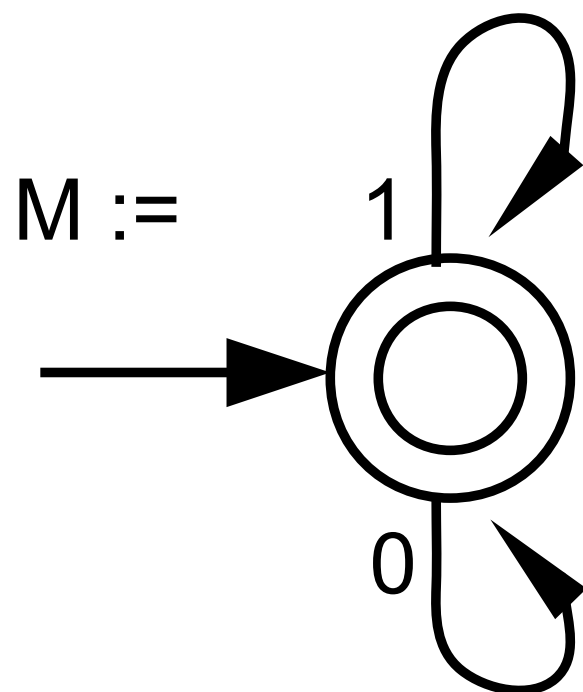
$L(M) = \{w : w \text{ has an even number of } 1 \}$

Note: If there is no 1, then there are zero 1, zero is an even number, so M should accept.

Indeed  $0000000 \in L(M)$

Example

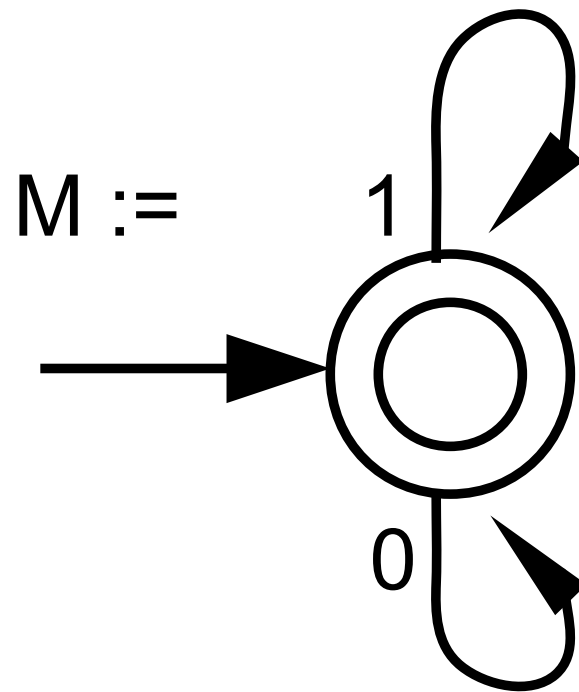
$\Sigma = \{0,1\}$



- $L(M) = ?$

Example

$\Sigma = \{0,1\}$

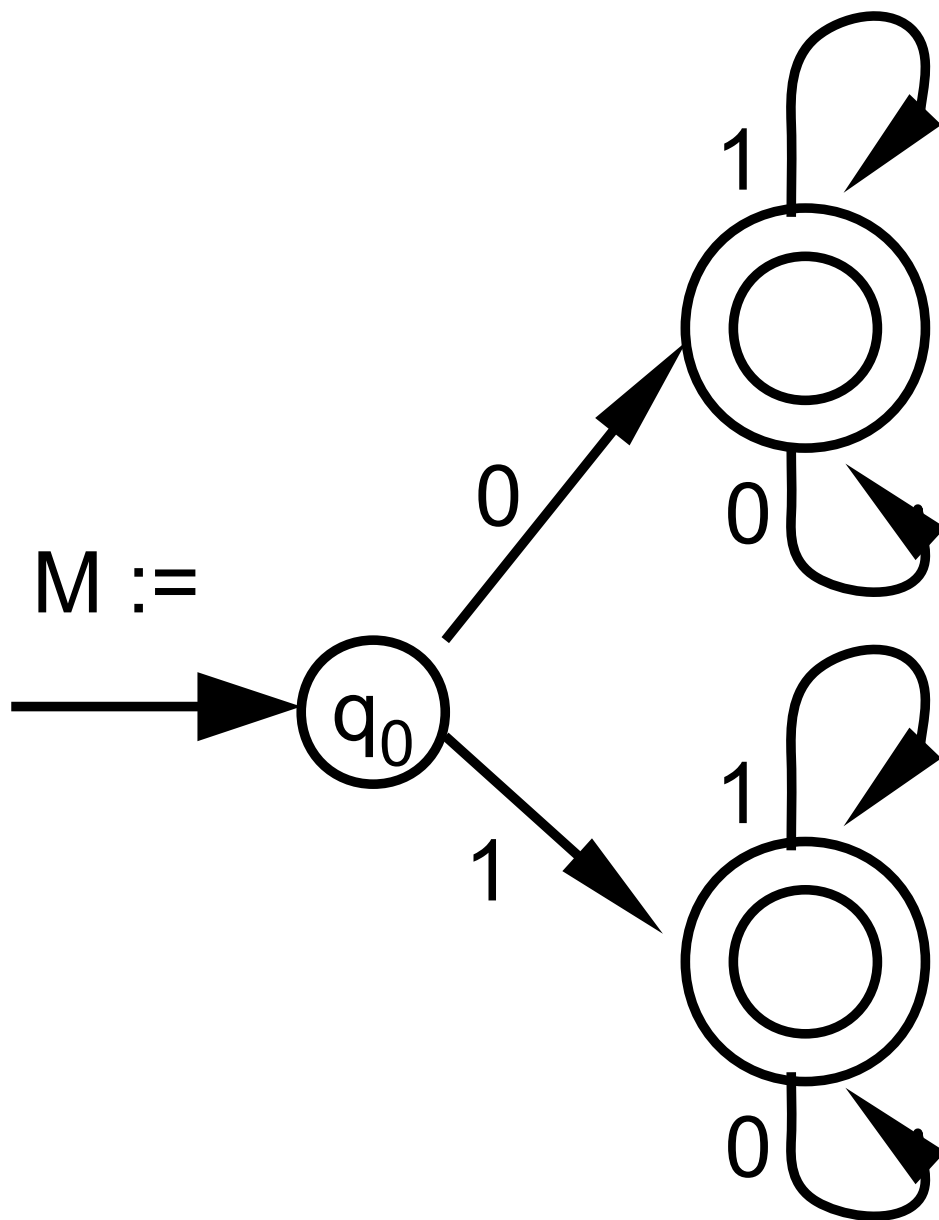


- $L(M) =$  every possible string over  $\{0,1\}$

$= \{0,1\}^*$

Example

$\Sigma = \{0, 1\}$

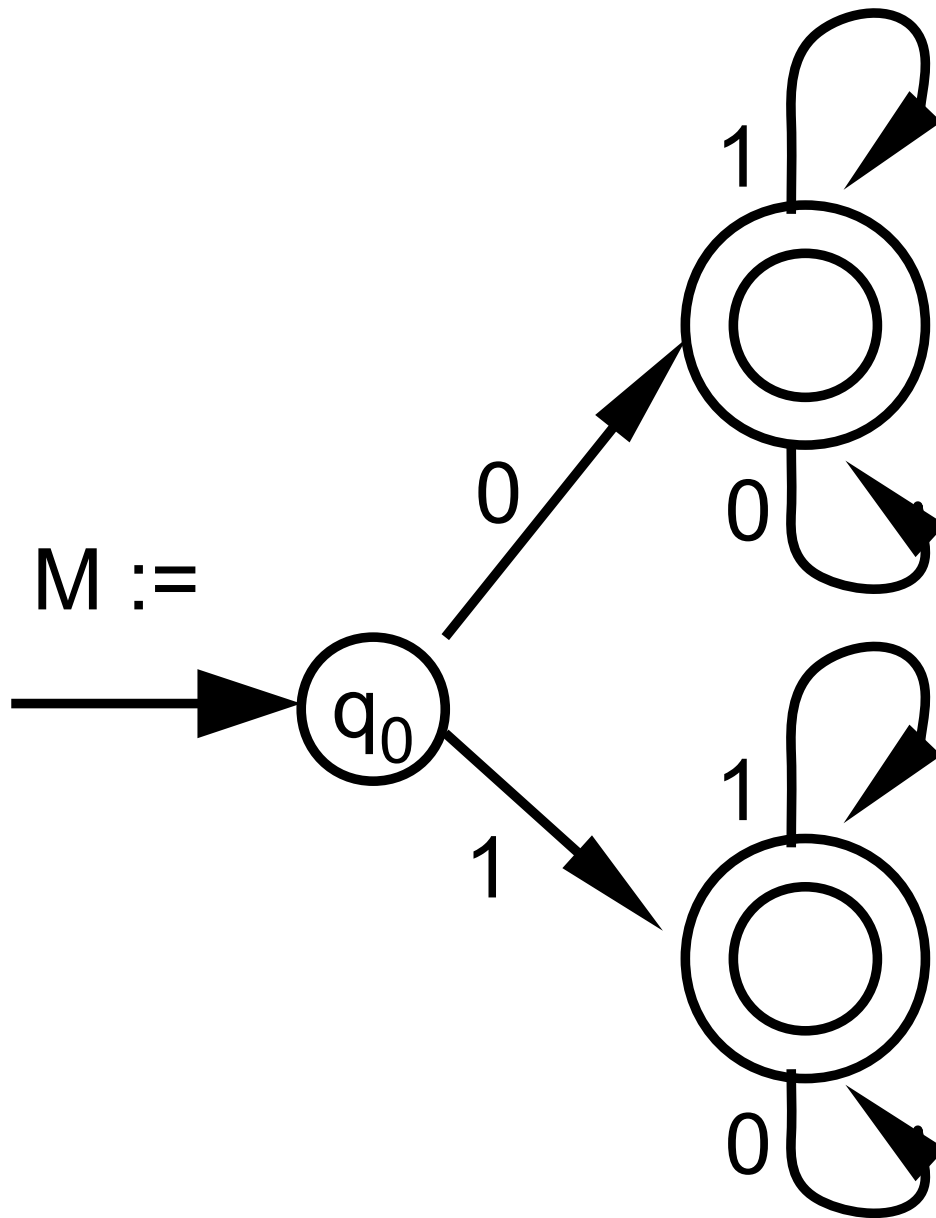


- $L(M) = ?$



# Example

$\Sigma = \{0, 1\}$

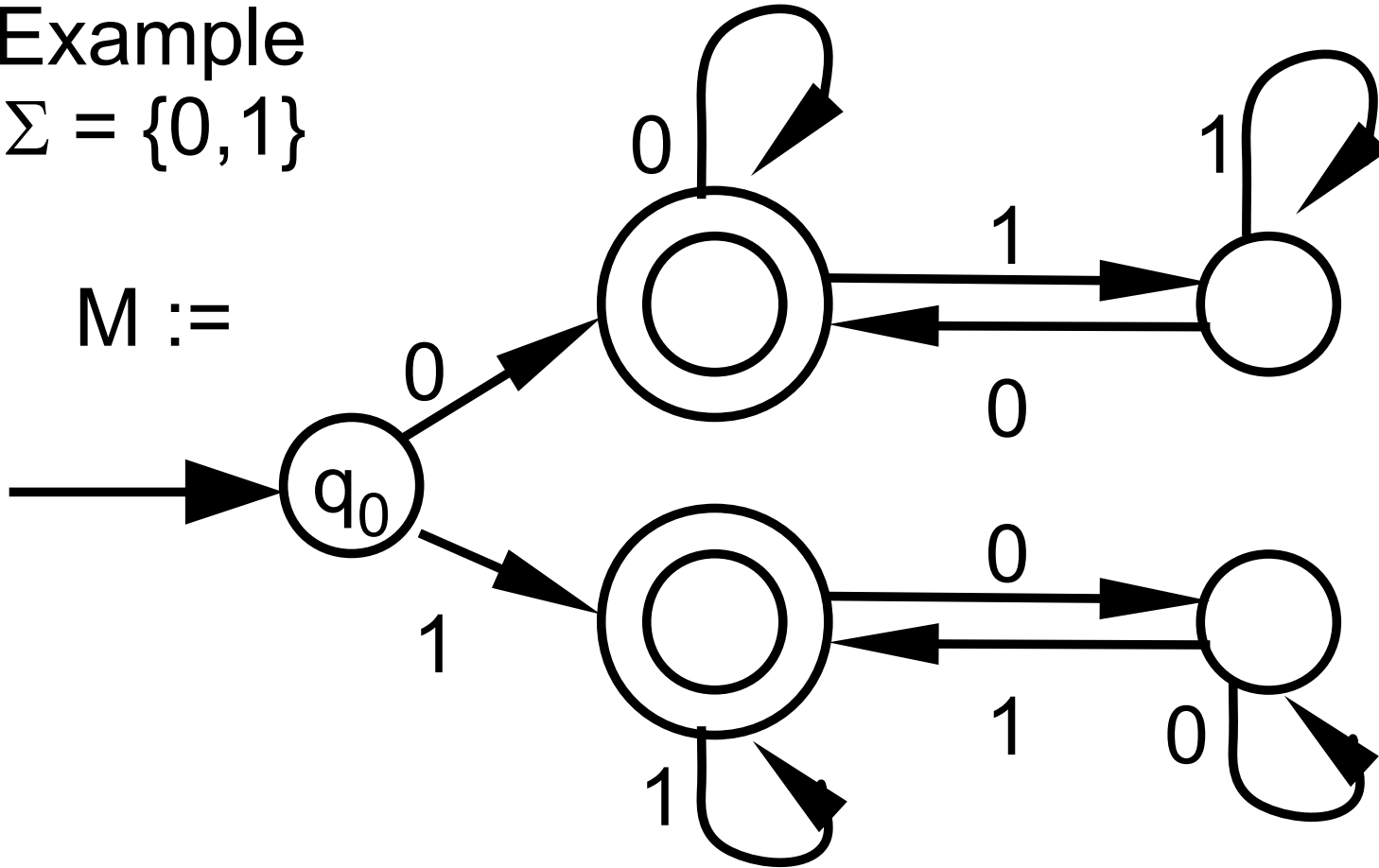


- $L(M) =$  all strings over  $\{0, 1\}$  except empty string  $\varepsilon$   
 $= \{0, 1\}^* - \{ \varepsilon \}$

Example

$\Sigma = \{0, 1\}$

$M :=$

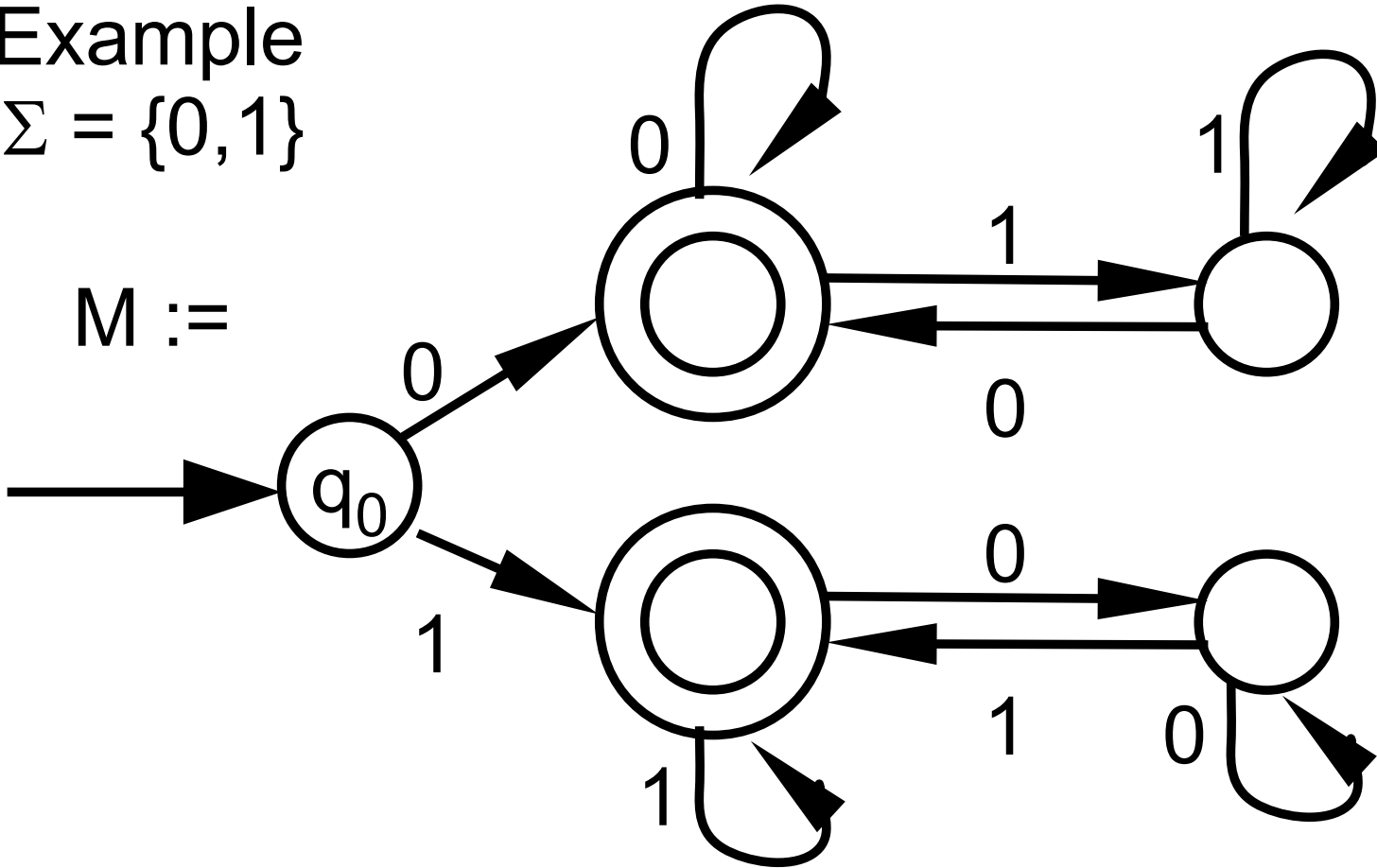


- $L(M) = ?$

Example

$\Sigma = \{0, 1\}$

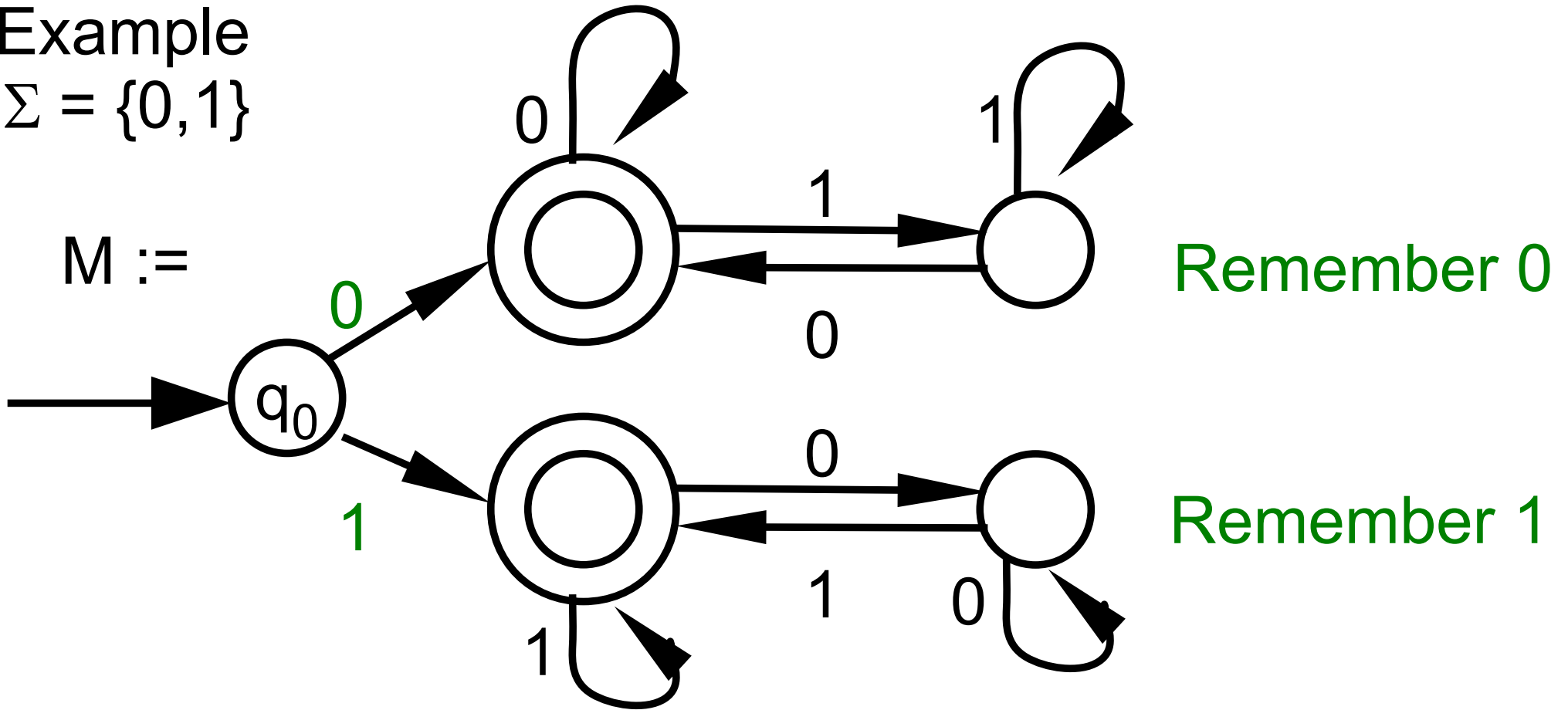
$M :=$



- $L(M) = \{ w : w \text{ starts and ends with same symbol} \}$
- Memory is encoded in ... what ?

Example  
 $\Sigma = \{0, 1\}$

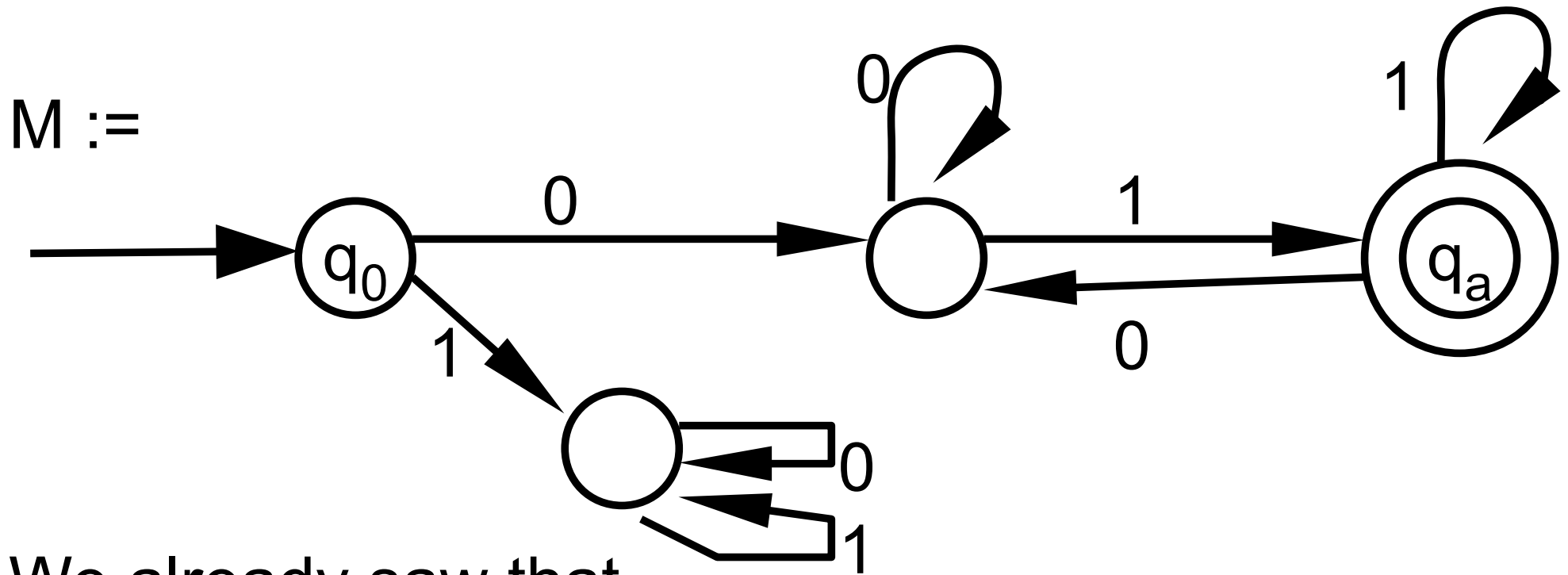
$M :=$



- $L(M) = \{ w : w \text{ starts and ends with same symbol} \}$
- **Memory is encoded in states.**

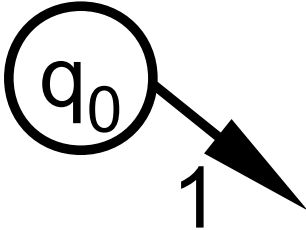
DFA have finite states, so finite memory

Convention:

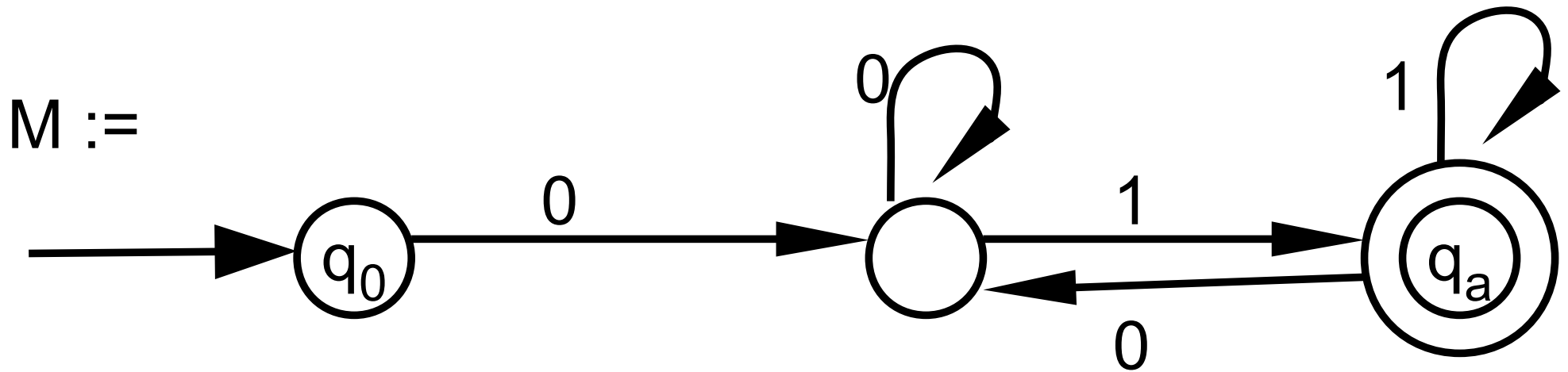


We already saw that

$$L(M) = \{ w : w \text{ starts with } 0 \text{ and ends with } 1 \}$$

The arrow  leads to a “sink” state.  
If followed, M can never accept

Convention:



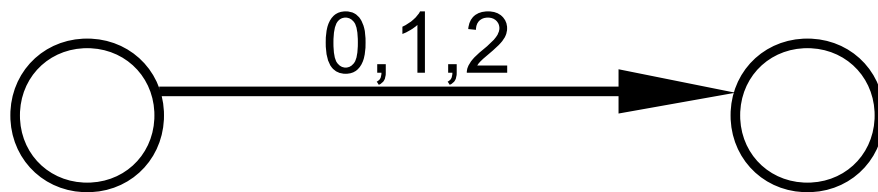
Don't need to write such arrows:

If, from some state, read symbol with no corresponding arrow, imagine  $M$  goes into “sink state” that is not shown, and REJECT.

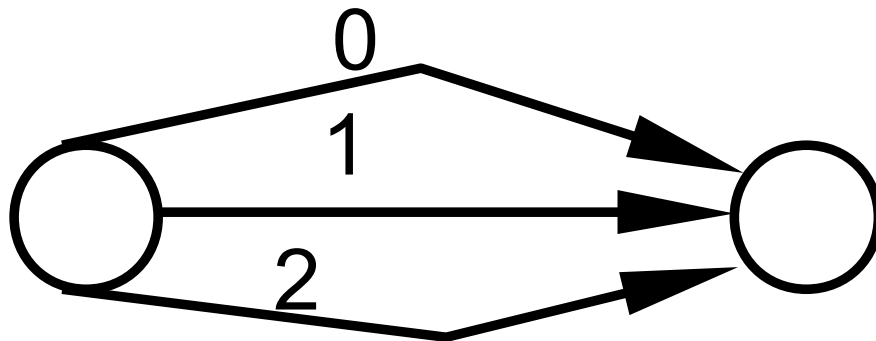
This makes pictures more compact.

Another convention:

List multiple transition on same arrow:



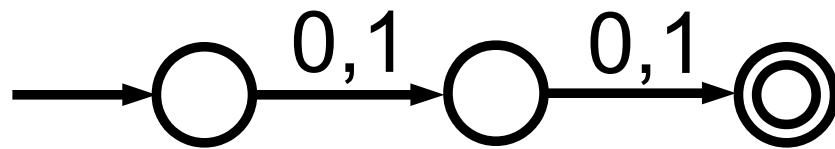
Means



This makes pictures more compact.

Example  $\Sigma = \{0,1\}$

M =

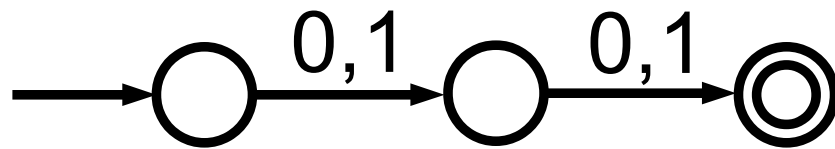


$L(M) = ?$



Example  $\Sigma = \{0,1\}$

M =

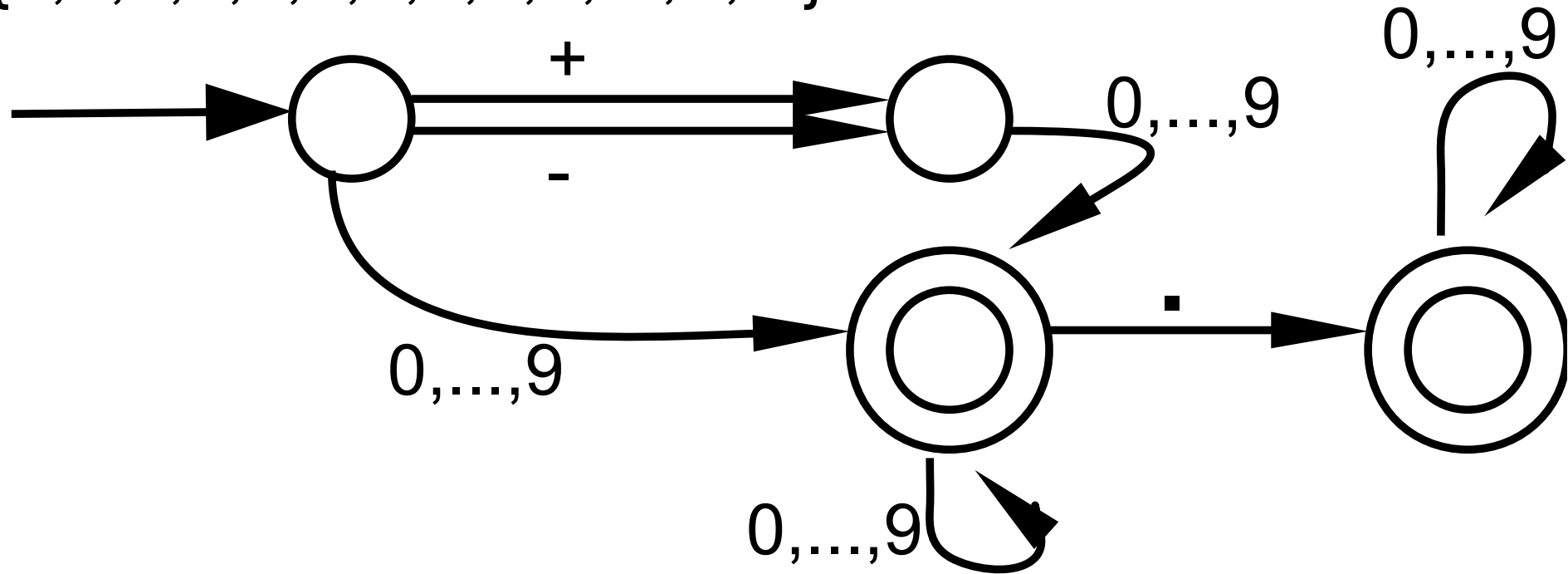


$$L(M) = \Sigma^2 = \{00,01,10,11\}$$

Example from programming languages:

Recognize strings representing numbers:

$\Sigma = \{0,1,2,3,4,5,6,7,8,9, +, -, \cdot\}$

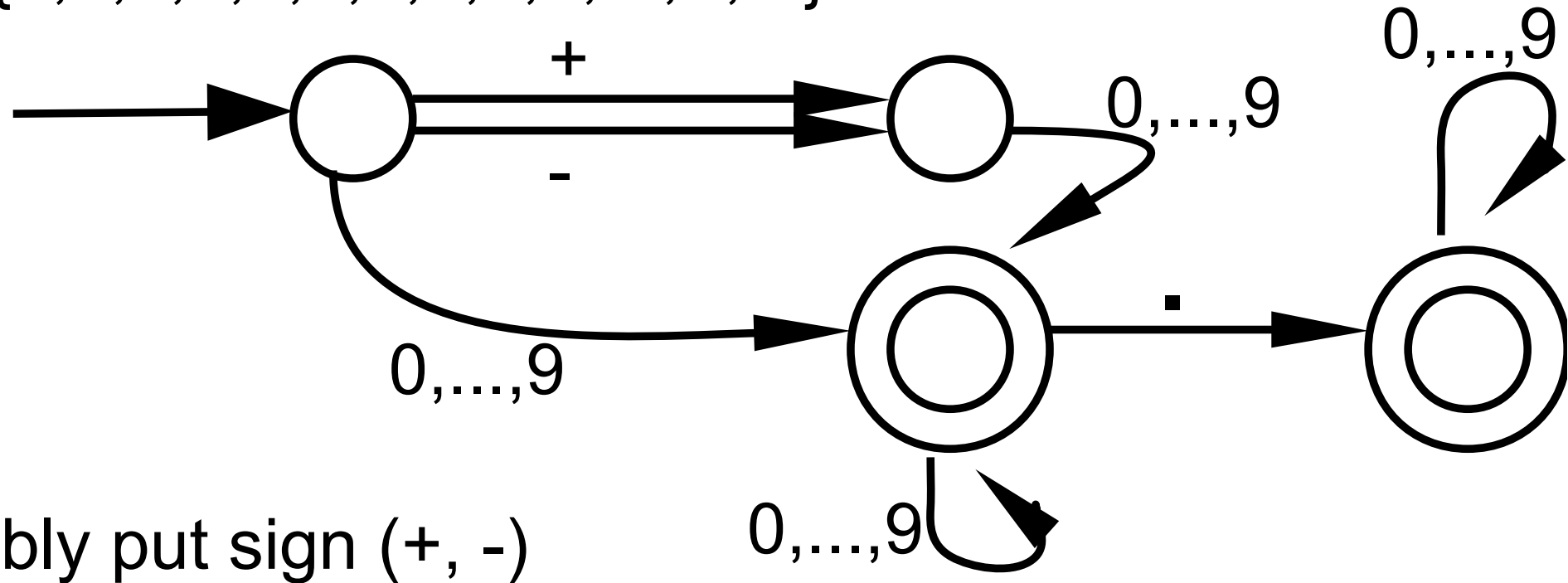


Note: 0,...,9 means 0,1,2,3,4,5,6,7,8,9: 10 transitions

Example from programming languages:

Recognize strings representing numbers:

$\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, +, -, \cdot\}$



Possibly put sign (+, -)

0,...,9

Follow with arbitrarily many digits, but at least one

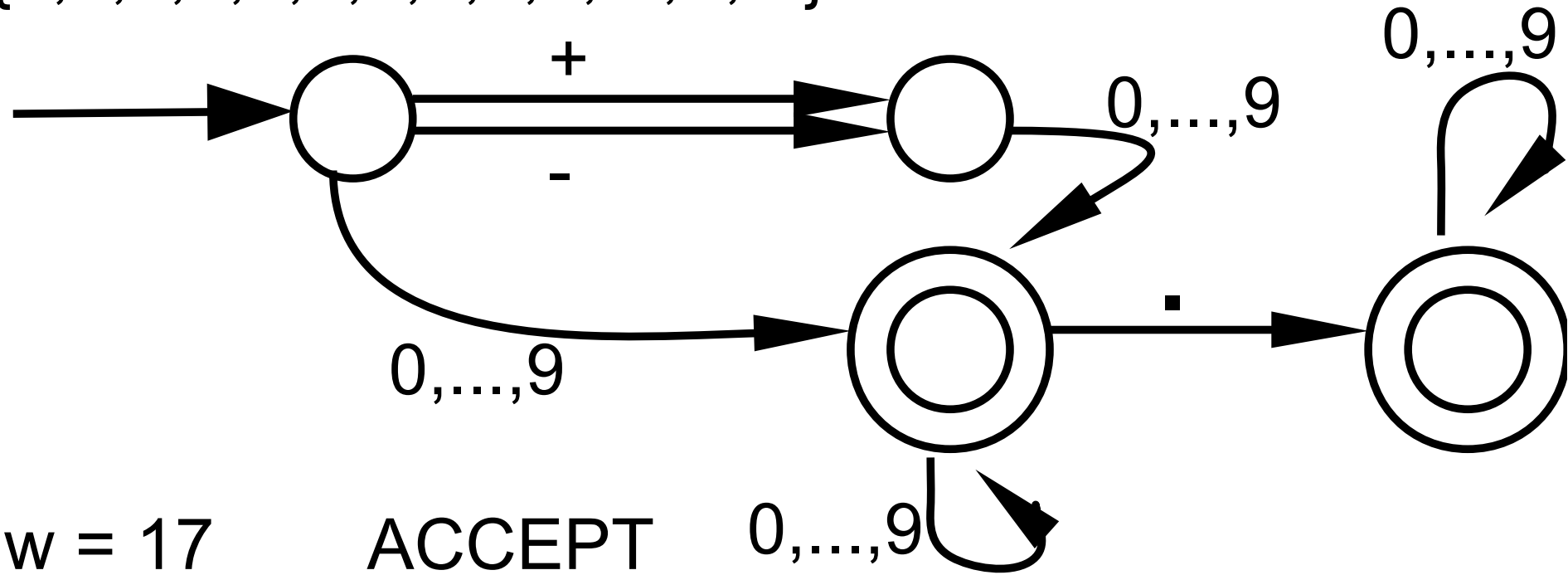
Possibly put decimal point

Follow with arbitrarily many digits, possibly none

Example from programming languages:

Recognize strings representing numbers:

$\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, +, -, \cdot\}$



Input  $w = 17$

ACCEPT

Input  $w = +$

REJECT

Input  $w = -3.25$

ACCEPT

Input  $w = +2.35-$

REJECT

## Example

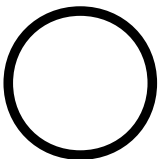
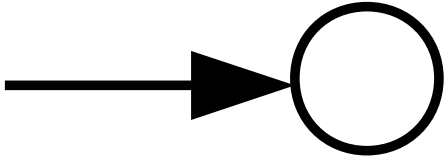
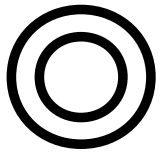
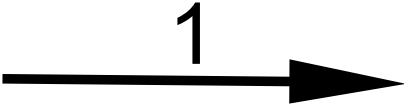
$$\Sigma = \{0, 1\}$$

- What about  $\{ w : w \text{ has same number of } 0 \text{ and } 1 \}$
- Can you design a DFA that recognizes that?
- It seems you need infinite memory
- We will prove later that  
there is no DFA that recognizes that language !

# Next: formal definition of DFA

- Useful to prove various properties of DFA
- Especially important to prove that things CANNOT be recognized by DFA.
- Useful to practice mathematical notation

# State diagram of a DFA:

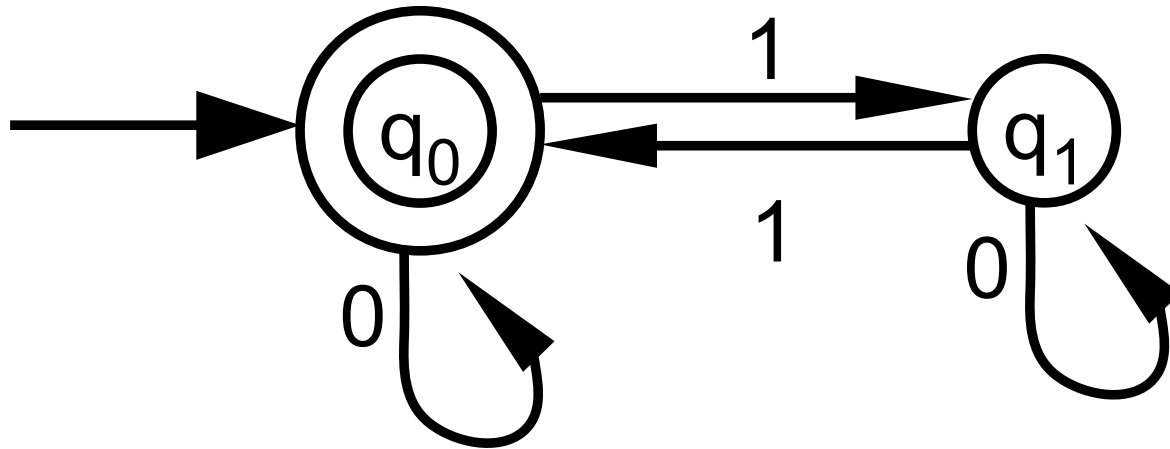
- One or more **states** 
- Exactly one **start state** 
- Some number of **accept states** 
- **Labelled transitions** exiting each state,   
for every symbol in  $\Sigma$

- **Definition:** A finite automaton (DFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
- $Q$  is a finite set of states
- $\Sigma$  is the input alphabet
- $\delta : Q \times \Sigma \rightarrow Q$  is the transition function
- $q_0$  in  $Q$  is the start state
- $F \subseteq Q$  is the set of accept states

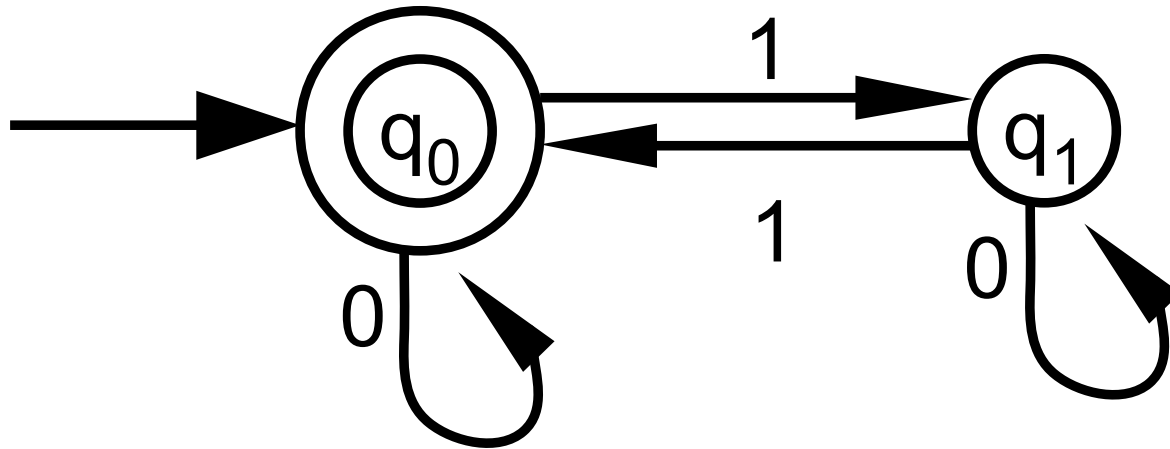
$Q \times \Sigma$  is the set of **ordered pairs**  $(a,b) : a \in Q, b \in \Sigma$

Example  $\{q,r,s\} \times \{0,1\} = \{(q,0), (q,1), (r,0), (r,1), (s,0), (s,1)\}$

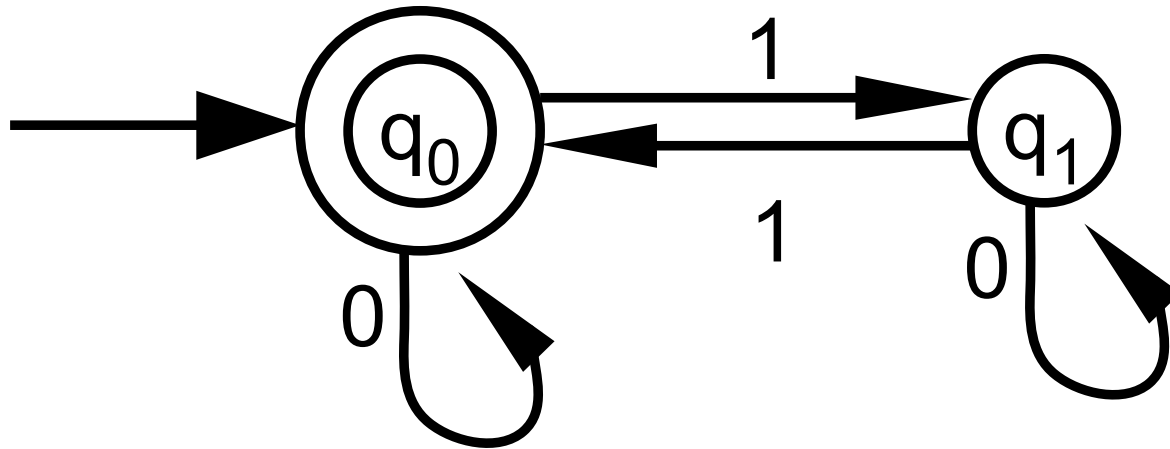




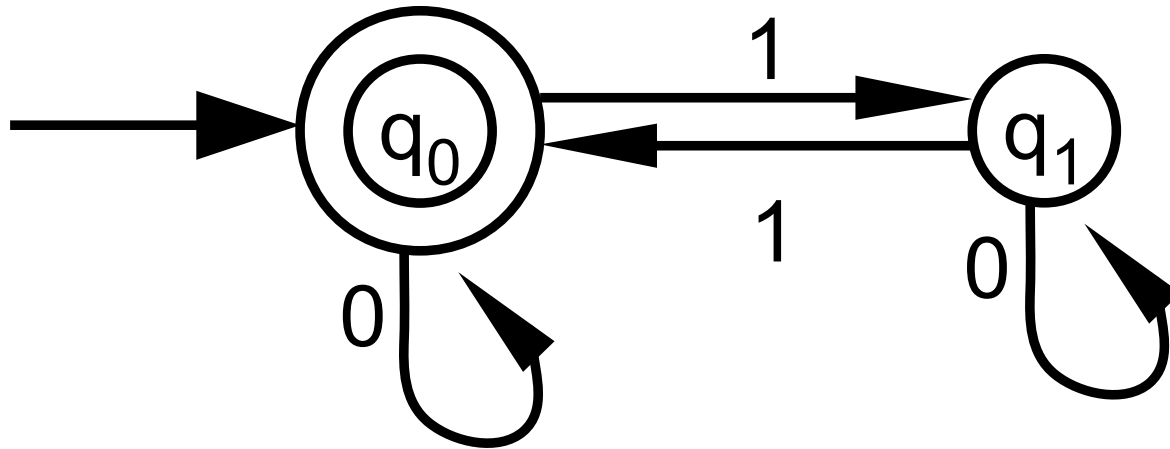
- **Example:** above DFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = ?$



- **Example:** above DFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = q_0$      $\delta(q_0, 1) = ?$



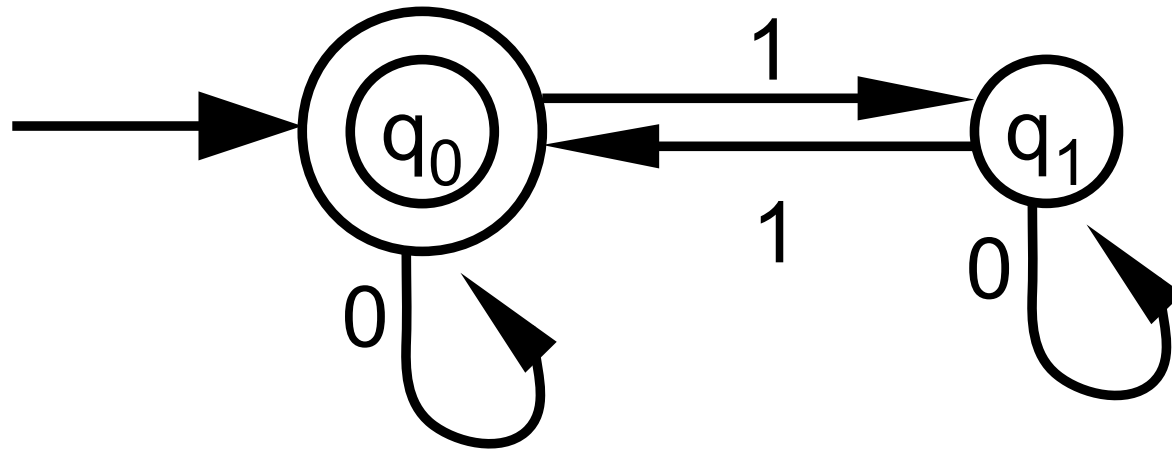
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- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = q_0$      $\delta(q_0, 1) = q_1$   
 $\delta(q_1, 0) = q_1$      $\delta(q_1, 1) = q_0$
- $q_0$  in  $Q$  is the start state
- $F = ?$



- **Example:** above DFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = q_0$      $\delta(q_0, 1) = q_1$   
 $\delta(q_1, 0) = q_1$      $\delta(q_1, 1) = q_0$
- $q_0$  in  $Q$  is the start state
- $F = \{q_0\} \subseteq Q$  is the set of accept states

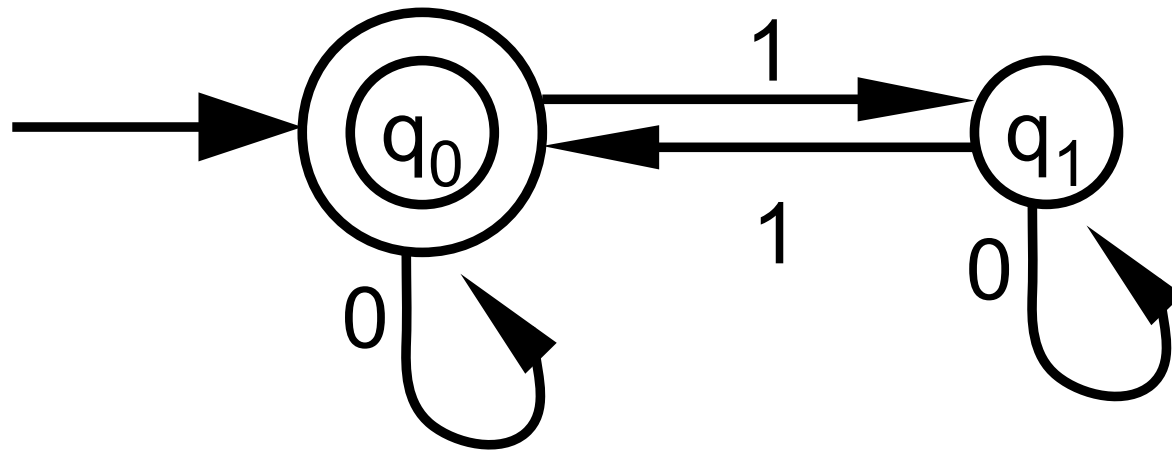
- **Definition:** A DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts** a string  $w$  if
- $w = w_1 w_2 \dots w_k$  where,  $\forall 1 \leq i \leq k$ ,  $w_i$  is in  $\Sigma$   
(the  $k$  symbols of  $w$ )
- The sequence of  $k+1$  states  $r_0, r_1, \dots, r_k$  where  $r_i$  is state DFA is in after reading  $i$ -th symbol in  $w$ :
  - (1)  $r_0 = q_0$ , and
  - (2)  $r_{i+1} = \delta(r_i, w_{i+1}) \quad \forall 0 \leq i < k$has  $r_k$  in  $F$
- We call this sequence the **trace** of the DFA on  $w$

Example



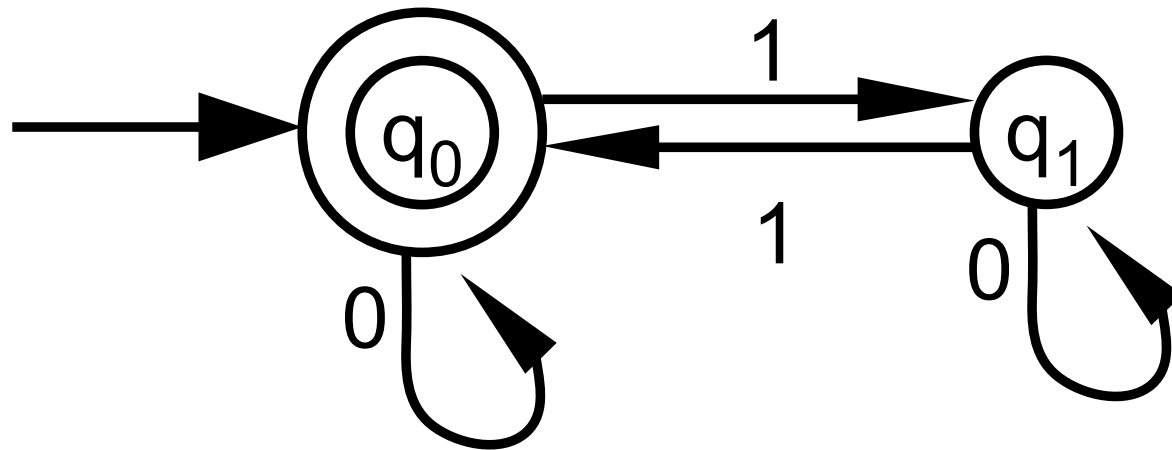
- **Above DFA  $(Q, \Sigma, \delta, q_0, F)$  accepts  $w = 011$**

Example



- **Above** DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts**  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$

Example



- **Above** DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts**  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$

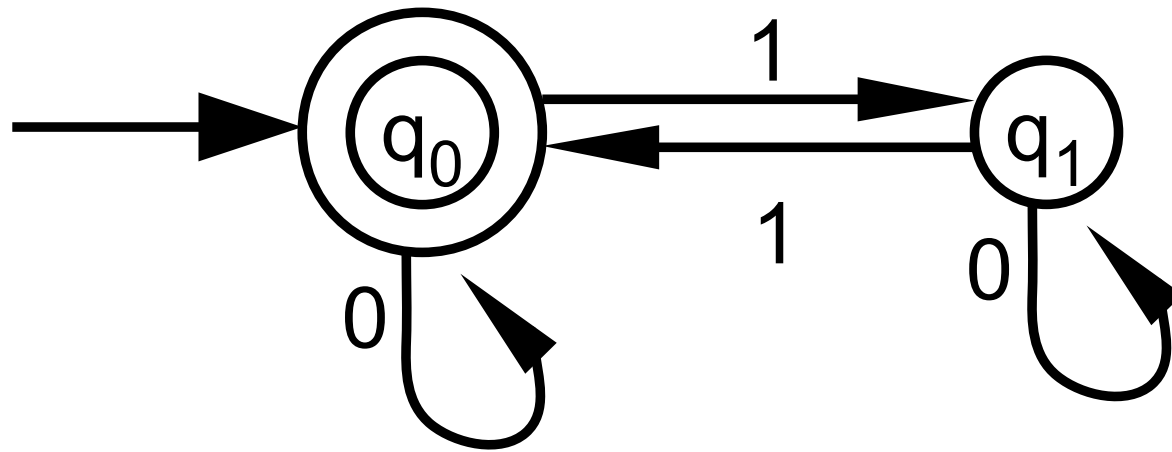
We must show trace of DFA on  $w$  ends in  $F$ , that is:

- The sequence of  $3+1=4$  states  $r_0, r_1, r_2, r_3$  such that:
  - (1)  $r_0 = q_0$
  - (2)  $r_{i+1} = \delta(r_i, w_{i+1}) \quad \forall 0 \leq i < 3$

has  $r_3$  in  $F$

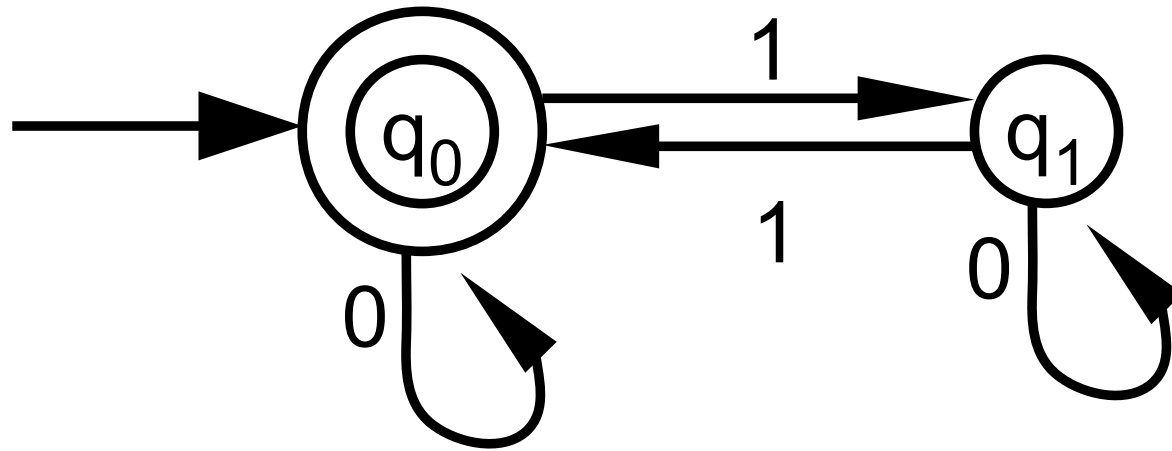


Example



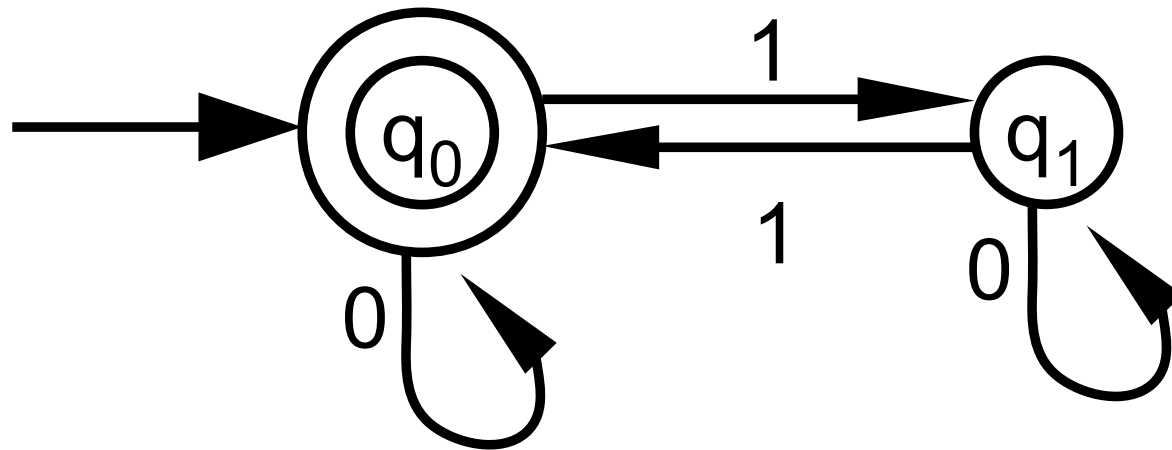
- Above DFA  $(Q, \Sigma, \delta, q_0, F)$  accepts  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$
- $r_0 = q_0$
- $r_1 := ?$

Example



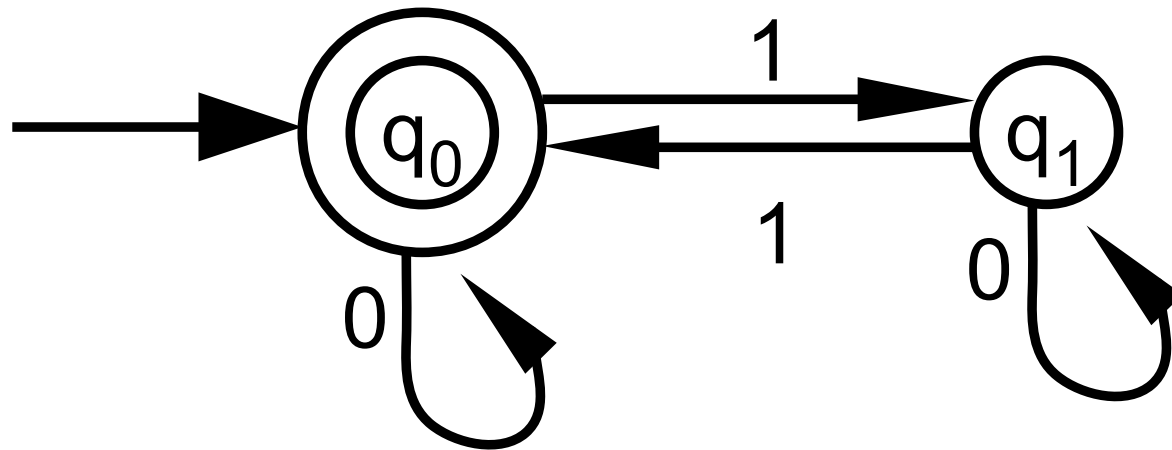
- **Above** DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts**  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$
- $r_0 = q_0$
- $r_1 = \delta(r_0, w_1) = \delta(q_0, 0) = q_0$
- $r_2 := ?$

Example



- **Above** DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts**  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$
- $r_0 = q_0$
- $r_1 = \delta(r_0, w_1) = \delta(q_0, 0) = q_0$
- $r_2 = \delta(r_1, w_2) = \delta(q_0, 1) = q_1$
- $r_3 := ?$

Example



- **Above** DFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts**  $w = 011$
- $w = 011 = w_1 w_2 w_3$        $w_1 = 0$     $w_2 = 1$     $w_3 = 1$
- $r_0 = q_0$
- $r_1 = \delta(r_0, w_1) = \delta(q_0, 0) = q_0$
- $r_2 = \delta(r_1, w_2) = \delta(q_0, 1) = q_1$
- $r_3 = \delta(r_2, w_3) = \delta(q_1, 1) = q_0$
- $r_3 = q_0$  in  $F$       **OK**      **DONE!**

- **Definition:** For a DFA  $M$ , we denote by  $L(M)$  the set of strings accepted by  $M$ :

$$L(M) := \{ w : M \text{ accepts } w \}$$

We say  $M$  accepts or recognizes the language  $L(M)$

- **Definition:** A language  $L$  is **regular**  
if  $\exists$  DFA  $M : L(M) = L$

In the next lectures we want to:

- Understand power of regular languages
- Develop **alternate, compact notation** to specify regular languages

Example: Unix command ***grep*** '***\<c.\*h\>***' *file*  
selects all words starting with c and ending with h  
in *file*

- Understand power of regular languages:
- Suppose  $A, B$  are regular languages, what about
- $\text{not } A := \{ w : w \text{ is not in } A \}$
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$
- Are these languages regular?

- Understand power of regular languages:
- Suppose  $A, B$  are regular languages, what about
- $\text{not } A := \{ w : w \text{ is not in } A \}$
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$
- Terminology: Are regular languages **closed**  
under not,  $\cup$ ,  $\circ$ ,  $*$  ?



- **Theorem:**

If  $A$  is a regular language, then so is  $(\text{not } A)$

- **Theorem:**

If  $A$  is a regular language, then so is  $(\text{not } A)$

- Proof idea: **??????????** the set of accept states

- **Theorem:**

If  $A$  is a regular language, then so is  $(\text{not } A)$

- Proof idea: **Complement** the set of accept states

- **Example**

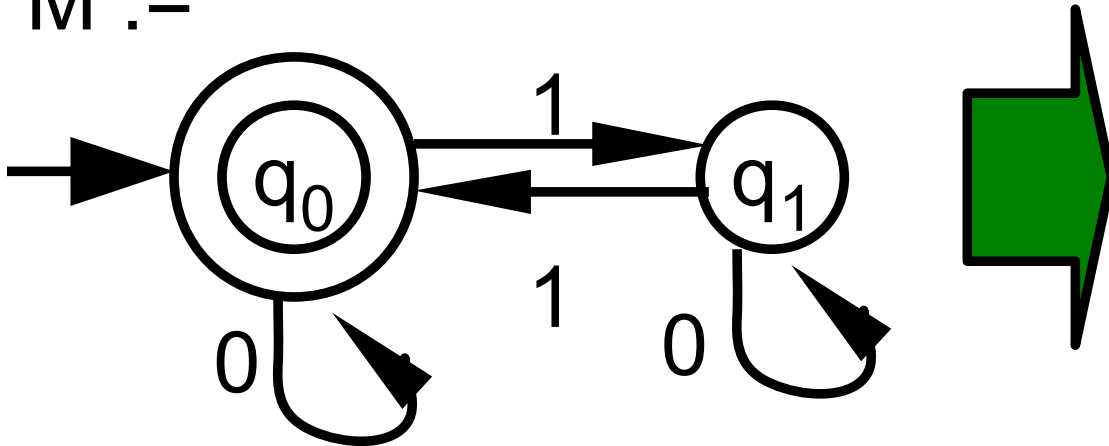
- **Theorem:**

If  $A$  is a regular language, then so is  $(\text{not } A)$

- Proof idea: **Complement** the set of accept states

- Example:

$M :=$



$L(M) =$

$\{ w : w \text{ has even number of } 1 \}$

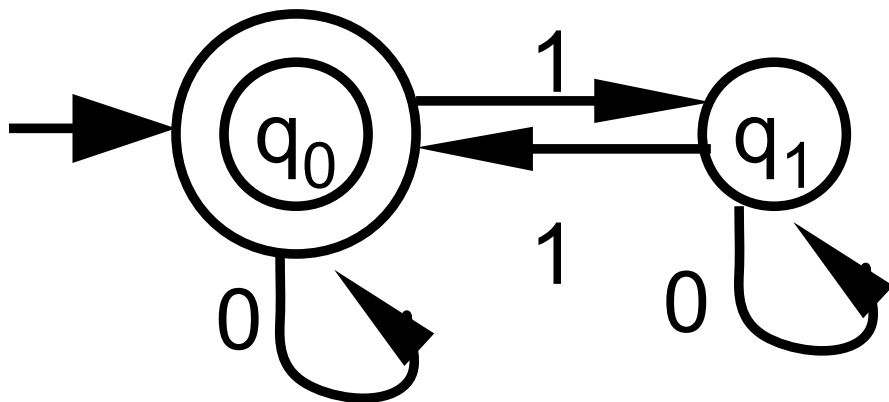
- **Theorem:**

If  $A$  is a regular language, then so is  $(\text{not } A)$

- Proof idea: **Complement** the set of accept states

- Example:

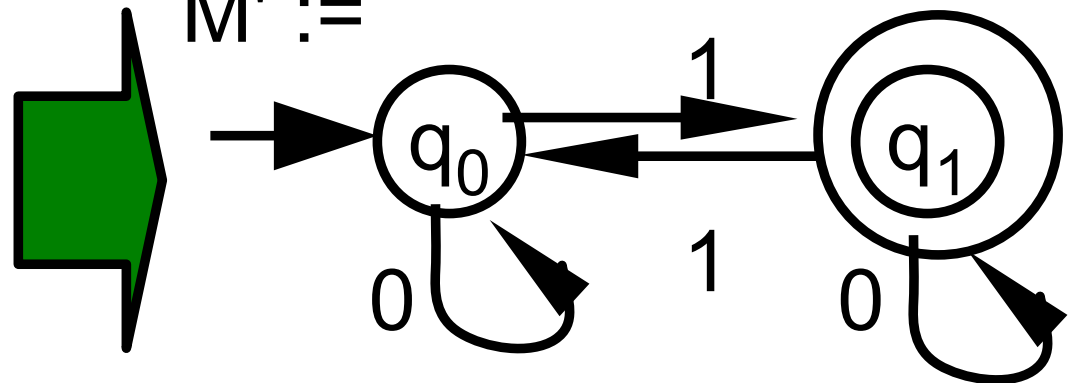
$M :=$



$L(M) =$

$\{ w : w \text{ has even number of } 1 \}$

$M' :=$



$L(M') = \text{not } L(M) =$

$\{ w : w \text{ has odd number of } 1 \}$

- **Theorem:** If  $A$  is a regular language, then so is  $(\text{not } A)$
- **Proof:**

Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ .

**Define** DFA  $M' =$  ???????????????????????????????????

This definition is the creative step of this proof,  
the rest is (perhaps complicated but) mechanical  
“unwrapping definitions”

- **Theorem:** If  $A$  is a regular language, then so is  $(\text{not } A)$

- **Proof:**

Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ .

**Define** DFA  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' := \text{not } F$ .

- We need to show  $L(M') = \text{not } L(M)$ , that is:

for any  $w$ , ???

- **Theorem:** If  $A$  is a regular language, then so is  $(\text{not } A)$
- **Proof:**  
Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ .  
**Define** DFA  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' := \text{not } F$ .
- We need to show  $L(M') = \text{not } L(M)$ , that is:  
for any  $w$ ,  $M'$  accepts  $w \iff M$  does not accept  $w$ .
- Note that the traces of  $M$  and  $M'$  on  $w \dots ?$



- **Theorem:** If  $A$  is a regular language, then so is  $(\text{not } A)$
- **Proof:**  
 Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ .  
**Define** DFA  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' := \text{not } F$ .
- We need to show  $L(M') = \text{not } L(M)$ , that is:  
 for any  $w$ ,  $M'$  accepts  $w \iff M$  does not accept  $w$
- Note that the traces of  $M$  and  $M'$  on  $w$  are equal
- Let  $r_k$  be the last state in this trace
- Note that  $r_k \in F' \iff r_k \notin F$ , since  $F' = \text{not } F$ . ■

# What is a proof?

- A proof is an explanation, written in English, of why something is true.
- Every sentence must be logically connected to the previous ones, often by “so”, “hence”, “since”, etc.
- Your audience is a human being, **NOT a machine.**

• **Theorem:** If  $A$  is a regular language, then so is  $(\text{not } A)$

• **Proof:**

DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$

DFA  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' := \text{not } F$ .

$L(M') = \text{not } L(M)$

$M'$  accepts  $w \iff M$  does not accept  $w$

Trace of  $M$  on  $w$ .

$r_k$  in  $F' \iff r_k$  not in  $F$ ,  $F' = \text{not } F$ . ■

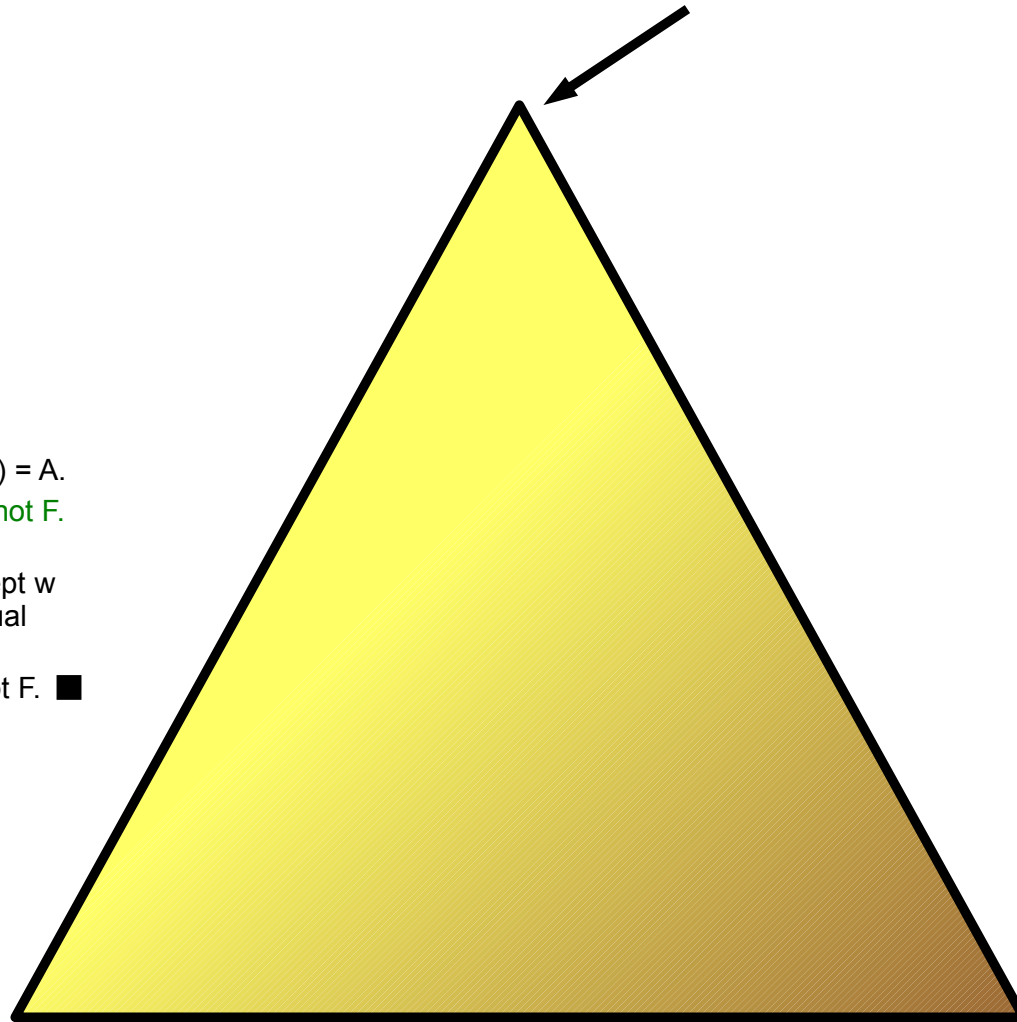
# What is a proof?

Complement the set of accept states

Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ .

Define DFA  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' := \text{not } F$ .

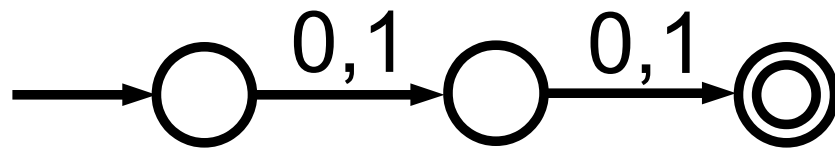
- We need to show  $L(M') = \text{not } L(M)$ , that is:
- for any  $w$ ,  $M'$  accepts  $w \iff M$  does not accept  $w$
- Note that the traces of  $M$  and  $M'$  on  $w$  are equal
- Let  $r_k$  be the last state in this trace
- Note that  $r_k \text{ in } F' \iff r_k \text{ not in } F$ , since  $F' = \text{not } F$ . ■



To know a proof means to know all the pyramid

Example  $\Sigma = \{0,1\}$

M =



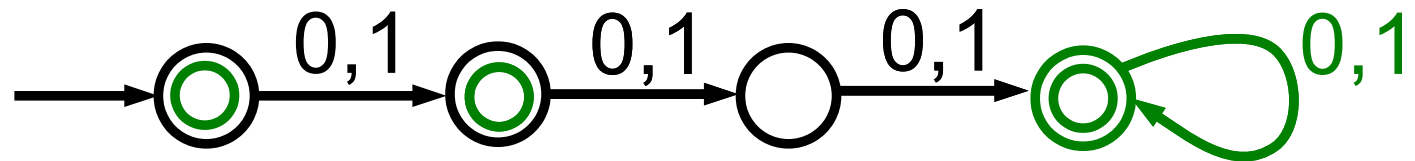
$$L(M) = \Sigma^2 = \{00,01,10,11\}$$

What is a DFA  $M'$  :

$L(M') = \text{not } \Sigma^2 = \text{all strings except those of length 2 ?}$

Example  $\Sigma = \{0,1\}$

$M' =$



$$L(M') = \text{not } \Sigma^2 = \{0,1\}^* - \{00,01,10,11\}$$

Do not forget the convention about the sink state!

- Suppose  $A, B$  are regular languages, what about
- $\text{not } A := \{ w : w \text{ is not in } A \}$  **REGULAR**
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- Proof idea: Take Cartesian product of states

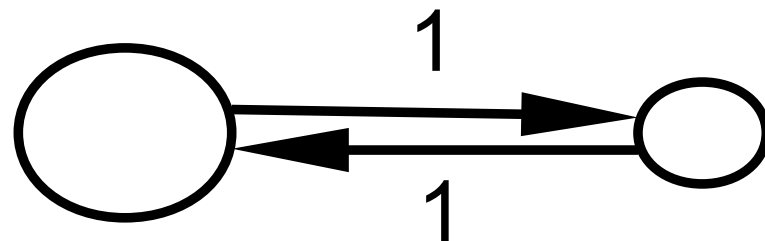
In a pair  $(q, q')$ ,

$q$  tracks DFA for  $A$ ,

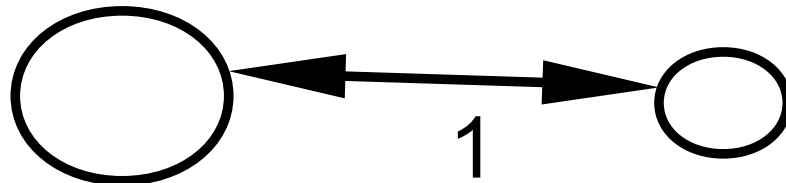
$q'$  tracks DFA for  $B$ .

- Next we see an example.

In it we abbreviate

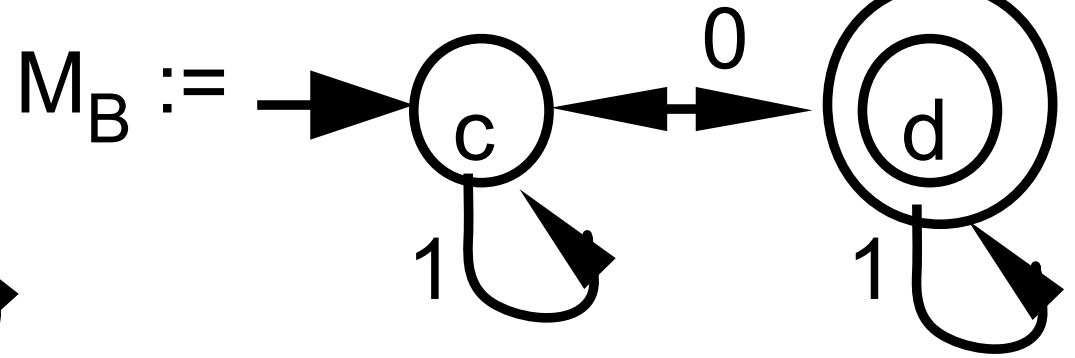
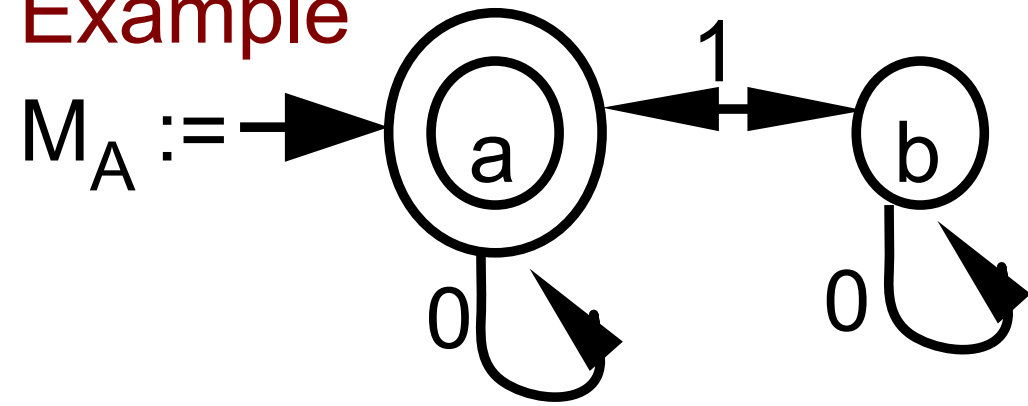


with





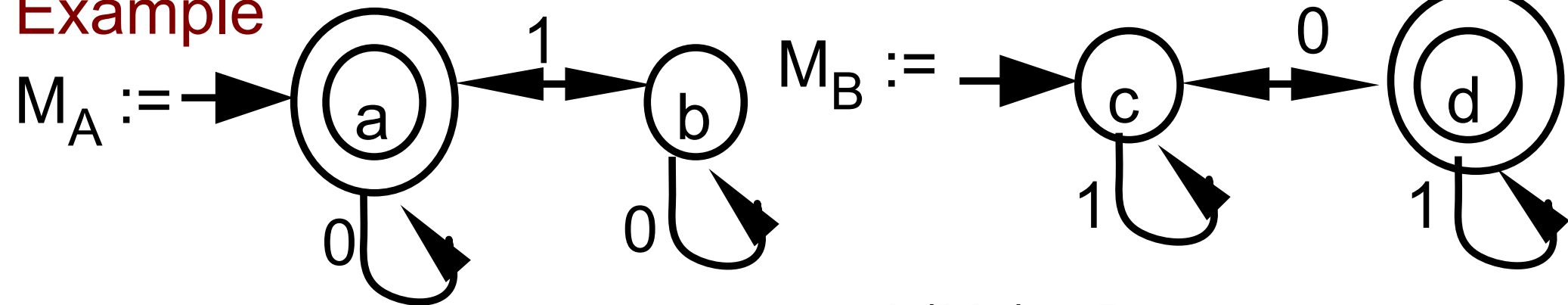
# Example



$L(M_A) = A = ?$

$L(M_B) = B = ?$

# Example



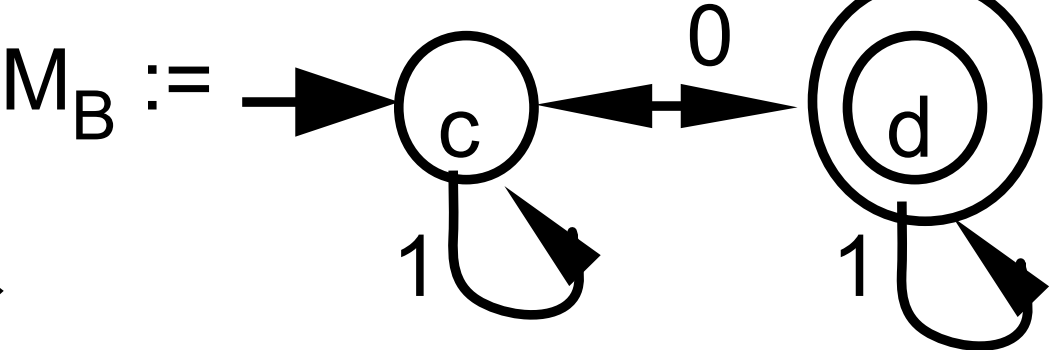
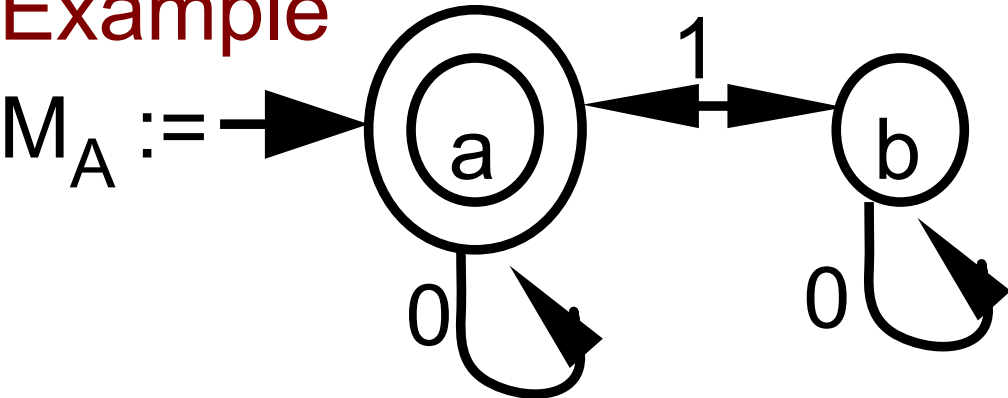
$L(M_A) = A =$

$L(M_B) = B =$

$\{w : w \text{ has even number of } 1\}$    $\{w : w \text{ has odd number of } 0\}$

$M_{A \cup B} :=$  How many states?

**Example**



$L(M_A) = A =$

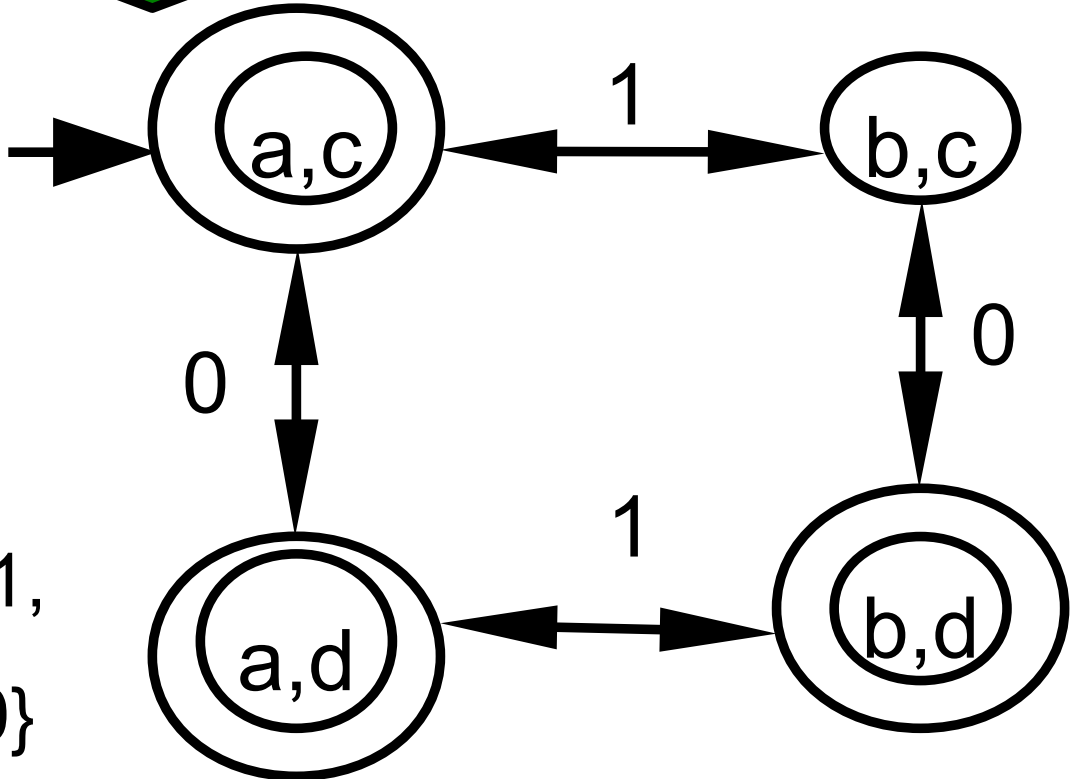
$L(M_B) = B =$

$\{w : w \text{ has even number of } 1\}$

$\{w : w \text{ has odd number of } 0\}$



$M_{A \cup B} :=$



$L(M_{A \cup B}) = A \cup B =$

$\{w : w \text{ has even number of } 1,$   
 $\text{or odd number of } 0\}$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- **Proof:**

Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  such that  $L(M) = A$ ,

DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $L(M) = B$ .

**Define** DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , where

$Q := ?$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- **Proof:**

Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  such that  $L(M) = A$ ,

DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $L(M) = B$ .

**Define** DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , where

$$Q := Q_A \times Q_B$$

$$q_0 := ?$$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- **Proof:**

Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  such that  $L(M) = A$ ,

DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $L(M) = B$ .

**Define** DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , where

$$Q := Q_A \times Q_B$$

$$q_0 := (q_A, q_B)$$

$$F := ?$$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- **Proof:**

Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  such that  $L(M) = A$ ,

DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $L(M) = B$ .

**Define** DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , where

$$Q := Q_A \times Q_B$$

$$q_0 := (q_A, q_B)$$

$$F := \{(q, q') \in Q : q \in F_A \text{ or } q' \in F_B\}$$

$$\delta((q, q'), v) := (?, ?)$$

- **Theorem:** If  $A$ ,  $B$  are regular, then so is  $A \cup B$

- **Proof:**

Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  such that  $L(M) = A$ ,

DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $L(M) = B$ .

**Define** DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , where

$$Q := Q_A \times Q_B$$

$$q_0 := (q_A, q_B)$$

$$F := \{(q, q') \in Q : q \in F_A \text{ or } q' \in F_B\}$$

$$\delta((q, q'), v) := (\delta_A(q, v), \delta_B(q', v))$$

- We need to show  $L(M) = A \cup B$  that is, for any  $w$ :  
 $M$  accepts  $w \iff M_A$  accepts  $w$  or  $M_B$  accepts  $w$



- Proof  $M$  accepts  $w \rightarrow M_A$  accepts  $w$  or  $M_B$  accepts  $w$
- Suppose that  $M$  accepts  $w$  of length  $k$ .
- From the definitions of accept and  $M$ ,  
the trace  $(s_0, t_0), \dots, (s_k, t_k)$  of  $M$  on  $w$   
has  $(s_k, t_k) \in ?$

- Proof  $M$  accepts  $w \rightarrow M_A$  accepts  $w$  or  $M_B$  accepts  $w$
- Suppose that  $M$  accepts  $w$  of length  $k$ .
- From the definitions of accept and  $M$ , the trace  $(s_0, t_0), \dots, (s_k, t_k)$  of  $M$  on  $w$  has  $(s_k, t_k) \in F$ .
- By our definition of  $F$ , what can we say about  $(s_k, t_k)$  ?

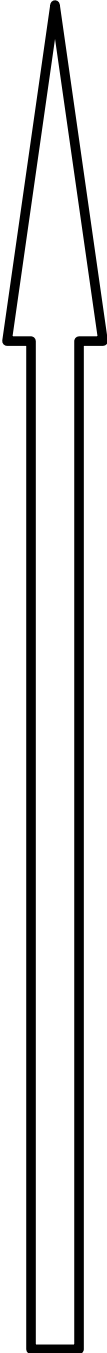
- Proof  $M$  accepts  $w \rightarrow M_A$  accepts  $w$  or  $M_B$  accepts  $w$
- Suppose that  $M$  accepts  $w$  of length  $k$ .
- From the definitions of accept and  $M$ , the trace  $(s_0, t_0), \dots, (s_k, t_k)$  of  $M$  on  $w$  has  $(s_k, t_k) \in F$ .
- By our definition of  $F$ ,  $s_k \in F_A$  or  $t_k \in F_B$ .
- Without loss of generality, assume  $s_k \in F_A$ .  
Then  $M_A$  accepts  $w$  because  $s_0, \dots, s_k$  is the trace of  $M_A$  on  $w$ , and  $s_k \in F_A$ .

- Proof  $M$  accepts  $w \leftarrow M_A$  accepts  $w$  or  $M_B$  accepts  $w$
- W/out loss of generality, assume  $M_A$  accepts  $w$ ,  $|w|=k$
- From the definition of  $M_A$  accepts  $w$ ,  
the trace  $r_0, \dots, r_k$  of  $M_A$  on  $w$  has  $r_k$  in  $F_A$
- Let  $t_0, \dots, t_k$  be the trace of  $M_B$  on  $w$
- $M$  accepts  $w$  because the trace of  $M$  on  $w$  is  
???????????

- Proof  $M$  accepts  $w \leftarrow M_A$  accepts  $w$  or  $M_B$  accepts  $w$
- W/out loss of generality, assume  $M_A$  accepts  $w$ ,  $|w|=k$
- From the definition of  $M_A$  accepts  $w$ ,  
the trace  $r_0, \dots, r_k$  of  $M_A$  on  $w$  has  $r_k$  in  $F_A$
- Let  $t_0, \dots, t_k$  be the trace of  $M_B$  on  $w$
- $M$  accepts  $w$  because the trace of  $M$  on  $w$  is  
 $(r_0, t_0), \dots, (r_k, t_k)$   
and  $(r_k, t_k)$  is in  $F$ , by our definition of  $F$ . ■

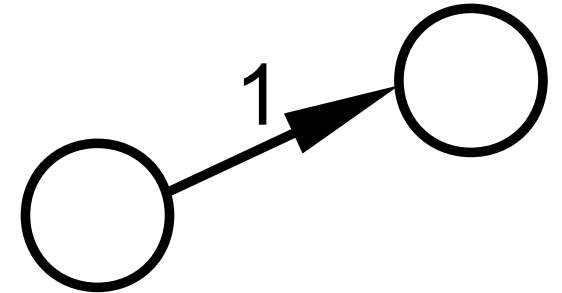
- Suppose  $A, B$  are regular languages, what about
- $\text{not } A := \{ w : w \text{ is not in } A \}$                       **REGULAR**
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$                       **REGULAR**
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$
  
- Other two are more complicated!
  
- Plan: we introduce NFA
  - prove that NFA are equivalent to DFA
  - reprove  $A \cup B$ , prove  $A \circ B$ ,  $A^*$  regular, using NFA

# Big picture

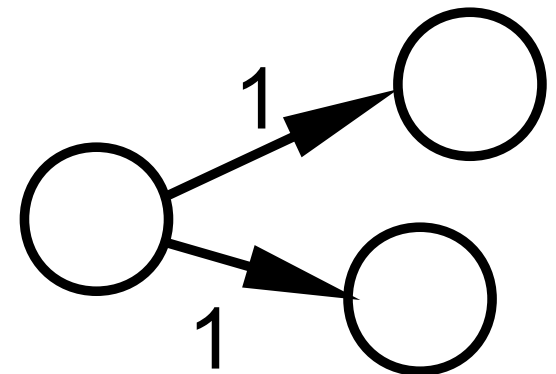
- 
- All languages
  - Decidable
    - Turing machines
  - NP
  - P
  - Context-free
    - Context-free grammars, push-down automata
  - Regular
    - Automata, **non-deterministic automata**,  
regular expressions

# Non deterministic finite automata (NFA)

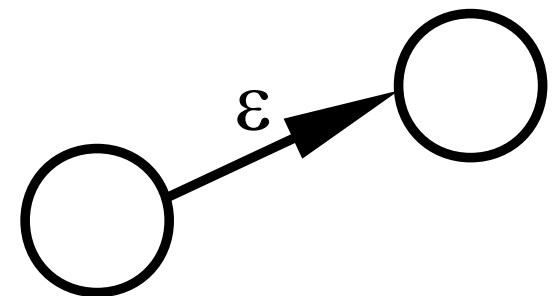
- DFA: given state and input symbol, unique choice for next state, deterministic:



- Next we allow multiple choices, non-deterministic

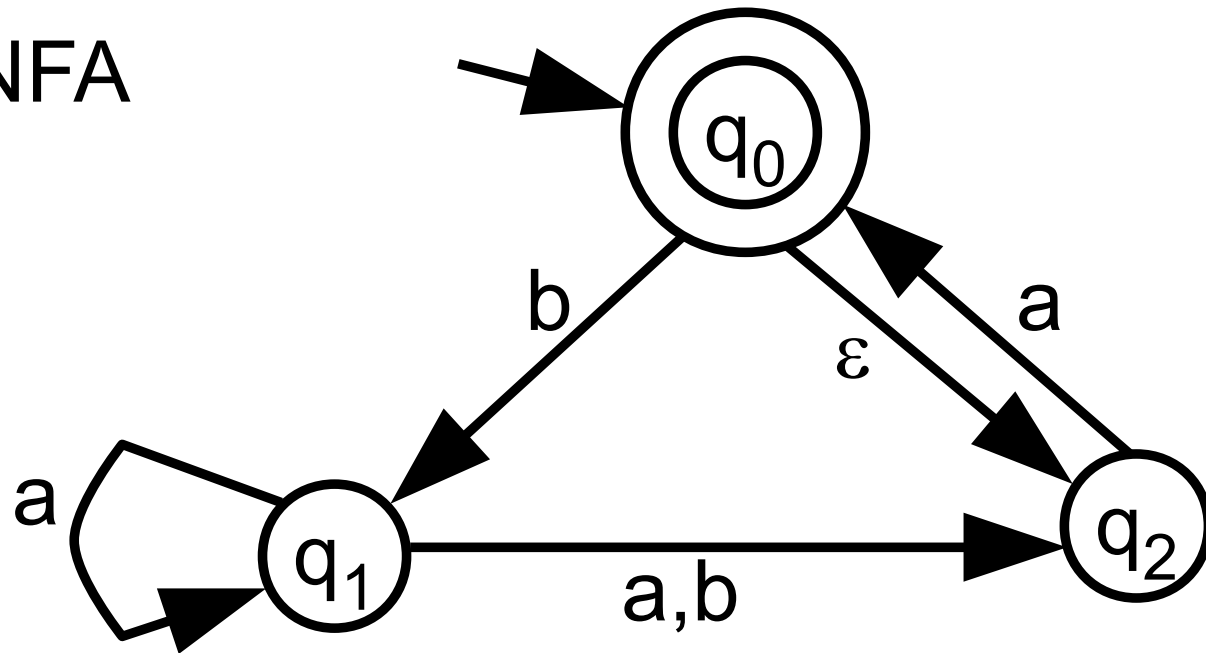


- We also allow  $\epsilon$ -transitions:  
can follow without reading anything





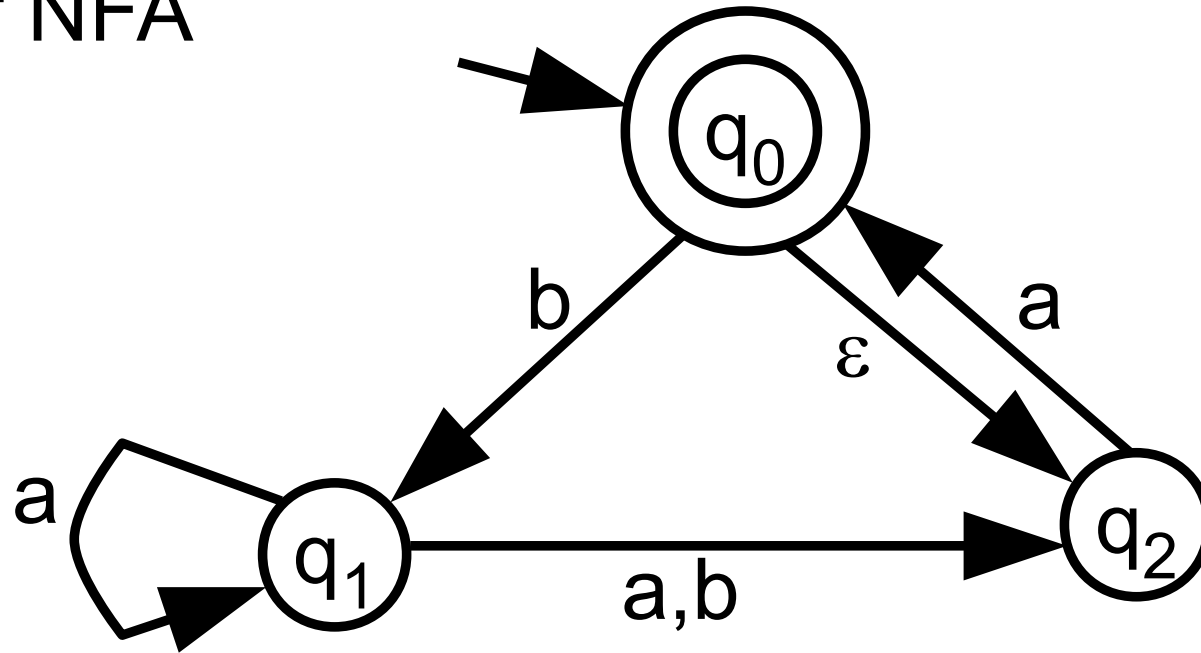
## Example of NFA



Intuition of how it computes:

- Accept string  $w$  if there is a way to follow transitions that ends in accept state
- Transitions labelled with symbol in  $\Sigma = \{a,b\}$  must be matched with input
- $\epsilon$  transitions can be followed without matching

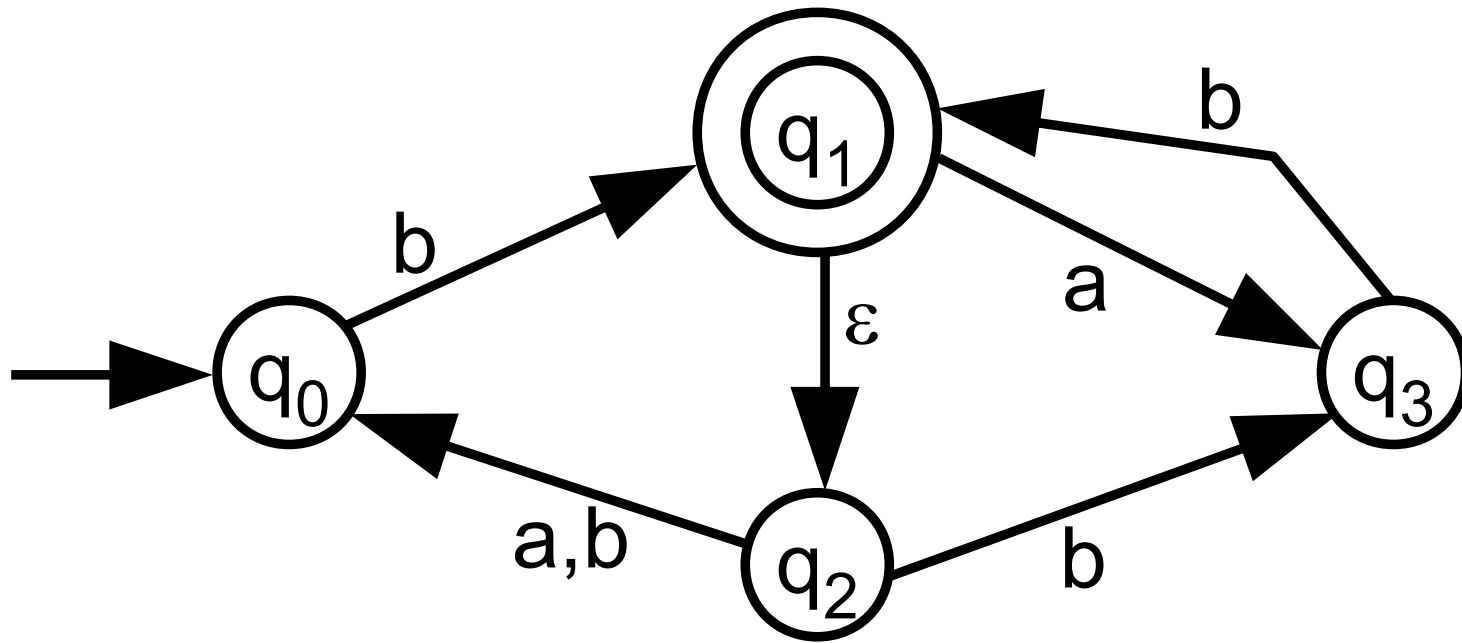
# Example of NFA



## Example:

- Accept a (first follow  $\epsilon$ -transition )
- Accept baaa

## ANOTHER Example of NFA



Example:

- Accept  $bab$  (two accepting paths, one uses the  $\epsilon$ -transition)
- Reject  $ba$  (two possible paths, but neither has final state =  $q_1$ )

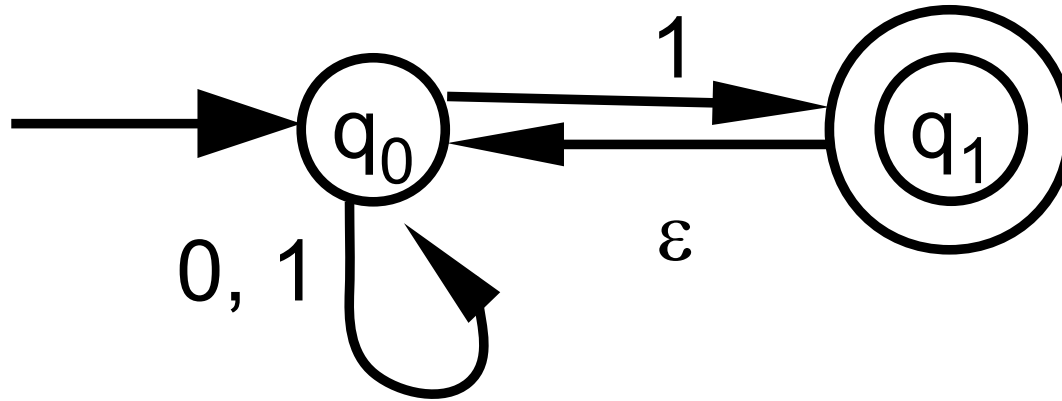
- **Definition:** A non-deterministic finite automaton (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
  - $Q$  is a finite set of states
  - $\Sigma$  is the input alphabet
  - $\delta : Q \times (\Sigma \cup \{\varepsilon\}) \rightarrow \text{Powerset}(Q)$
  - $q_0$  in  $Q$  is the start state
  - $F \subseteq Q$  is the set of accept states

• Recall:  $\text{Powerset}(Q) = \text{set of all subsets of } Q$

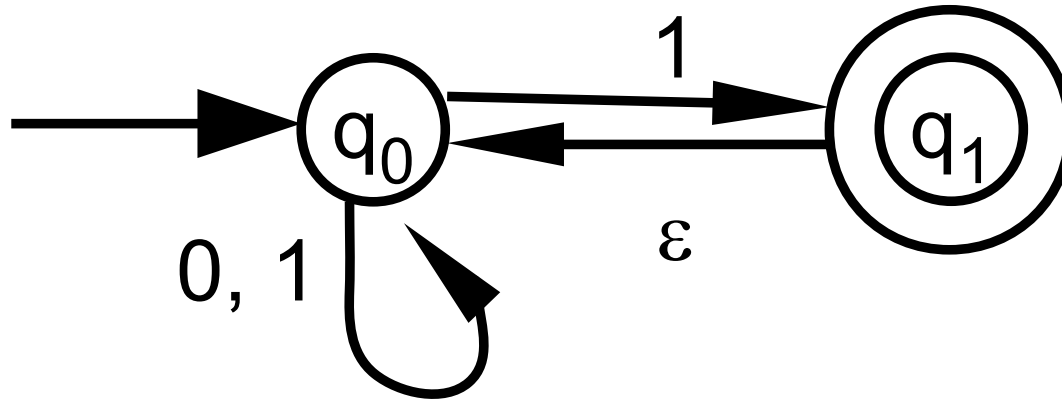
Example:  $\text{Powerset}(\{1,2\}) = ?$

- **Definition:** A non-deterministic finite automaton (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
  - $Q$  is a finite set of states
  - $\Sigma$  is the input alphabet
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  - $F \subseteq Q$  is the set of accept states

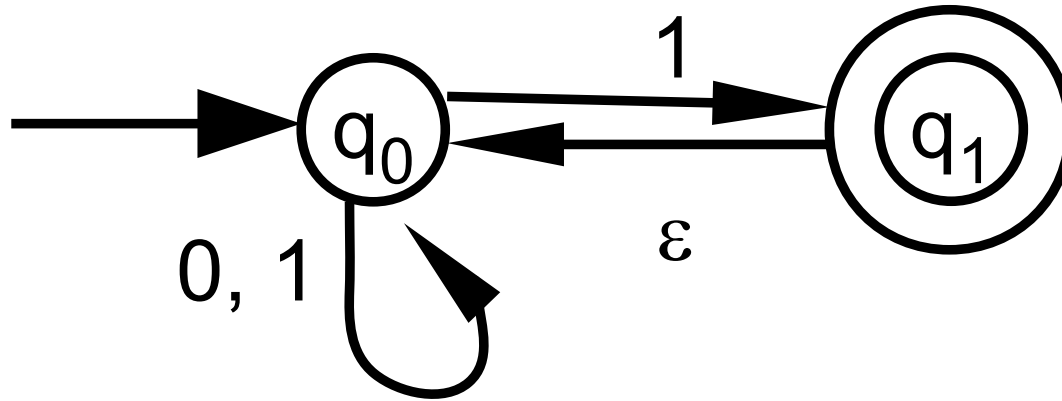
- Recall:  $\text{Powerset}(Q) = \text{set of all subsets of } Q$   
Example:  $\text{Powerset}(\{1,2\}) = \{\emptyset, \{1\}, \{2\}, \{1,2\}\}$



- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
- $Q = \{ q_0, q_1 \}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = ?$



- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = \{q_0\}$      $\delta(q_0, 1) = ?$



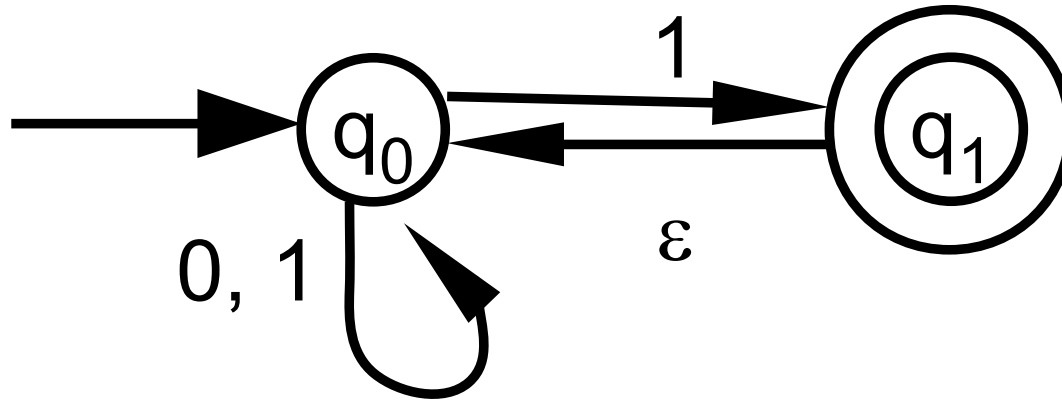
• **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

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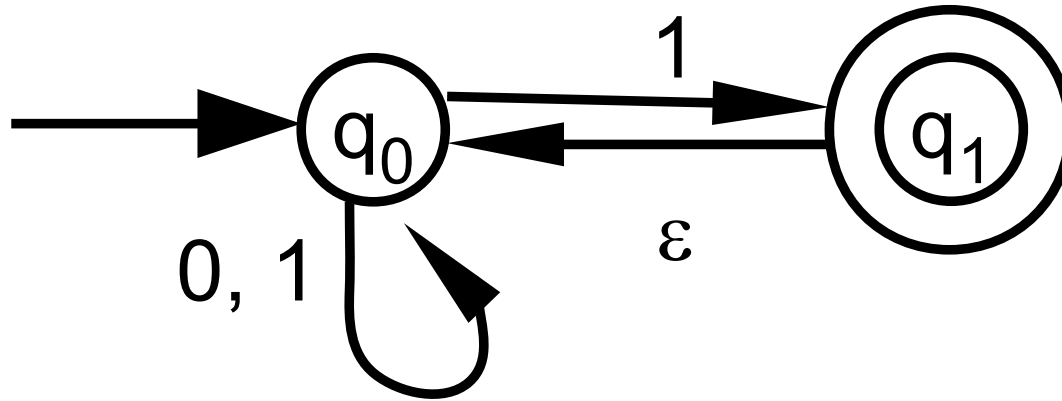
•  $\Sigma = \{0, 1\}$

•  $\delta(q_0, 0) = \{q_0\}$      $\delta(q_0, 1) = \{q_0, q_1\}$      $\delta(q_0, \varepsilon) = ?$

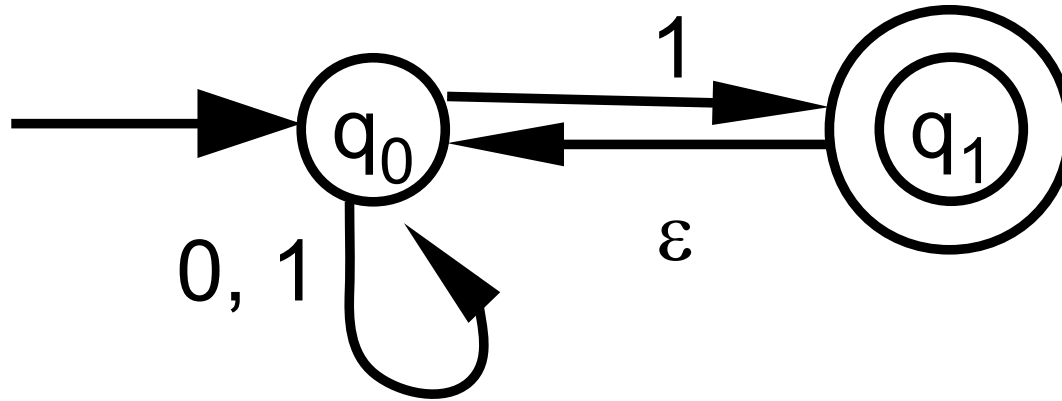




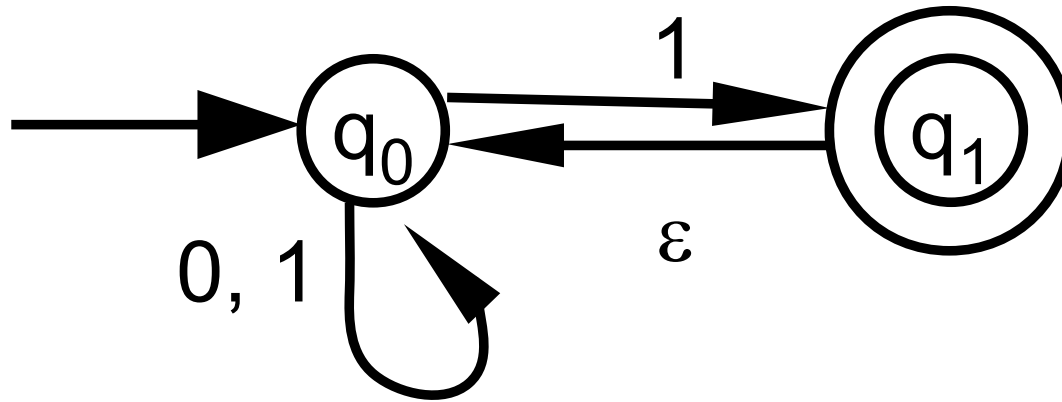
- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
- $Q = \{q_0, q_1\}$
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- $\delta(q_0, 0) = \{q_0\}$      $\delta(q_0, 1) = \{q_0, q_1\}$      $\delta(q_0, \varepsilon) = \emptyset$   
 $\delta(q_1, 0) = ?$



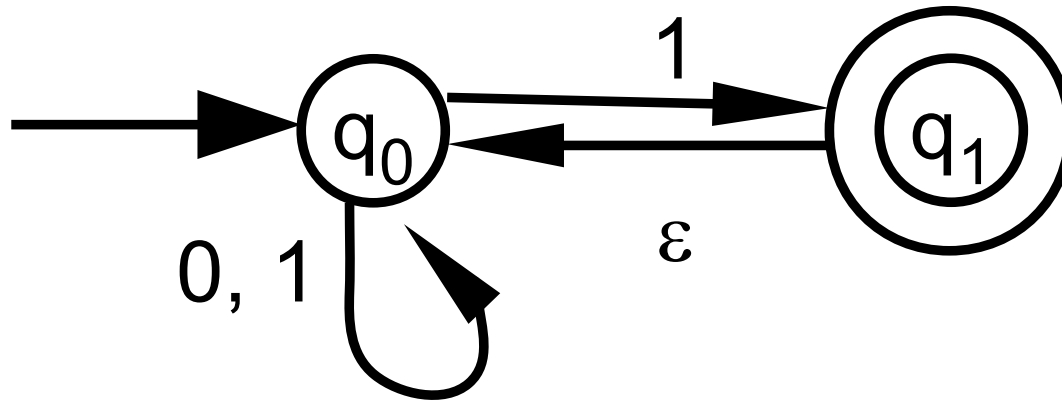
- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
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- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
- $Q = \{q_0, q_1\}$
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 $\delta(q_1, 0) = \emptyset$      $\delta(q_1, 1) = \emptyset$      $\delta(q_1, \varepsilon) = ?$



- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
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 $\delta(q_1, 0) = \emptyset$      $\delta(q_1, 1) = \emptyset$      $\delta(q_1, \varepsilon) = \{q_0\}$
- $q_0$  in  $Q$  is the start state
- $F = ?$

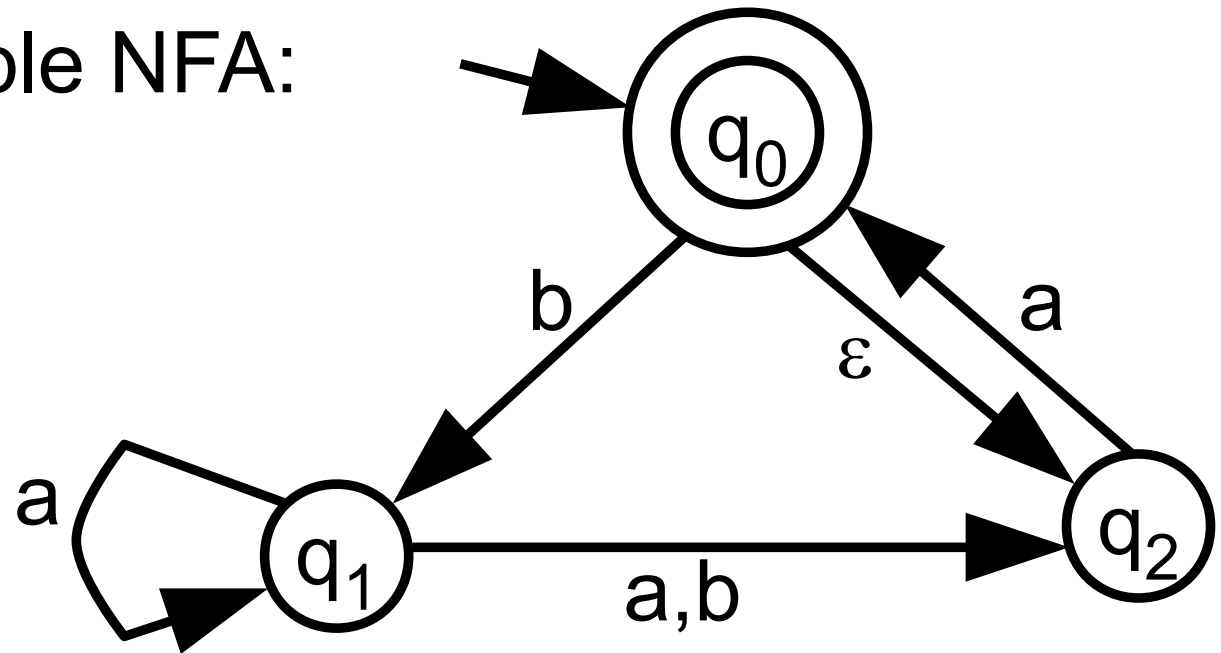


- **Example:** above NFA is 5-tuple  $(Q, \Sigma, \delta, q_0, F)$
- $Q = \{q_0, q_1\}$
- $\Sigma = \{0, 1\}$
- $\delta(q_0, 0) = \{q_0\}$      $\delta(q_0, 1) = \{q_0, q_1\}$      $\delta(q_0, \varepsilon) = \emptyset$   
 $\delta(q_1, 0) = \emptyset$      $\delta(q_1, 1) = \emptyset$      $\delta(q_1, \varepsilon) = \{q_0\}$
- $q_0$  in  $Q$  is the start state
- $F = \{q_1\} \subseteq Q$  is the set of accept states

- **Definition:** A NFA  $(Q, \Sigma, \delta, q_0, F)$  **accepts** a string  $w$  if
  - $\exists$  integer  $k$ ,  $\exists k$  strings  $w_1, w_2, \dots, w_k$  such that
  - $w = w_1 w_2 \dots w_k$  where  $\forall 1 \leq i \leq k, w_i \in \Sigma \cup \{\varepsilon\}$   
(the symbols of  $w$ , or  $\varepsilon$ )
  - $\exists$  sequence of  $k+1$  states  $r_0, r_1, \dots, r_k$  in  $Q$  such that:
    - $r_0 = q_0$
    - $r_{i+1} \in \delta(r_i, w_{i+1}) \quad \forall 0 \leq i < k$
    - $r_k$  is in  $F$

- Differences with DFA are in **green**

Back to first example NFA:



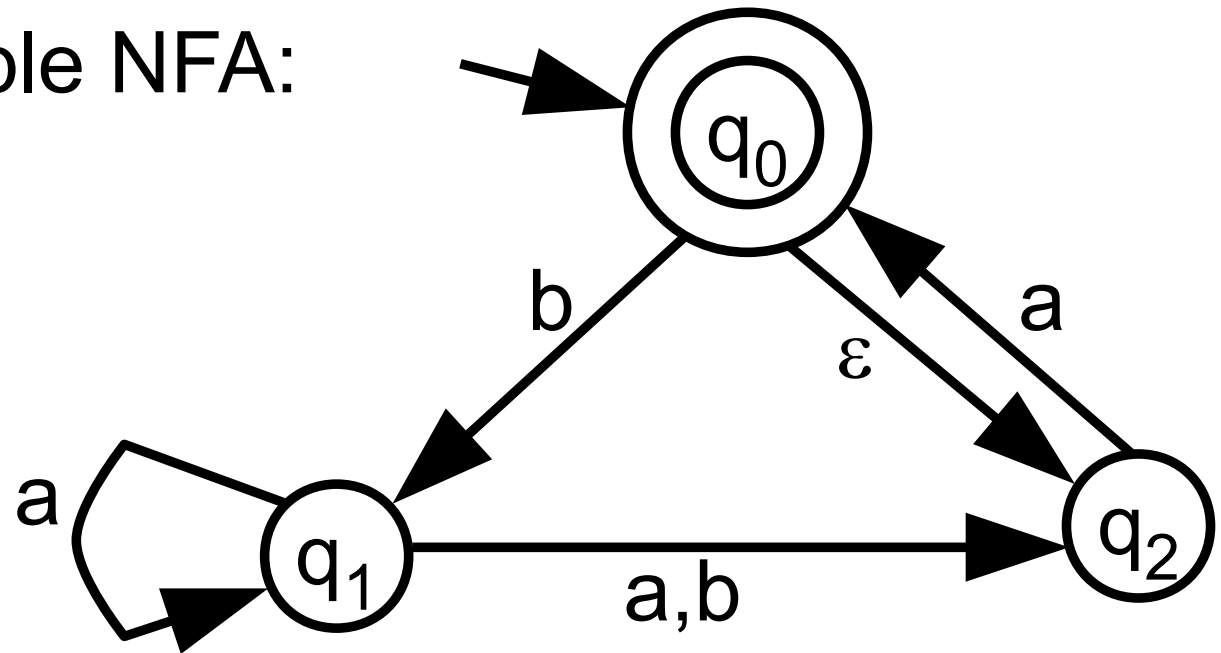
Accepts  $w = baaaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

Accepting sequence of  $5+1 = 6$  states:

$$r_0 = ?$$

Back to first example NFA:



Accepts  $w = baaaa$

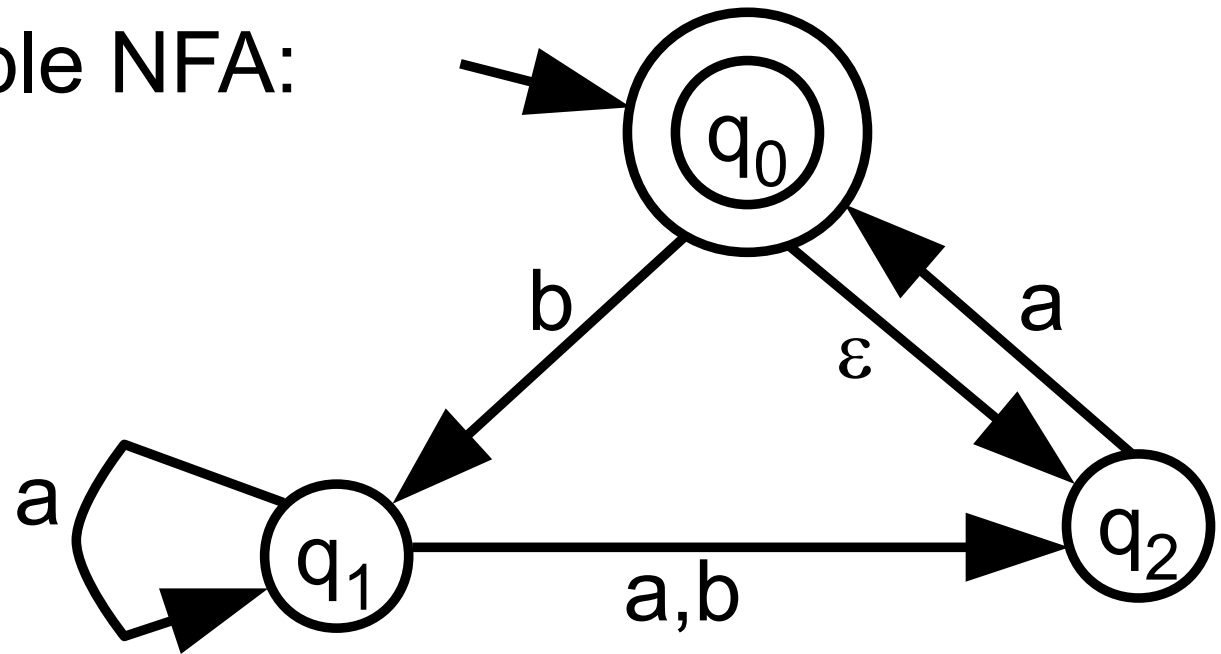
$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

Accepting sequence of  $5+1 = 6$  states:

$$r_0 = q_0, \quad r_1 = ?$$



Back to first example NFA:



Accepts  $w = baaaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

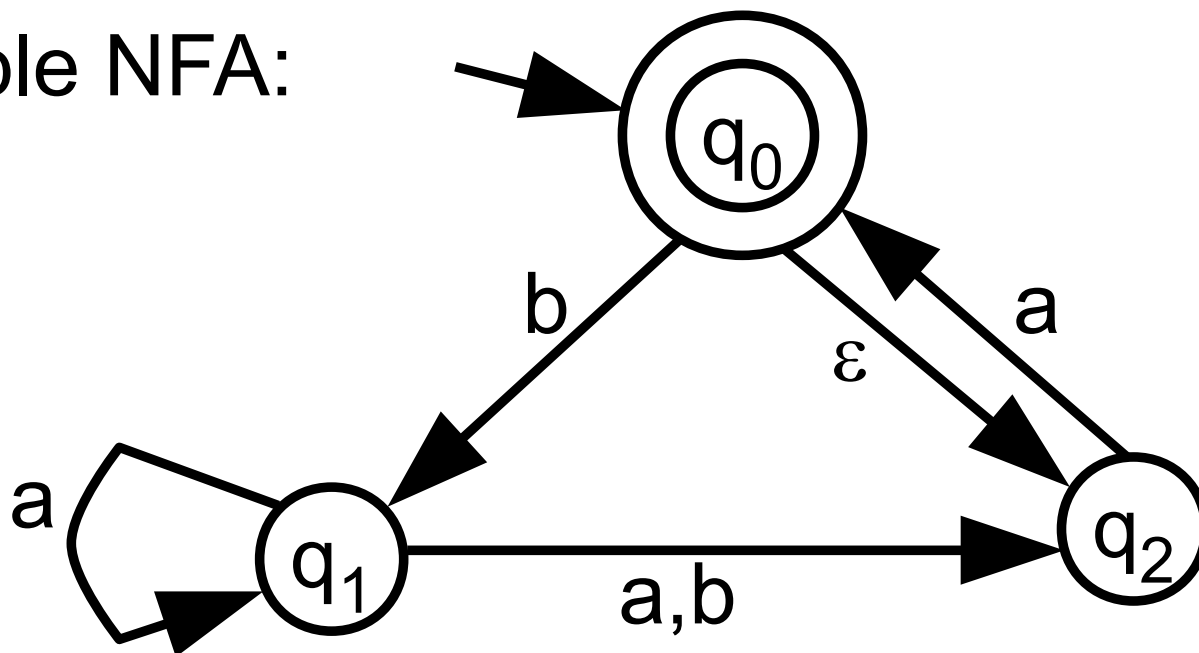
Accepting sequence of  $5+1 = 6$  states:

$$r_0 = q_0, \quad r_1 = q_1, \quad r_2 = ?$$

Transitions:

$$r_1 \in \delta(r_0, b) = \{q_1\}$$

Back to first example NFA:



Accepts  $w = baaaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

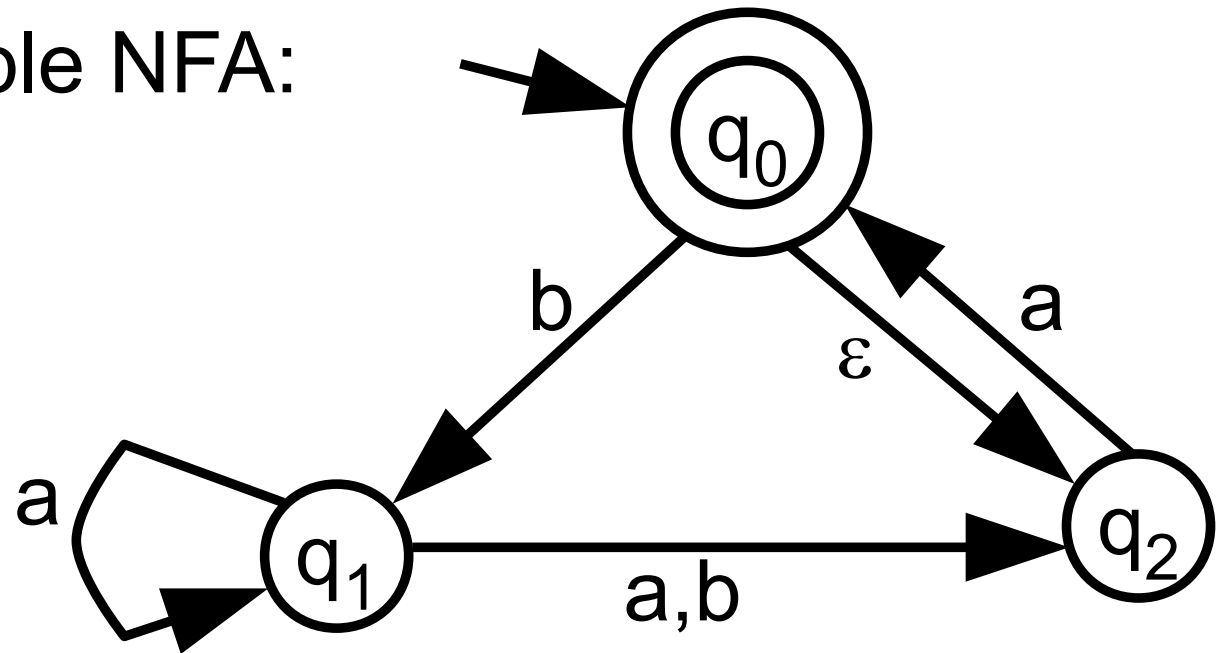
Accepting sequence of  $5+1 = 6$  states:

$$r_0 = q_0, \quad r_1 = q_1, \quad r_2 = q_2, \quad r_3 = ?$$

Transitions:

$$r_1 \in \delta(r_0, b) = \{q_1\} \quad r_2 \in \delta(r_1, a) = \{q_1, q_2\}$$

Back to first example NFA:



Accepts  $w = baaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

Accepting sequence of  $5+1 = 6$  states:

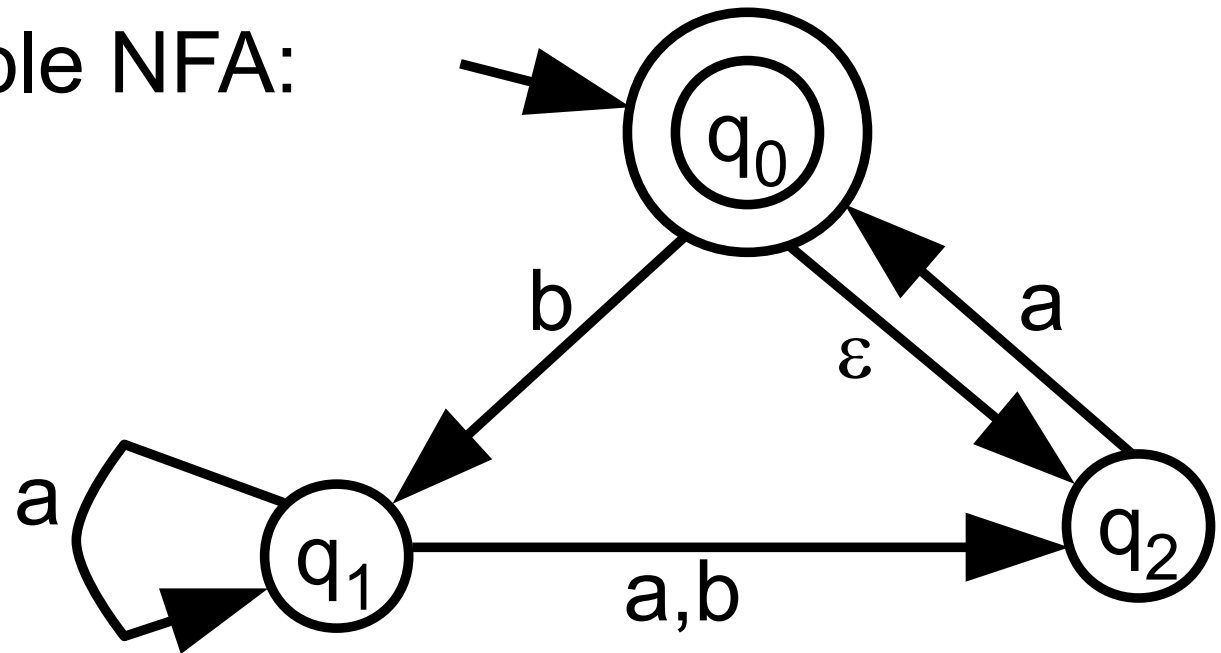
$$r_0 = q_0, \quad r_1 = q_1, \quad r_2 = q_2, \quad r_3 = q_0, \quad r_4 = ?$$

Transitions:

$$r_1 \in \delta(r_0, b) = \{q_1\} \quad r_2 \in \delta(r_1, a) = \{q_1, q_2\}$$

$$r_3 \in \delta(r_2, a) = \{q_0\}$$

Back to first example NFA:



Accepts  $w = baaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

Accepting sequence of  $5+1 = 6$  states:

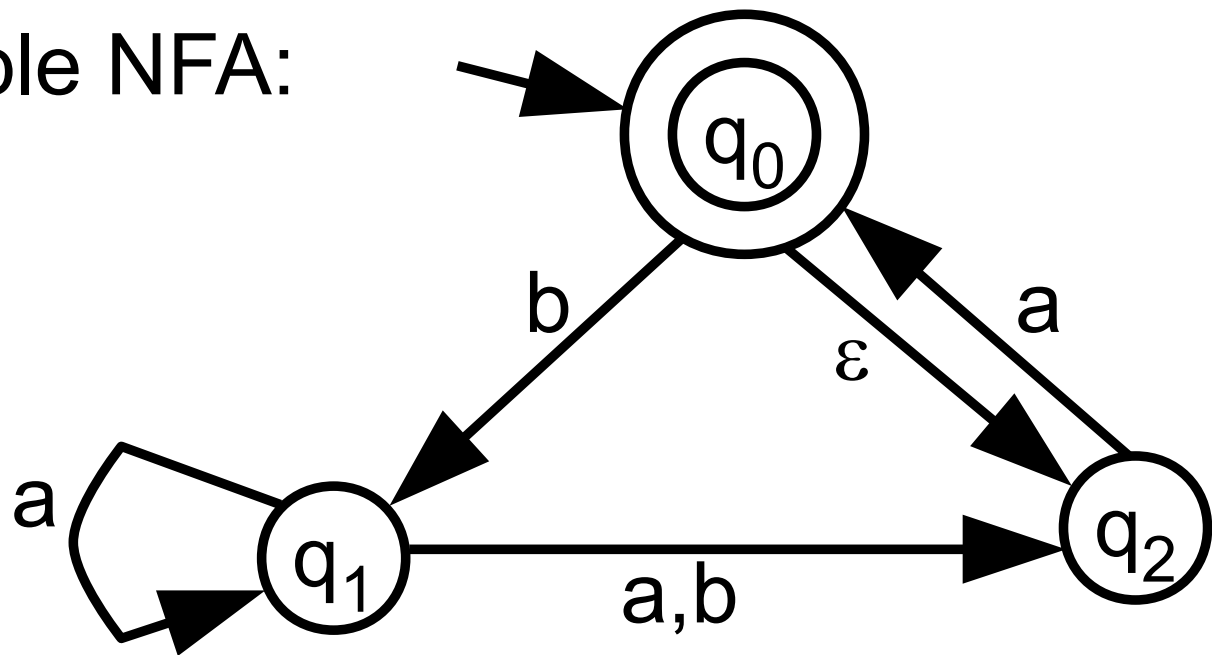
$$r_0 = q_0, \quad r_1 = q_1, \quad r_2 = q_2, \quad r_3 = q_0, \quad r_4 = q_2, \quad r_5 = ?$$

Transitions:

$$r_1 \in \delta(r_0, b) = \{q_1\} \quad r_2 \in \delta(r_1, a) = \{q_1, q_2\}$$

$$r_3 \in \delta(r_2, a) = \{q_0\} \quad r_4 \in \delta(r_3, \varepsilon) = \{q_2\}$$

Back to first example NFA:



Accepts  $w = baaa$

$$w_1 = b, \quad w_2 = a, \quad w_3 = a, \quad w_4 = \varepsilon, \quad w_5 = a$$

Accepting sequence of  $5+1 = 6$  states:

$$r_0 = q_0, \quad r_1 = q_1, \quad r_2 = q_2, \quad r_3 = q_0, \quad r_4 = q_2, \quad r_5 = q_0$$

Transitions:

$$r_1 \in \delta(r_0, b) = \{q_1\} \quad r_2 \in \delta(r_1, a) = \{q_1, q_2\}$$

$$r_3 \in \delta(r_2, a) = \{q_0\} \quad r_4 \in \delta(r_3, \varepsilon) = \{q_2\} \quad r_5 \in \delta(r_4, a) = \{q_0\}$$

- NFA are at least as powerful as DFA, because DFA are a special case of NFA
- Are NFA more powerful than DFA?
- Surprisingly, they are not:
- **Theorem:**  
For every NFA  $N$  there is DFA  $M$  :  $L(M) = L(N)$

- **Theorem:**

For every NFA  $N$  there is DFA  $M : L(M) = L(N)$

- **Construction** without  $\varepsilon$  transitions

- Given NFA  $N (Q, \Sigma, \delta, q, F)$

- Construct DFA  $M (Q', \Sigma, \delta', q', F')$  where:

- $Q' := \text{Powerset}(Q)$

- $q' = \{q\}$

- $F' = \{ S : S \in Q' \text{ and } S \text{ contains an element of } F \}$

- $\delta'(S, a) := \bigcup_{s \in S} \delta(s, a)$

$= \{ t : t \in \delta(s, a) \text{ for some } s \in S \}$

- It remains to deal with  $\varepsilon$  transitions

- **Definition:** Let  $S$  be a set of states.

$E(S) := \{ q : q \text{ can be reached from some state } s \text{ in } S \text{ traveling along 0 or more } \varepsilon \text{ transitions} \}$

- We think of following  $\varepsilon$  transitions at beginning, or right after reading an input symbol in  $\Sigma$



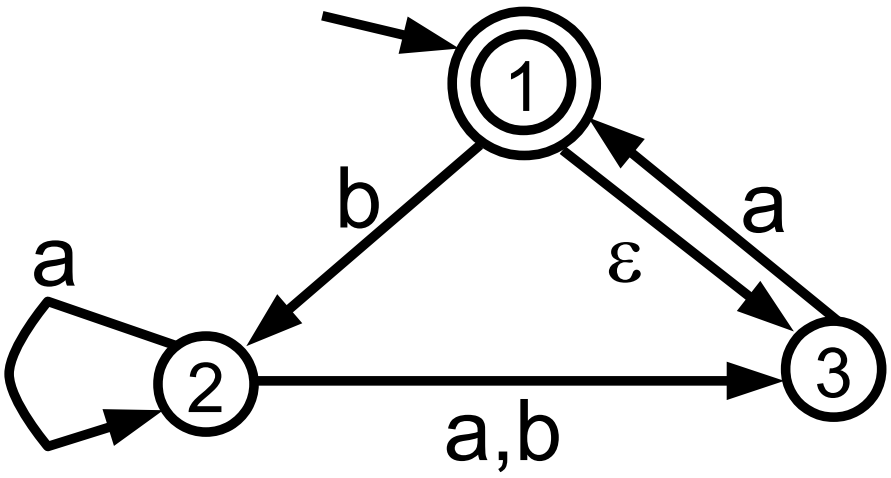
- **Theorem:**

For every NFA  $N$  there is DFA  $M : L(M) = L(N)$

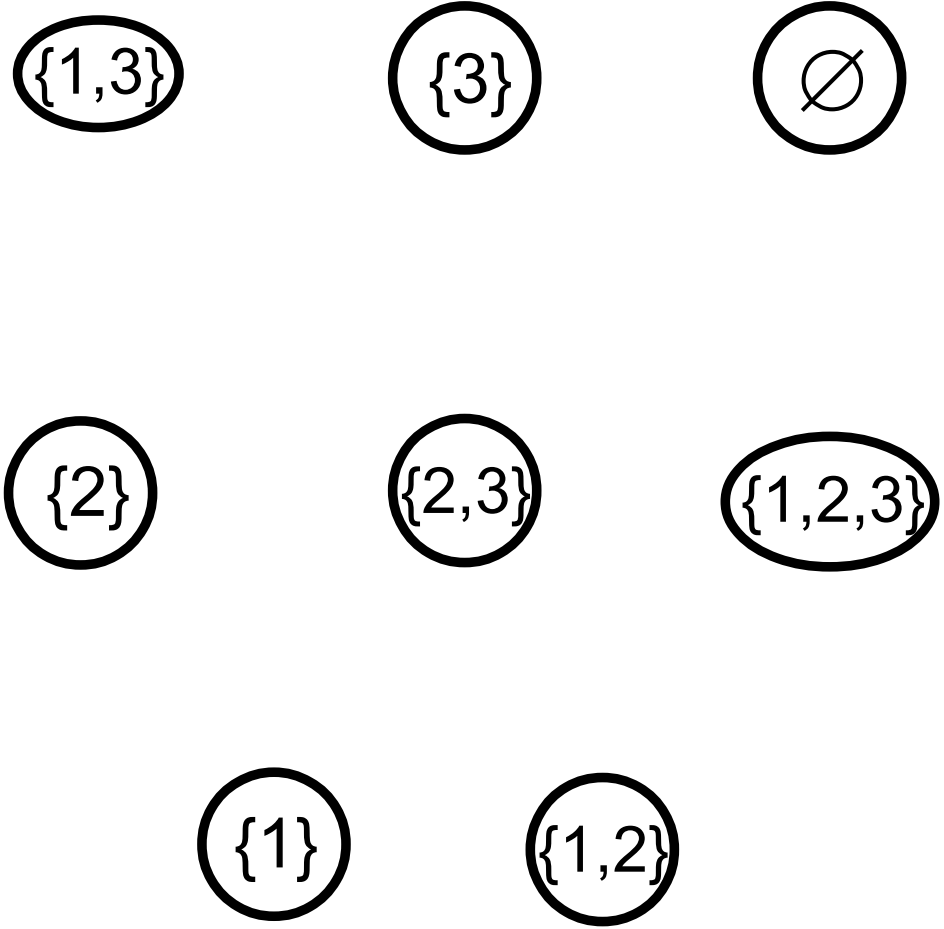
- **Construction** including  $\epsilon$  transitions
- Given NFA  $N (Q, \Sigma, \delta, q, F)$
- Construct DFA  $M (Q', \Sigma, \delta', q', F')$  where:
- $Q' := \text{Powerset}(Q)$
- $q' = E(\{q\})$
- $F' = \{ S : S \in Q' \text{ and } S \text{ contains an element of } F \}$
- $\delta'(S, a) := E( \bigcup_{s \in S} \delta(s, a) )$   
 $= \{ t : t \in E( \delta(s, a) ) \text{ for some } s \in S \}$

# Example: NFA $\rightarrow$ DFA conversion

NFA



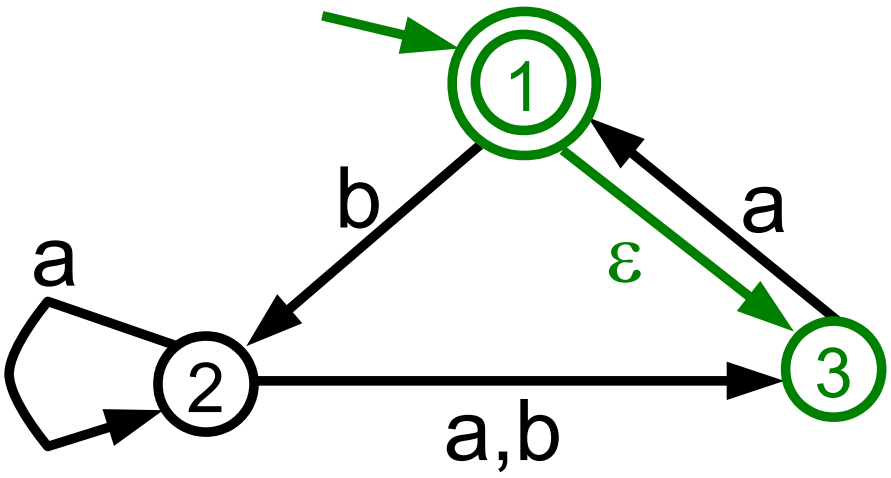
DFA



$Q_{DFA} = \text{Powerset}(Q_{NFA})$   
 $= \text{Powerset}(\{1,2,3\})$   
 $= \{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \dots\}$

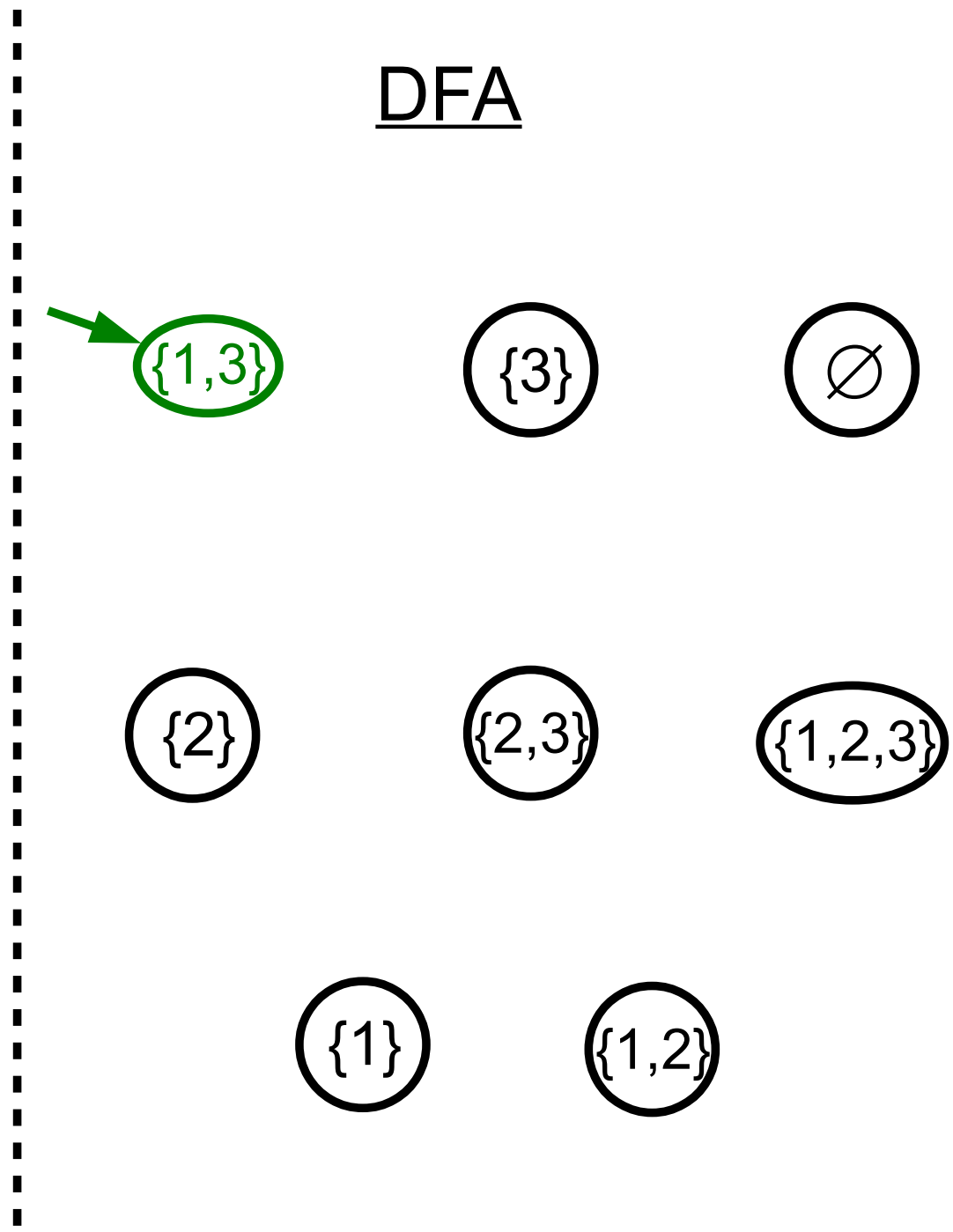
# Example: NFA $\rightarrow$ DFA conversion

NFA



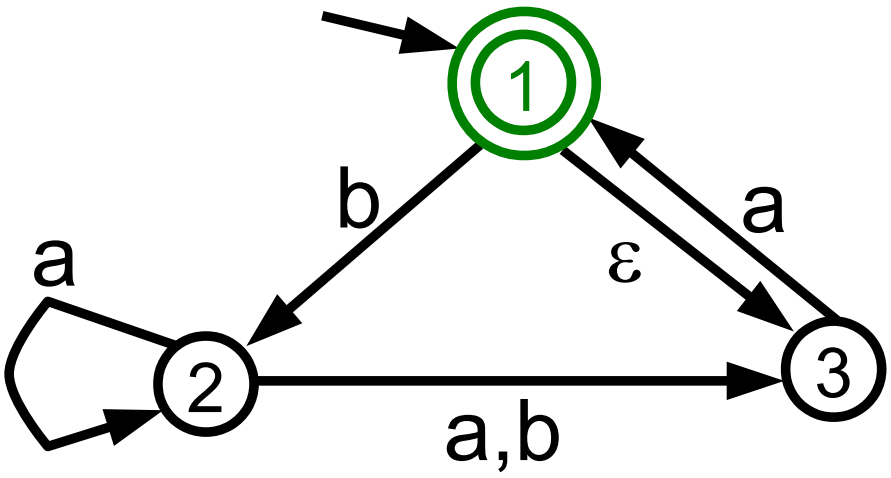
$$\begin{aligned}
 q_{\text{DFA}} &= E(\{q_{\text{NFA}}\}) \\
 &= E(\{1\}) \\
 &= \{1,3\}
 \end{aligned}$$

DFA

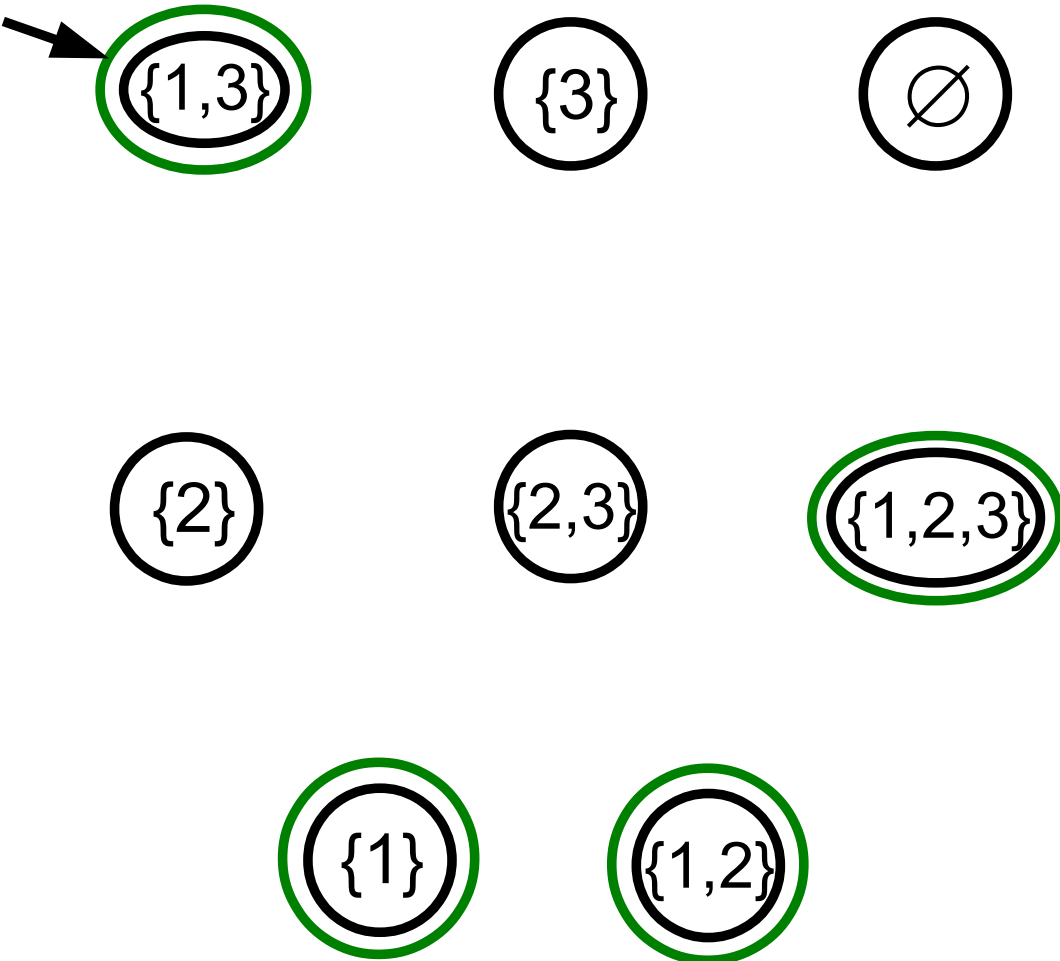


# Example: NFA $\rightarrow$ DFA conversion

NFA



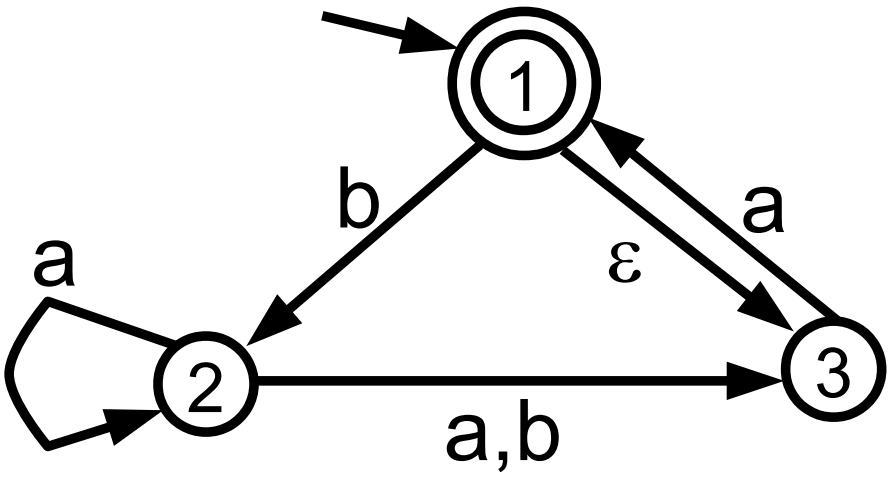
DFA



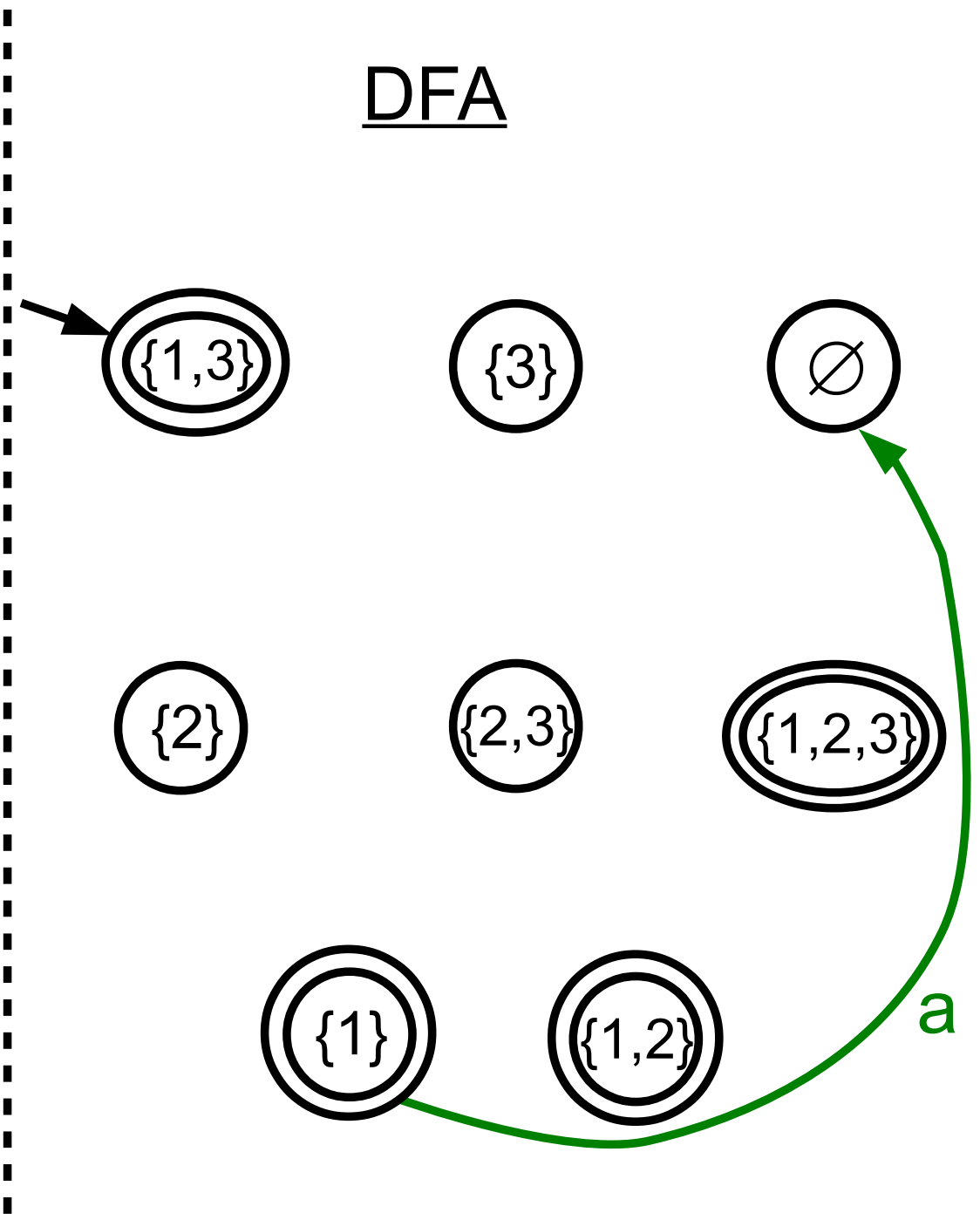
$F_{DFA} = \{S : S \text{ contains an element of } F_{NFA}\}$

# Example: NFA $\rightarrow$ DFA conversion

NFA



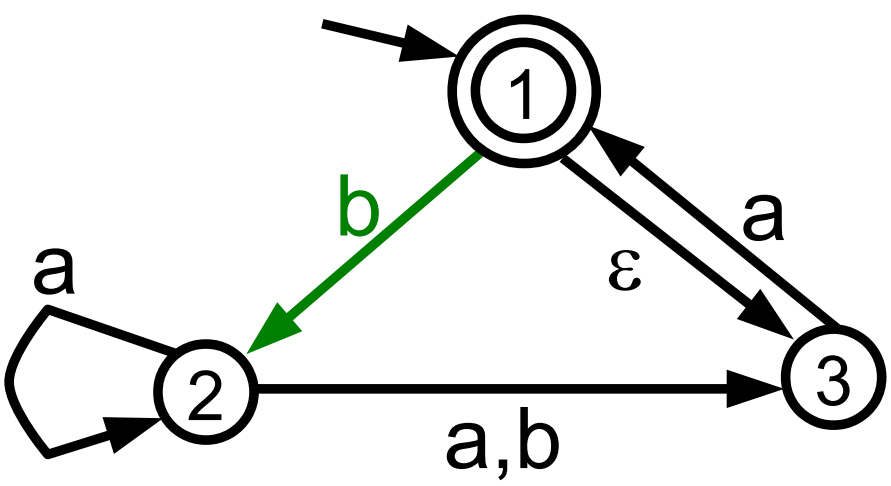
DFA



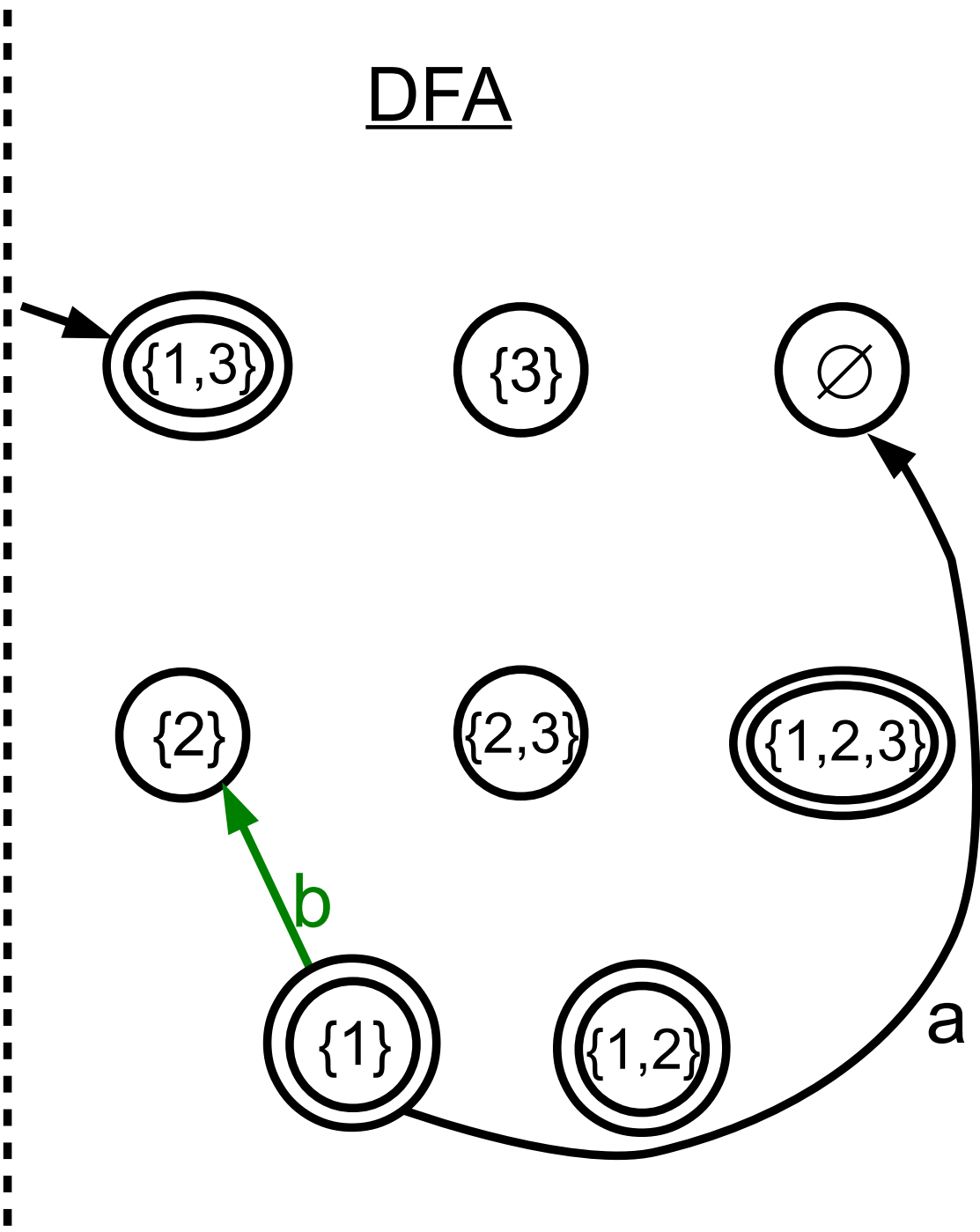
$$\begin{aligned}
 &\delta_{\text{DFA}}(\{1\}, a) \\
 &= E(\delta_{\text{NFA}}(1, a)) \\
 &= E(\emptyset) = \emptyset
 \end{aligned}$$

# Example: NFA → DFA conversion

NFA



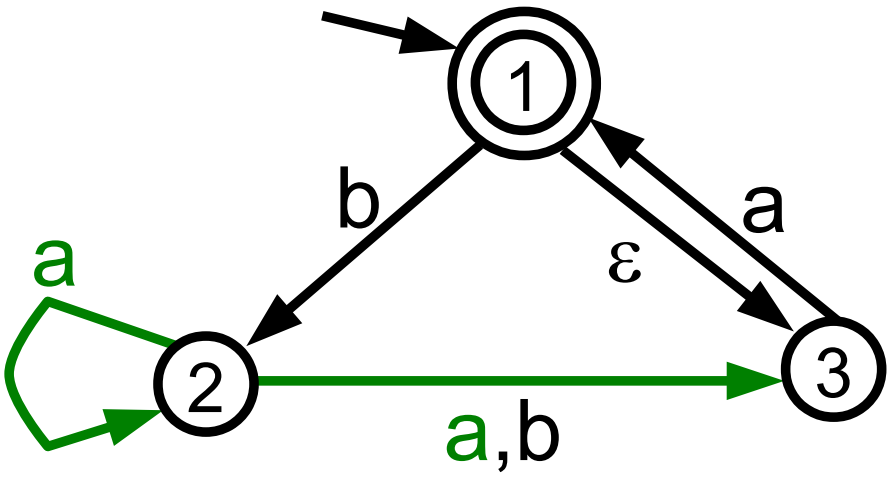
DFA



$$\begin{aligned}
 &\delta_{\text{DFA}}(\{1\}, b) \\
 &= E(\delta_{\text{NFA}}(1, b)) \\
 &= E(\{2\}) = \{2\}
 \end{aligned}$$

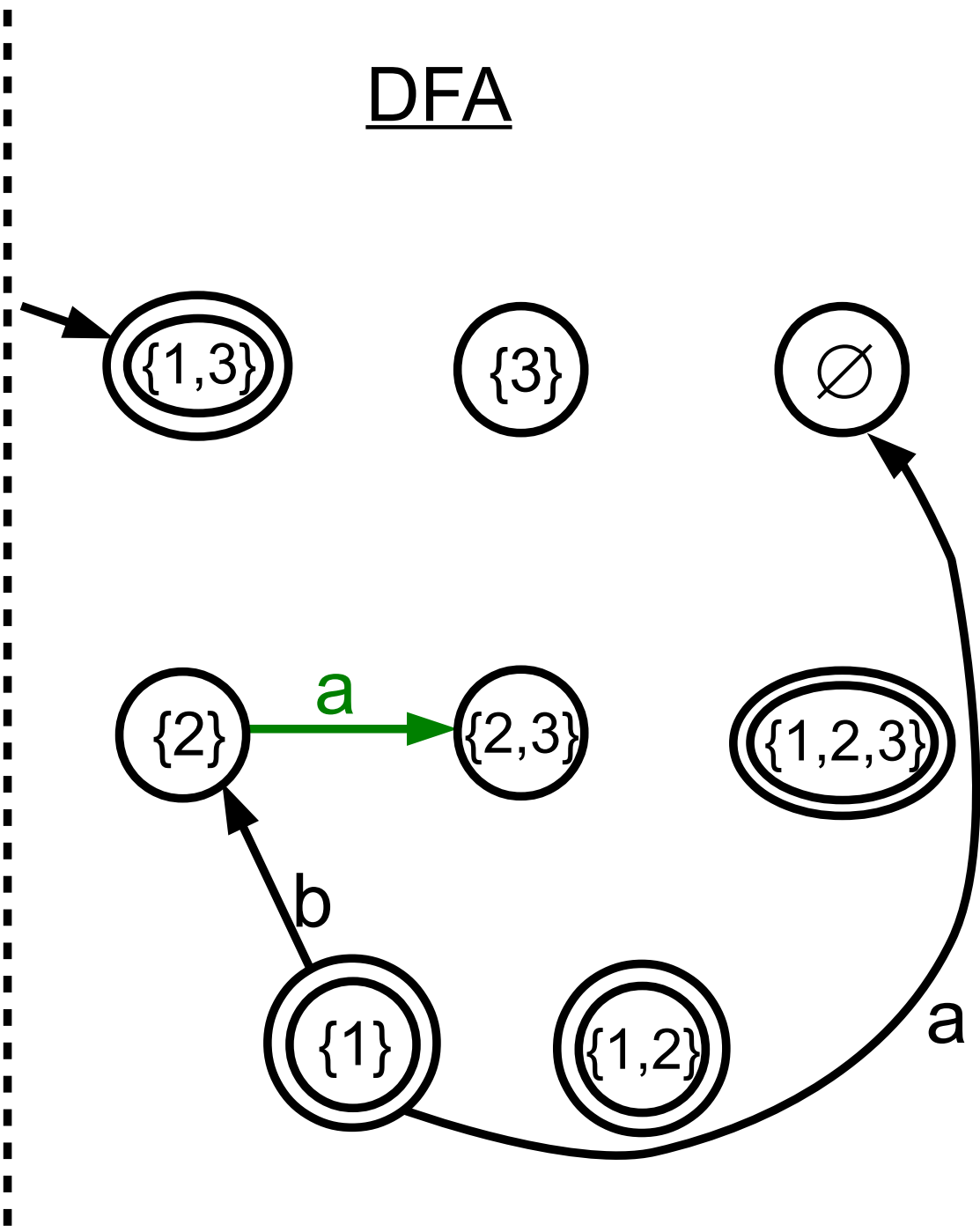
# Example: NFA $\rightarrow$ DFA conversion

NFA



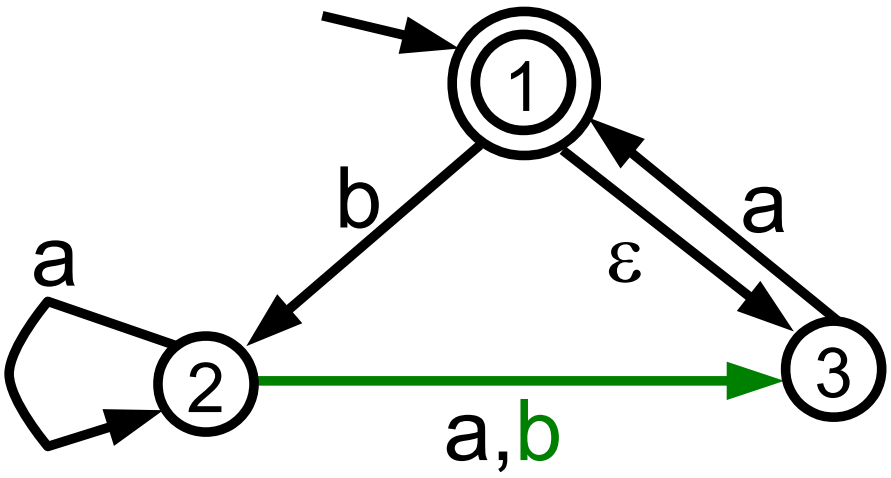
$$\begin{aligned} \delta_{\text{DFA}}(\{2\}, a) &= E(\delta_{\text{NFA}}(2, a)) \\ &= E(\{2,3\}) = \{2,3\} \end{aligned}$$

DFA



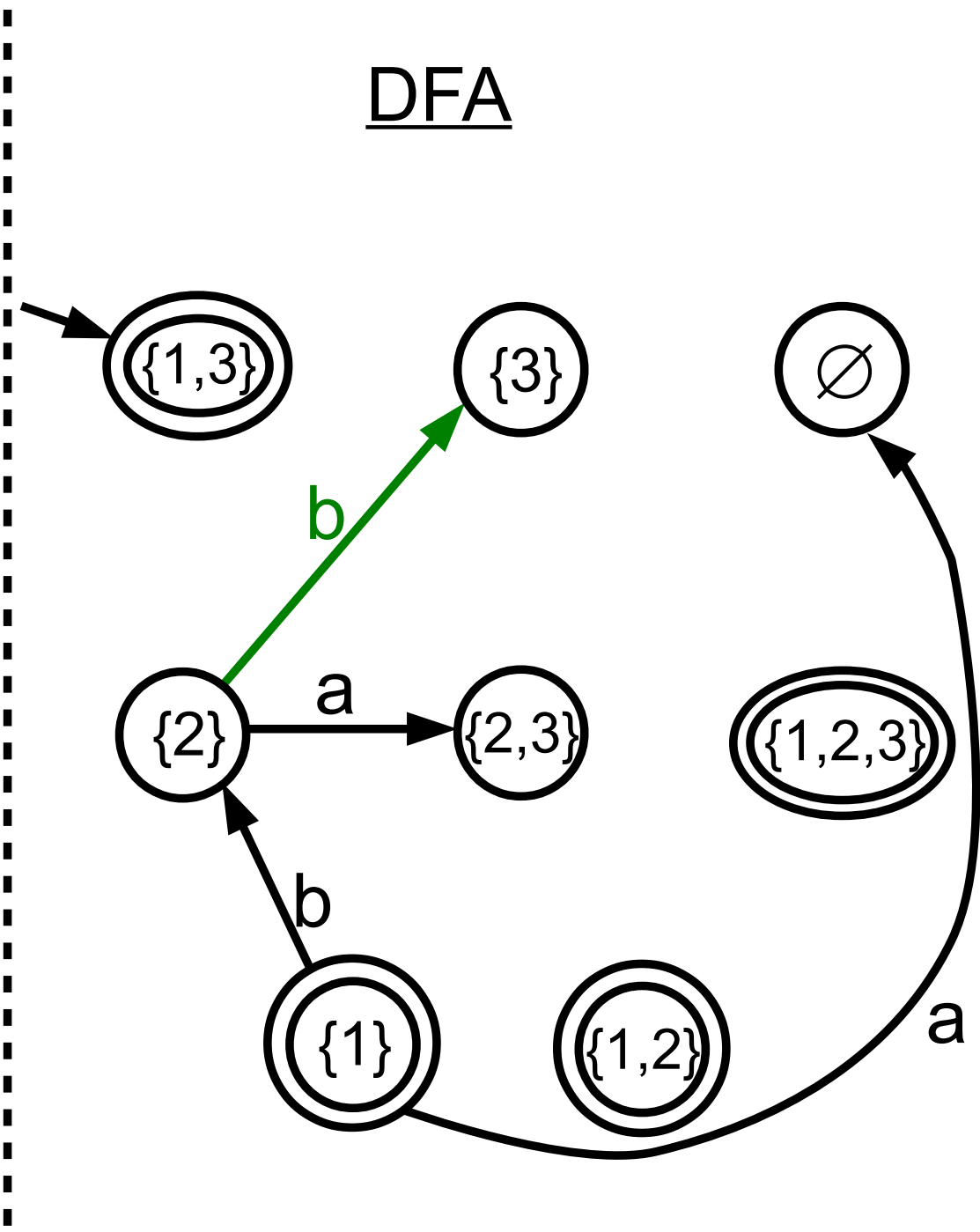
# Example: NFA $\rightarrow$ DFA conversion

NFA



$$\begin{aligned} \delta_{\text{DFA}}(\{2\}, b) &= E(\delta_{\text{NFA}}(2, b)) \\ &= E(\{3\}) = \{3\} \end{aligned}$$

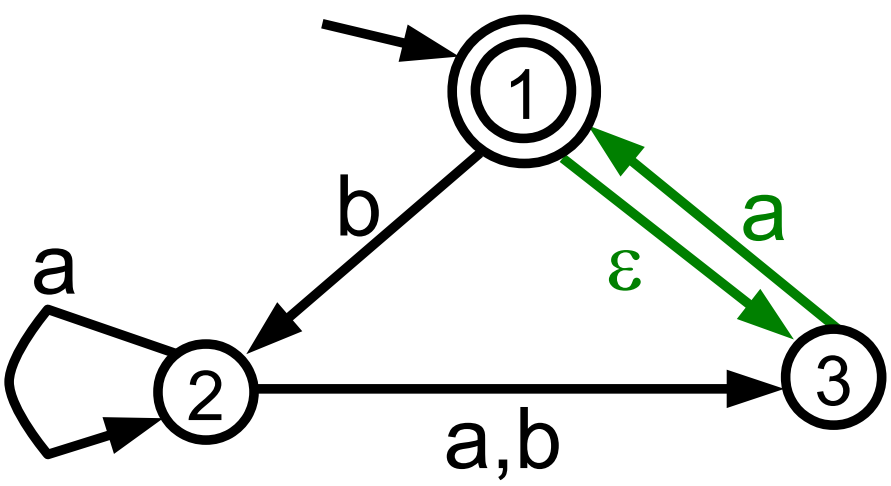
DFA



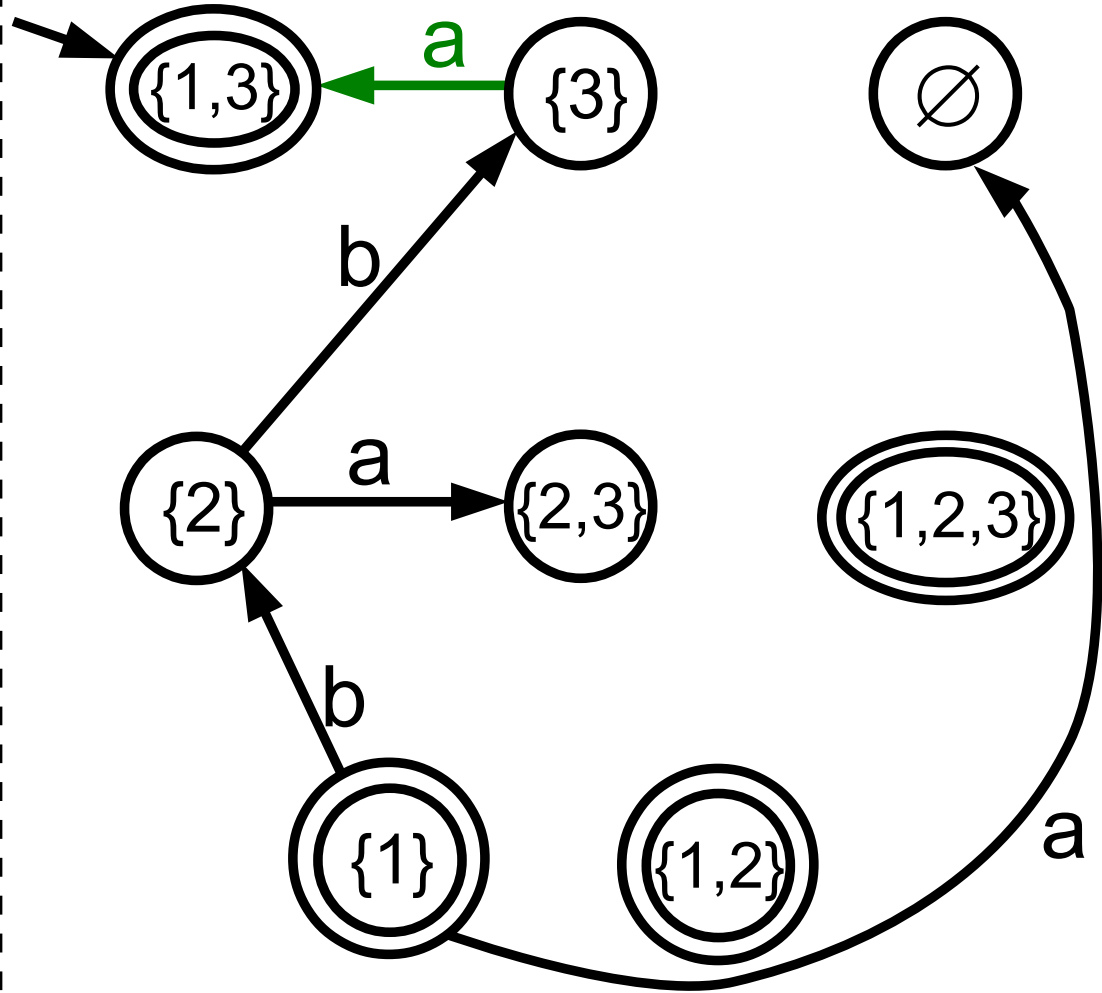


# Example: NFA $\rightarrow$ DFA conversion

NFA



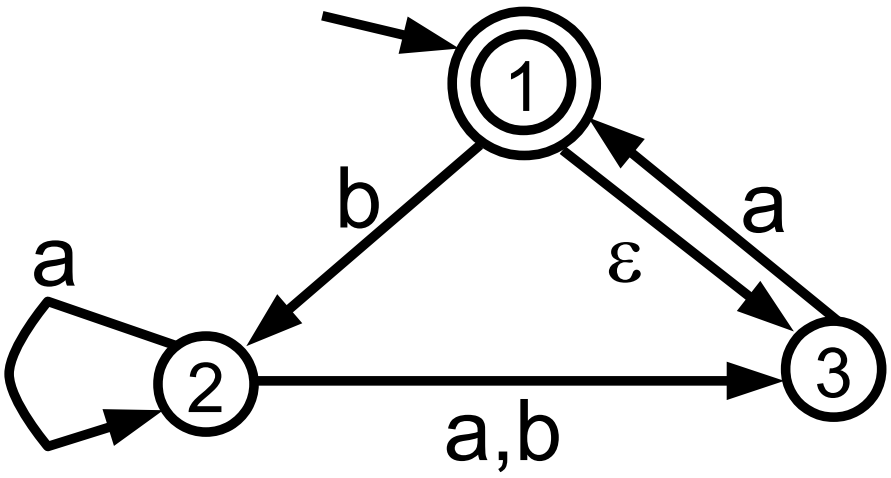
DFA



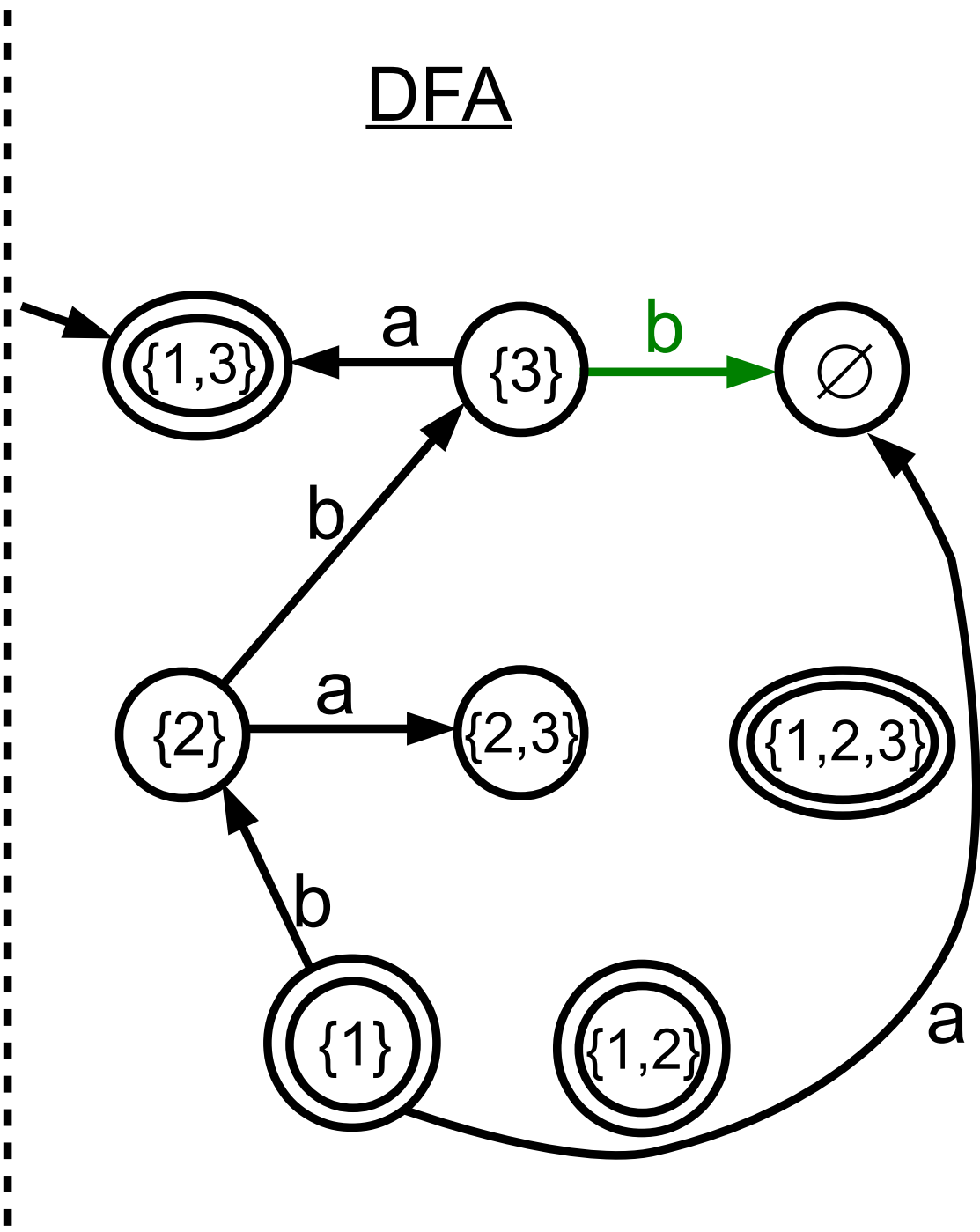
$$\begin{aligned} \delta_{\text{DFA}}(\{3\}, a) &= E(\delta_{\text{NFA}}(3, a)) \\ &= E(\{1\}) = \{1,3\} \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



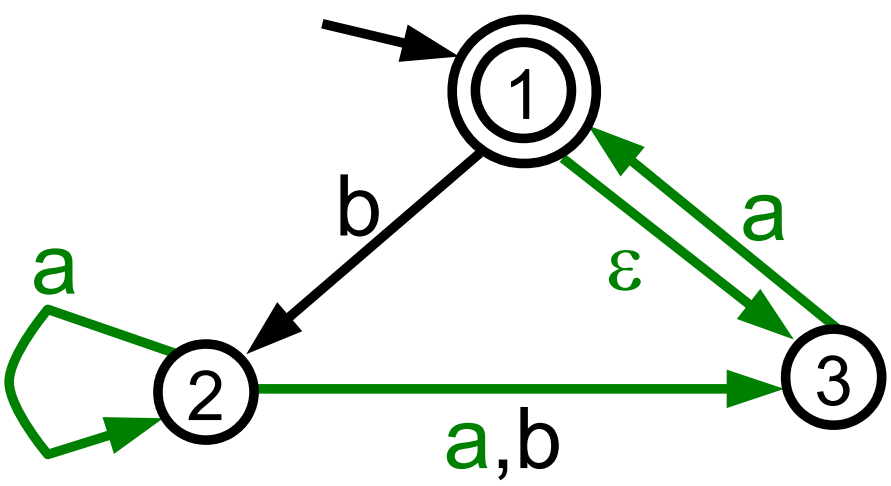
DFA



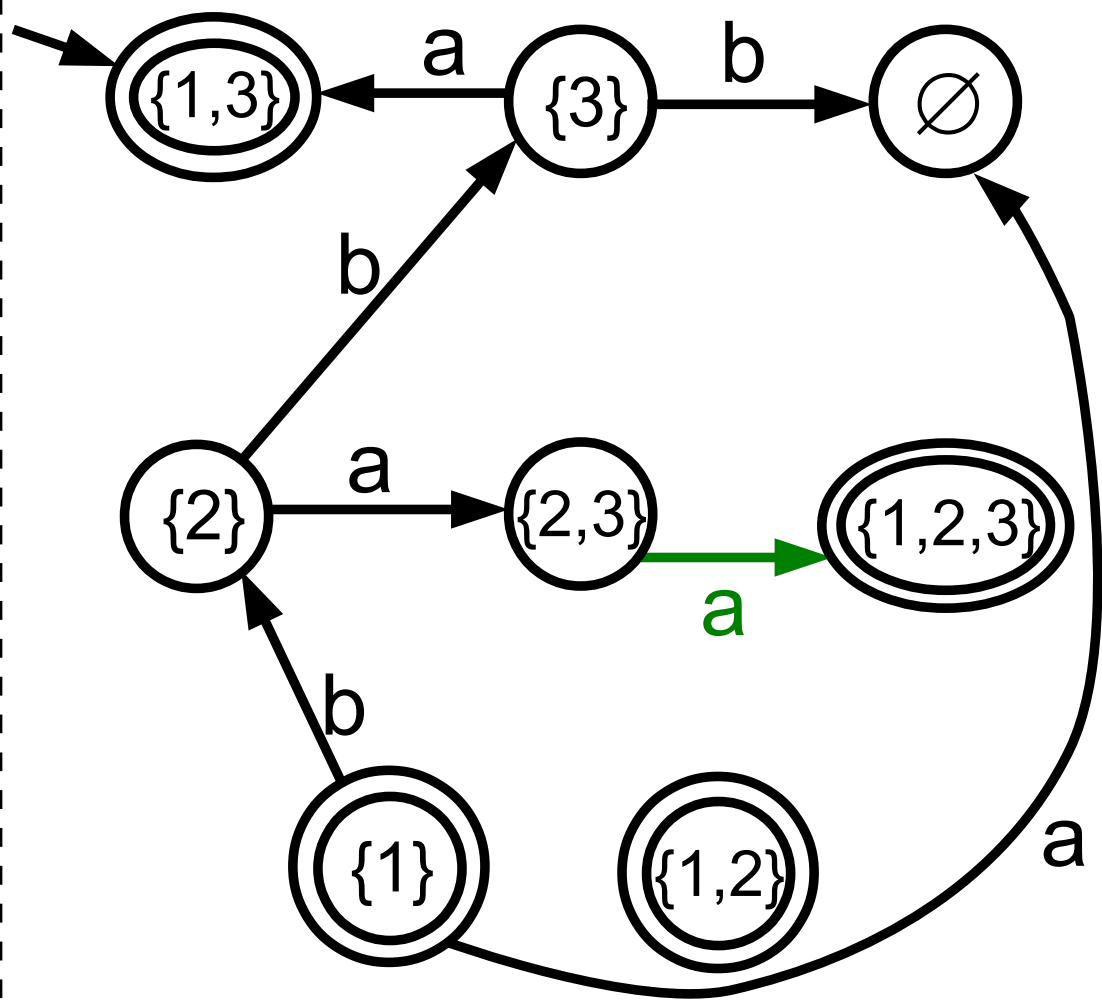
$$\begin{aligned}
 & \delta_{\text{DFA}}(\{3\}, b) \\
 &= E(\delta_{\text{NFA}}(3, b)) \\
 &= E(\emptyset) = \emptyset
 \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



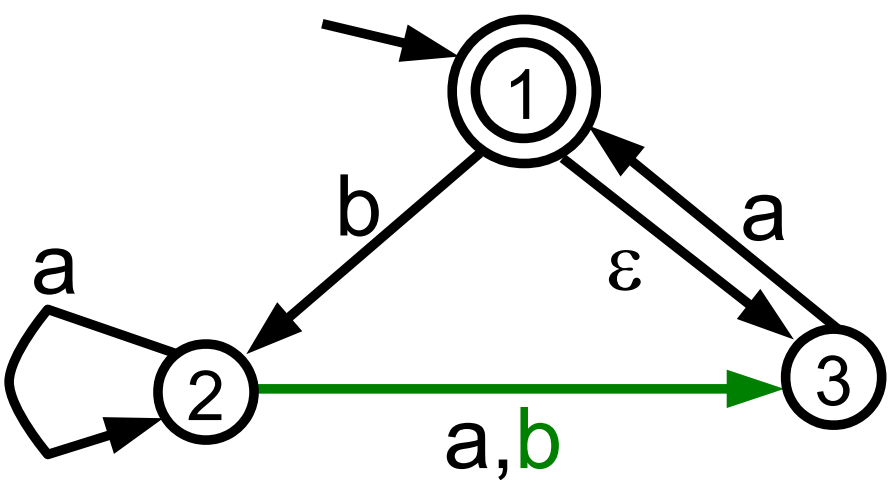
DFA



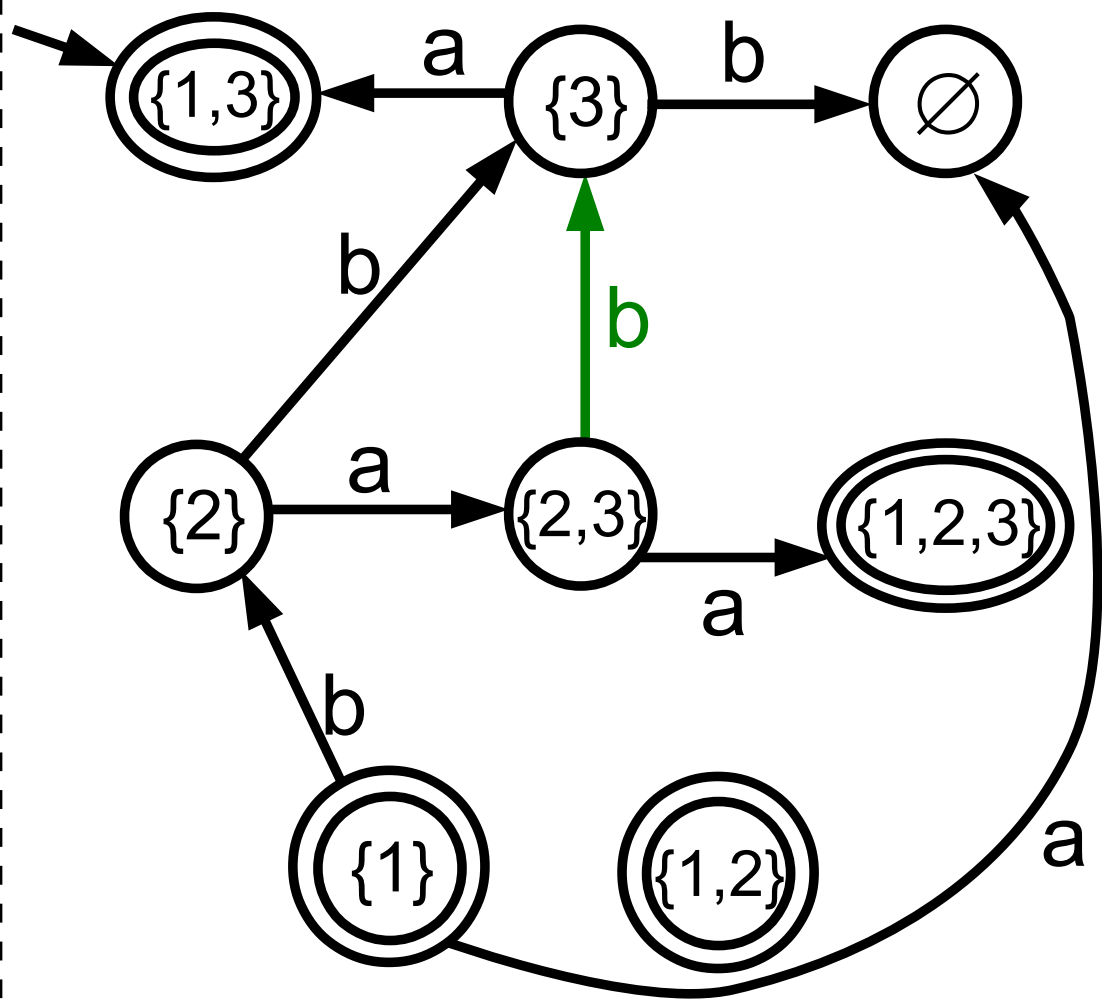
$$\begin{aligned} & \delta_{\text{DFA}}(\{2,3\}, a) \\ &= E(\delta_{\text{NFA}}(2, a) \cup \delta_{\text{NFA}}(3, a)) \\ &= E(\{2,3\} \cup \{1\}) = \{1,2,3\} \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



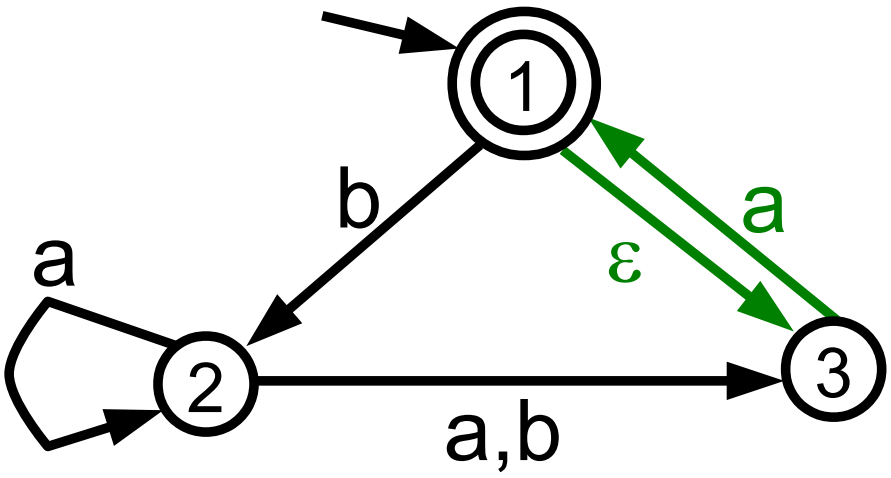
DFA



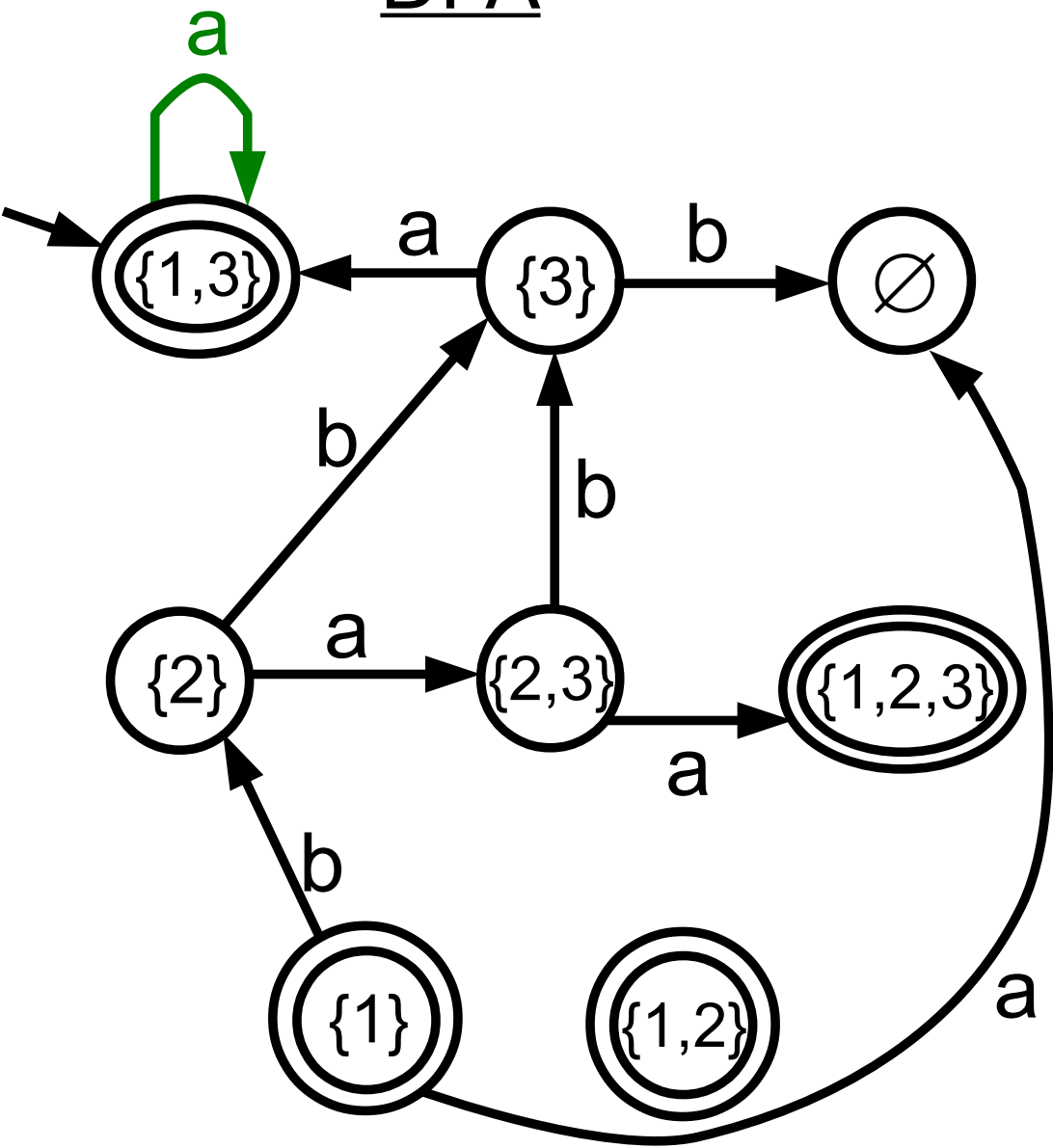
$$\begin{aligned}
 & \delta_{\text{DFA}}(\{2,3\}, b) \\
 &= E(\delta_{\text{NFA}}(2,b) \cup \delta_{\text{NFA}}(3,b)) \\
 &= E(\{3\} \cup \emptyset) = \{3\}
 \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



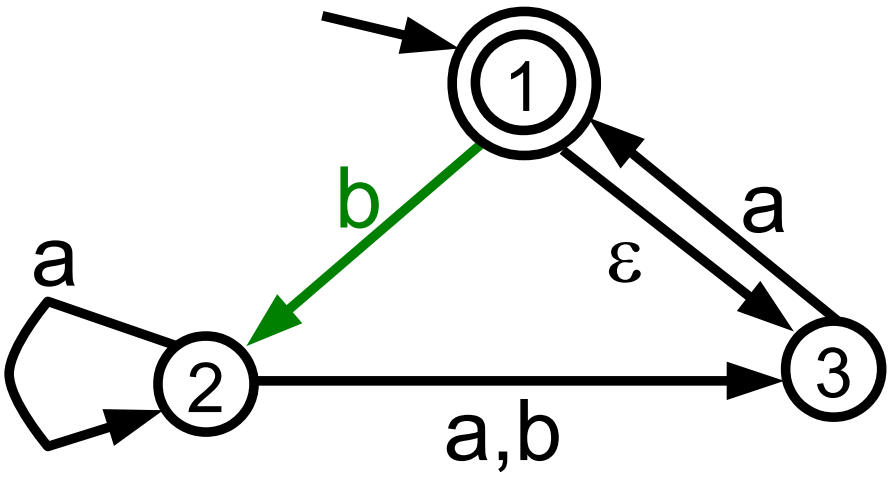
DFA



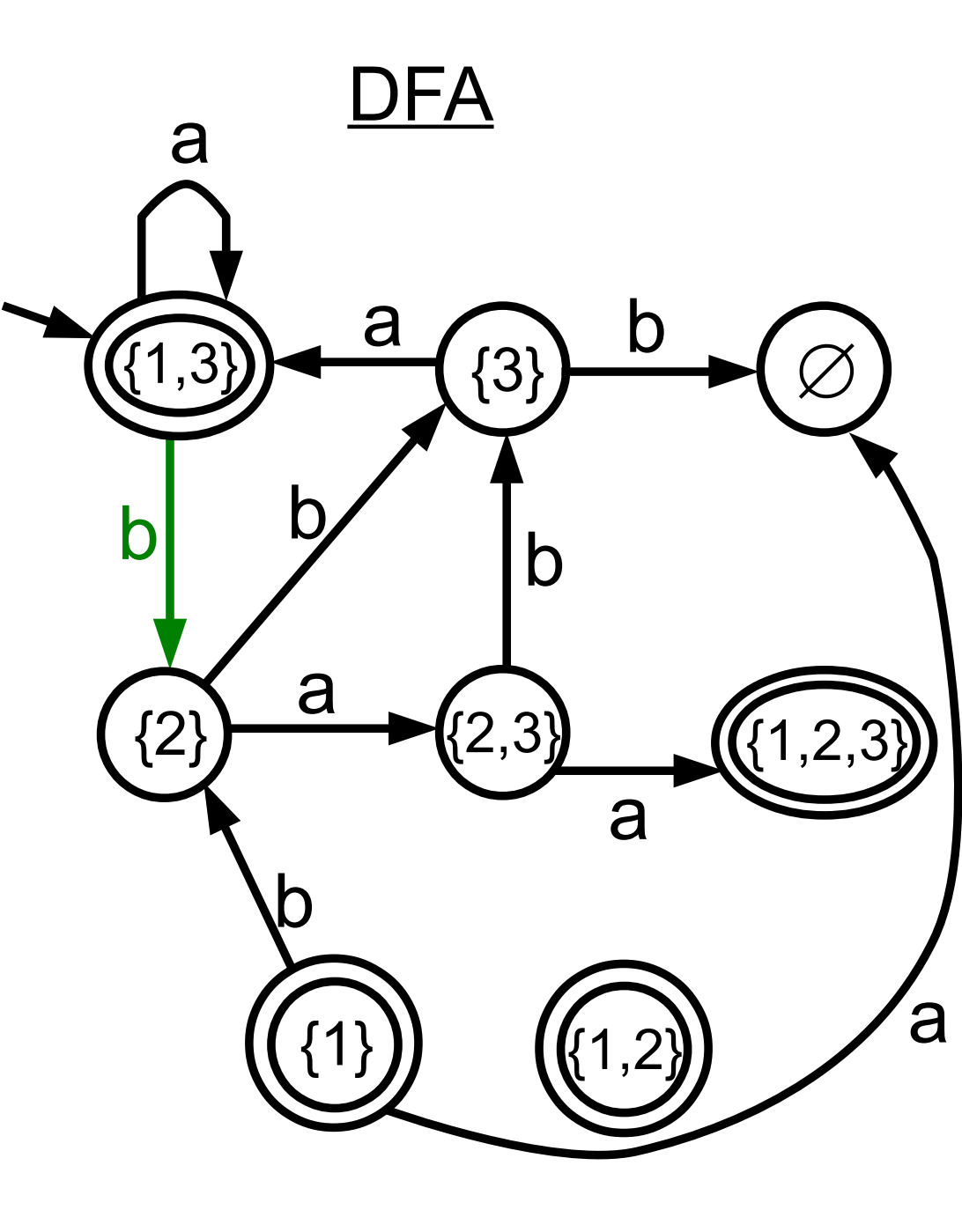
$$\begin{aligned} & \delta_{\text{DFA}}(\{1,3\}, a) \\ &= E(\delta_{\text{NFA}}(1, a) \cup \delta_{\text{NFA}}(3, a)) \\ &= E(\emptyset \cup \{1\}) = \{1,3\} \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



DFA



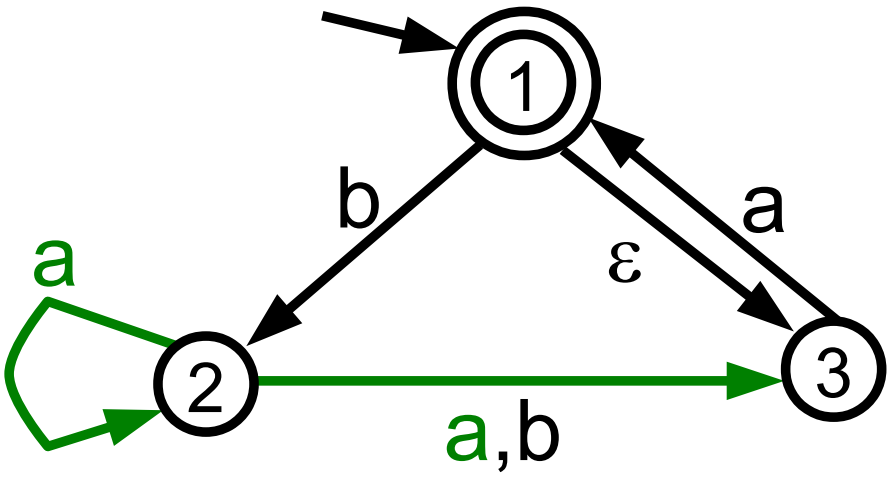
$$\delta_{\text{DFA}}(\{1,3\}, b)$$

$$= E(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(3,b))$$

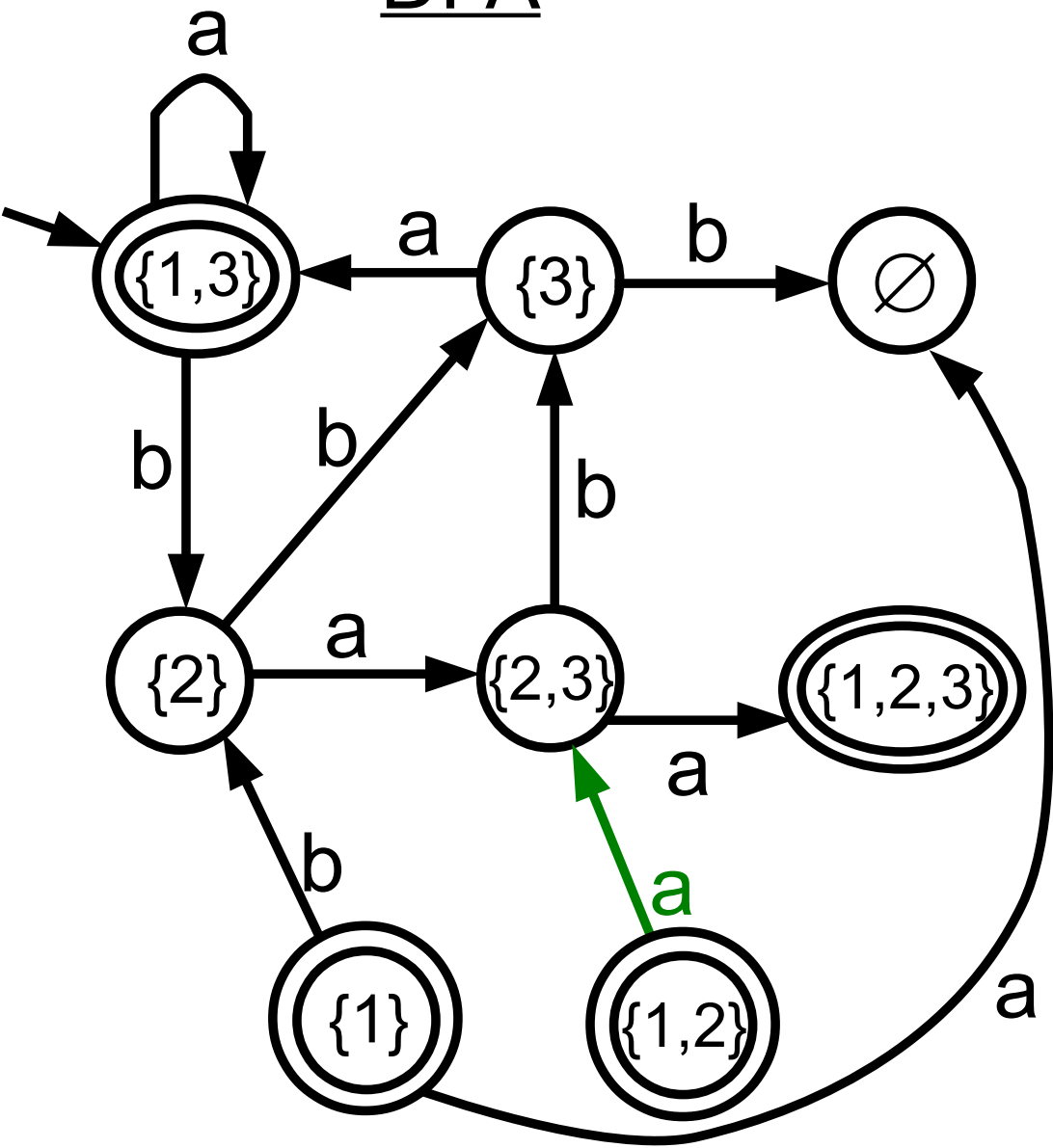
$$= E(\{2\} \cup \emptyset) = \{2\}$$

# Example: NFA → DFA conversion

NFA



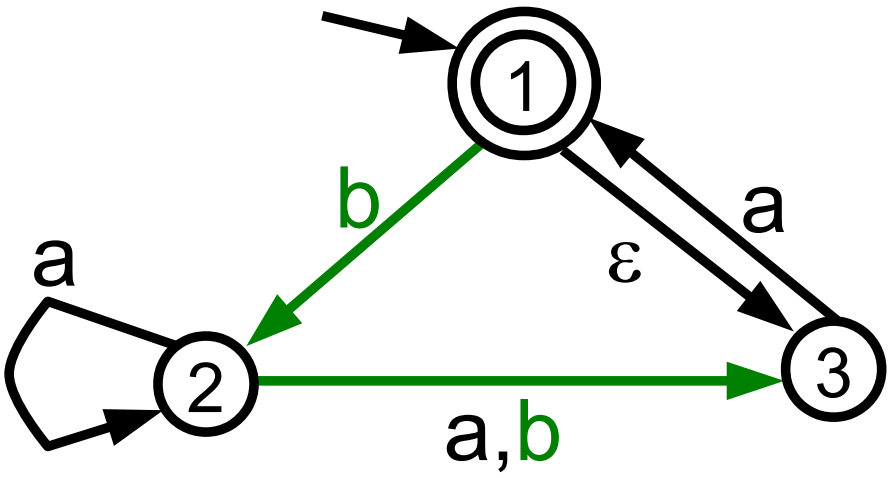
DFA



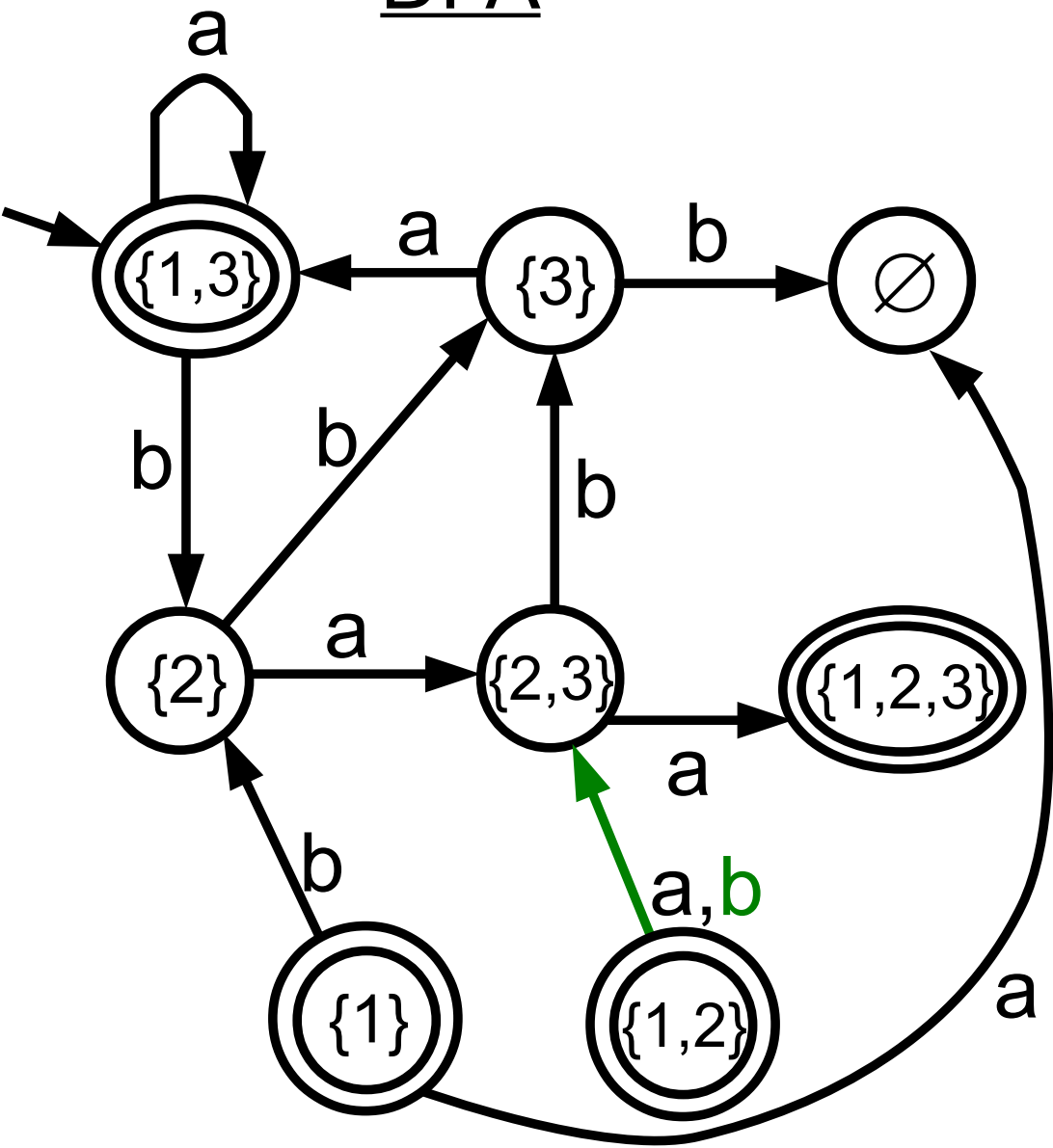
$$\begin{aligned}
 & \delta_{\text{DFA}}(\{1,2\}, a) \\
 &= E(\delta_{\text{NFA}}(1, a) \cup \delta_{\text{NFA}}(2, a)) \\
 &= E(\emptyset \cup \{2,3\}) = \{2,3\}
 \end{aligned}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



DFA

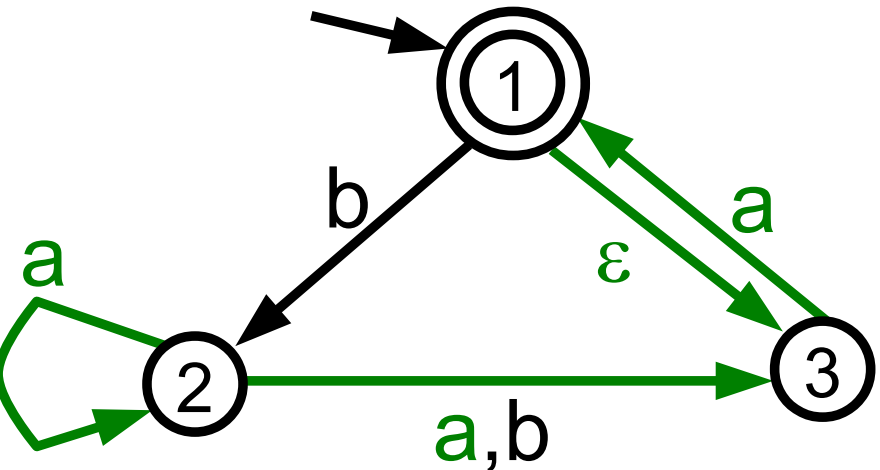


$$\begin{aligned}
 & \delta_{\text{DFA}}(\{1,2\}, b) \\
 &= E(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(2,b)) \\
 &= E(\{2\} \cup \{3\}) = \{2,3\}
 \end{aligned}$$

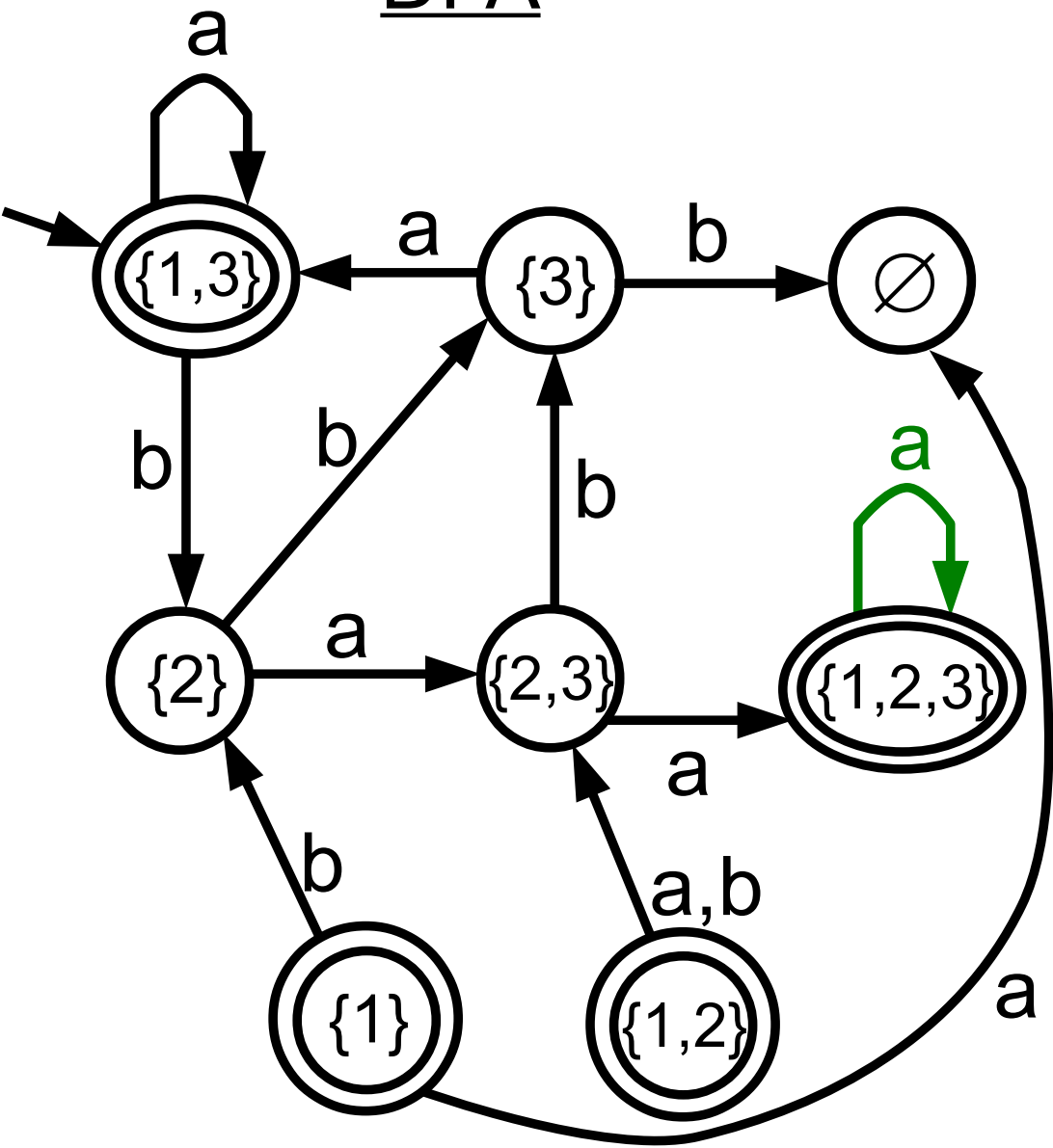


# Example: NFA → DFA conversion

NFA



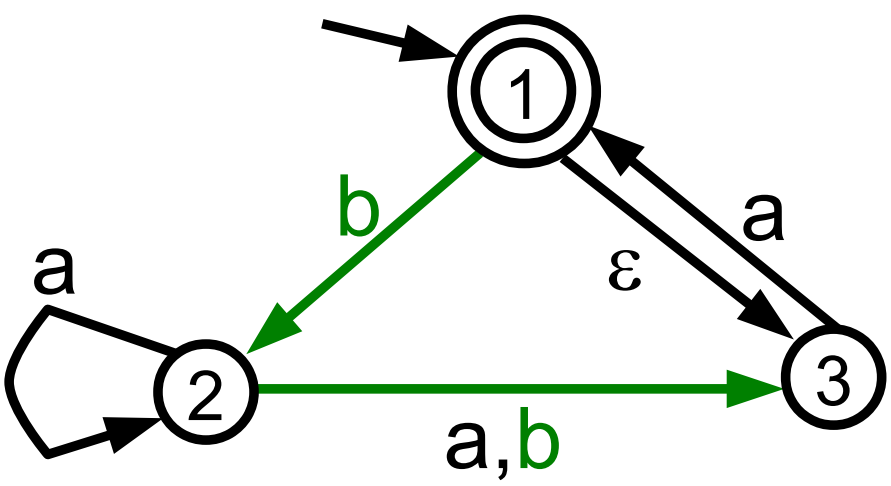
DFA



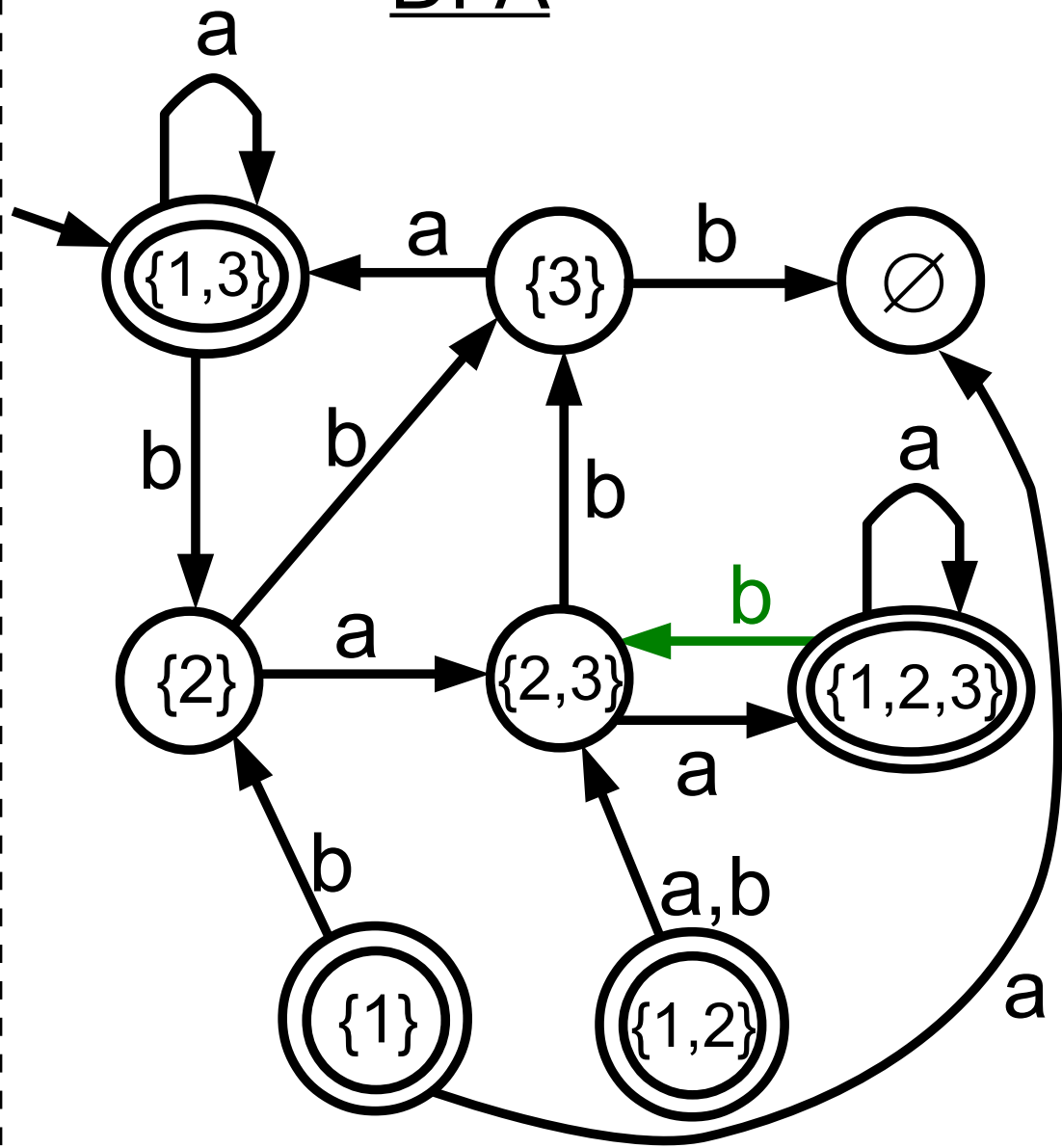
$\delta_{DFA}(\{1,2,3\}, a)$   
 $= E(\delta_{NFA}(1,a) \cup \delta_{NFA}(2,a) \cup \delta_{NFA}(3,a))$   
 $= E(\emptyset \cup \{2,3\} \cup \{1\}) = \{1,2,3\}$

# Example: NFA $\rightarrow$ DFA conversion

NFA



DFA



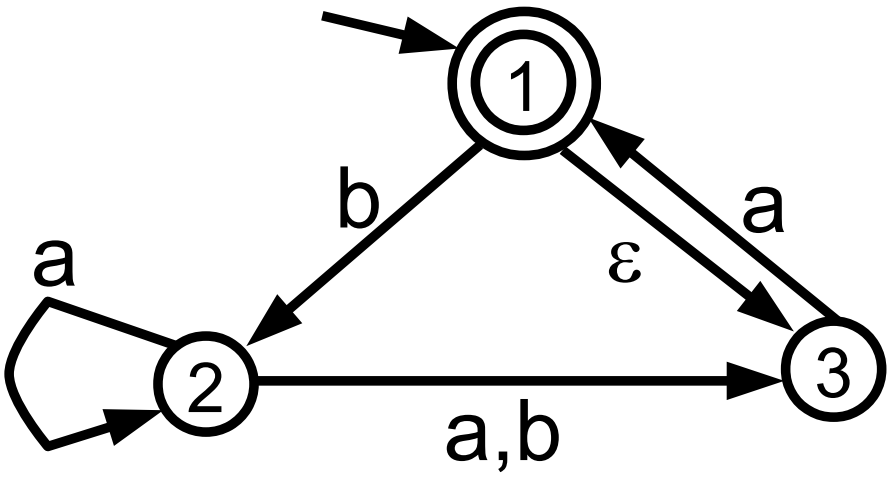
$$\delta_{\text{DFA}}(\{1,2,3\}, b)$$

$$= E(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(2,b) \cup \delta_{\text{NFA}}(3,b))$$

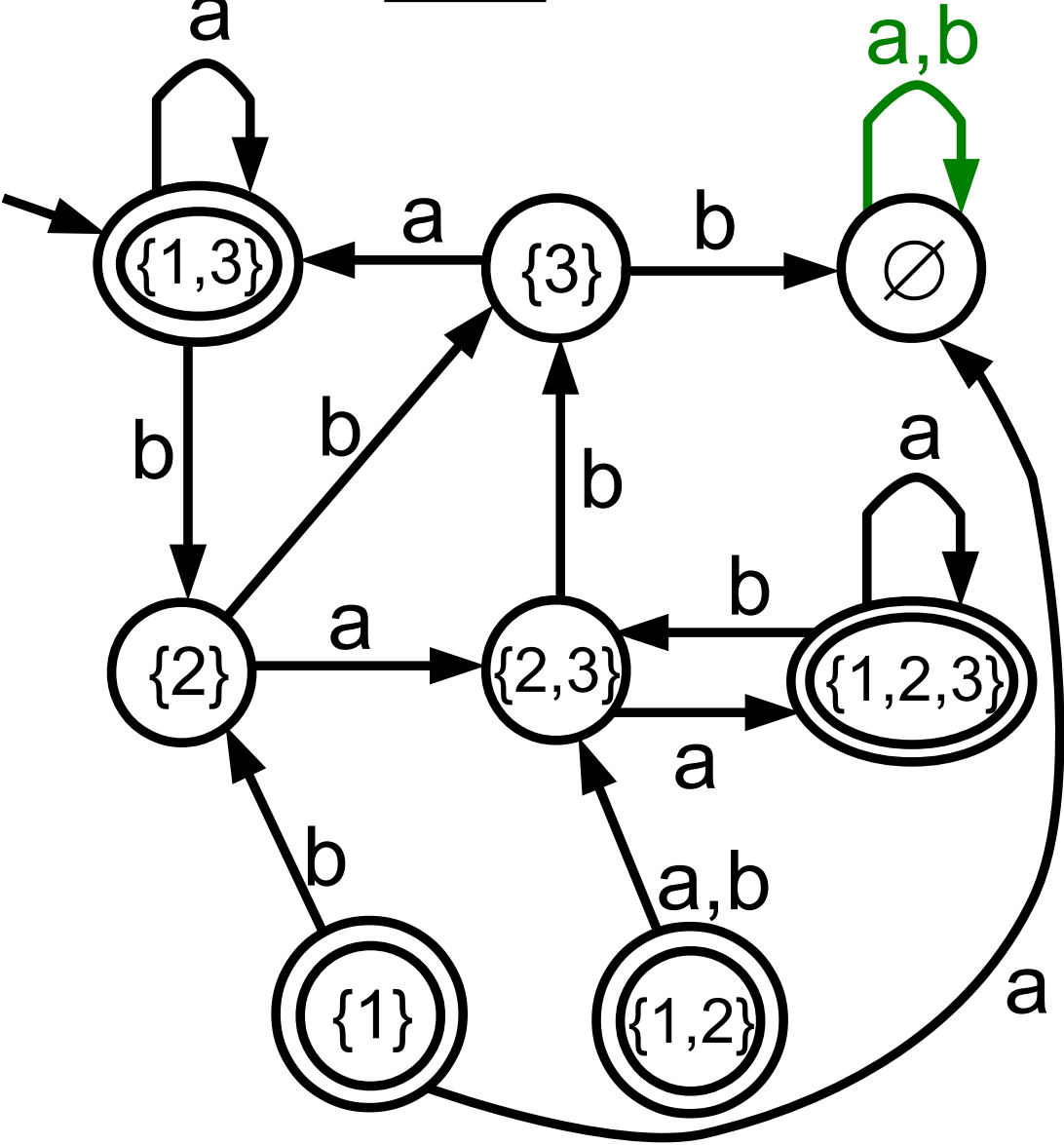
$$= E(\{2\} \cup \{3\} \cup \emptyset) = \{2,3\}$$

# Example: NFA $\rightarrow$ DFA conversion

NFA



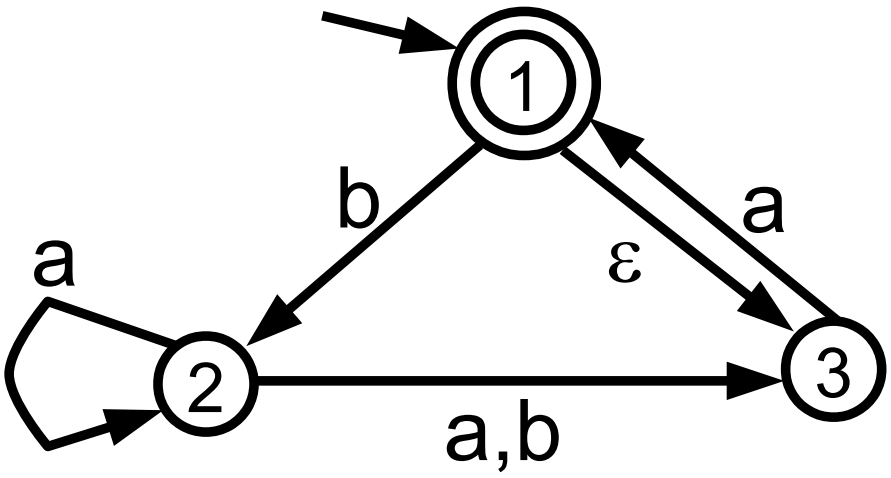
DFA



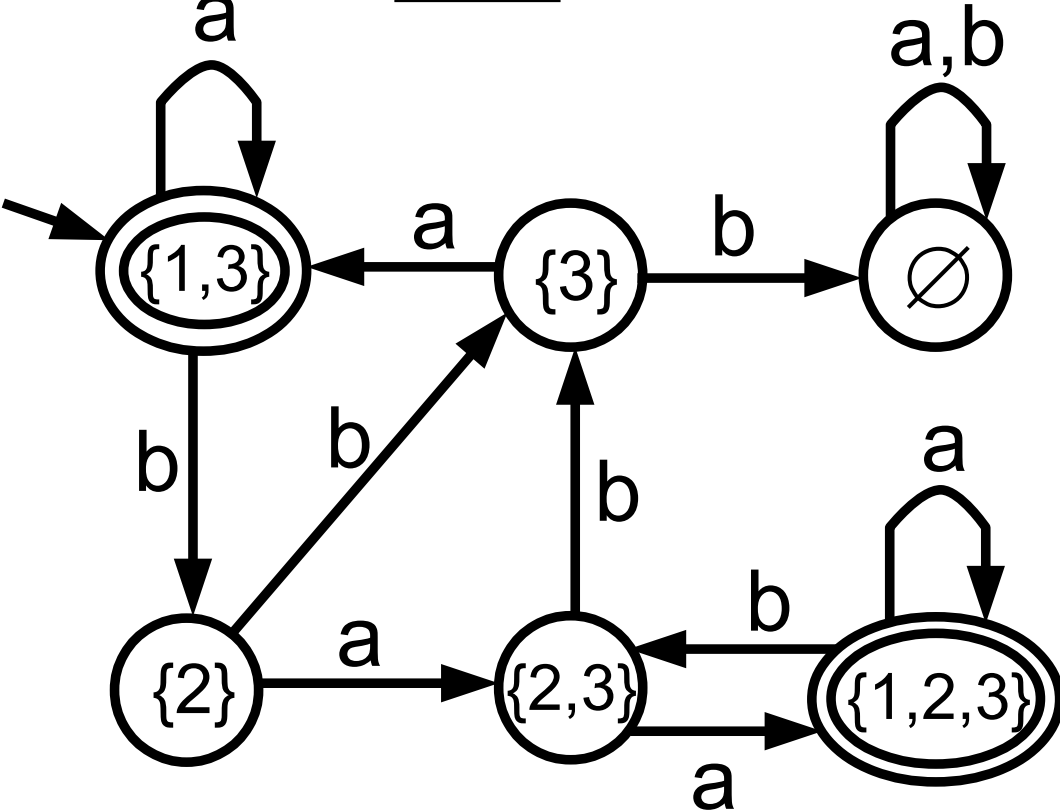
$\delta_{DFA}(\emptyset, a) = \emptyset$   
 $\delta_{DFA}(\emptyset, b) = \emptyset$

# Example: NFA $\rightarrow$ DFA conversion

NFA



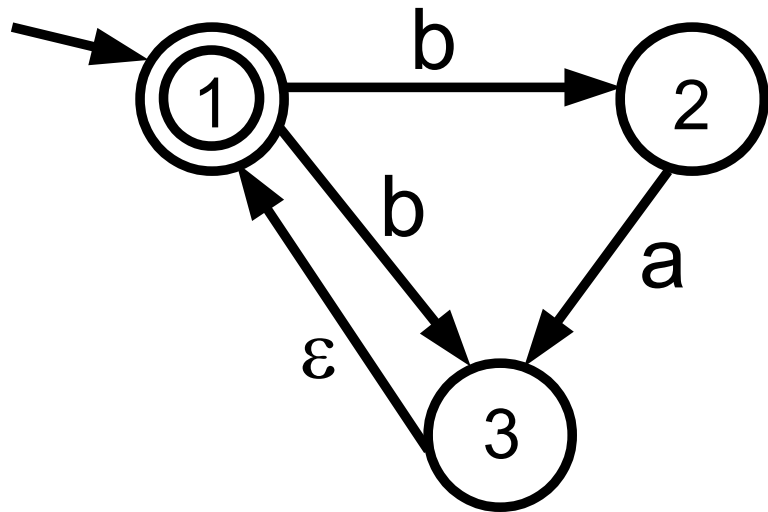
DFA



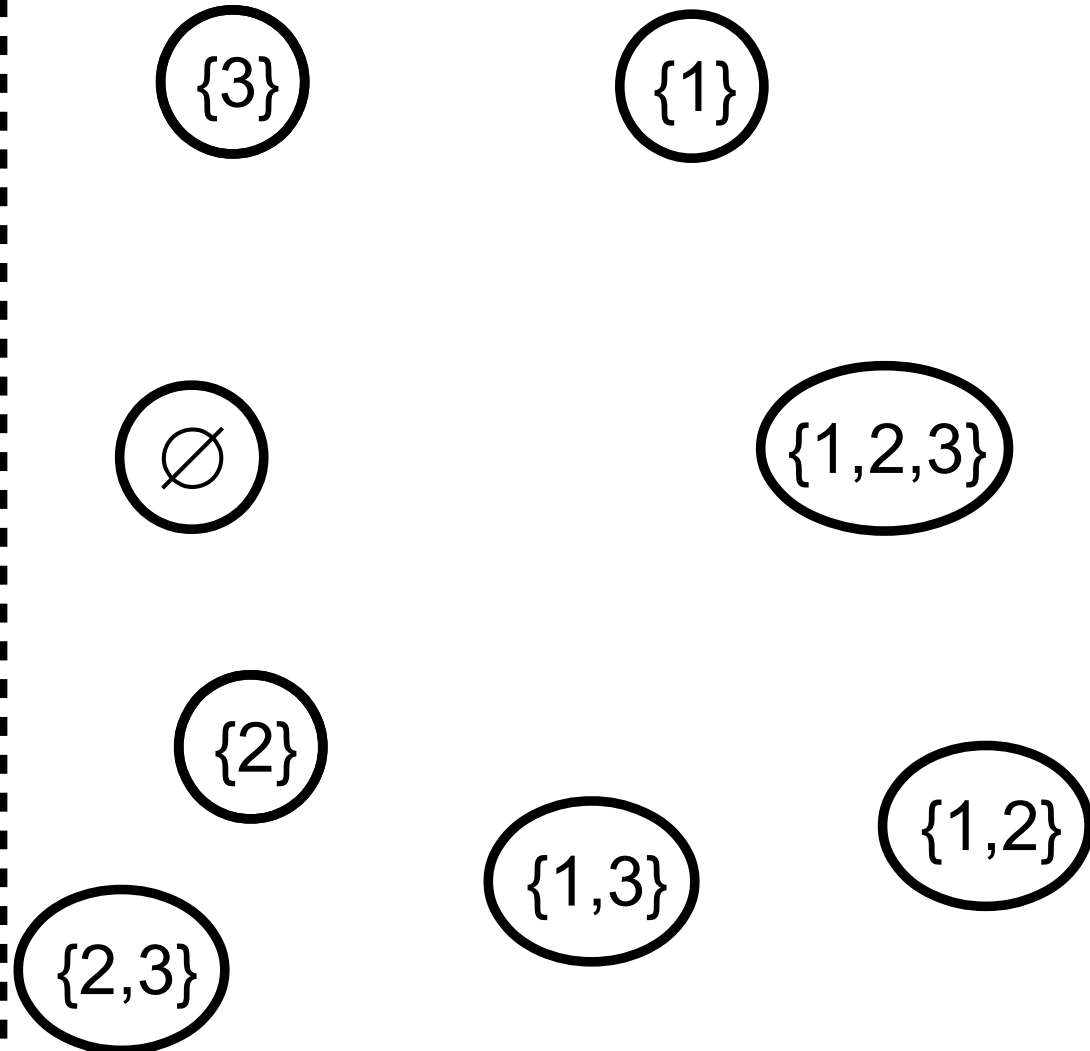
We can delete the unreachable states.

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



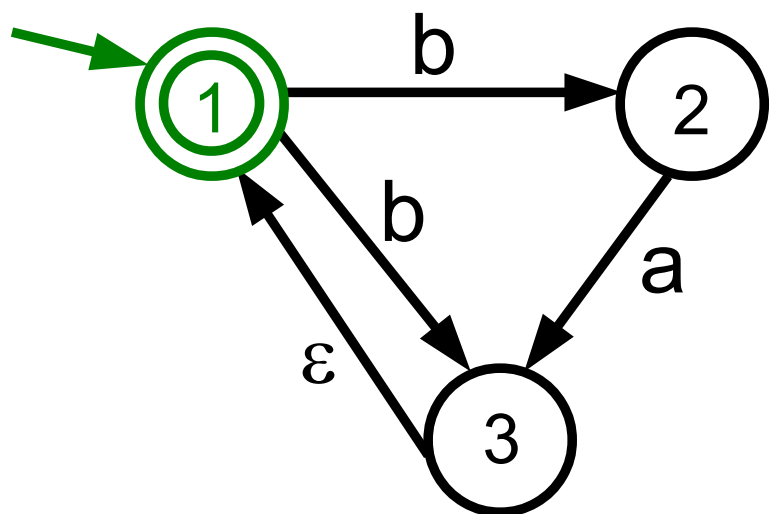
DFA



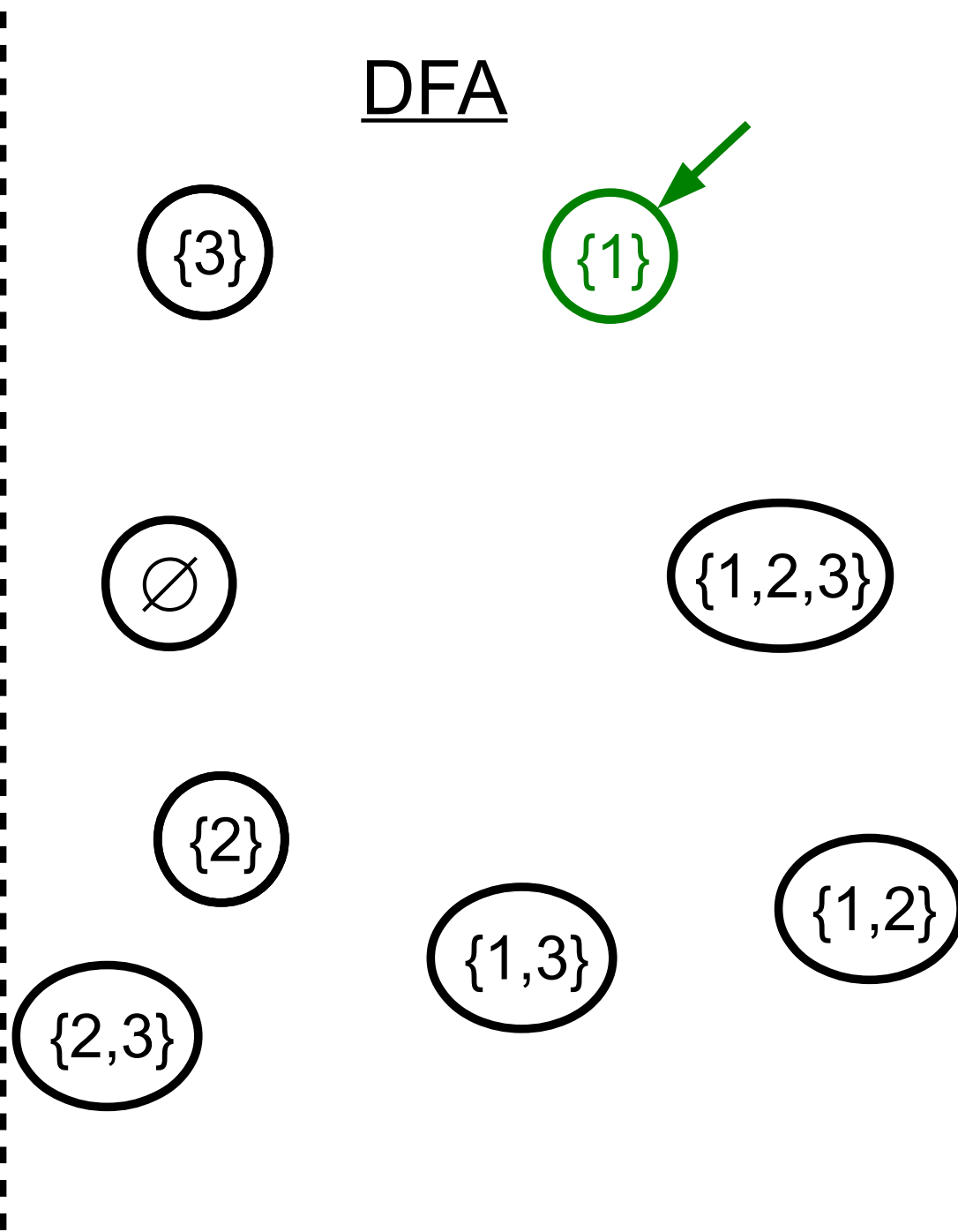
$$\begin{aligned} Q_{\text{DFA}} &= \text{Powerset}(Q_{\text{NFA}}) \\ &= \text{Powerset}(\{1,2,3\}) \\ &= \{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \dots\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



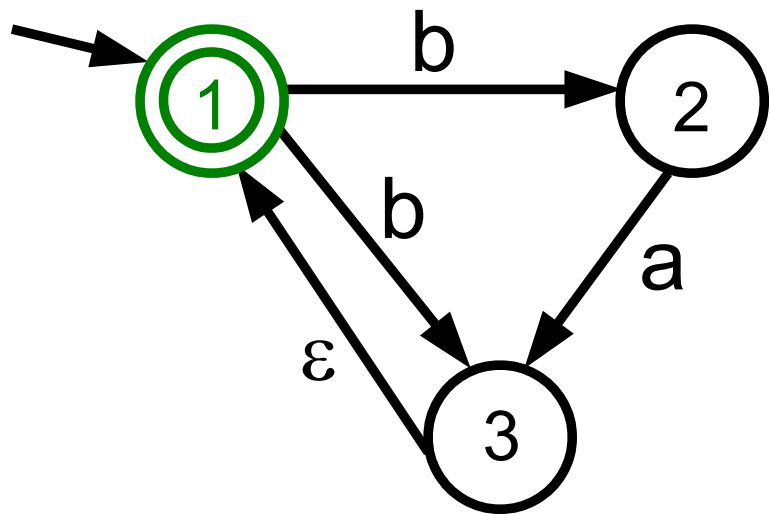
DFA



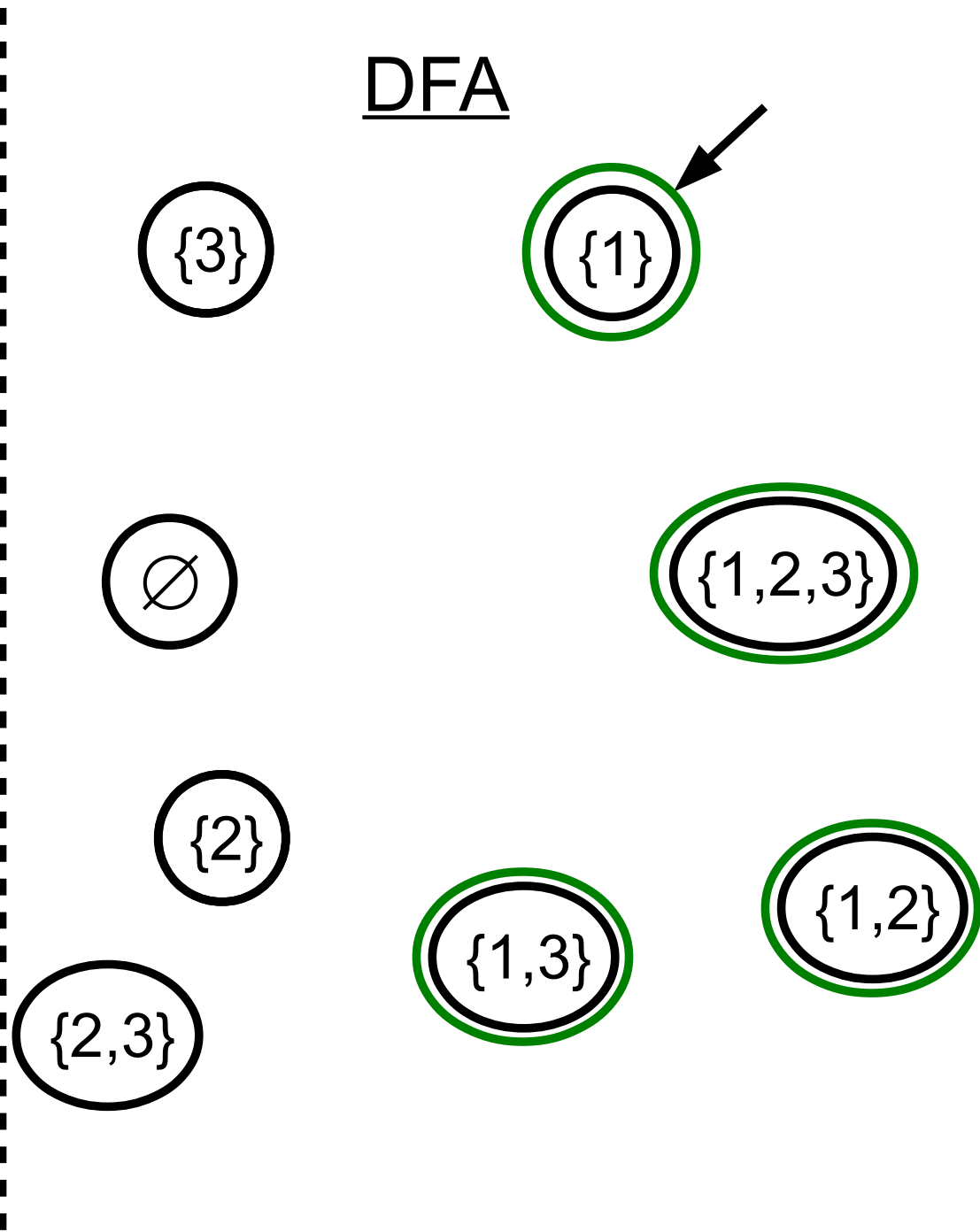
$$\begin{aligned} q_{\text{DFA}} &= E(\{q_{\text{NFA}}\}) \\ &= E(\{1\}) \\ &= \{1\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



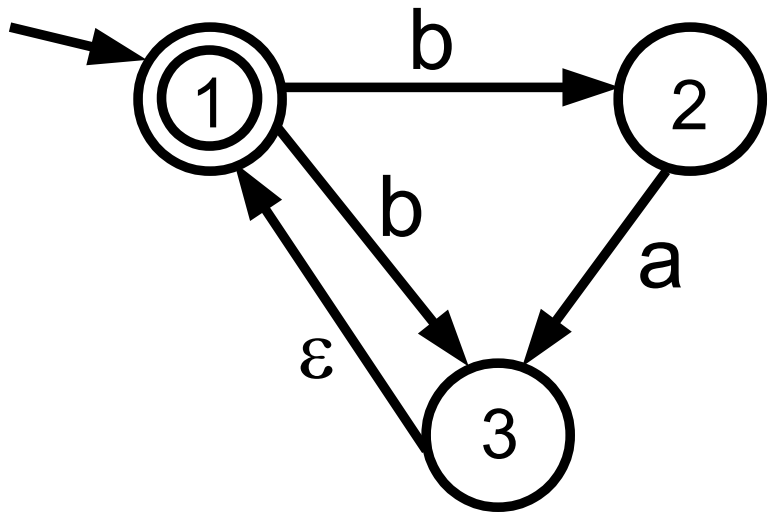
DFA



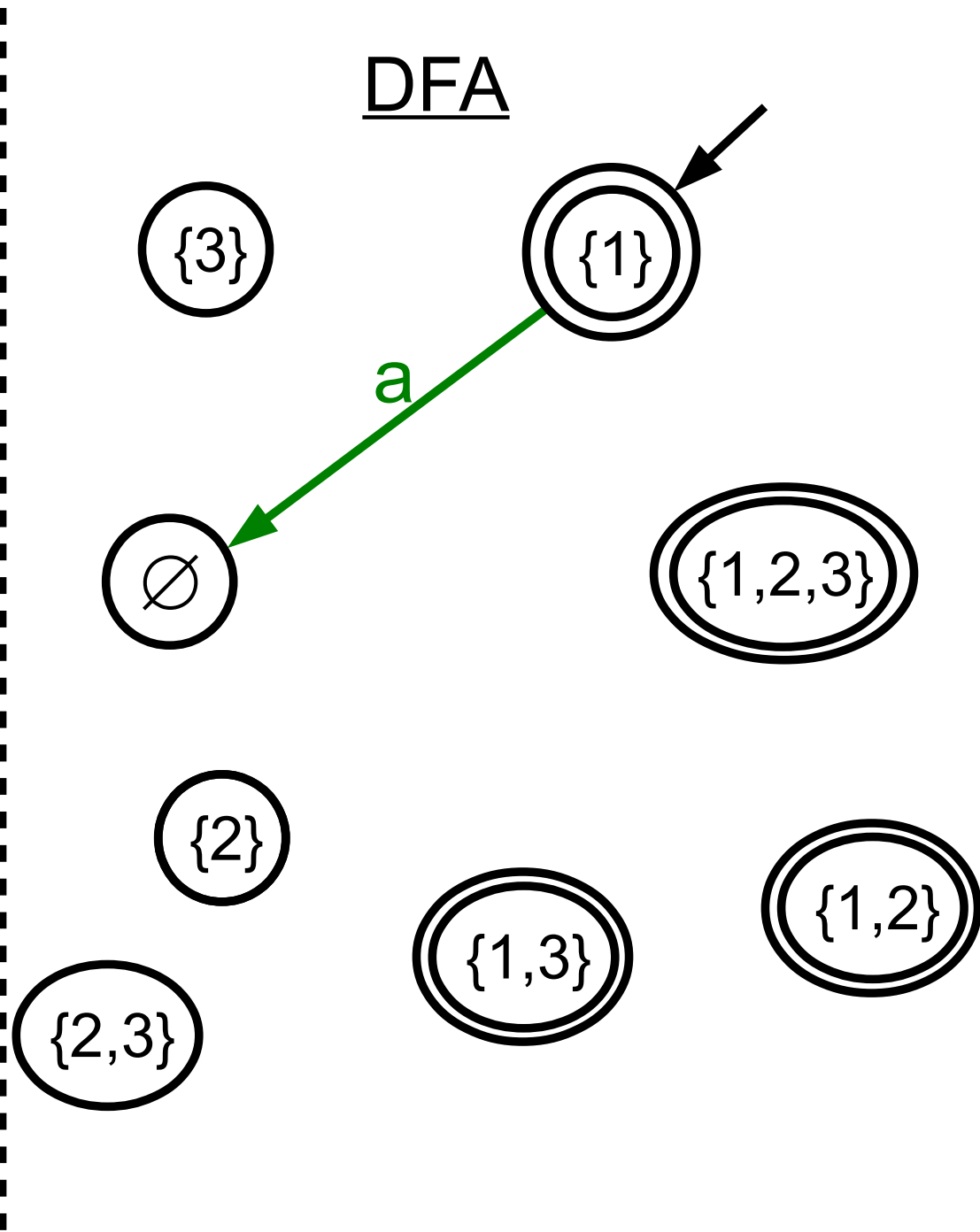
$F_{\text{DFA}} = \{S : S \text{ contains an element of } F_{\text{NFA}}\}$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



DFA

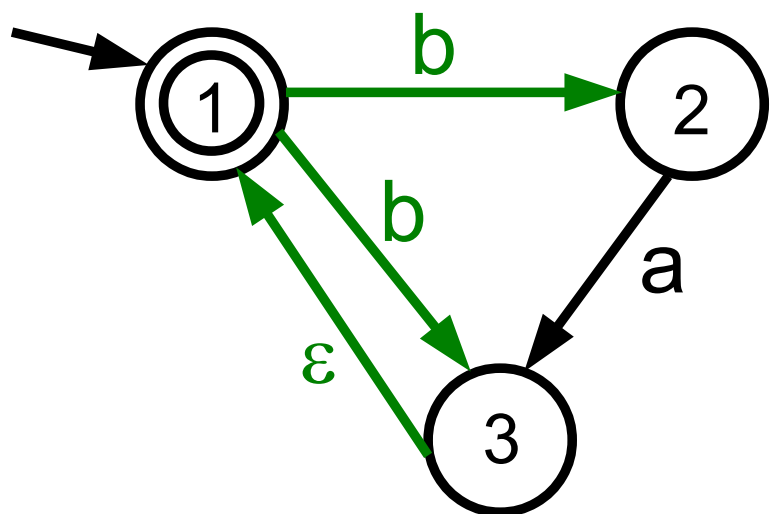


$$\begin{aligned} \delta_{\text{DFA}}(\{1\}, a) &= E(\delta_{\text{NFA}}(1, a)) \\ &= E(\emptyset) = \emptyset \end{aligned}$$

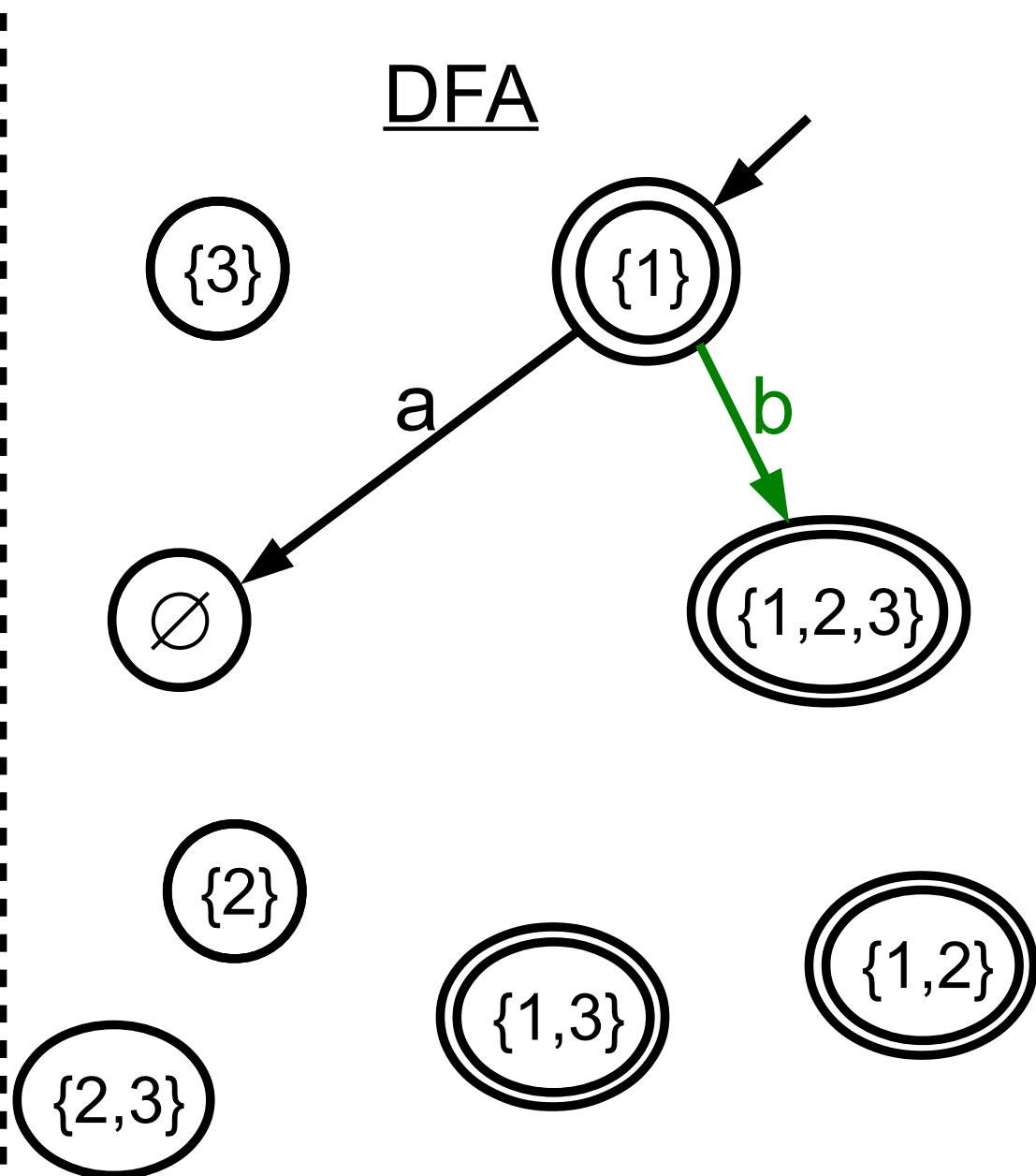


# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



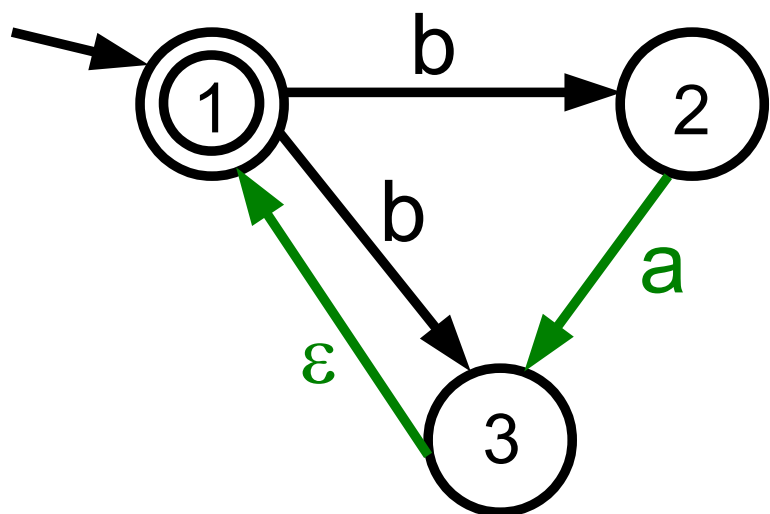
DFA



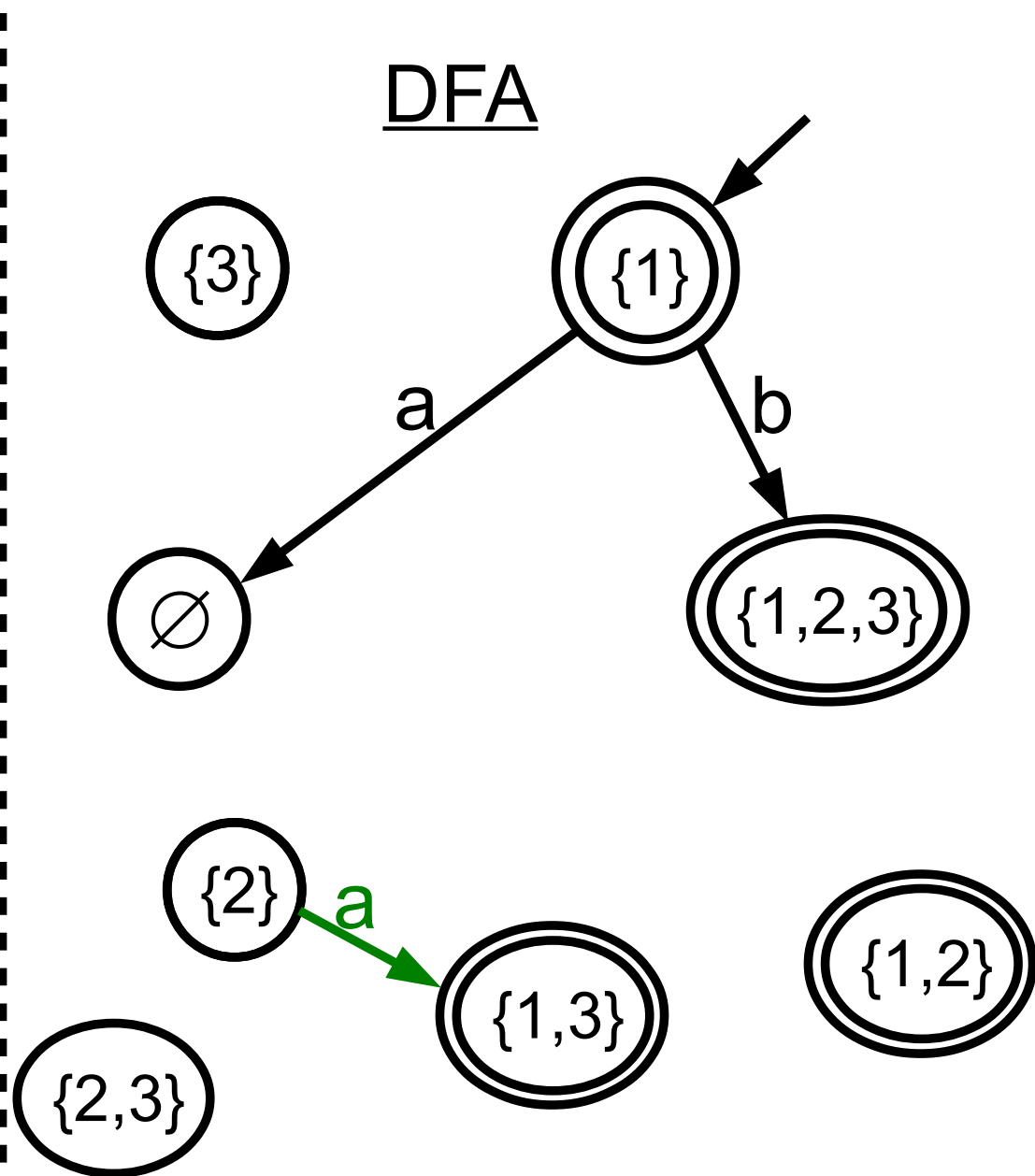
$$\begin{aligned} \delta_{\text{DFA}}(\{1\}, b) &= E(\delta_{\text{NFA}}(1, b)) \\ &= E(\{2,3\}) = \{1,2,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



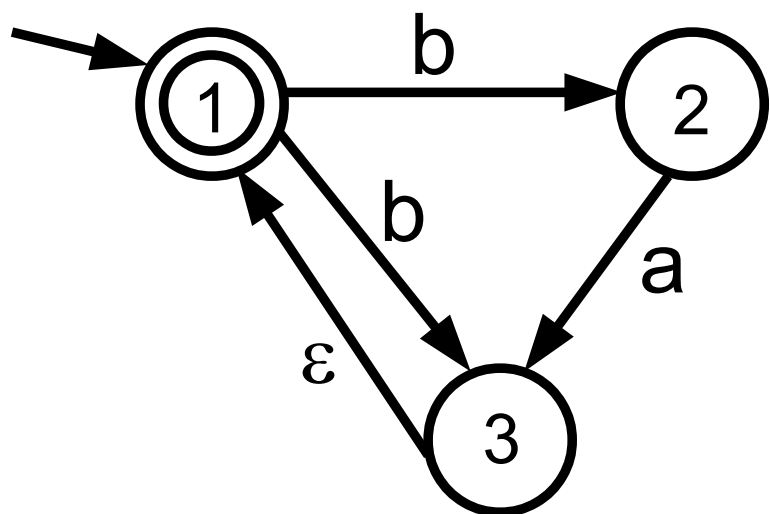
DFA



$$\begin{aligned} \delta_{\text{DFA}}(\{2\}, a) &= E(\delta_{\text{NFA}}(2, a)) \\ &= E(\{3\}) = \{1,3\} \end{aligned}$$

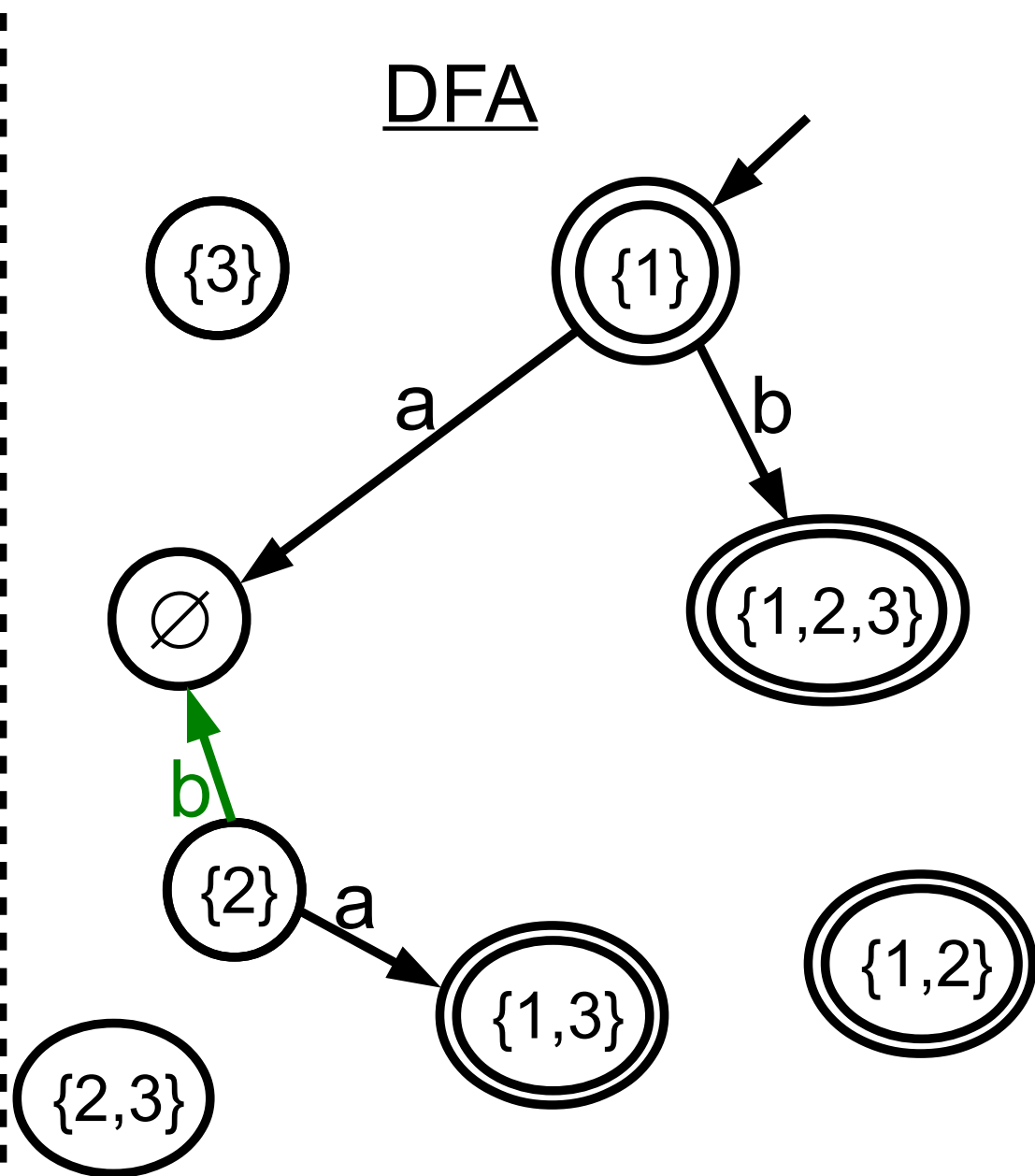
# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



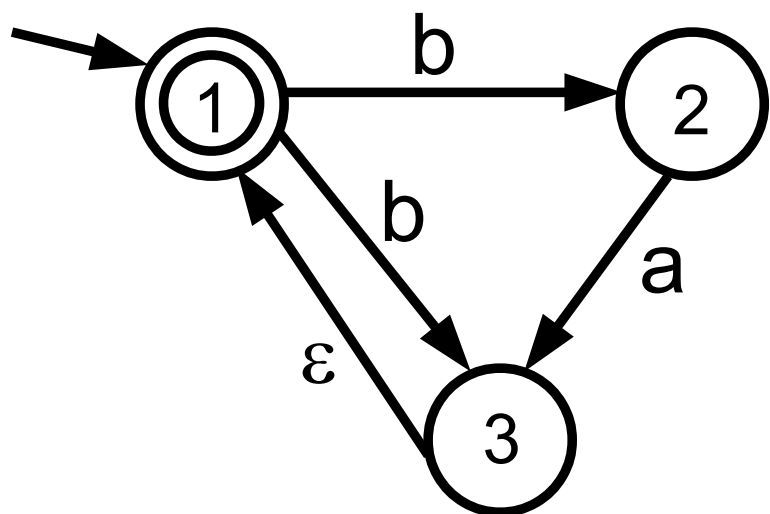
$$\begin{aligned} \delta_{\text{DFA}}(\{2\}, b) &= E(\delta_{\text{NFA}}(2, b)) \\ &= E(\emptyset) = \emptyset \end{aligned}$$

DFA



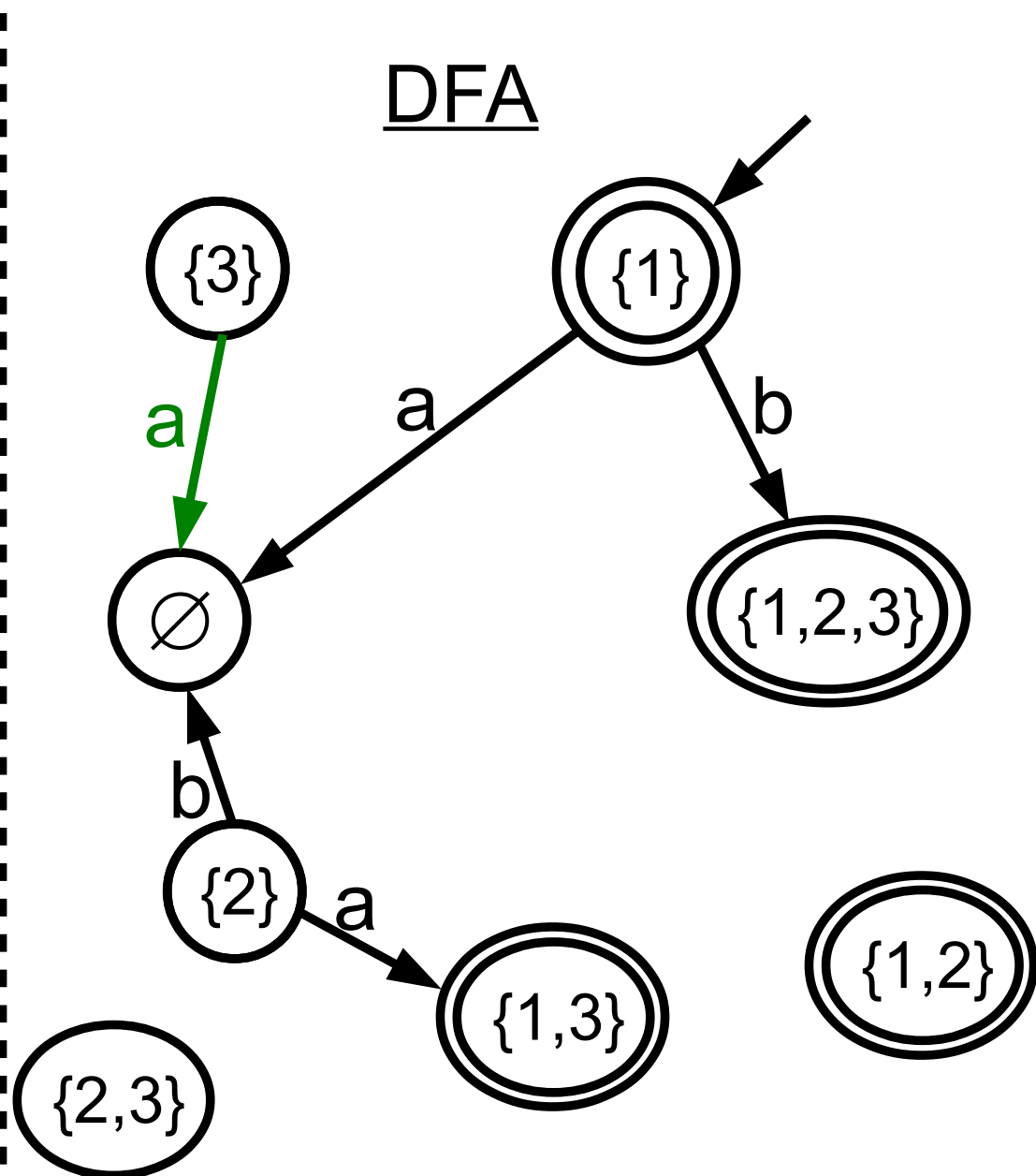
# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



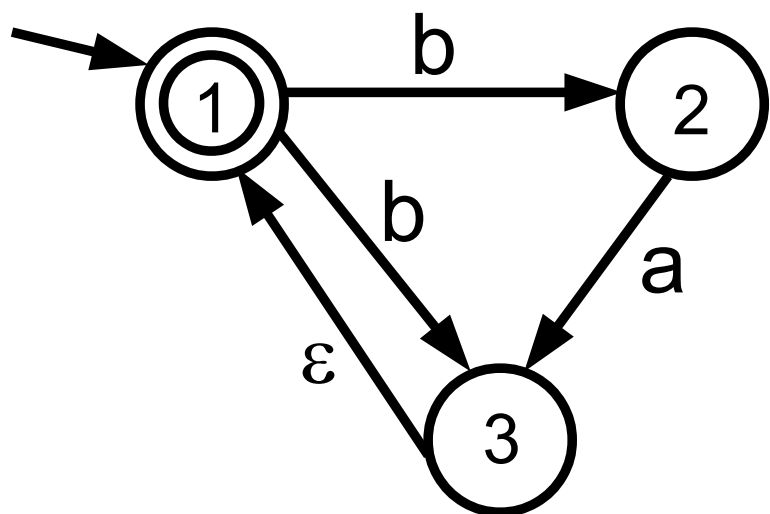
$$\begin{aligned} \delta_{\text{DFA}}(\{3\}, a) &= E(\delta_{\text{NFA}}(3, a)) \\ &= E(\emptyset) = \emptyset \end{aligned}$$

DFA



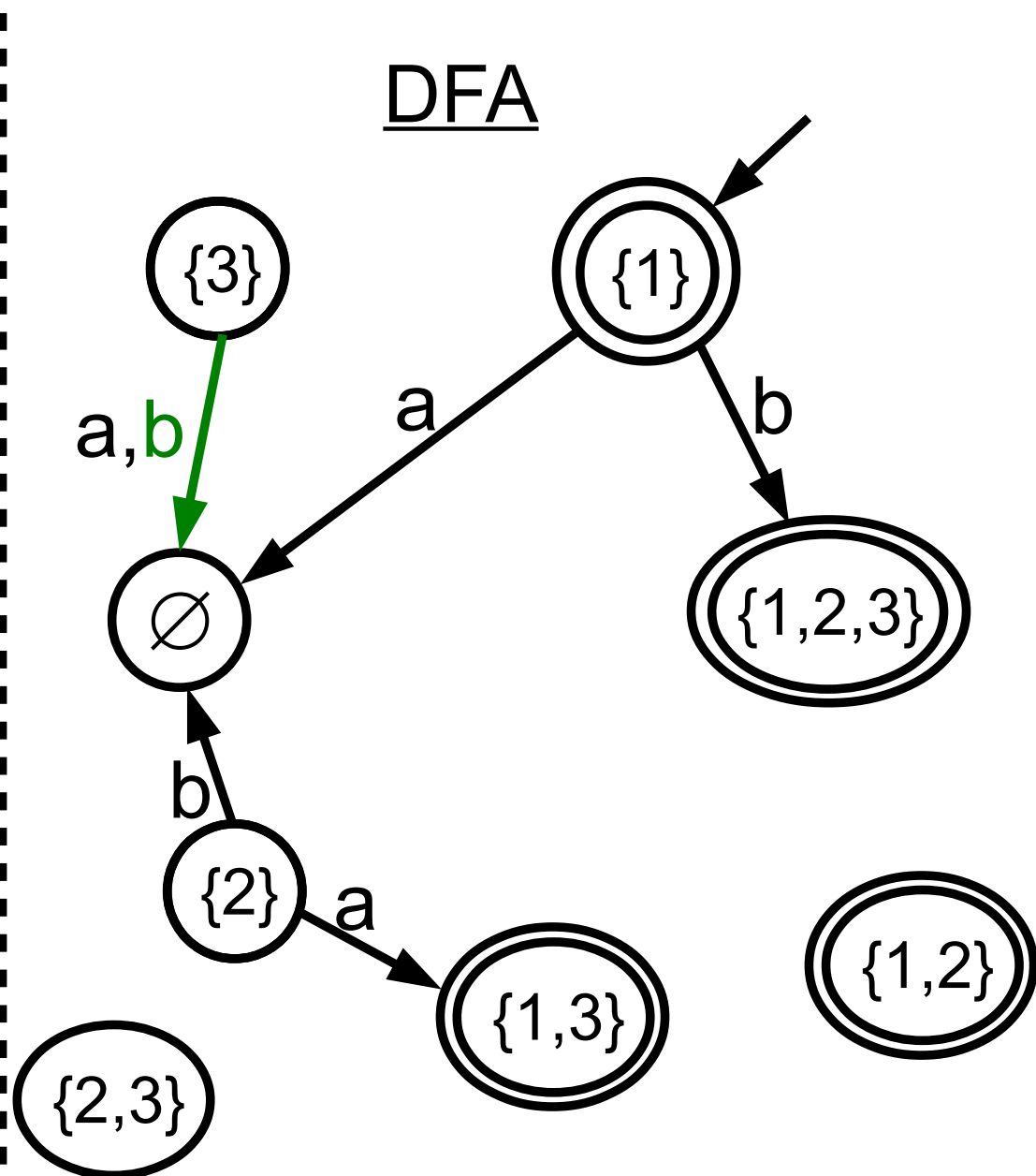
# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



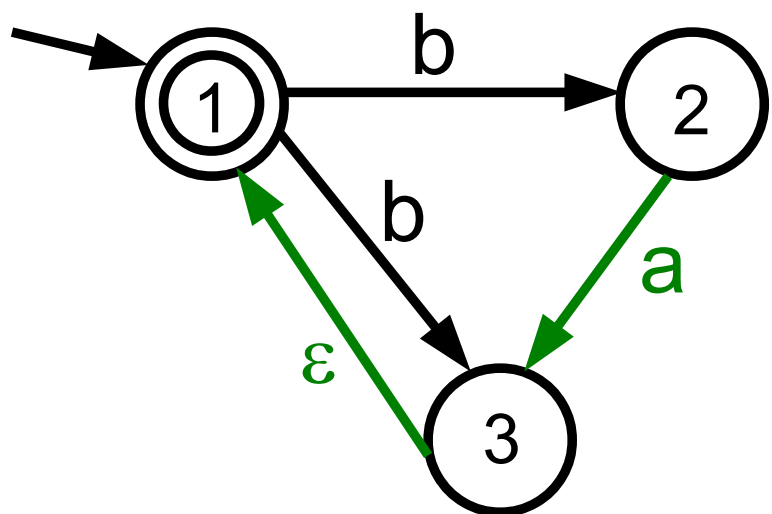
$$\begin{aligned} \delta_{\text{DFA}}(\{3\}, b) &= E(\delta_{\text{NFA}}(3, b)) \\ &= E(\emptyset) = \emptyset \end{aligned}$$

DFA

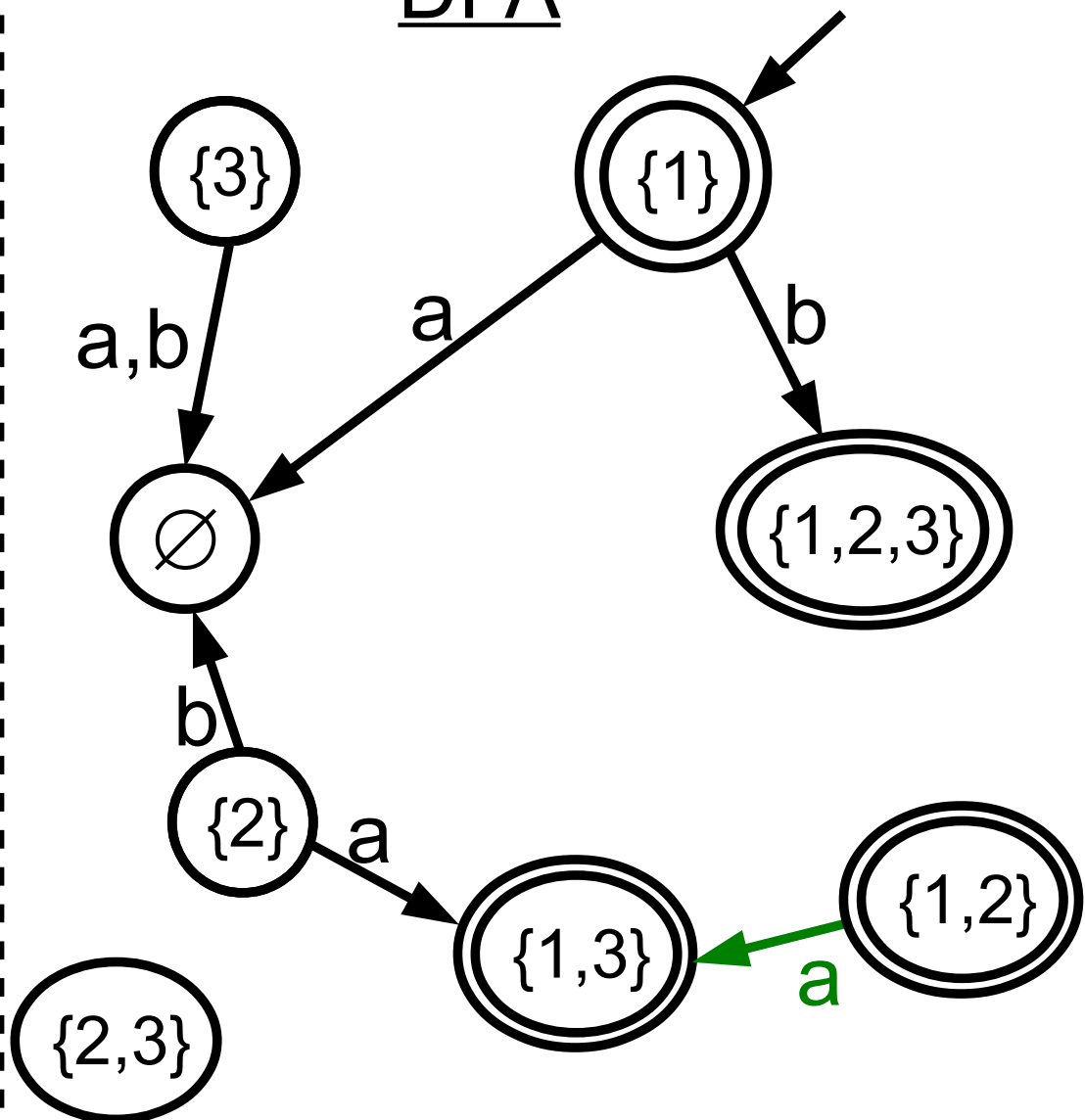


# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



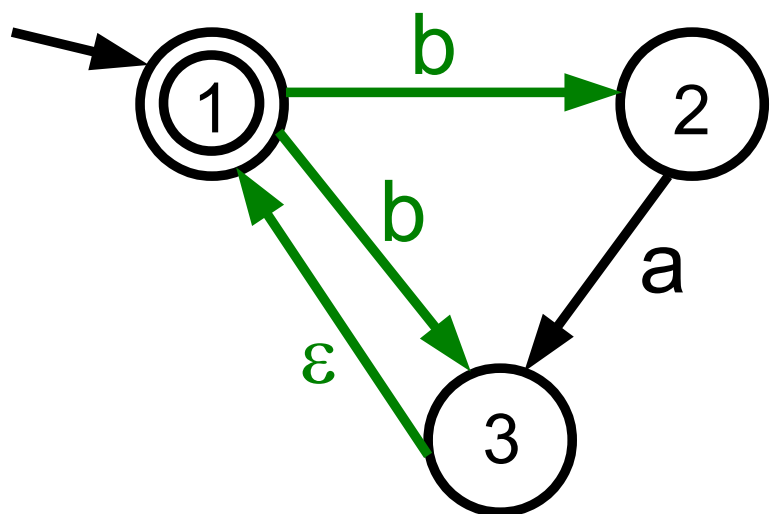
DFA



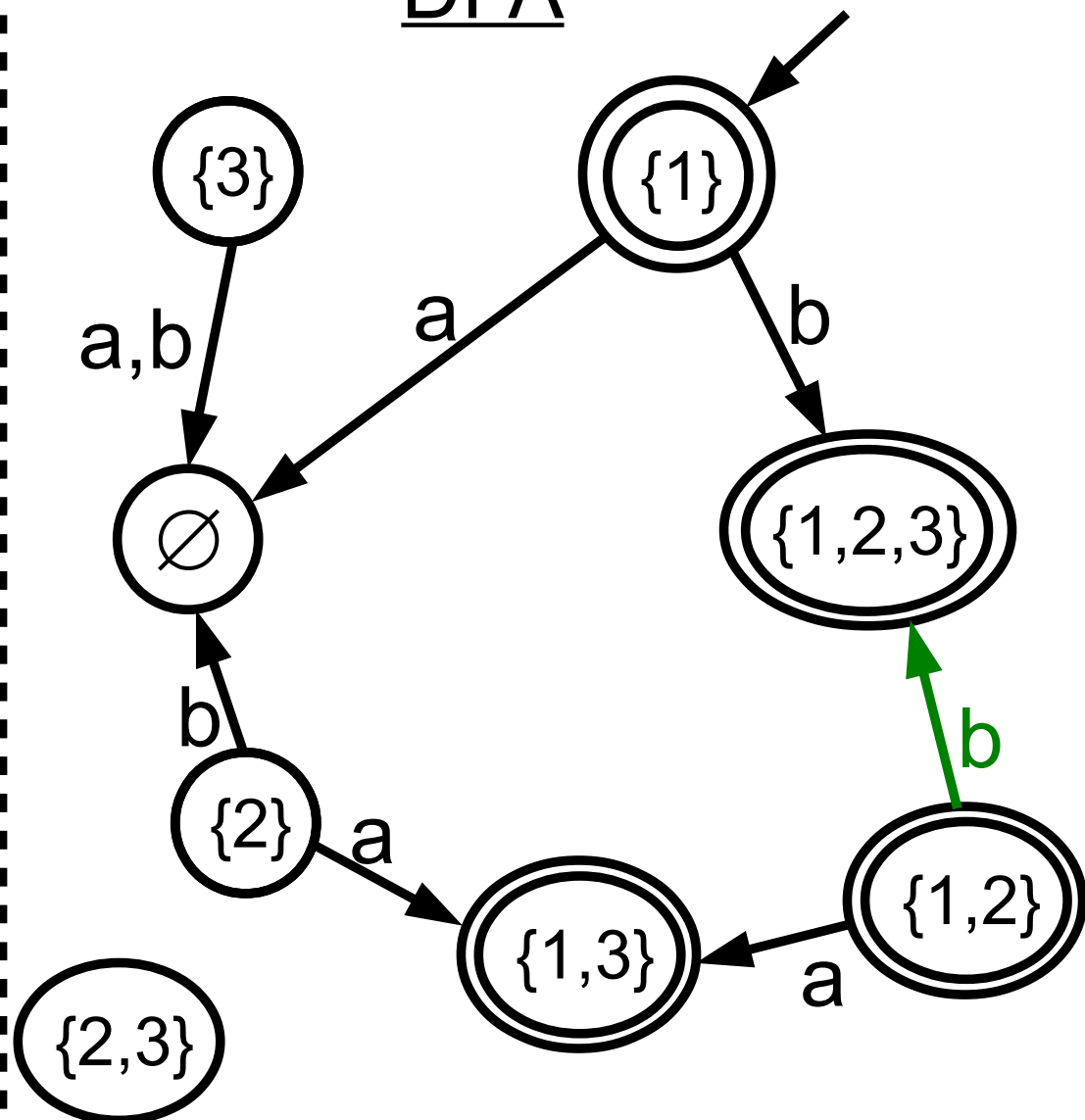
$$\begin{aligned} & \delta_{\text{DFA}}(\{1,2\}, a) \\ &= E(\delta_{\text{NFA}}(1,a) \cup \delta_{\text{NFA}}(2,a)) \\ &= E(\emptyset \cup \{3\}) = \{1,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



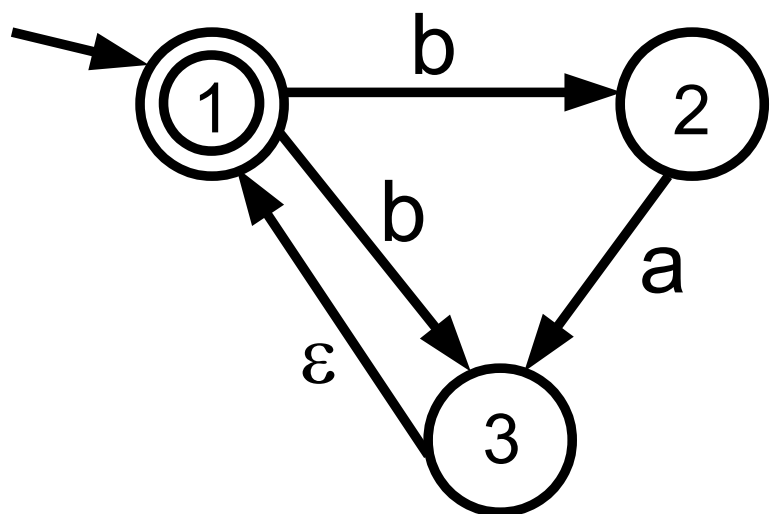
DFA



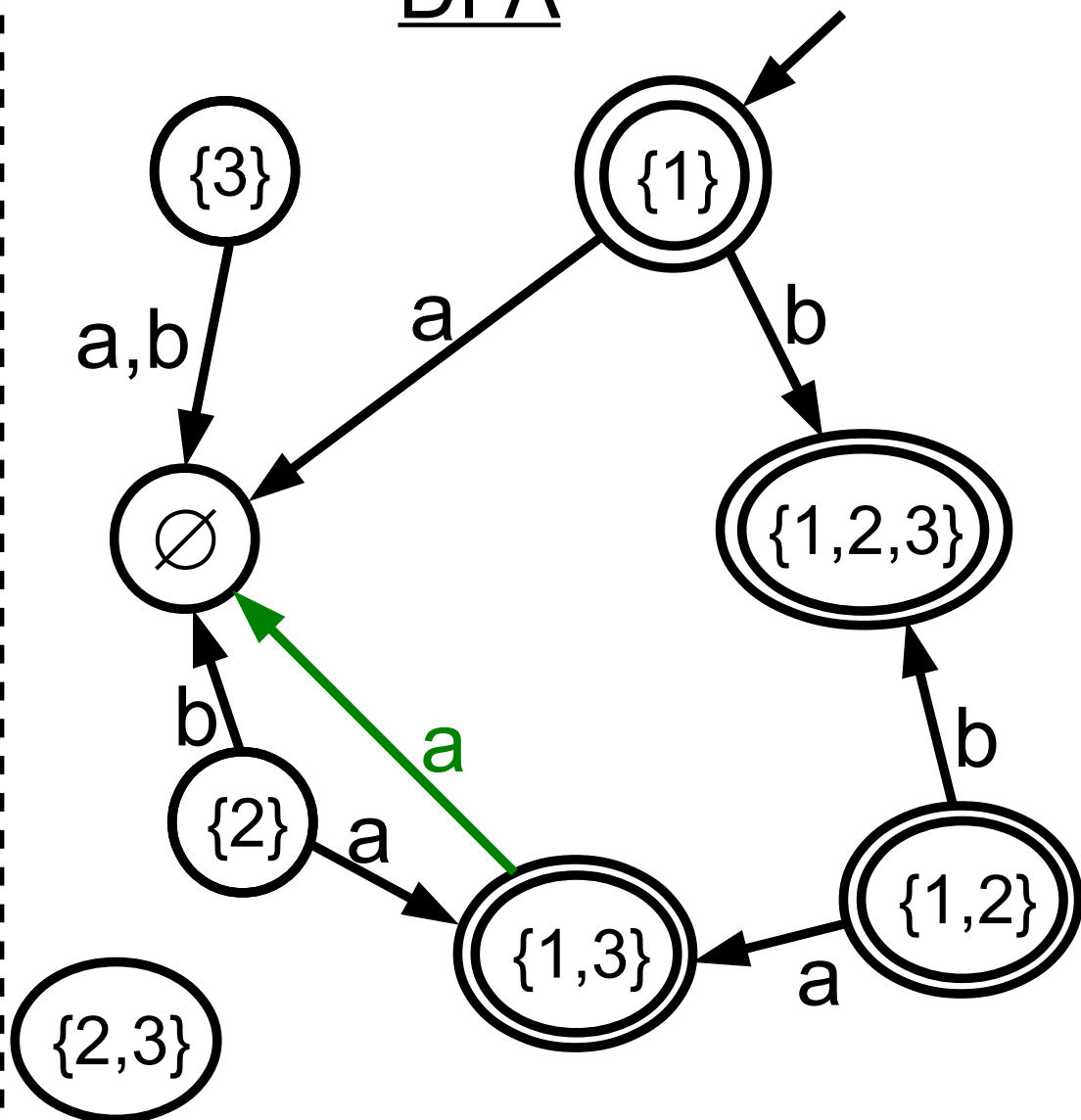
$$\begin{aligned}
 & \delta_{\text{DFA}}(\{1,2\}, b) \\
 &= E(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(2,b)) \\
 &= E(\{2,3\} \cup \emptyset) = \{1,2,3\}
 \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



DFA

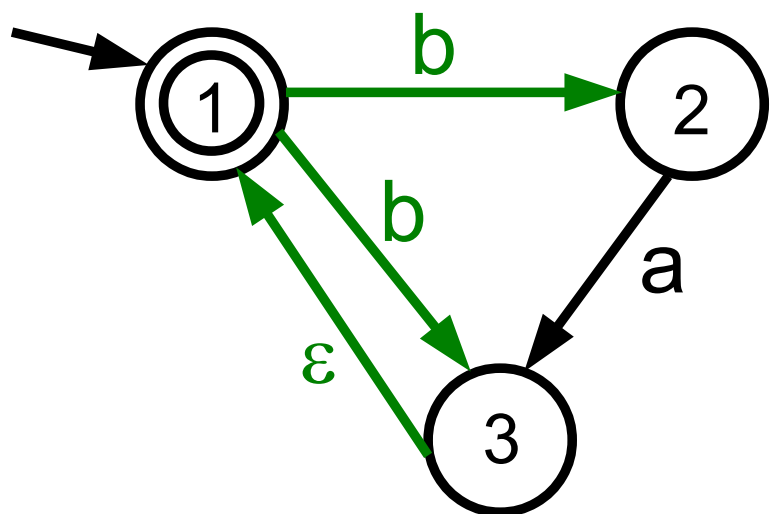


$$\begin{aligned} & \delta_{\text{DFA}}(\{1,3\}, a) \\ &= E(\delta_{\text{NFA}}(1, a) \cup \delta_{\text{NFA}}(3, a)) \\ &= E(\emptyset \cup \emptyset) = \emptyset \end{aligned}$$

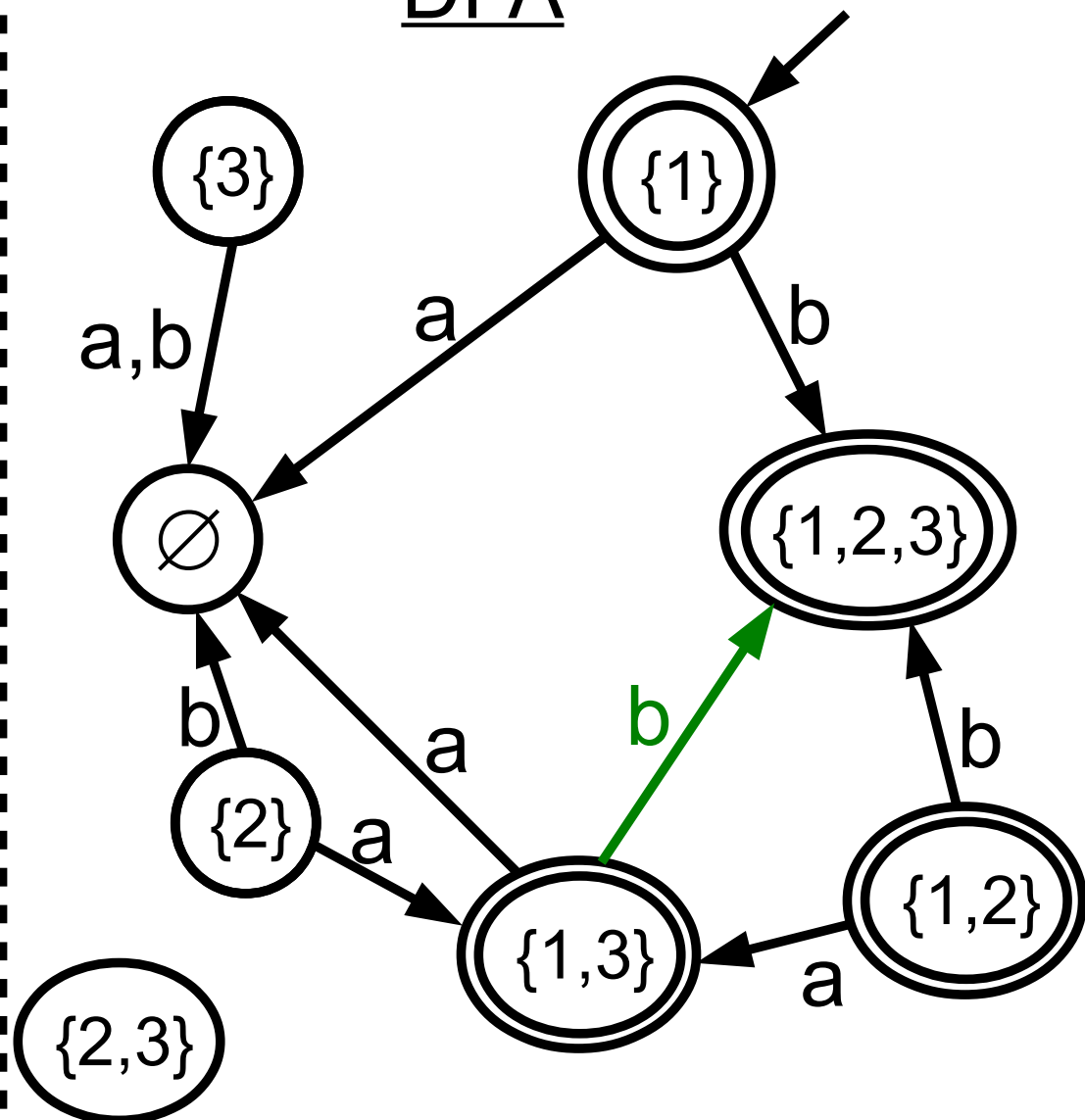


# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



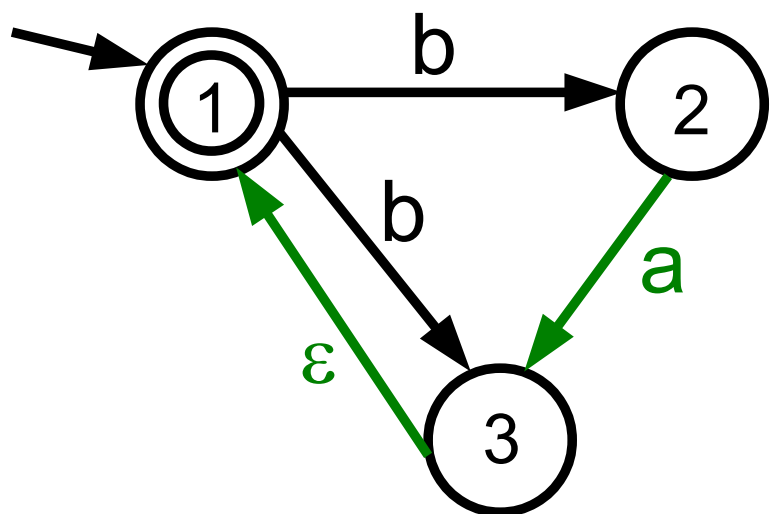
DFA



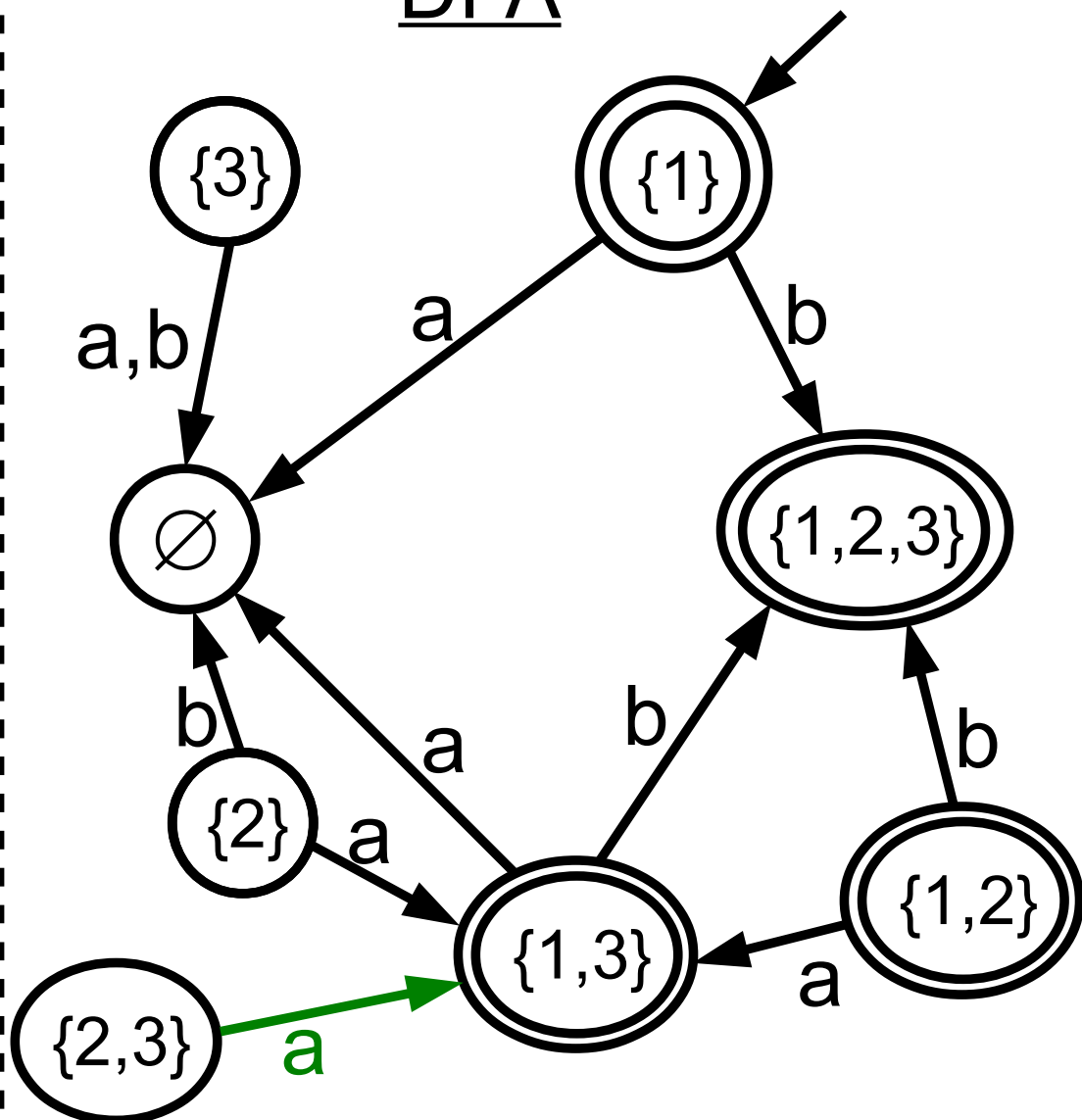
$$\begin{aligned} & \delta_{\text{DFA}}(\{1,3\}, b) \\ &= E(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(3,b)) \\ &= E(\{2,3\} \cup \emptyset) = \{1,2,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



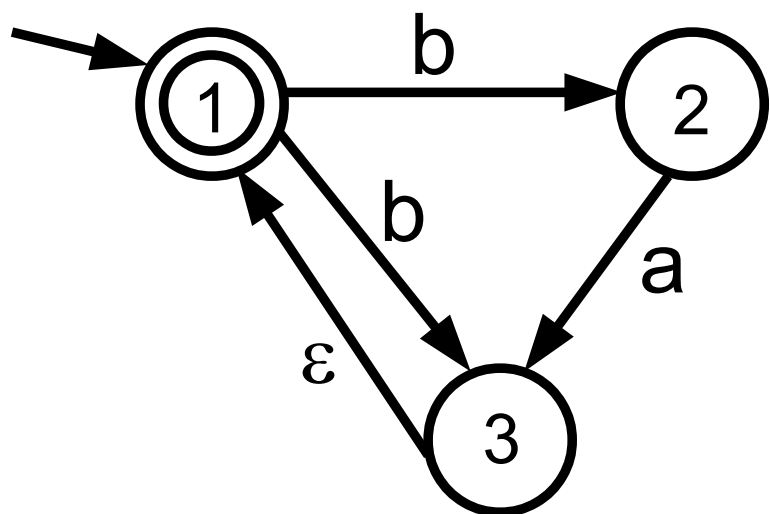
DFA



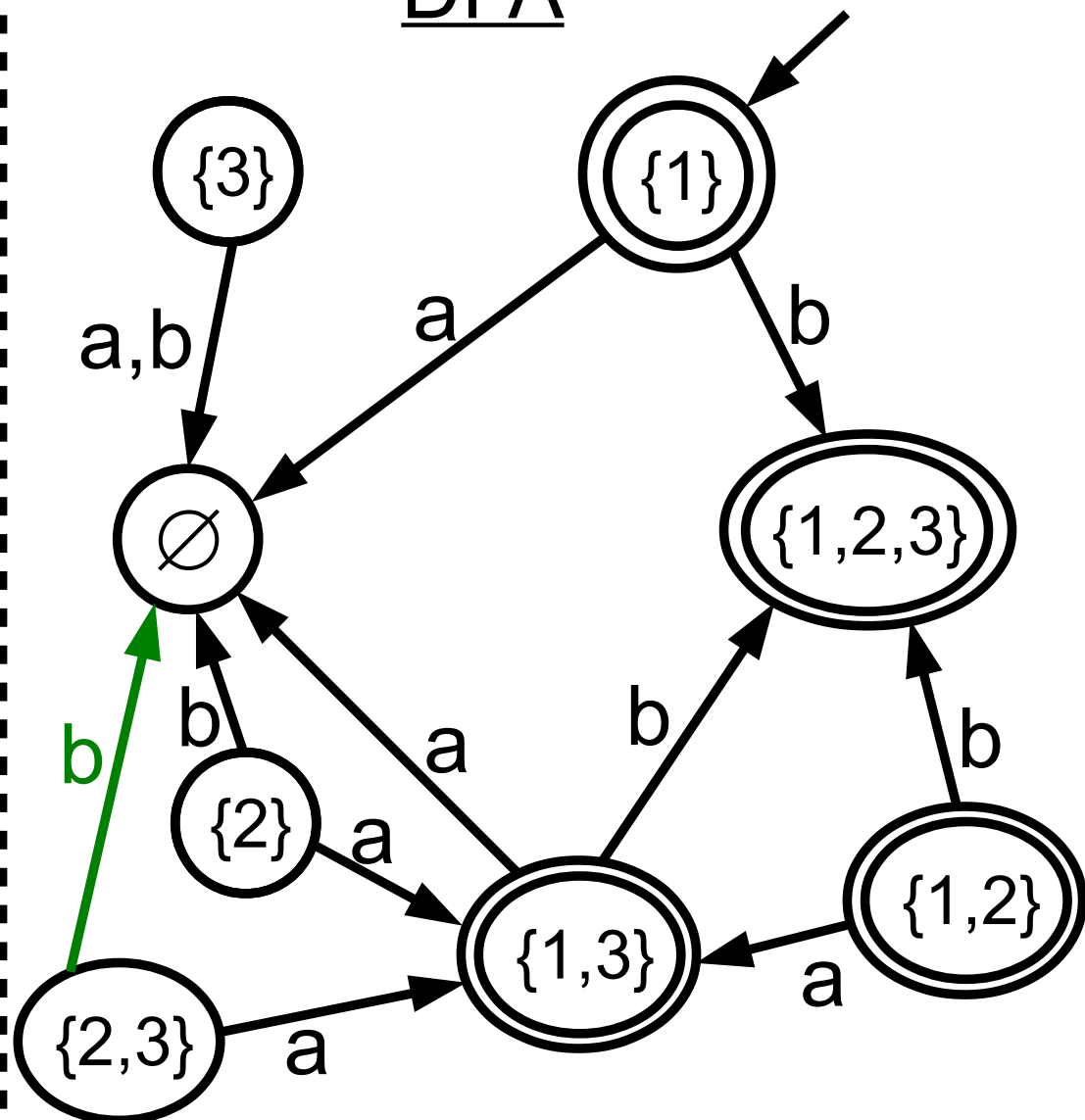
$$\begin{aligned} & \delta_{\text{DFA}}(\{2,3\}, a) \\ &= E(\delta_{\text{NFA}}(2, a) \cup \delta_{\text{NFA}}(3, a)) \\ &= E(\{3\} \cup \emptyset) = \{1,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



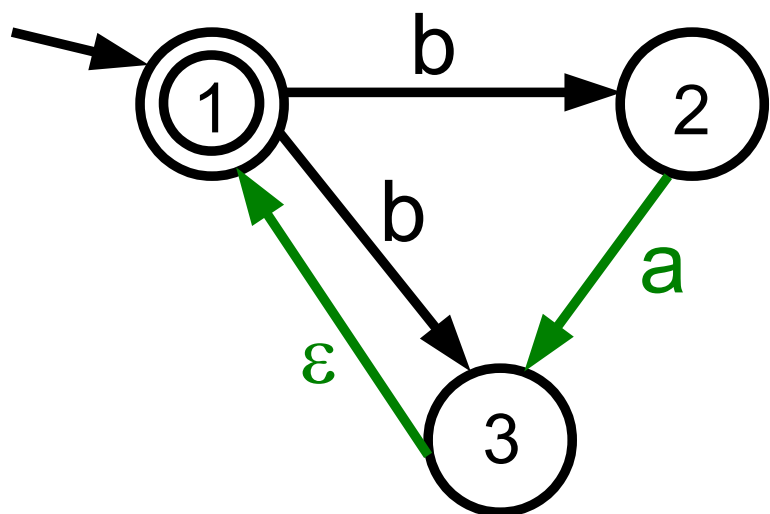
DFA



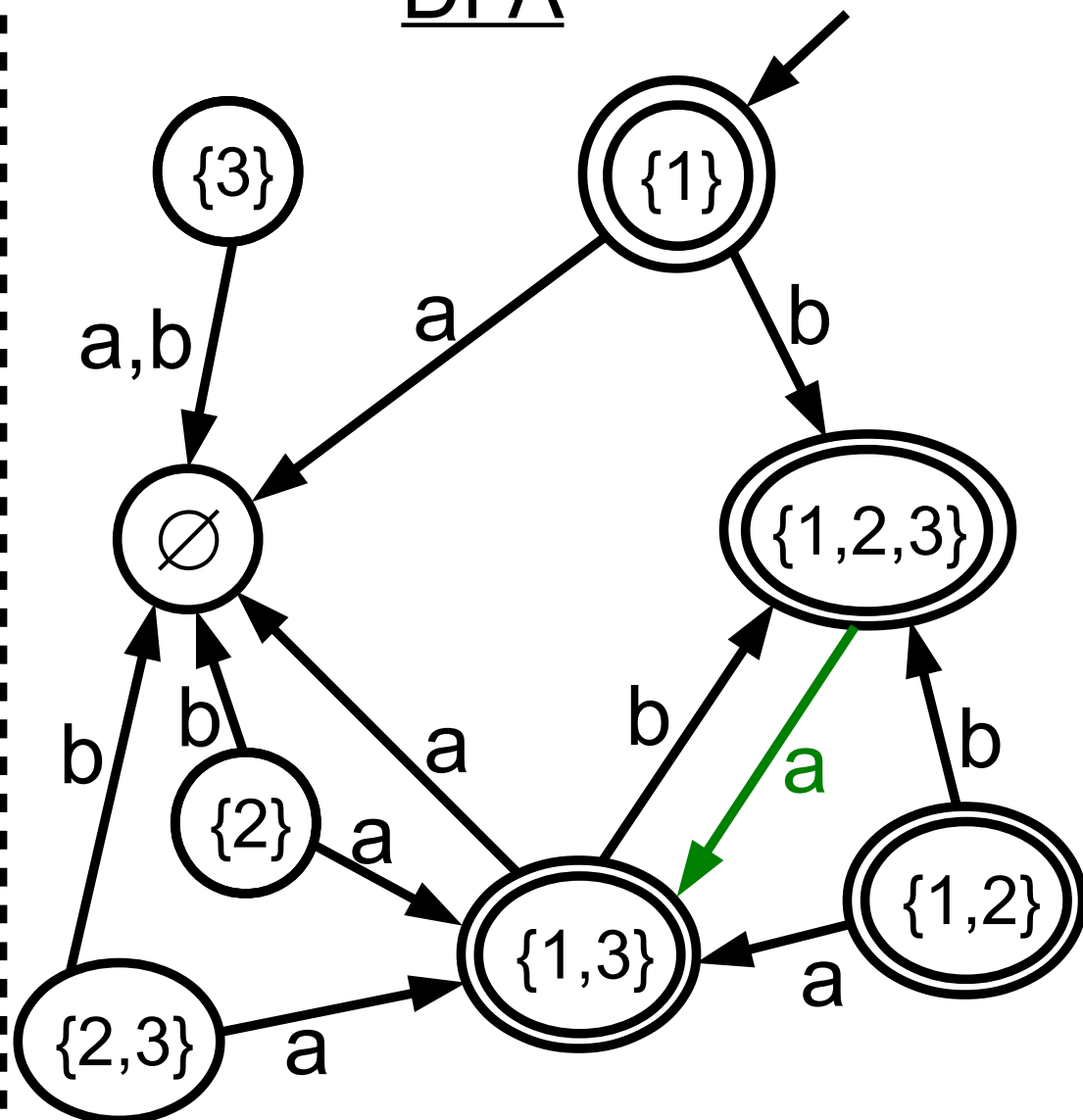
$$\begin{aligned} & \delta_{\text{DFA}}(\{2,3\}, b) \\ &= E(\delta_{\text{NFA}}(2,b) \cup \delta_{\text{NFA}}(3,b)) \\ &= E(\emptyset \cup \emptyset) = \emptyset \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



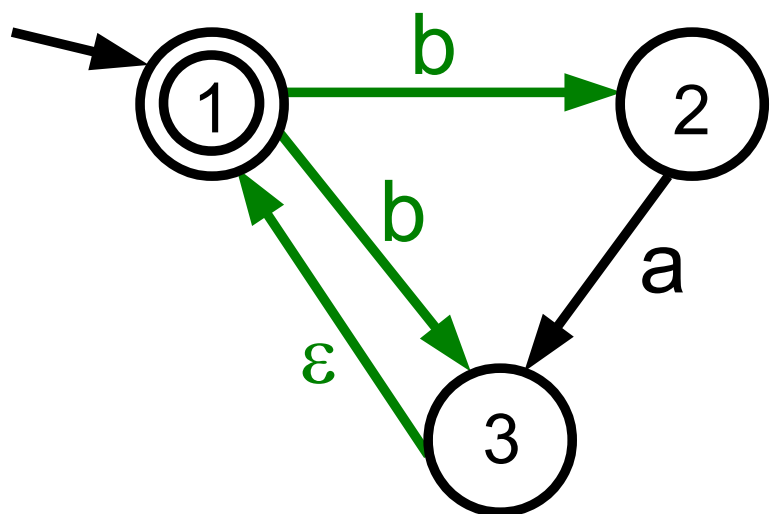
DFA



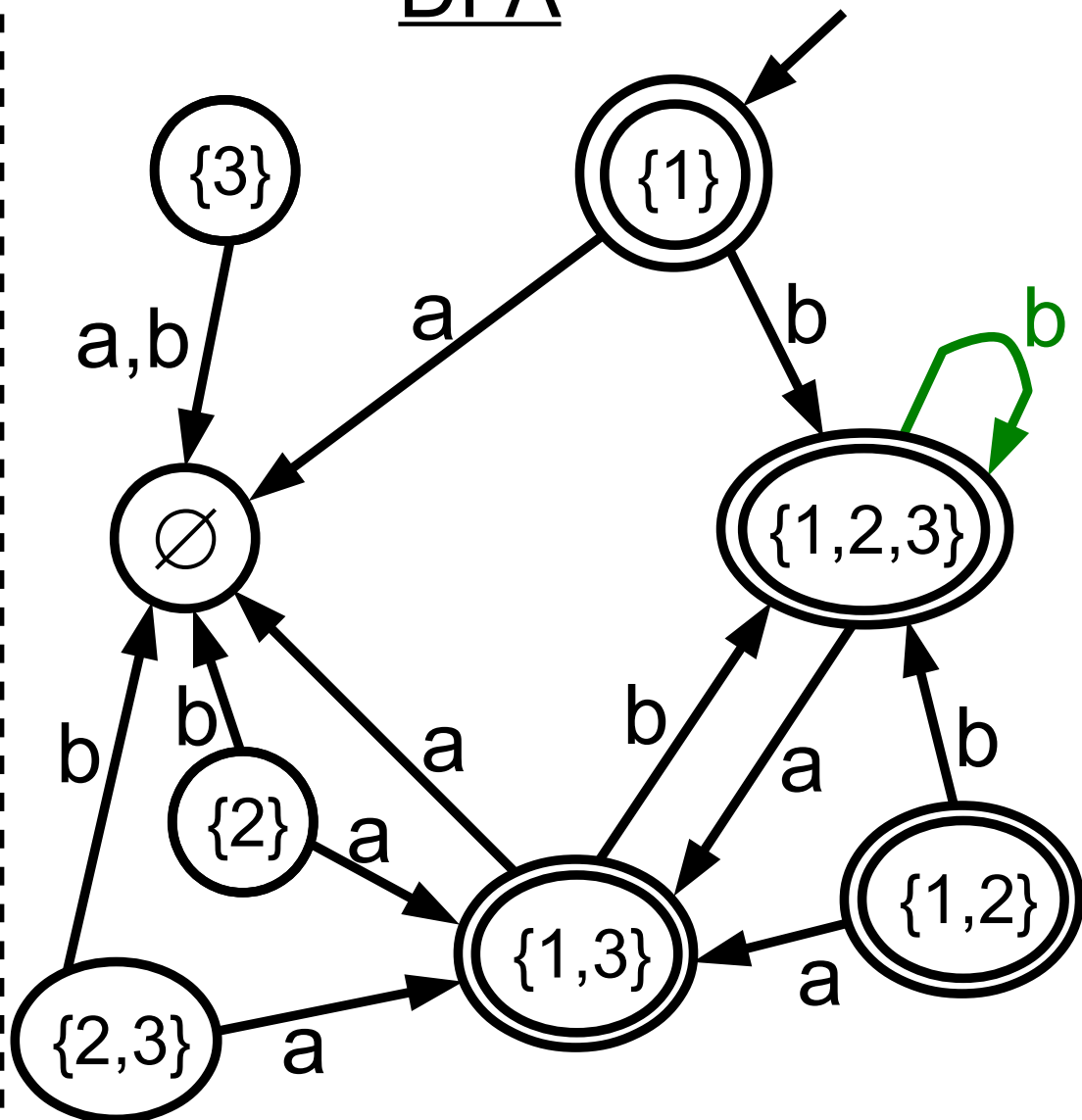
$$\begin{aligned} & \delta_{\text{DFA}}(\{1,2,3\}, a) \\ &= \mathbf{E}(\delta_{\text{NFA}}(1,a) \cup \delta_{\text{NFA}}(2,a) \cup \delta_{\text{NFA}}(3,a)) \\ &= \mathbf{E}(\emptyset \cup \{3\} \cup \emptyset) = \{1,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



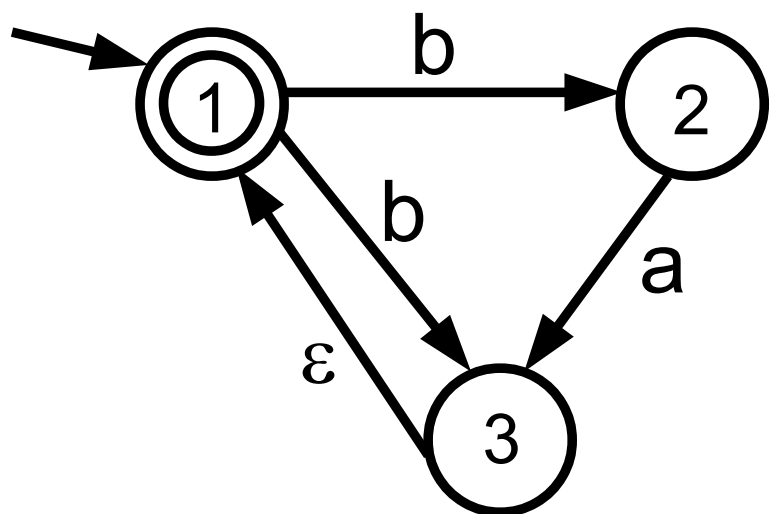
DFA



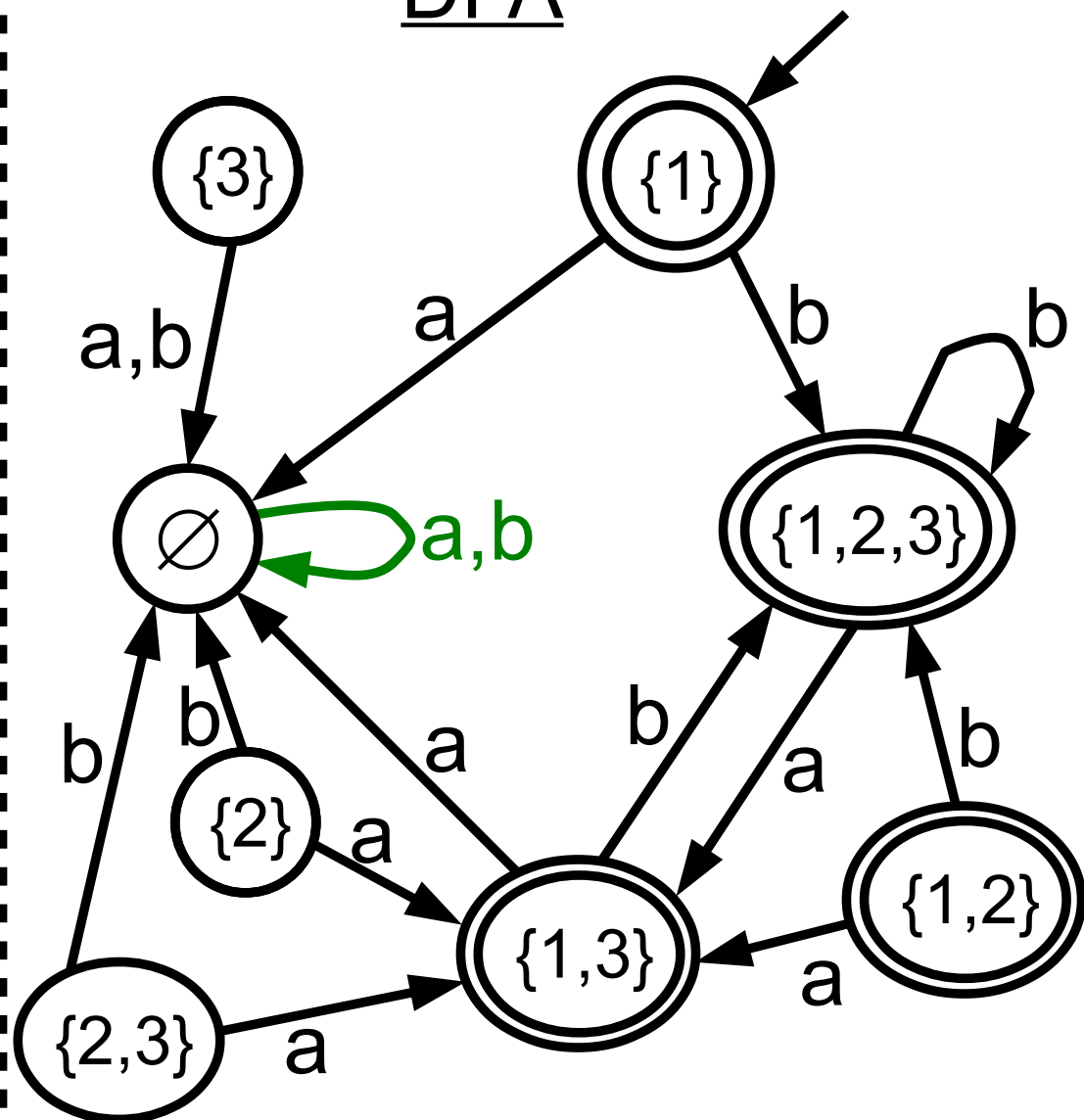
$$\begin{aligned} & \delta_{\text{DFA}}(\{1,2,3\}, b) \\ &= \mathbf{E}(\delta_{\text{NFA}}(1,b) \cup \delta_{\text{NFA}}(2,b) \cup \delta_{\text{NFA}}(3,b)) \\ &= \mathbf{E}(\{2,3\} \cup \emptyset \cup \emptyset) = \{1,2,3\} \end{aligned}$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



DFA

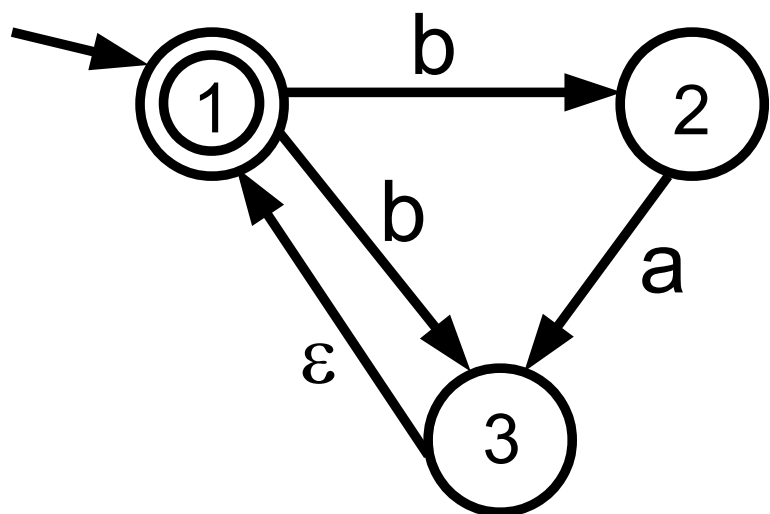


$$\delta_{\text{DFA}}(\emptyset, a) = \emptyset$$

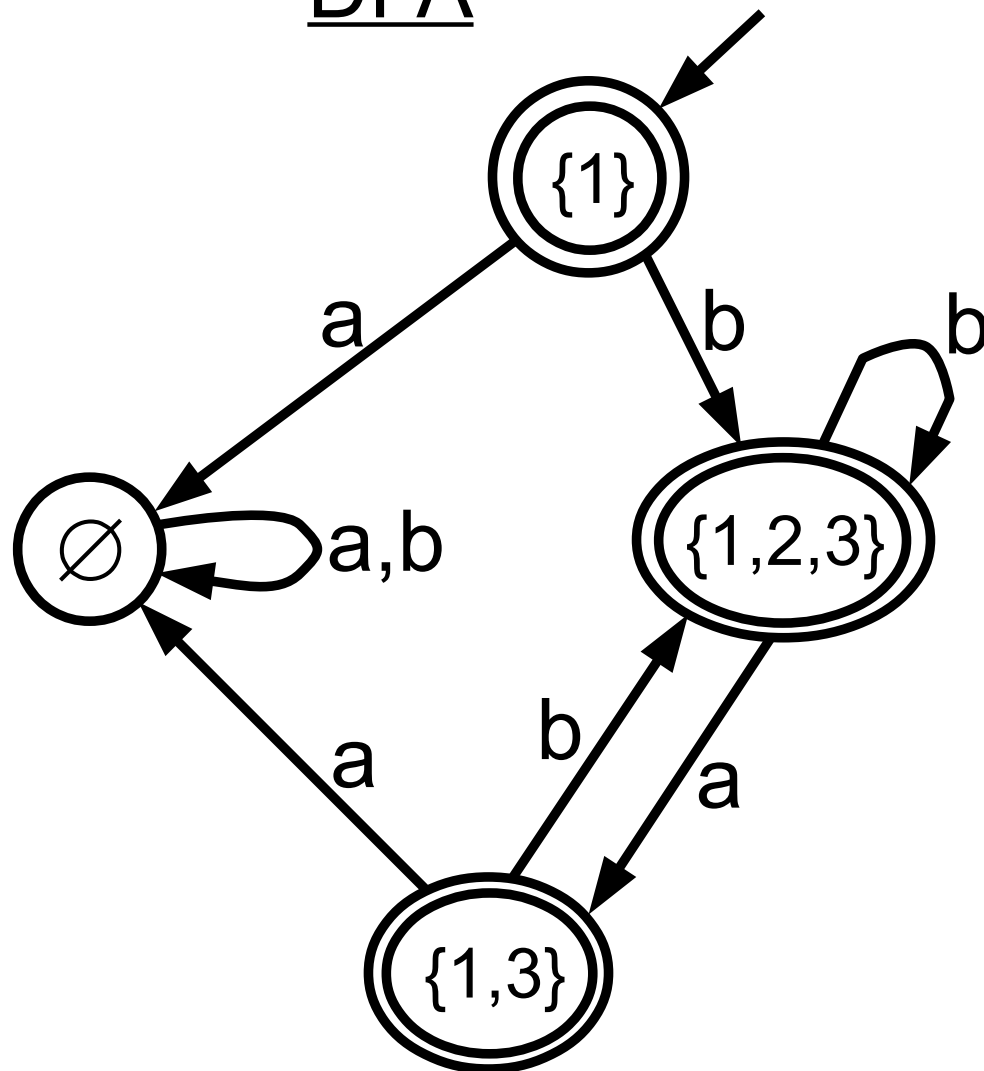
$$\delta_{\text{DFA}}(\emptyset, b) = \emptyset$$

# ANOTHER Example: NFA $\rightarrow$ DFA conversion

NFA



DFA



We can delete the unreachable states.

Summary: NFA and DFA recognize the same languages

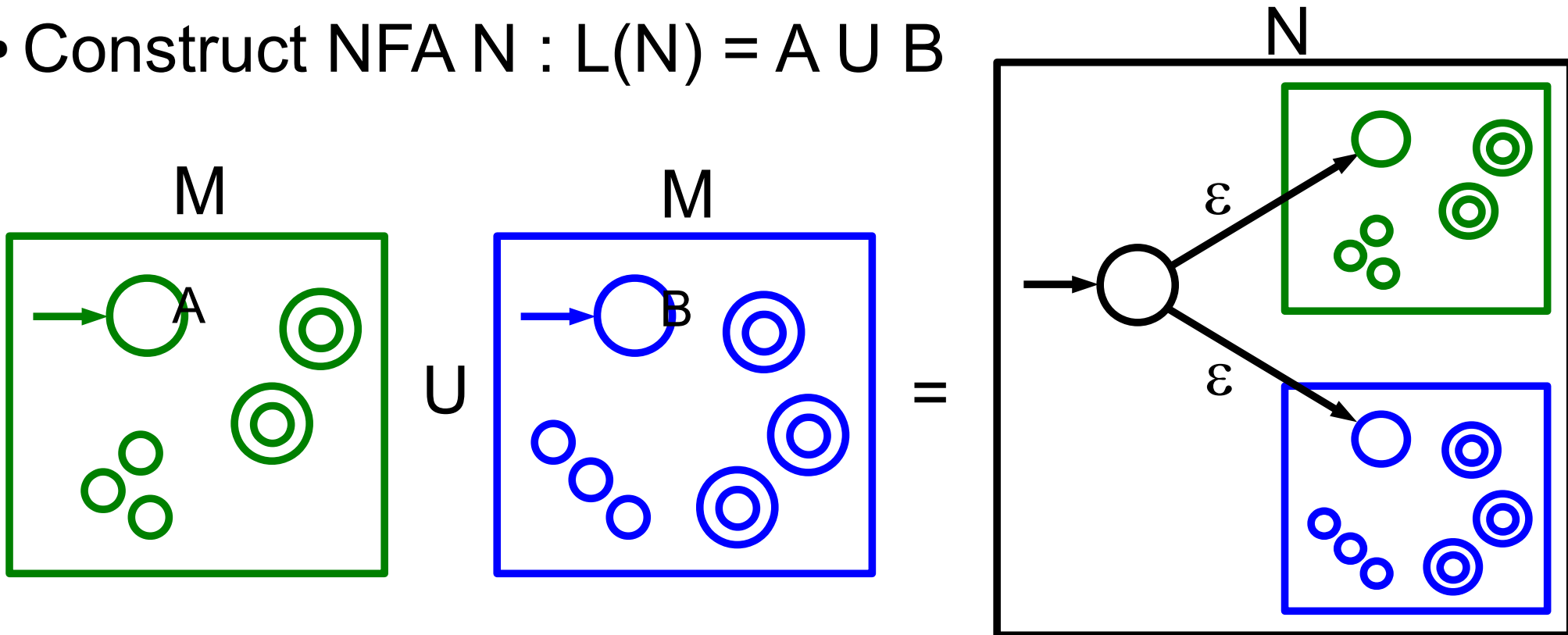
We now return to the question:

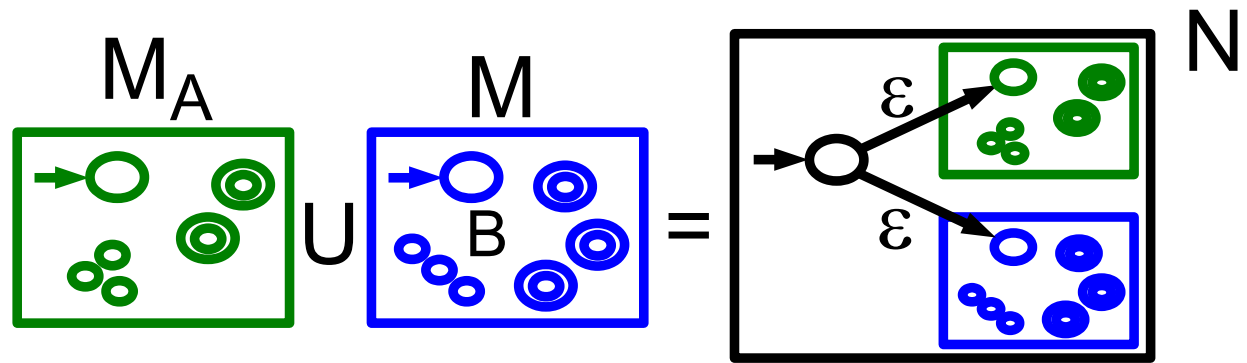
- Suppose  $A, B$  are regular languages, what about
- $\text{not } A := \{ w : w \text{ is not in } A \}$                       **REGULAR**
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$                       **REGULAR**
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$



**Theorem:** If  $A$ ,  $B$  are regular languages, then so is  
 $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$

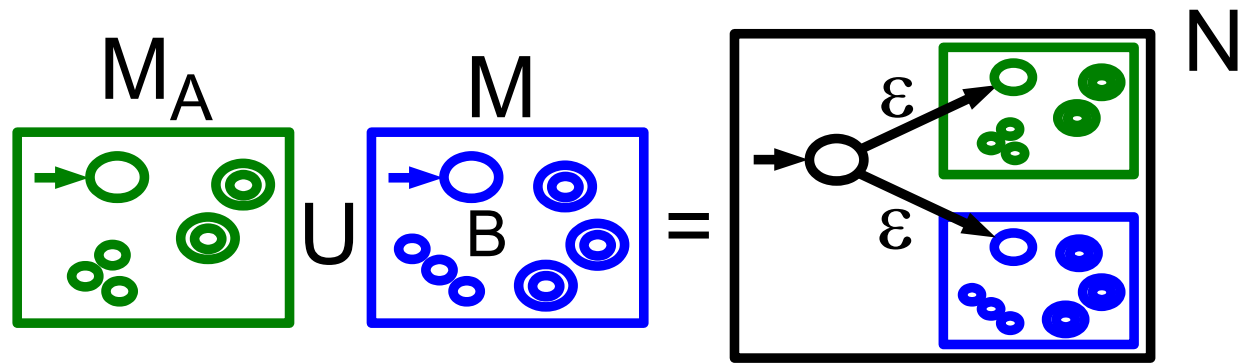
- Proof idea: Given DFA  $M_A : L(M_A) = A$ ,  
DFA  $M_B : L(M_B) = B$ ,
- Construct NFA  $N : L(N) = A \cup B$





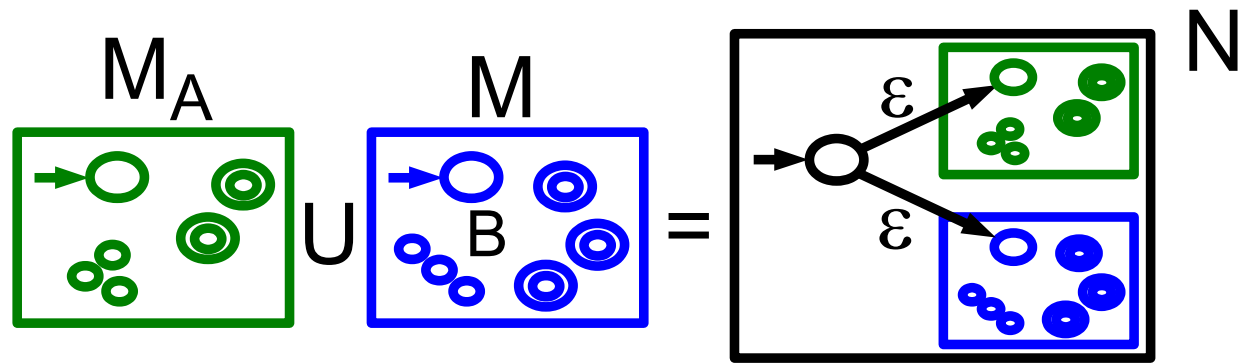
Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
 DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B) : L(M_B) = B$ ,
- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
- $Q := ?$



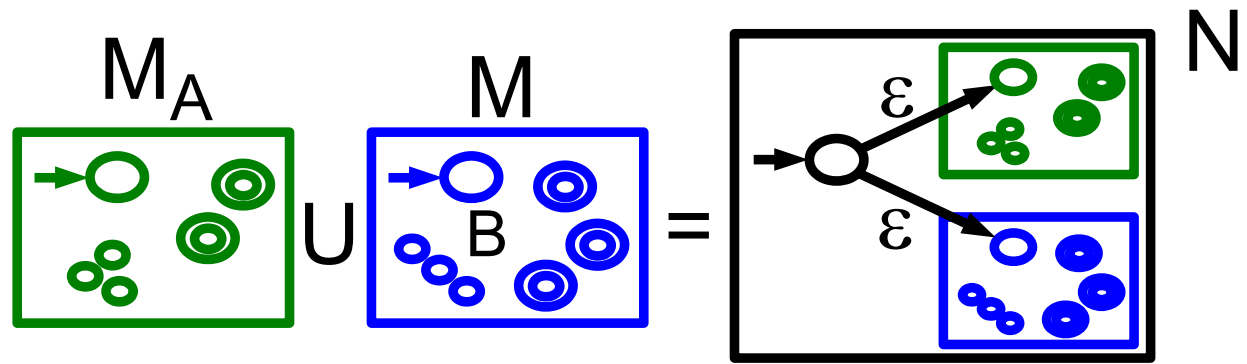
Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
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- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
- $Q := \{q\} \cup Q_A \cup Q_B$  ,  $F := ?$



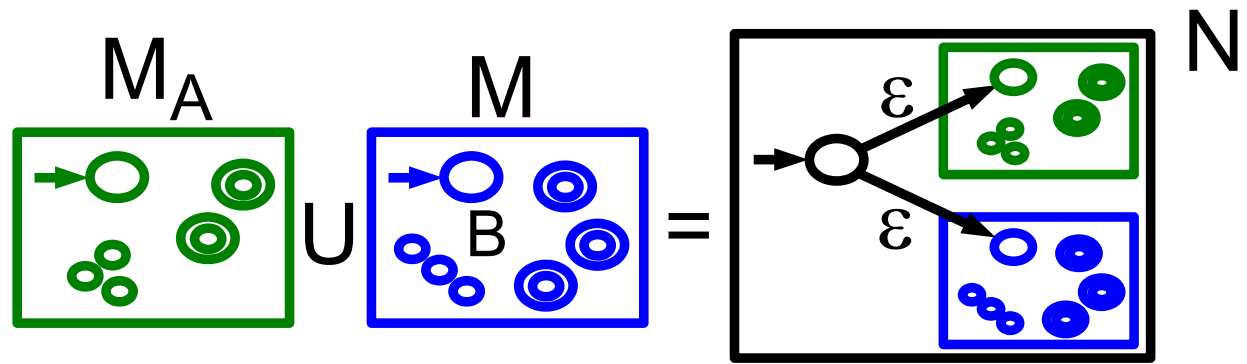
Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B) : L(M_B) = B$ ,
- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
- $Q := \{q\} \cup Q_A \cup Q_B$  ,  $F := F_A \cup F_B$
- $\delta(r,x) := \{ \delta_A(r,x) \}$  if  $r$  in  $Q_A$  and  $x \neq \epsilon$
- $\delta(r,x) := ?$  if  $r$  in  $Q_B$  and  $x \neq \epsilon$



Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
 DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B) : L(M_B) = B$ ,
- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
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- $\delta(r,x) := \{ \delta_B(r,x) \}$  if  $r$  in  $Q_B$  and  $x \neq \epsilon$
- $\delta(q,\epsilon) := ?$



Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B) : L(M_B) = B$ ,
- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
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- $\delta(r,x) := \{ \delta_A(r,x) \}$  if  $r$  in  $Q_A$  and  $x \neq \epsilon$
- $\delta(r,x) := \{ \delta_B(r,x) \}$  if  $r$  in  $Q_B$  and  $x \neq \epsilon$
- $\delta(q,\epsilon) := \{q_A, q_B\}$
- We have  $L(N) = A \cup B$

# Example

Is  $L = \{w \text{ in } \{0,1\}^* : |w| \text{ is divisible by 3 OR } w \text{ starts with a 1}\}$  regular?

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OR is like U, so try to write  $L = L_1 \cup L_2$   
where  $L_1, L_2$  are regular



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$L_1 = \{w : |w| \text{ is div. by 3}\}$        $L_2 = \{w : w \text{ starts with a 1}\}$

# Example

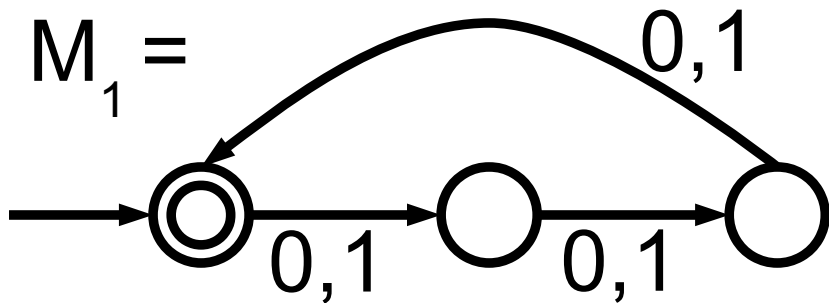
Is  $L = \{w \text{ in } \{0,1\}^* : |w| \text{ is divisible by 3 OR } w \text{ starts with a 1}\}$  regular?

OR is like U, so try to write  $L = L_1 \cup L_2$

where  $L_1, L_2$  are regular

$L_1 = \{w : |w| \text{ is div. by 3}\}$

$L_2 = \{w : w \text{ starts with a 1}\}$



$L(M_1) = L_1$

# Example

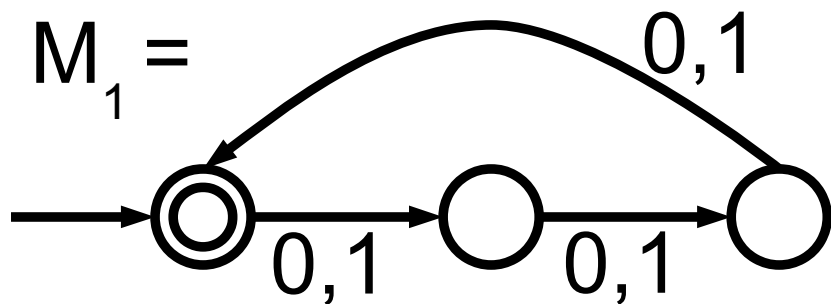
Is  $L = \{w \text{ in } \{0,1\}^* : |w| \text{ is divisible by 3 OR } w \text{ starts with a 1}\}$  regular?

OR is like U, so try to write  $L = L_1 \cup L_2$

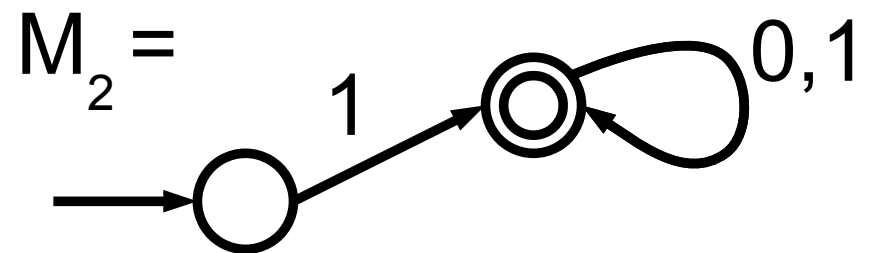
where  $L_1, L_2$  are regular

$L_1 = \{w : |w| \text{ is div. by 3}\}$

$L_2 = \{w : w \text{ starts with a 1}\}$



$L(M_1) = L_1$



$L(M_2) = L_2$

# Example

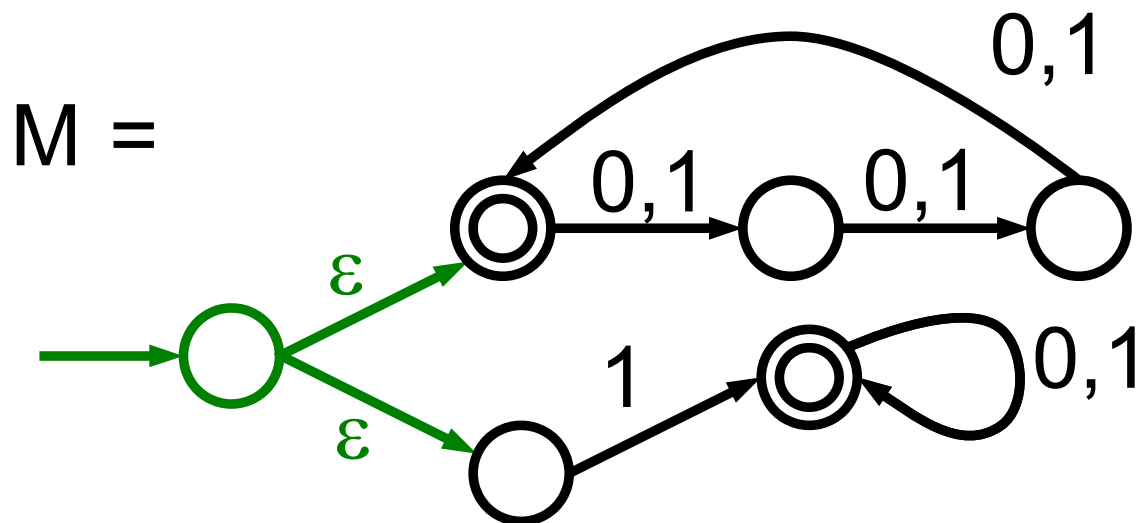
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$$\begin{aligned} L(M) &= L(M_1) \cup L(M_2) \\ &= L_1 \cup L_2 \\ &= L \end{aligned}$$

$\Rightarrow L$  is regular.

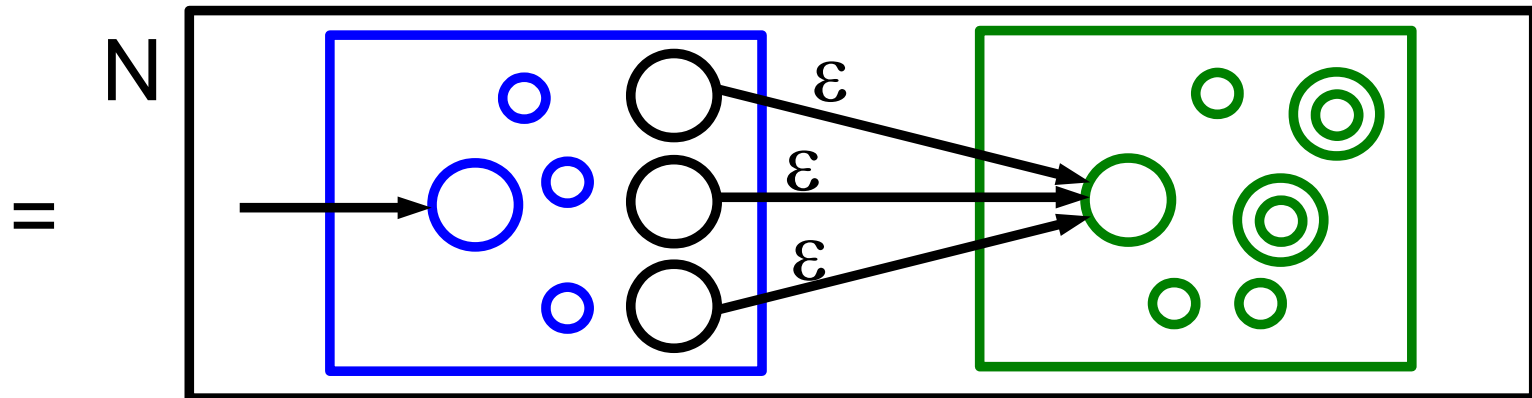
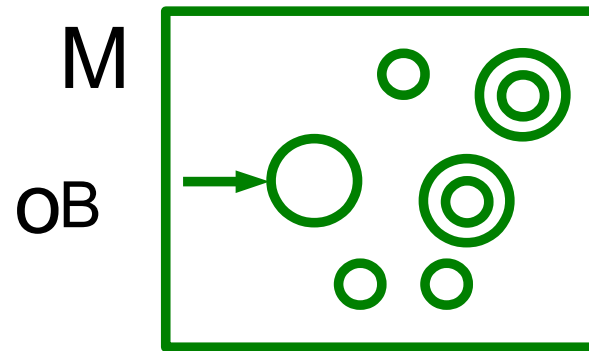
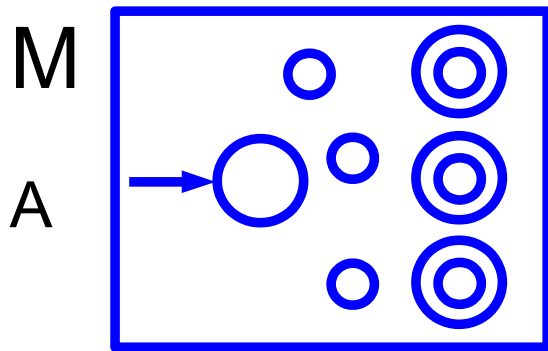
We now return to the question:

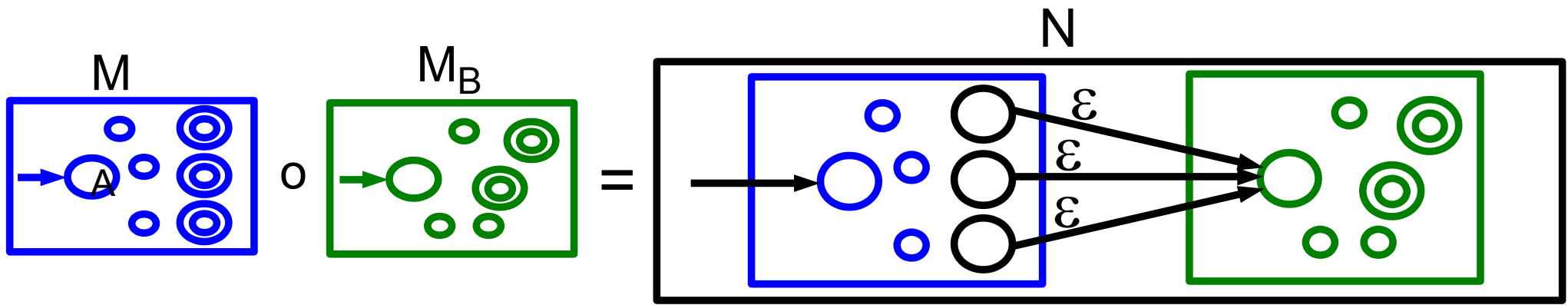
- Suppose A, B are regular languages, then
- $\text{not } A := \{ w : w \text{ is not in } A \}$       REGULAR
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$       REGULAR
- $A \circ B := \{ w_1 w_2 : w_1 \text{ in } A \text{ and } w_2 \text{ in } B \}$
- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$

**Theorem:** If  $A, B$  are regular languages, then so is

$$A \circ B := \{ w : w = xy \text{ for some } x \text{ in } A \text{ and } y \text{ in } B \}.$$

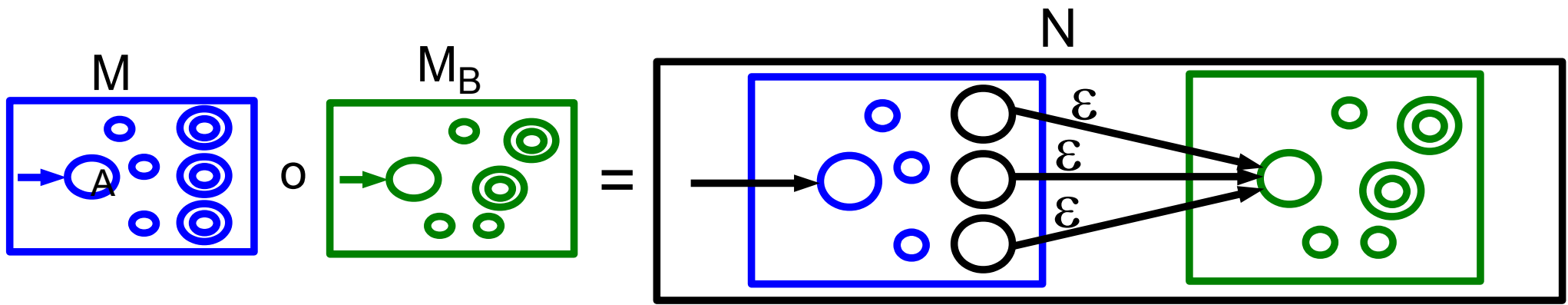
- Proof idea: Given DFAs  $M_A, M_B$  for  $A, B$  construct NFA  $N : L(N) = A \circ B$ .





Construction:

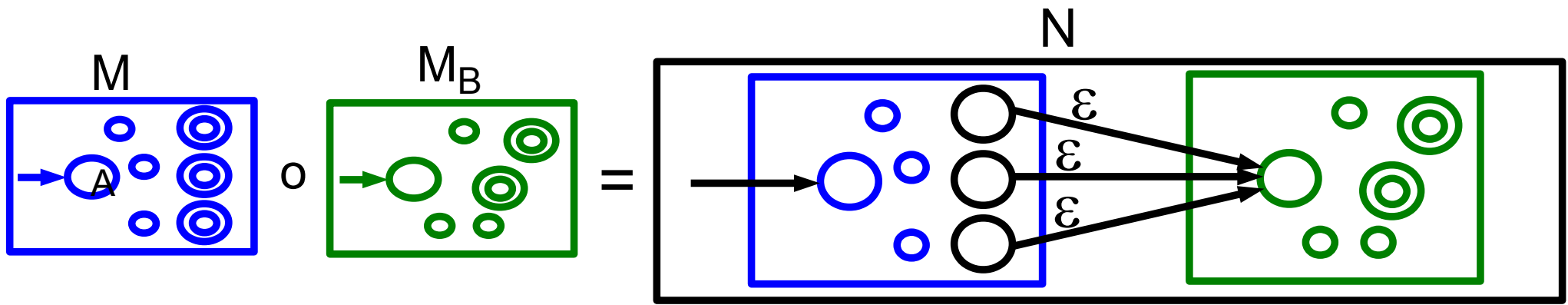
- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
 DFA  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B) : L(M_B) = B$ ,
- Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:
- $Q := ?$



Construction:

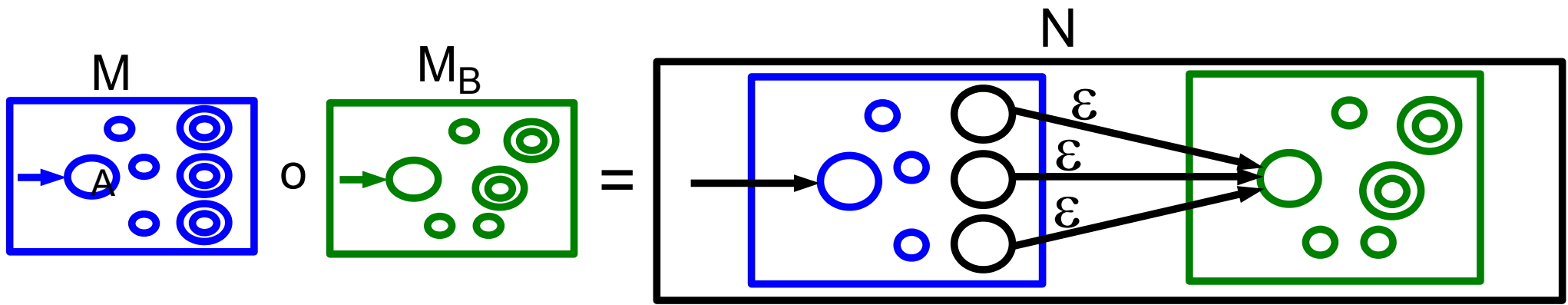
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- $Q := Q_A \cup Q_B$  ,  $q := ?$





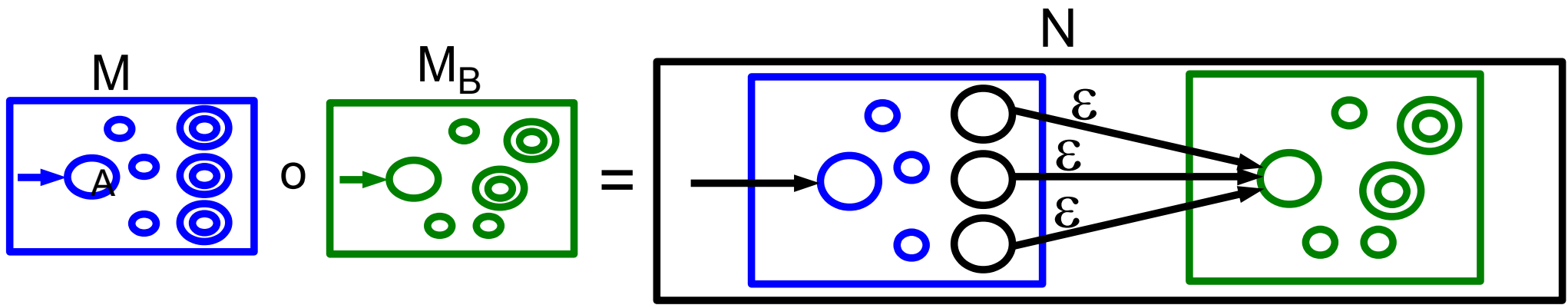
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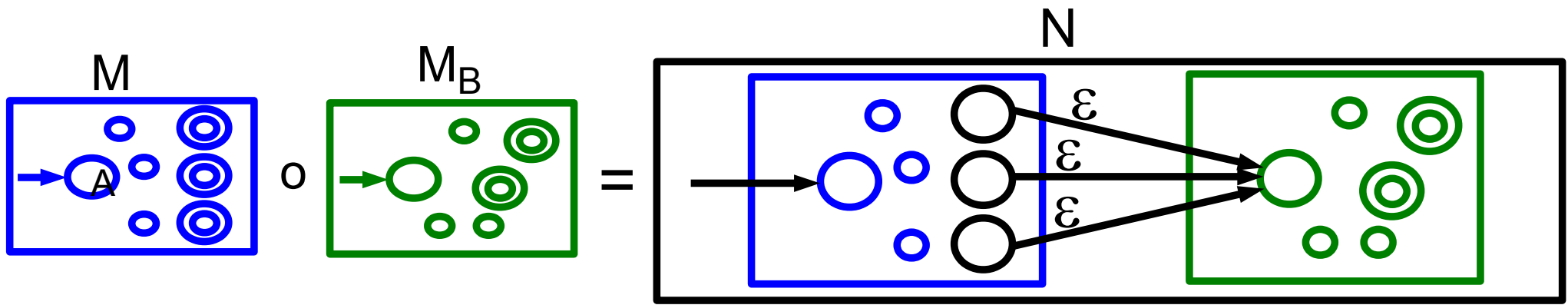
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- $\delta(r, x) := ?$  if  $r$  in  $Q_A$  and  $x \neq \epsilon$



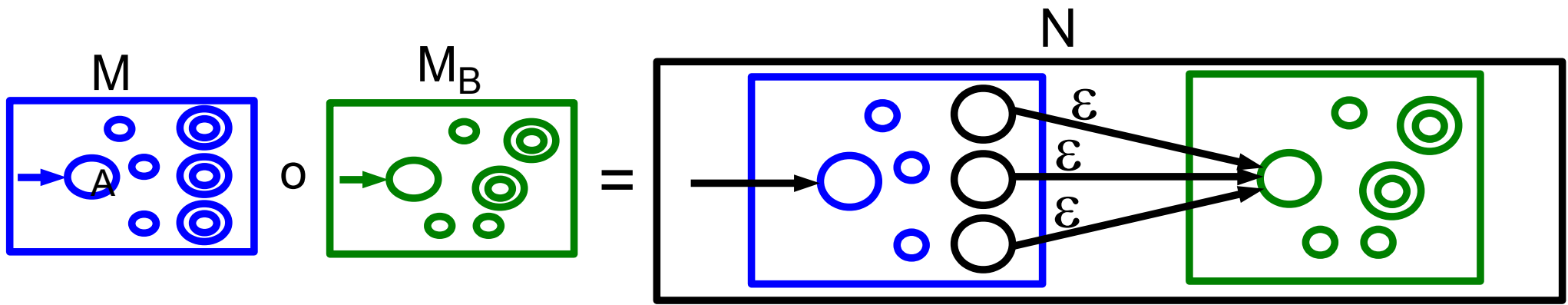
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- $\delta(r, \varepsilon) := ?$  if  $r$  in  $F_A$



Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A$ ,  
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- $\delta(r, x) := \{ \delta_B(r, x) \}$  if  $r$  in  $Q_B$  and  $x \neq \varepsilon$
- We have  $L(N) = A \circ B$

## Example

Is  $L = \{w \text{ in } \{0,1\}^* : w \text{ contains a 1 after a 0}\}$

regular?

Note:  $L = \{01, 0001001, 111001, \dots\}$

# Example

Is  $L = \{w \text{ in } \{0,1\}^* : w \text{ contains a 1 after a 0}\}$   
regular?

Let  $L_0 = \{w : w \text{ contains a 0}\}$

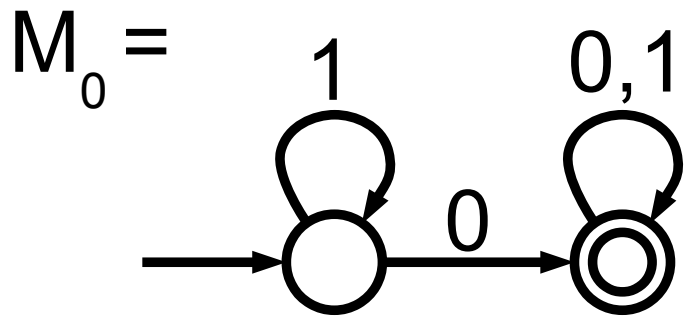
$L_1 = \{w : w \text{ contains a 1}\}$ . Then  $L = L_0 \circ L_1$ .

# Example

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Let  $L_0 = \{w : w \text{ contains a 0}\}$

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$$L(M_0) = L_0$$



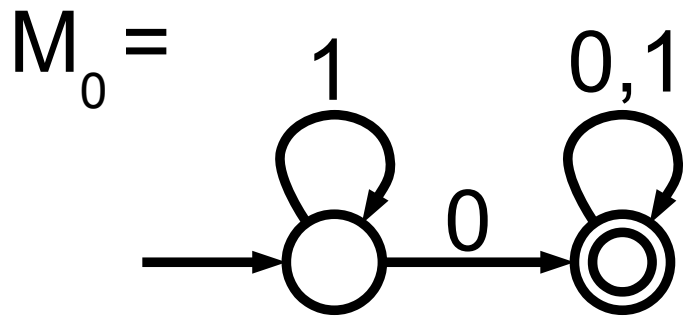
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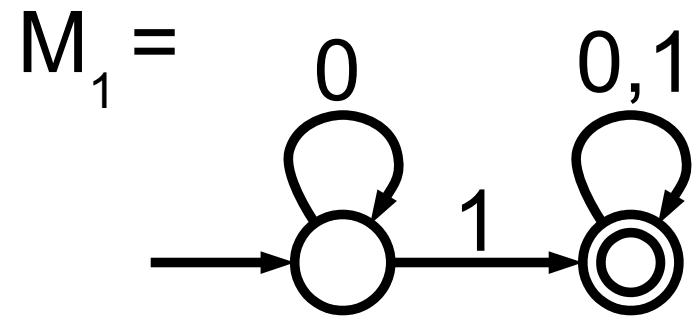
Let  $L_0 = \{w : w \text{ contains a 0}\}$

$L_1 = \{w : w \text{ contains a 1}\}$ .

Then  $L = L_0 \circ L_1$ .



$$L(M_0) = L_0$$



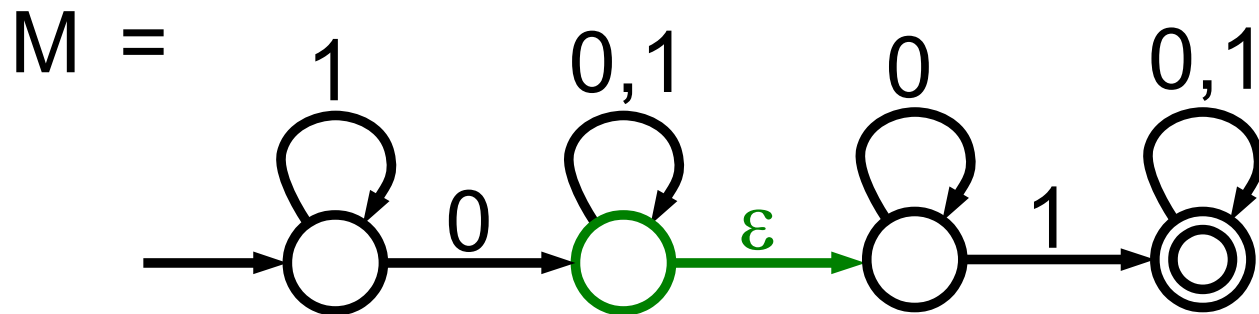
$$L(M_1) = L_1$$

# Example

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$$L(M) = L(M_0) \circ L(M_1) = L_0 \circ L_1 = L$$

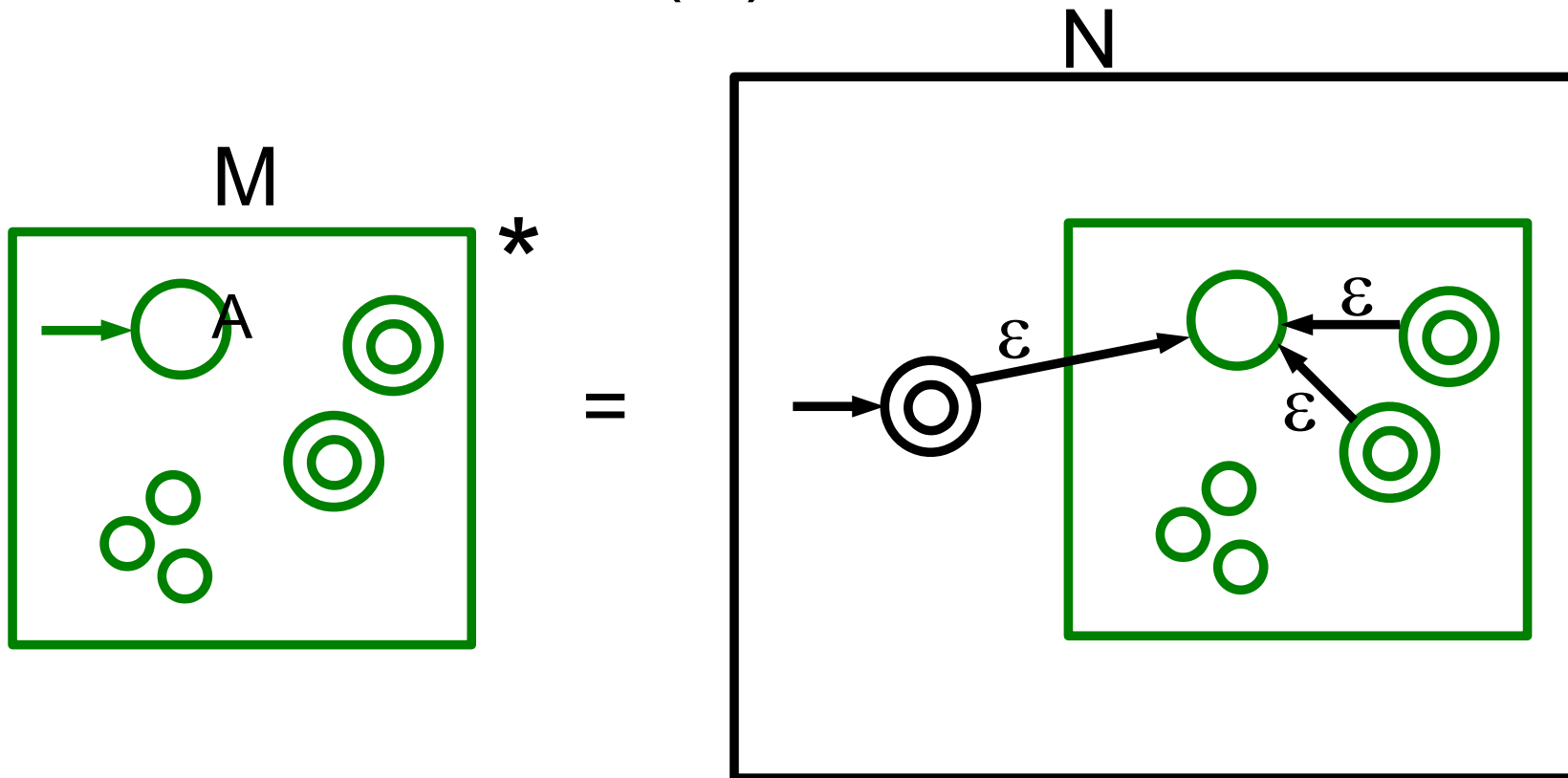
$\Rightarrow L$  is regular.

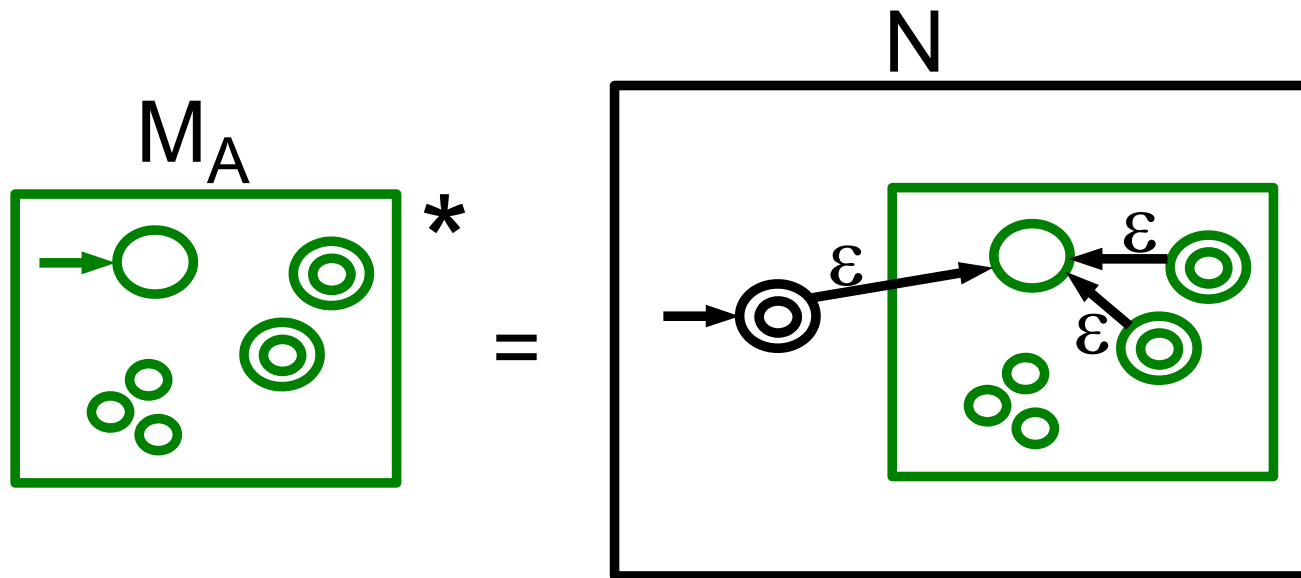
We now return to the question:

- Suppose  $A, B$  are regular languages, then
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- $A^* := \{ w_1 w_2 \dots w_k : k \geq 0, w_i \text{ in } A \text{ for every } i \}$

**Theorem:** If  $A$  is a regular language, then so is  $A^* := \{ w : w = w_1 \dots w_k, w_i \text{ in } A \text{ for } i=1, \dots, k \}$

- Proof idea: Given DFA  $M_A : L(M_A) = A$ ,  
Construct NFA  $N : L(N) = A^*$



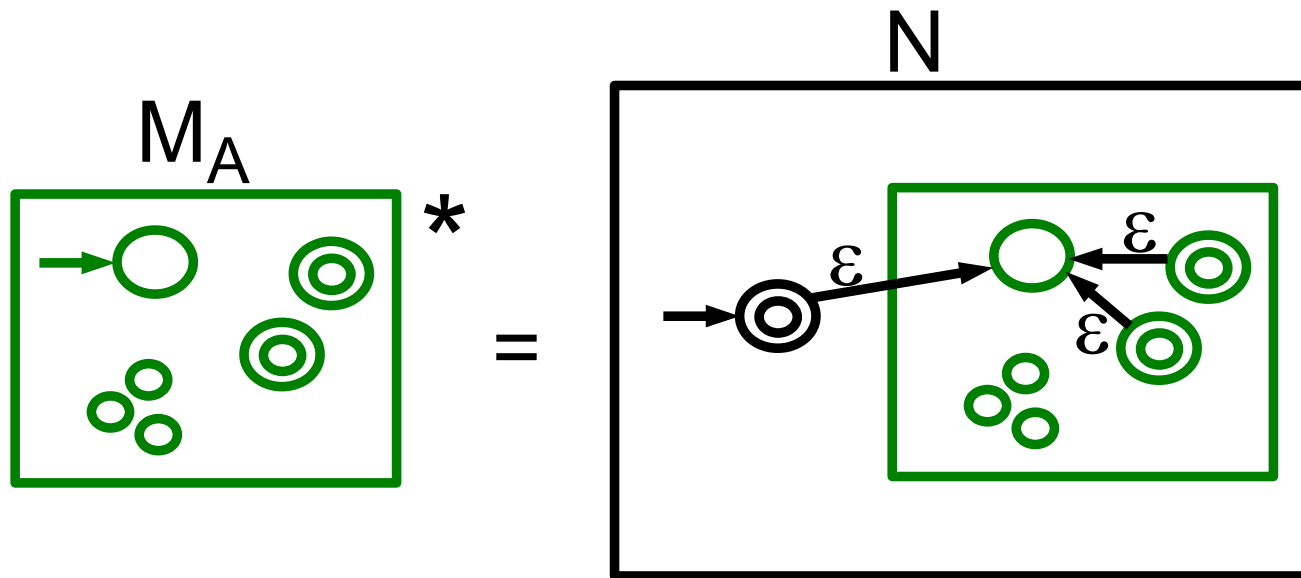


Construction:

- Given DFA  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A) : L(M_A) = A,$

Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:

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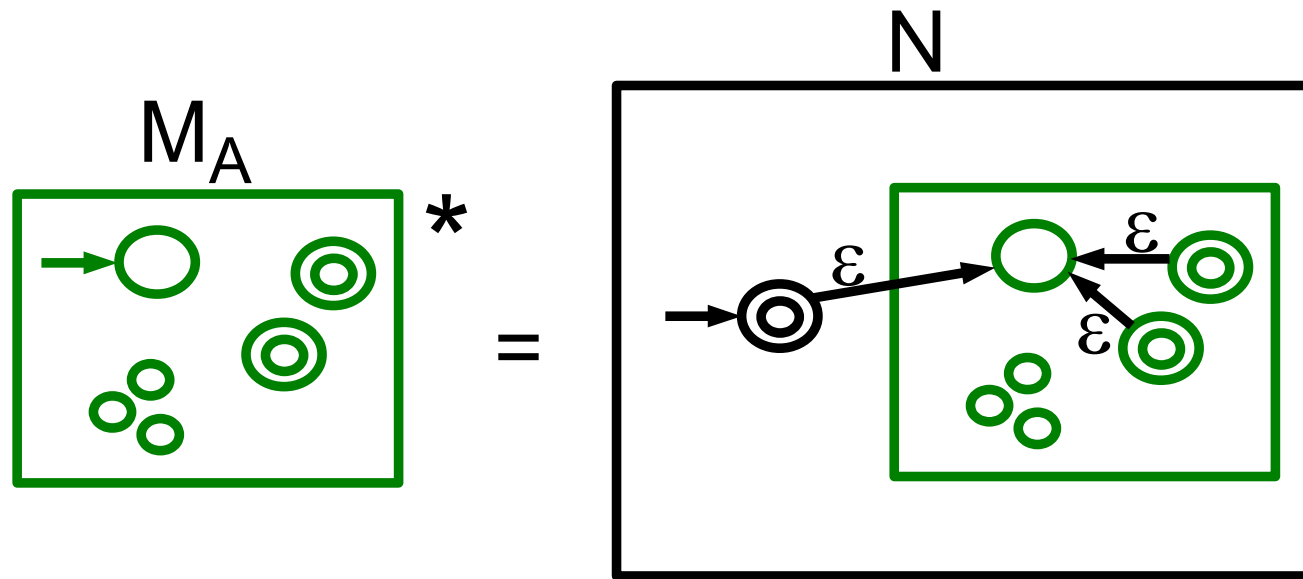


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Construct NFA  $N = (Q, \Sigma, \delta, q, F)$  where:

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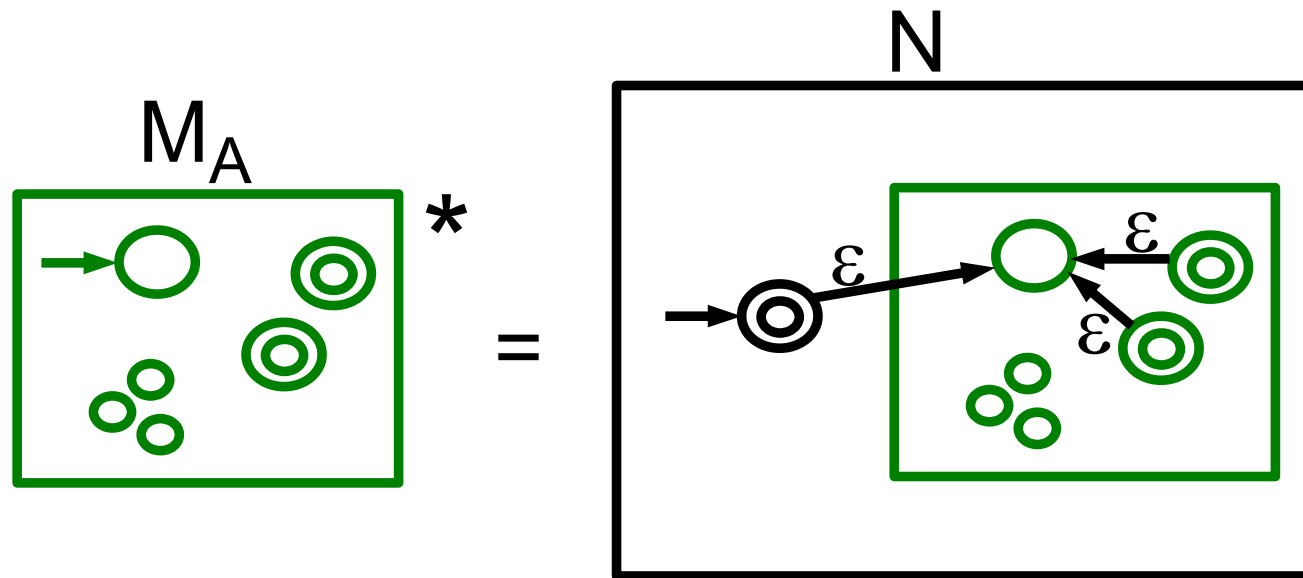


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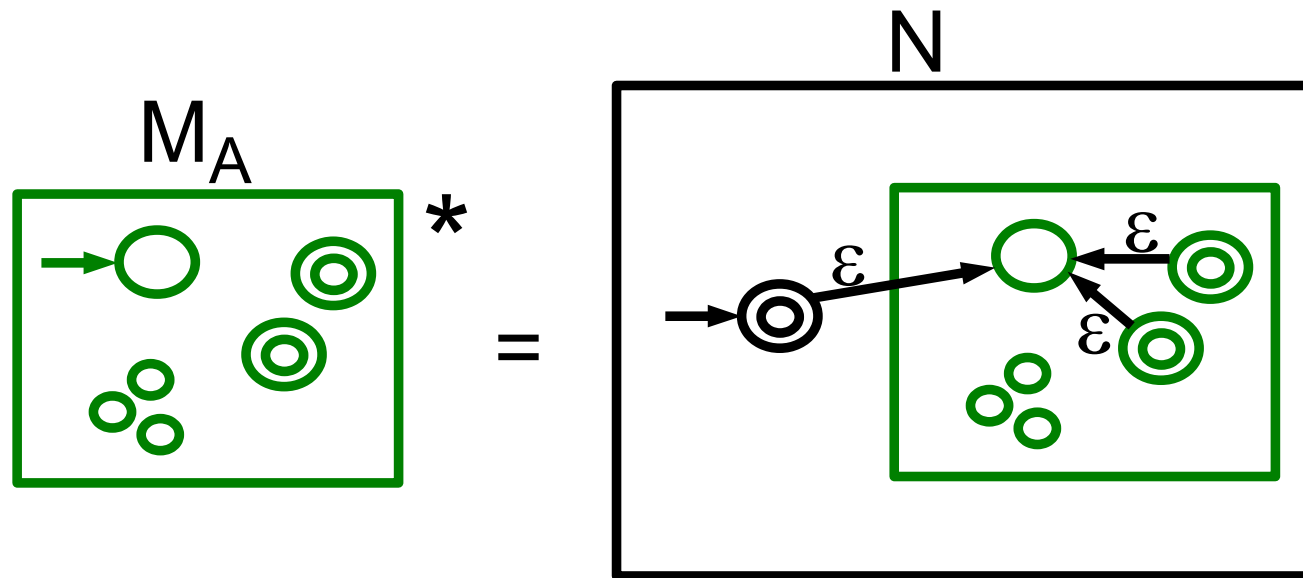
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- We have  $L(N) = A^*$

# Example

Is  $L = \{w \text{ in } \{0,1\}^* : w \text{ has even length}\}$

regular?

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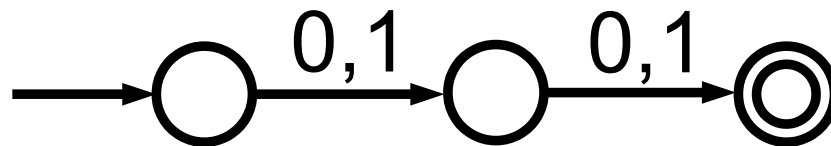
Let  $L_0 = \{w : w \text{ has length} = 2\}$ . Then  $L = L_0^*$ .

# Example

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$M_0 =$



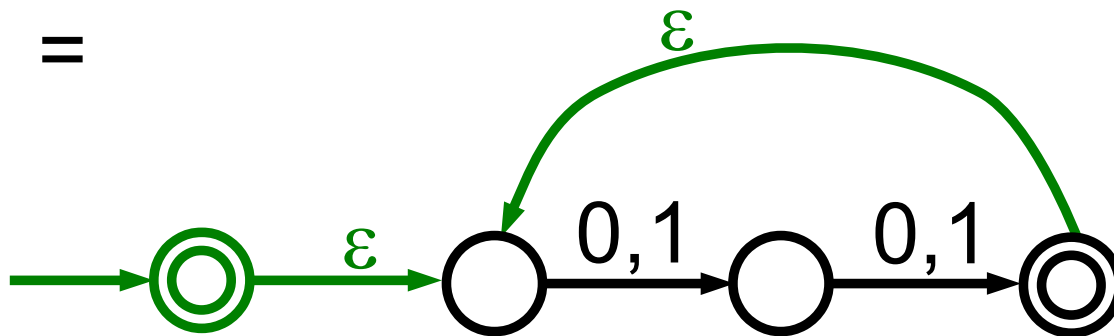
$$L(M_0) = L_0$$

# Example

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regular?

Let  $L_0 = \{w : w \text{ has length} = 2\}$ . Then  $L = L_0^*$ .

$M =$



$$L(M) = L(M_0)^* = L_0^* = L$$

$\Rightarrow L$  is regular.

We now return to the question:

- Suppose  $A, B$  are regular languages, then
- $\text{not } A := \{ w : w \text{ is not in } A \}$
- $A \cup B := \{ w : w \text{ in } A \text{ or } w \text{ in } B \}$
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are all regular!

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What about  $A \cap B := \{ w : w \text{ in } A \text{ and } w \text{ in } B \}$  ?

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De Morgan's laws:  $A \cap B = \text{not} ( (\text{not } A) \cup (\text{not } B) )$

By above,  $(\text{not } A)$  is regular,  $(\text{not } B)$  is regular,

$(\text{not } A) \cup (\text{not } B)$  is regular,

$\text{not} ( (\text{not } A) \cup (\text{not } B) ) = A \cap B$  regular

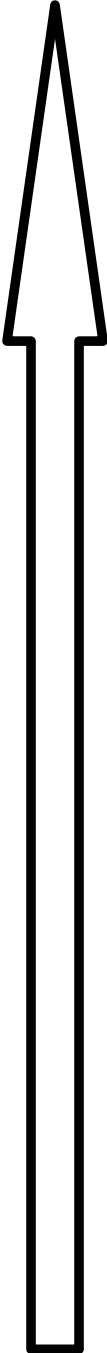


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- $A \cap B := \{ w : w \text{ in } A \text{ and } w \text{ in } B \}$

are all regular

# Big picture

- 
- All languages
  - Decidable
    - Turing machines
  - NP
  - P
  - Context-free
    - Context-free grammars, push-down automata
  - Regular
    - Automata, non-deterministic automata,  
regular expressions



Regular expressions: anything you can write with  $\emptyset$ ,  $\varepsilon$ , symbols from  $\Sigma$ , and operations  $*$ ,  $\circ$ ,  $\cup$

Conventions:

- Write  $a$  instead of  $\{a\}$
- Write  $AB$  for  $A \circ B$
- Write  $\Sigma$  for  $\cup_{a \in \Sigma} a$  So if  $\Sigma = \{a,b\}$  then  $\Sigma = a \cup b$
- Operation  $*$  has precedence over  $\circ$ , and  $\circ$  over  $\cup$   
so  $1 \cup 01^*$  means  $1 \cup (0(1)^*)$

Example:  $110$ ,  $0^*$ ,  $\Sigma^*$ ,  $\Sigma^*001\Sigma^*$ ,  $(\Sigma\Sigma)^*$ ,  $01 \cup 10$

**Definition** Regular expressions RE over  $\Sigma$  are:

$\emptyset$

$\varepsilon$

$a$  if  $a$  in  $\Sigma$

$RR'$  if  $R, R'$  are RE

$R \cup R'$  if  $R, R'$  are RE

$R^*$  if  $R$  is RE

**Definition** The language described by RE:

$$L(\emptyset) = \emptyset$$

$$L(\varepsilon) = \{ \varepsilon \}$$

$$L(a) = \{a\} \quad \text{if } a \text{ in } \Sigma$$

$$L(R R') = L(R) \circ L(R')$$

$$L(R \cup R') = L(R) \cup L(R')$$

$$L(R^*) = L(R)^*$$

Example  $\Sigma = \{ a, b \}$

RE

Language

- $ab \cup ba$
- $a^*$
- $(a \cup b)^*$
- $a^*ba^*$
- $\Sigma^*b\Sigma^*$
- $\Sigma^*aab\Sigma^*$
- $(\Sigma\Sigma)^*$
- $a^*(a^*ba^*ba^*)^*$
- $a^*baba^*a \emptyset$

?

Example  $\Sigma = \{ a, b \}$

RE

Language

- $ab \cup ba$        $\{ab, ba\}$
- $a^*$
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Example  $\Sigma = \{ a, b \}$

RE

Language

- $ab \cup ba$        $\{ab, ba\}$
- $a^*$        $\{\epsilon, a, aa, \dots\} = \{w : w \text{ has only } a\}$
- $(a \cup b)^*$
- $a^*ba^*$
- $\Sigma^*b\Sigma^*$
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- $a^*(a^*ba^*ba^*)^*$
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Example  $\Sigma = \{ a, b \}$

RE

Language

- $ab \cup ba$        $\{ab, ba\}$
- $a^*$        $\{\epsilon, a, aa, \dots\} = \{w : w \text{ has only } a\}$
- $(a \cup b)^*$       all strings
- $a^*ba^*$
- $\Sigma^*b\Sigma^*$
- $\Sigma^*aab\Sigma^*$
- $(\Sigma\Sigma)^*$
- $a^*(a^*ba^*ba^*)^*$
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Example  $\Sigma = \{ a, b \}$

RE	Language
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• $a^*$	$\{\epsilon, a, aa, \dots\} = \{w : w \text{ has only } a\}$
• $(a \cup b)^*$	all strings
• $a^*ba^*$	$\{w : w \text{ has exactly one } b\}$
• $\Sigma^*b\Sigma^*$	
• $\Sigma^*aab\Sigma^*$	
• $(\Sigma\Sigma)^*$	
• $a^*(a^*ba^*ba^*)^*$	
• $a^*baba^*a \emptyset$	

Example  $\Sigma = \{ a, b \}$

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• $a^*ba^*$	$\{w : w \text{ has exactly one } b\}$
• $\Sigma^*b\Sigma^*$	$\{w : w \text{ has at least one } b\}$
• $\Sigma^*aab\Sigma^*$	
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• $a^*(a^*ba^*ba^*)^*$	
• $a^*baba^*a \emptyset$	

Example  $\Sigma = \{ a, b \}$

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• $a^*baba^*a \emptyset$	$\emptyset$ (anything $\circ \emptyset = \emptyset$ )



**Theorem:** For every RE  $R$  there is NFA  $M$ :  $L(M) = L(R)$

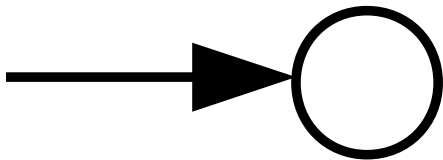
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Construction:

- $R = \emptyset$        $M := ?$

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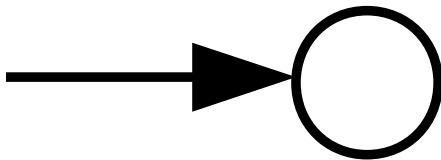
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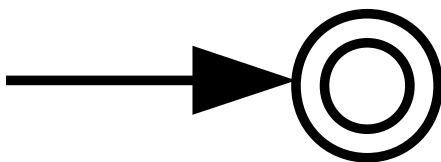
•  $R = \emptyset$        $M :=$  

•  $R = \varepsilon$        $M := ?$

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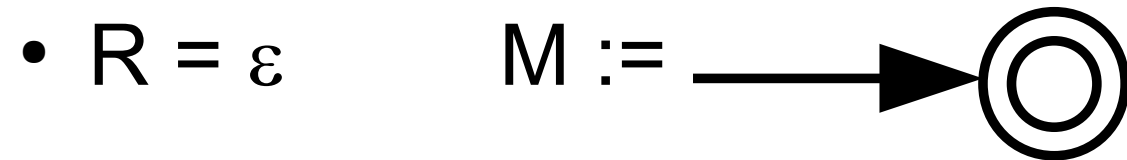
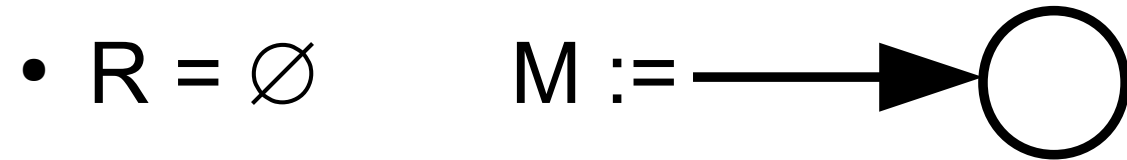
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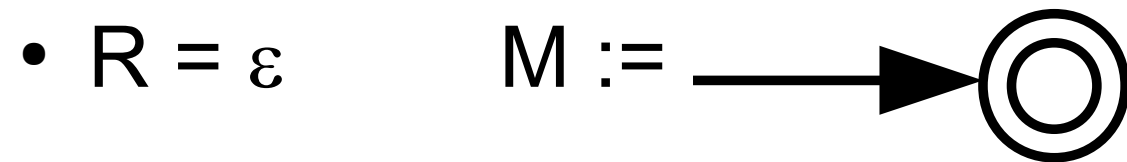
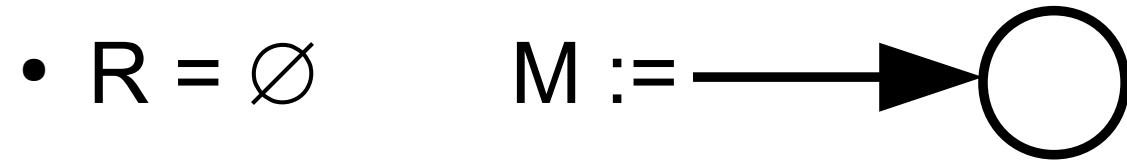
Construction:



- $R = R U R'$  ?

**Theorem:** For every RE  $R$  there is NFA  $M$ :  $L(M) = L(R)$

Construction:

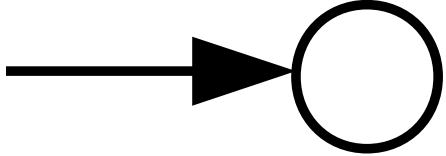
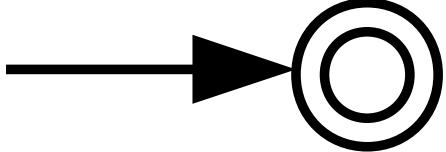
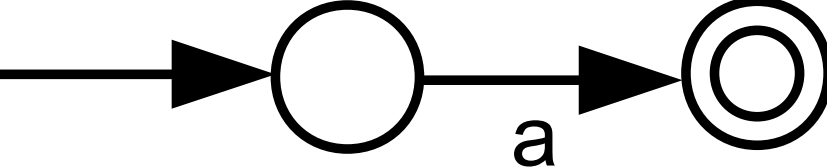


- $R = R \cup R'$  use construction for  $A \cup B$  seen earlier

- $R = R \circ R'$  ?

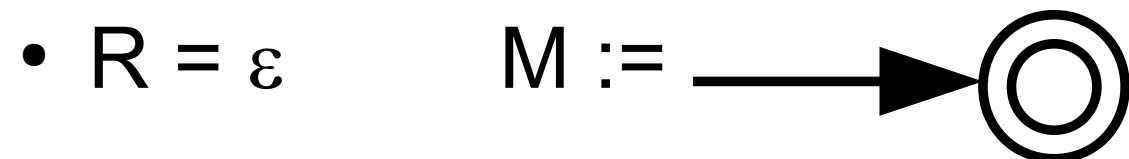
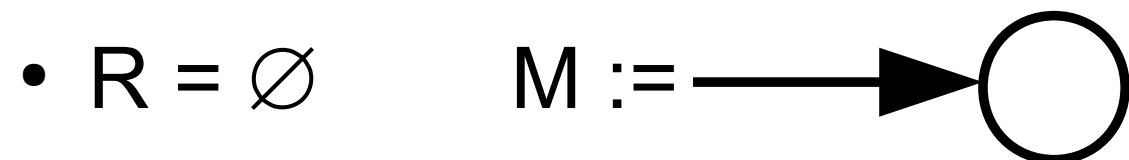
**Theorem:** For every RE  $R$  there is NFA  $M$ :  $L(M) = L(R)$

Construction:

- $R = \emptyset$        $M :=$  
- $R = \epsilon$        $M :=$  
- $R = a$        $M :=$  
- $R = R \cup R'$     use construction for  $A \cup B$  seen earlier
- $R = R \circ R'$     use construction for  $A \circ B$  seen earlier
- $R = R^*$       ?

**Theorem:** For every RE  $R$  there is NFA  $M$ :  $L(M) = L(R)$

Construction:



•  $R = R \cup R'$  use construction for  $A \cup B$  seen earlier

•  $R = R \circ R'$  use construction for  $A \circ B$  seen earlier

•  $R = R^*$  use construction for  $A^*$  seen earlier

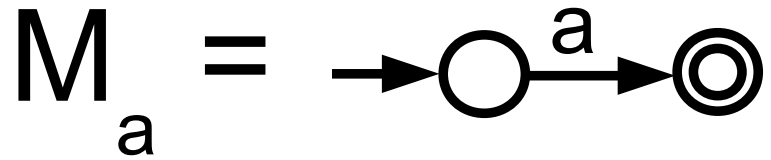


Example: RE  $\rightarrow$  NFA

$$\text{RE} = (ab \cup a)^*$$

Example: RE  $\rightarrow$  NFA

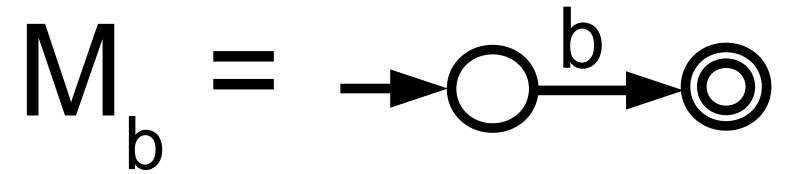
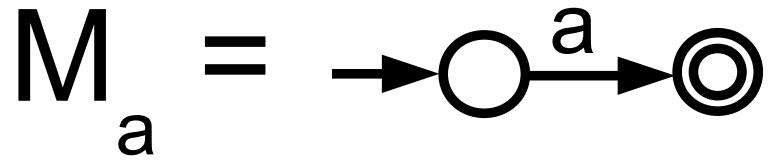
$$\text{RE} = (ab \cup a)^*$$



$$L(M_a) = L(a)$$

Example: RE  $\rightarrow$  NFA

$$\text{RE} = (ab \cup a)^*$$



$$L(M_a) = L(a)$$

$$L(M_b) = L(b)$$

Example: RE  $\rightarrow$  NFA

$$\text{RE} = (ab \cup a)^*$$

$M_{ab} =$

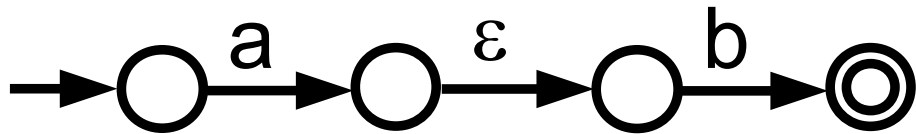


$$L(M_{ab}) = L(ab)$$

Example: RE  $\rightarrow$  NFA

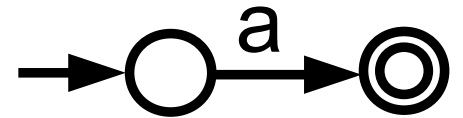
$$\text{RE} = (ab \cup a)^*$$

$$M_{ab} =$$



$$L(M_{ab}) = L(ab)$$

$$M_a =$$

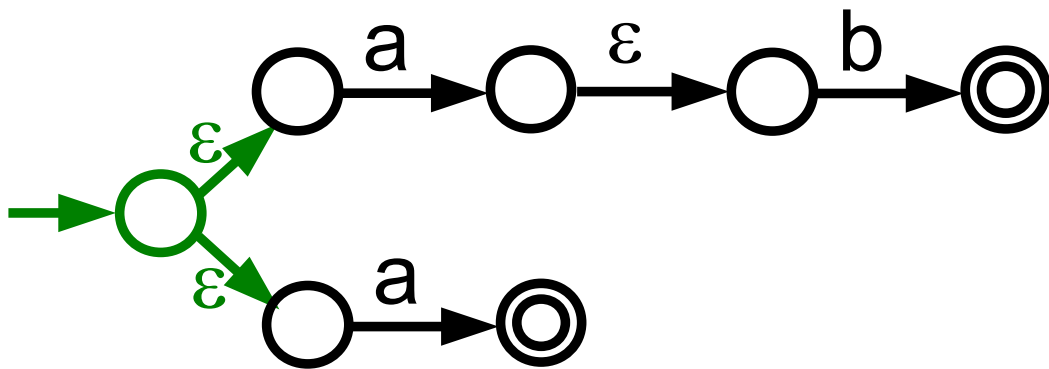


$$L(M_a) = L(a)$$

Example: RE  $\rightarrow$  NFA

$$\text{RE} = (ab \cup a)^*$$

$$M_{ab \cup a} =$$

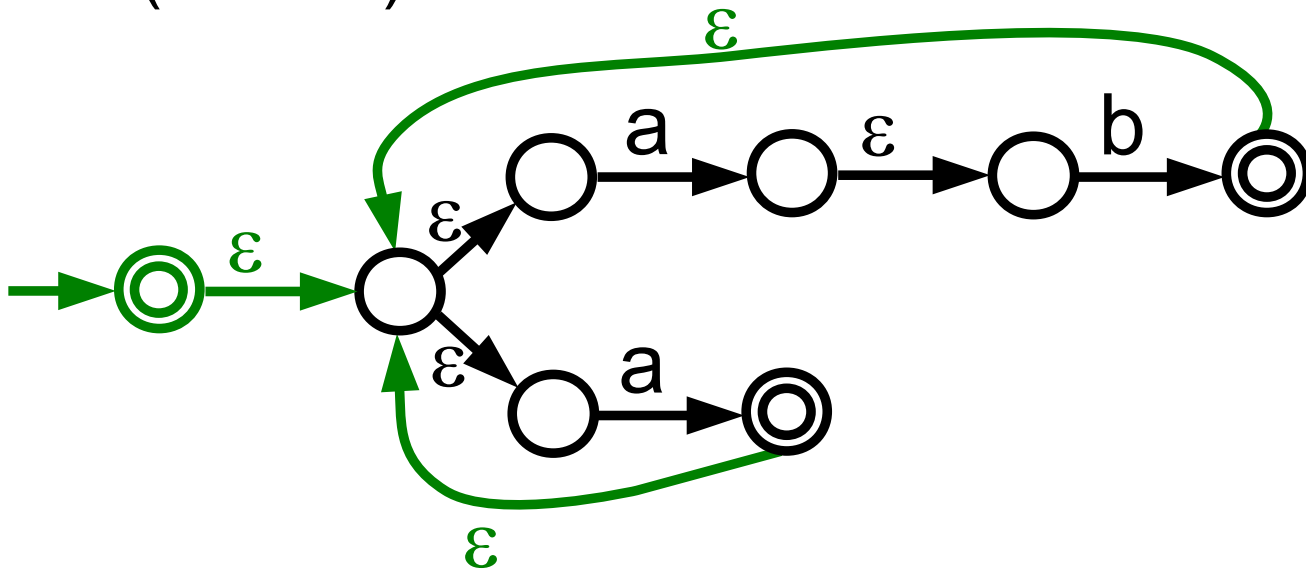


$$L(M_{ab \cup a}) = L(ab \cup a)$$

Example: RE  $\rightarrow$  NFA

$$\text{RE} = (ab \cup a)^*$$

$$M_{(ab \cup a)^*} =$$



$$L(M_{(ab \cup a)^*}) = L((ab \cup a)^*) = L(\text{RE})$$

ANOTHER Example: RE  $\rightarrow$  NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$



ANOTHER Example: RE  $\rightarrow$  NFA

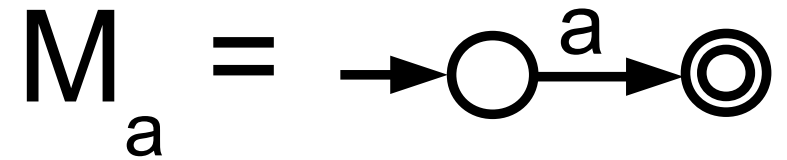
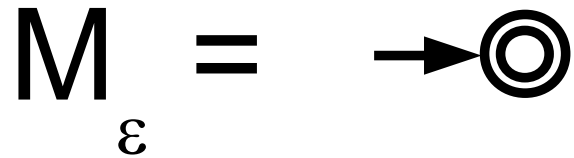
$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{\varepsilon} = \rightarrow \odot$$

$$L(M_{\varepsilon}) = L(\varepsilon)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$



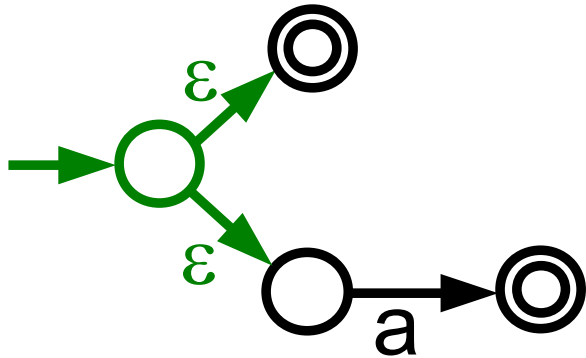
$$L(M_{\varepsilon}) = L(\varepsilon)$$

$$L(M_a) = L(a)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$RE = (\varepsilon \cup a)ba^*$$

$$M_{\varepsilon \cup a} =$$

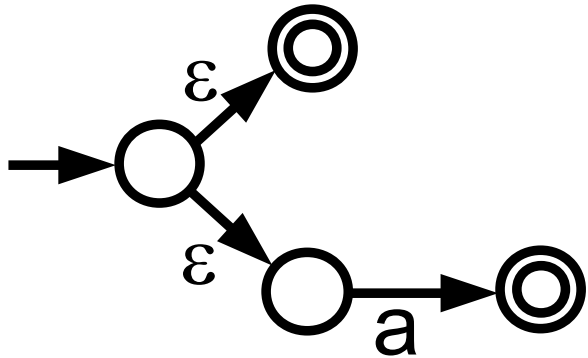


$$L(M_{\varepsilon \cup a}) = L(\varepsilon \cup a)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{\varepsilon \cup a} =$$



$$M_b = \rightarrow \text{O} \xrightarrow{b} \text{O} \circledast$$

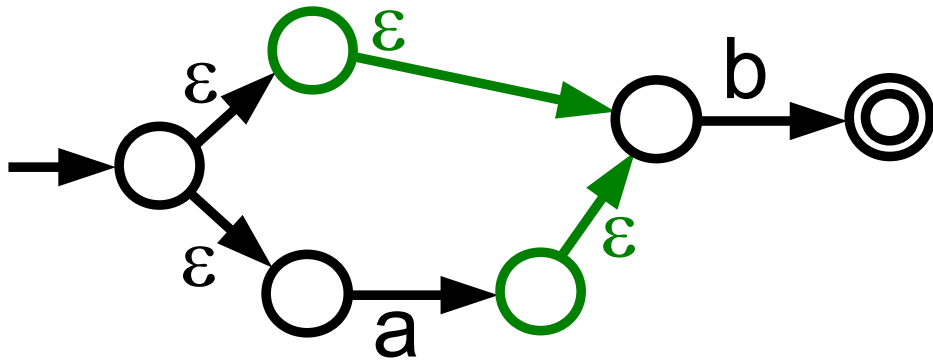
$$L(M_b) = L(b)$$

$$L(M_{\varepsilon \cup a}) = L(\varepsilon \cup a)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{(\varepsilon \cup a)b} =$$

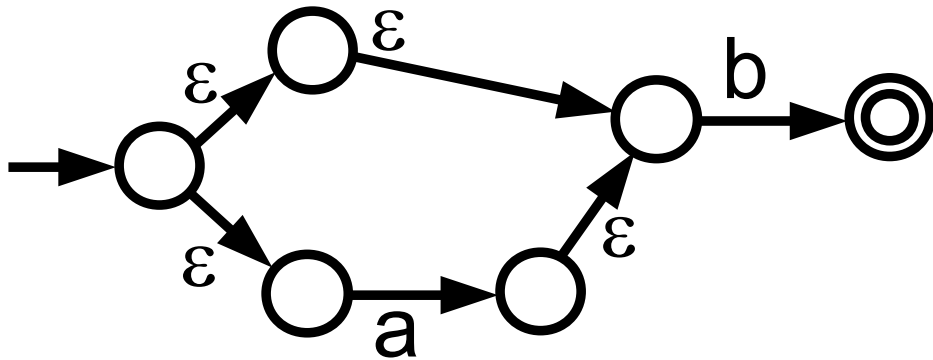


$$L(M_{(\varepsilon \cup a)b}) = L((\varepsilon \cup a)ba^*)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{(\varepsilon \cup a)b} =$$



$$M_a = \rightarrow \text{O} \xrightarrow{a} \text{O} \circledast$$

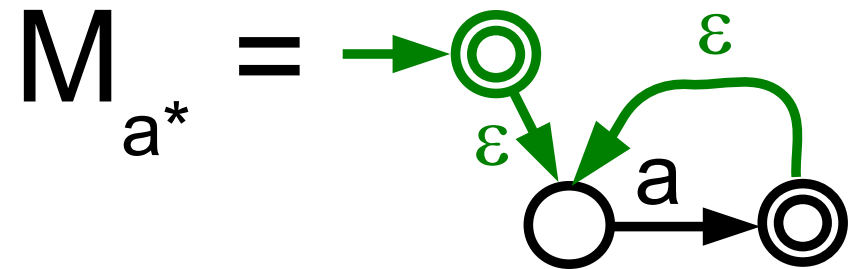
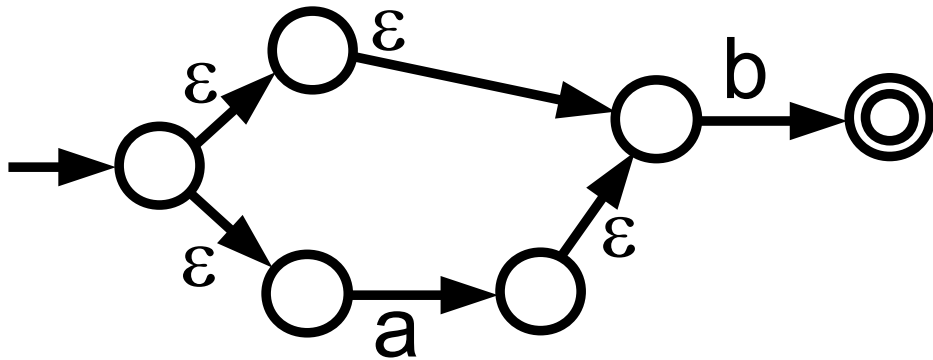
$$L(M_a) = L(a)$$

$$L(M_{(\varepsilon \cup a)b}) = L((\varepsilon \cup a)b)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{(\varepsilon \cup a)b} =$$



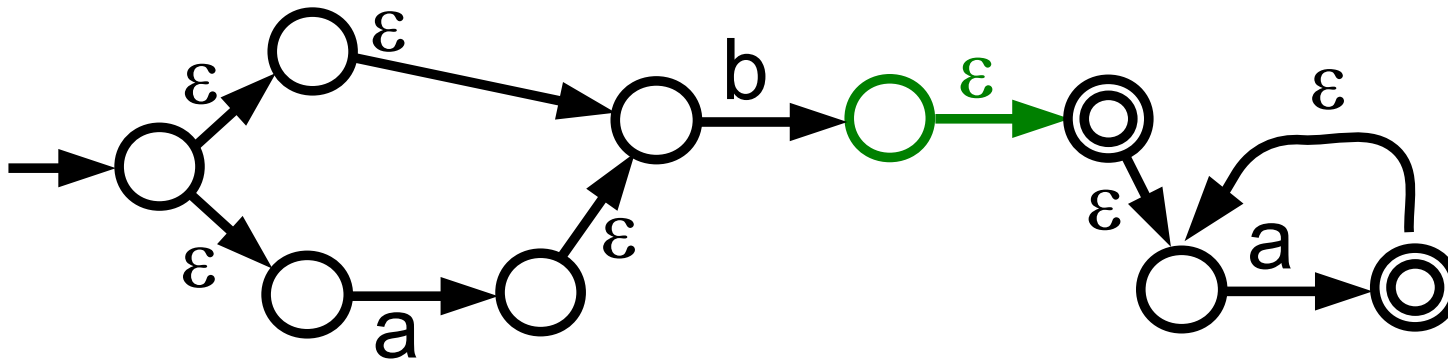
$$L(M_{a^*}) = L(a^*)$$

$$L(M_{(\varepsilon \cup a)b}) = L((\varepsilon \cup a)b)$$

# ANOTHER Example: RE $\rightarrow$ NFA

$$\text{RE} = (\varepsilon \cup a)ba^*$$

$$M_{(\varepsilon \cup a)ba^*} =$$



$$L(M_{(\varepsilon \cup a)ba^*}) = L((\varepsilon \cup a)ba^*) = L(\text{RE})$$



Recap:

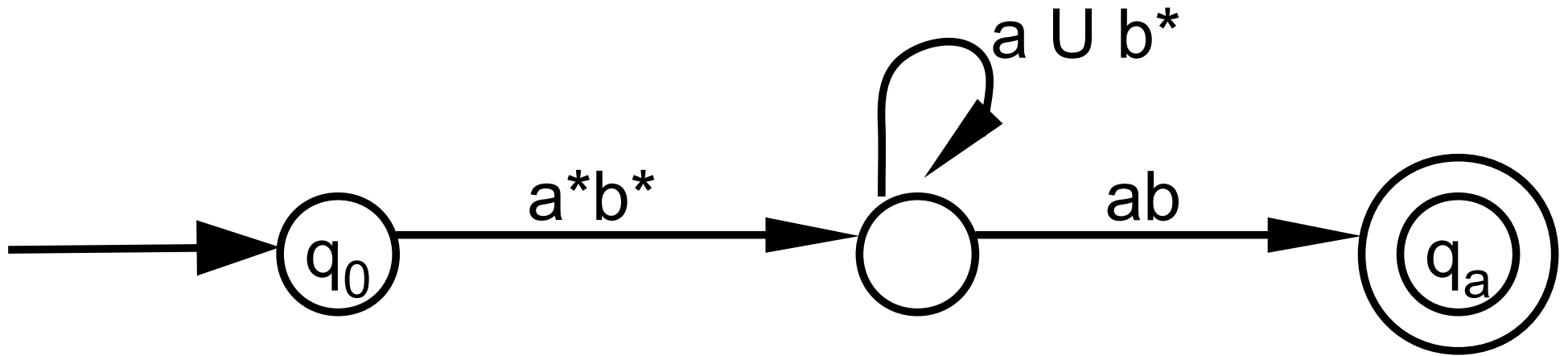
Here “ $\Rightarrow$ ” means “can be converted to”

We have seen:  $RE \Rightarrow NFA \Leftrightarrow DFA$

Next we see:  $DFA \Rightarrow RE$

In two steps:  $DFA \Rightarrow \text{Generalized NFA} \Rightarrow RE$

# Generalized NFA (GNFA)

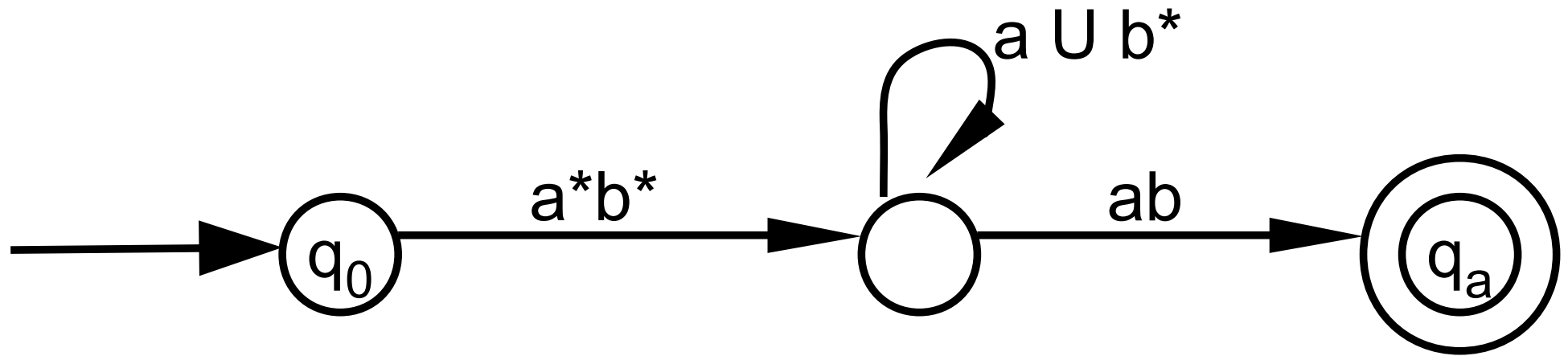


Nondeterministic

Transitions labelled by RE

Read blocks of input symbols at a time

# Generalized NFA (GNFA)



Convention:

Unique final state

Exactly one transition between each pair of states

except nothing going into start state

nothing going out of final state

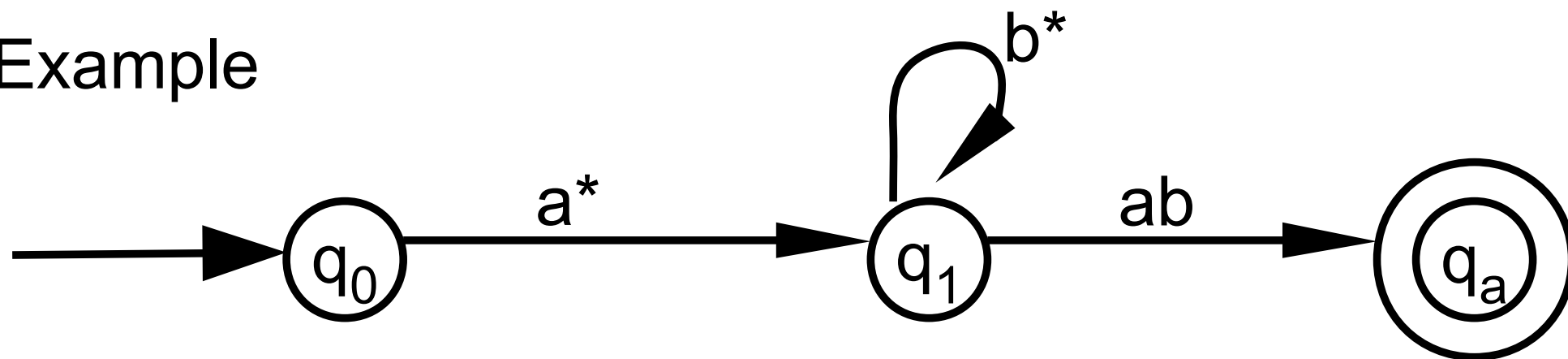
If arrow not shown in picture, label =  $\emptyset$

- **Definition:** A generalized finite automaton (GNFA)
- is a 5-tuple  $(Q, \Sigma, \delta, q_0, q_a)$  where
- $Q$  is a finite set of states
- $\Sigma$  is the input alphabet
- $\delta : (Q - \{q_a\}) \times (Q - \{q_0\}) \rightarrow \text{Regular Expressions}$
- $q_0$  in  $Q$  is the start state
- $q_a$  in  $Q$  is the accept state

- **Definition:** GNFA  $(Q, \Sigma, \delta, q_0, q_a)$  **accepts** a string  $w$  if
- $\exists$  integer  $k$ ,  $\exists k$  strings  $w_1, w_2, \dots, w_k \in \Sigma^*$   
such that  $w = w_1 w_2 \dots w_k$   
(divide  $w$  in  $k$  strings)
- $\exists$  sequence of  $k+1$  states  $r_0, r_1, \dots, r_k$  in  $Q$  such that:
  - $r_0 = q_0$
  - $w_{i+1} \in L(\delta(r_i, r_{i+1})) \quad \forall 0 \leq i < k$
  - $r_k = q_a$

- Differences with NFA are in **green**

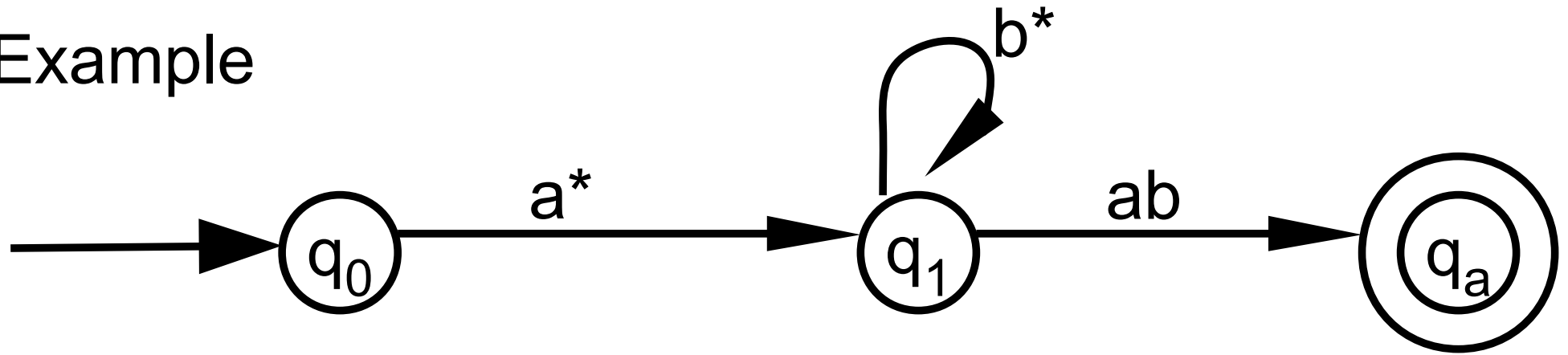
Example



Accepts  $w = aaabbab$

$w_1 = ?$

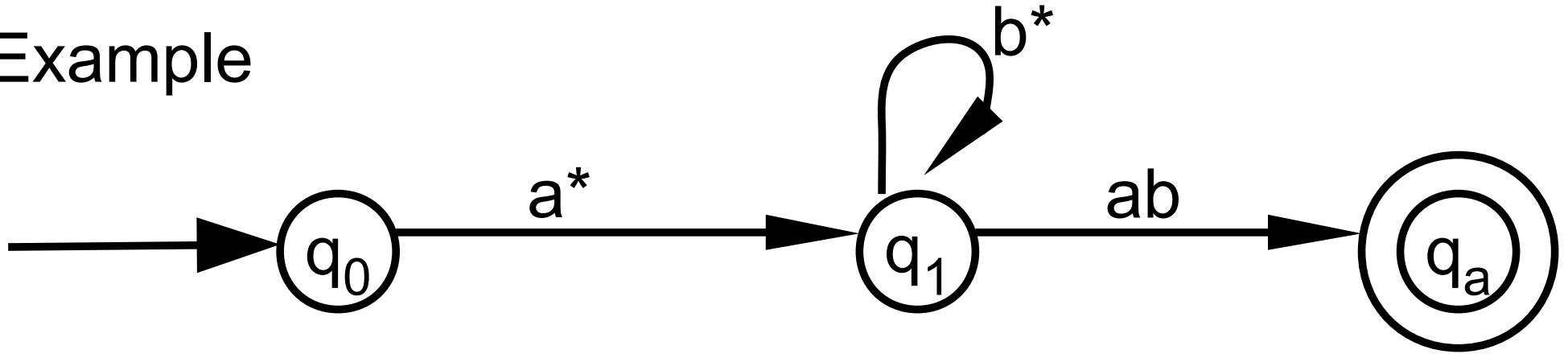
Example



Accepts  $w = aaabbab$

$w_1 = aaa$     $w_2 = ?$

Example



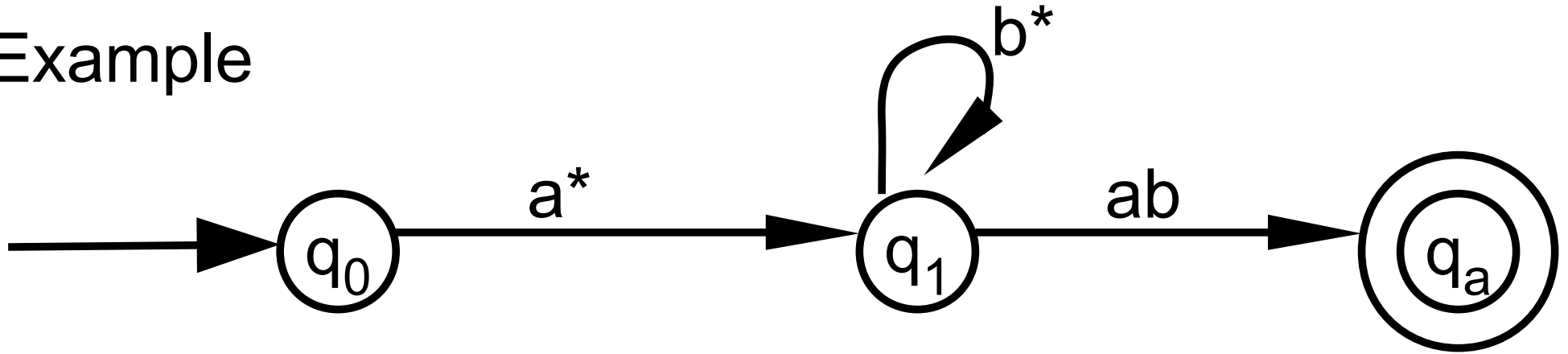
Accepts  $w = aaabbab$

$w_1 = aaa$     $w_2 = bb$     $w_3 = ab$

$r_0 = q_0$     $r_1 = ?$



Example



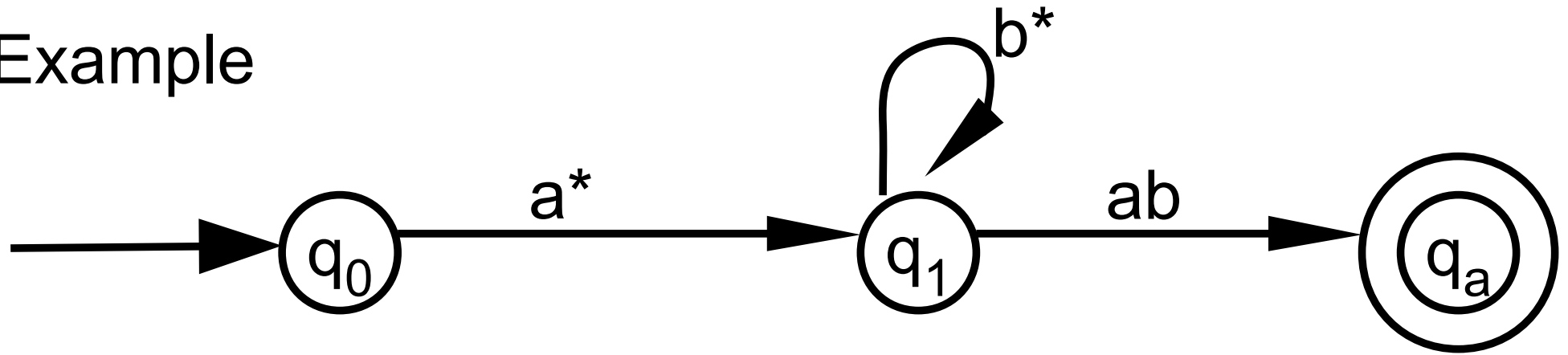
Accepts  $w = aaabbab$

$w_1 = aaa$   $w_2 = bb$   $w_3 = ab$

$r_0 = q_0$   $r_1 = q_1$   $r_2 = ?$

$w_1 = aaa \in L(\delta(r_0, r_1)) = L(\delta(q_0, q_1)) = L(a^*)$

# Example



Accepts  $w = aaabbab$

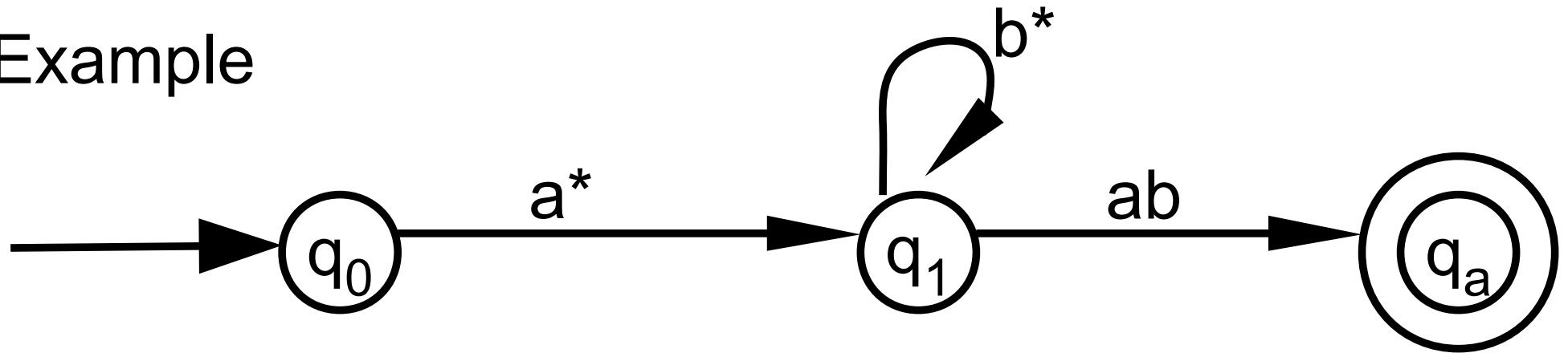
$w_1 = aaa$     $w_2 = bb$     $w_3 = ab$

$r_0 = q_0$     $r_1 = q_1$     $r_2 = q_1$     $r_3 = ?$

$w_1 = aaa \in L(\delta(r_0, r_1)) = L(\delta(q_0, q_1)) = L(a^*)$

$w_2 = bb \in L(\delta(r_1, r_2)) = L(\delta(q_1, q_1)) = L(b^*)$

# Example



Accepts  $w = aaabbab$

$w_1 = aaa$     $w_2 = bb$     $w_3 = ab$

$r_0 = q_0$     $r_1 = q_1$     $r_2 = q_1$     $r_3 = q_a$

$w_1 = aaa \in L(\delta(r_0, r_1)) = L(\delta(q_0, q_1)) = L(a^*)$

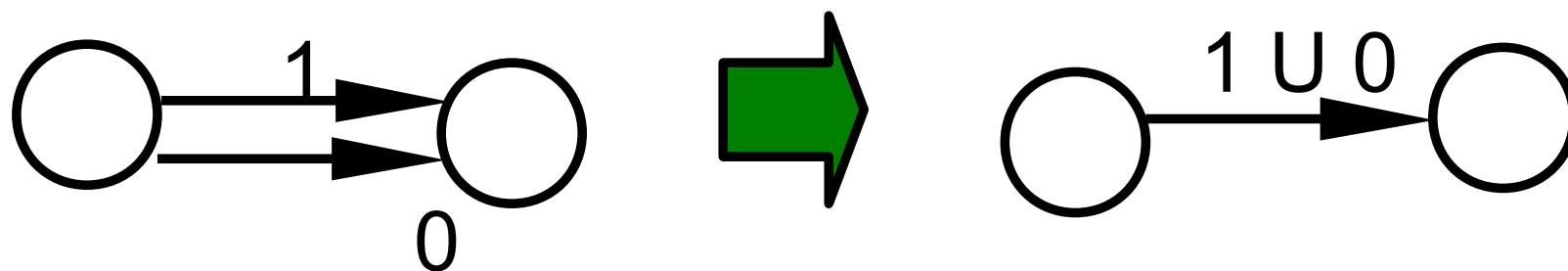
$w_2 = bb \in L(\delta(r_1, r_2)) = L(\delta(q_1, q_1)) = L(b^*)$

$w_3 = ab \in L(\delta(r_2, r_3)) = L(\delta(q_1, q_a)) = L(ab)$

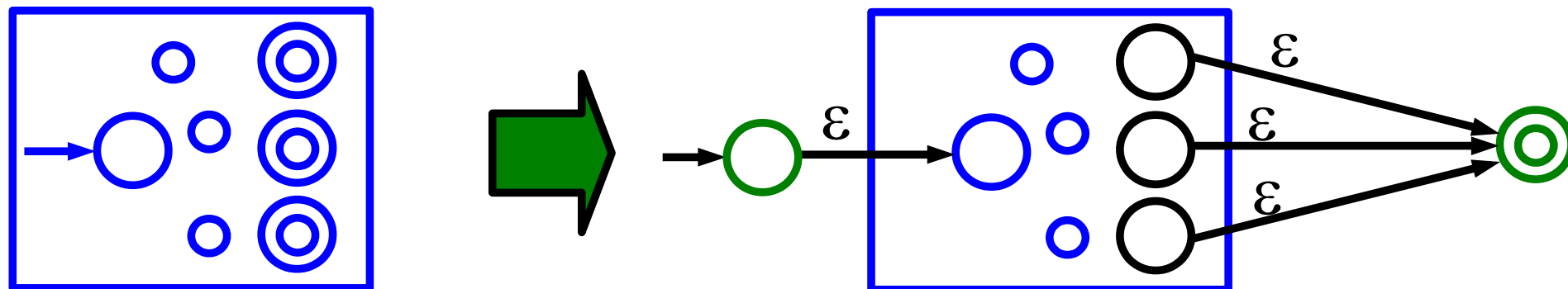
**Theorem:**  $\forall$  DFA  $M \exists$  GNFA  $N : L(N) = L(M)$

Construction:

To ensure unique transition between each pair:

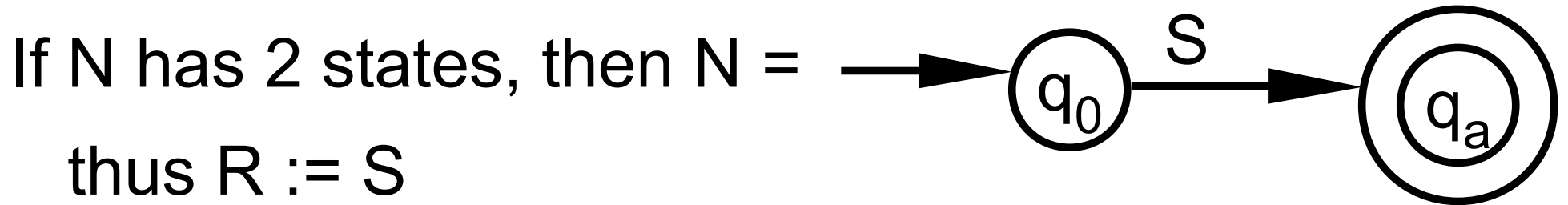


To ensure unique final state, no transitions ingoing start state, no transitions outgoing final state:

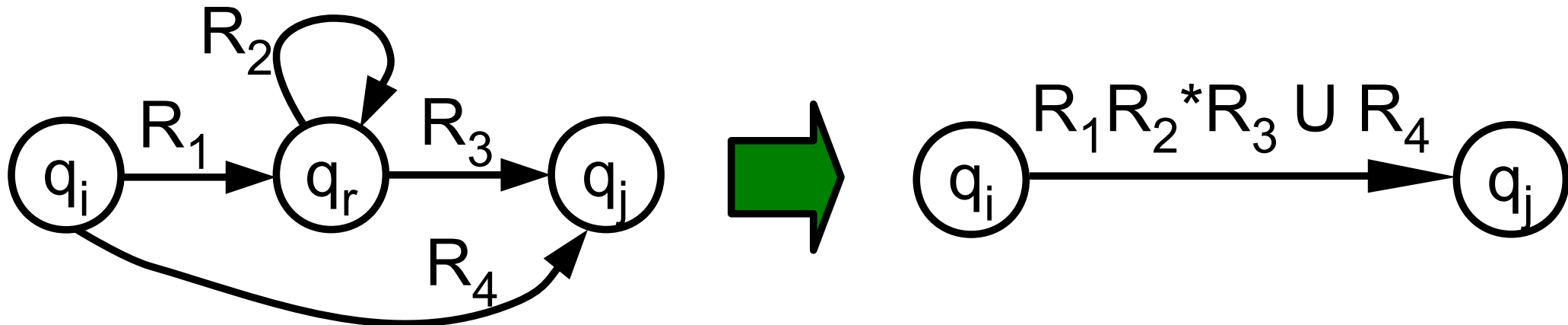


**Theorem:**  $\forall$  GNFA  $N \exists$  RE  $R : L(R) = L(N)$

Construction:



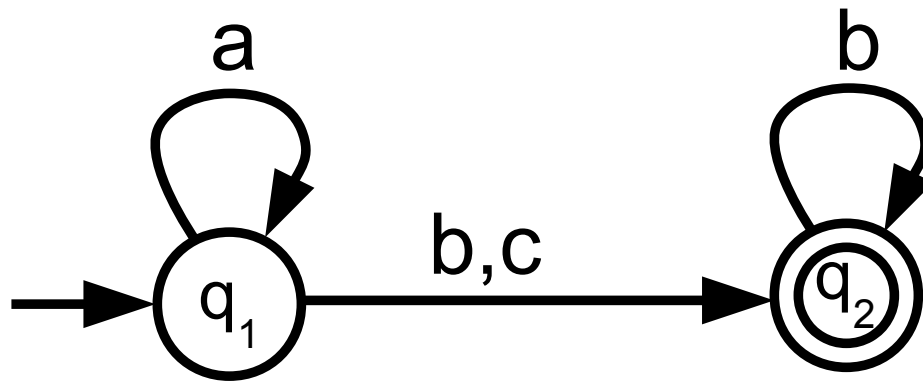
If  $N$  has  $> 2$  states, eliminate some state  $q_r \neq q_0, q_a$  :  
for every ordered pair  $q_i, q_j$  (possibly equal)  
that are connected through  $q_r$



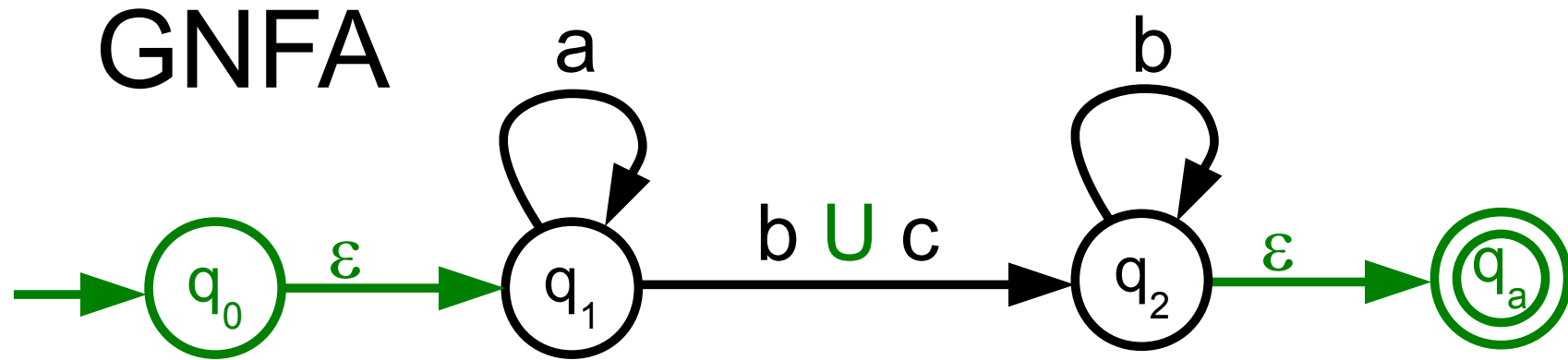
Repeat until 2 states remain

Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE

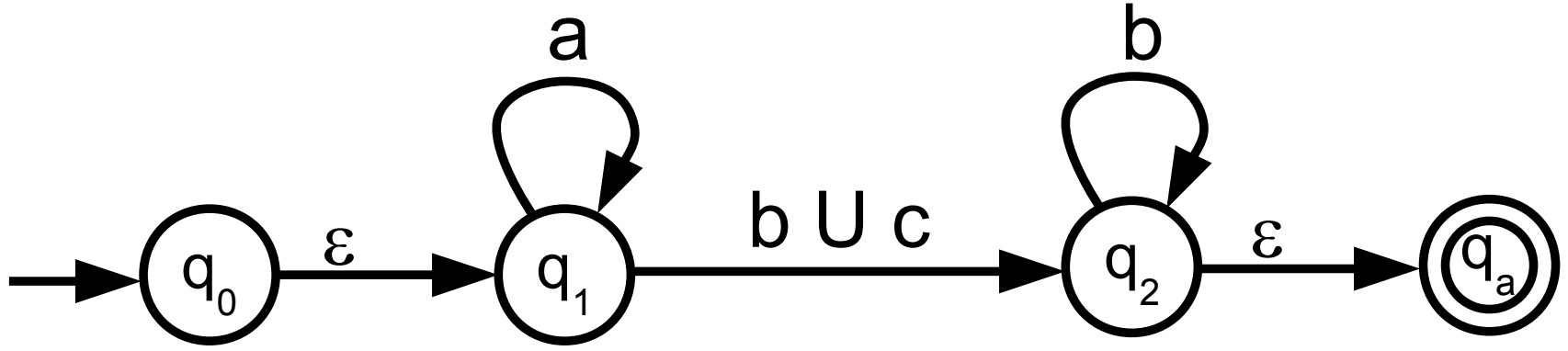
DFA



Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



# Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

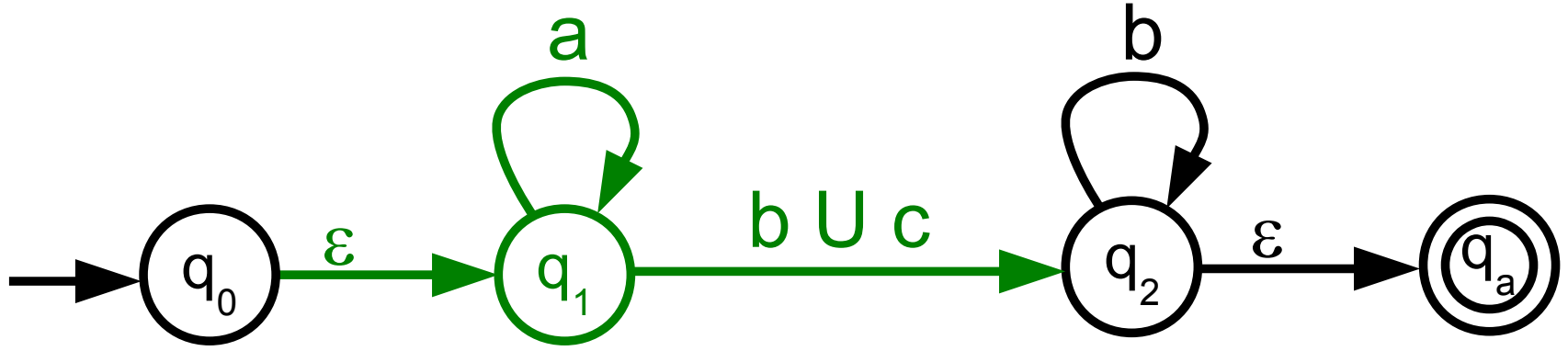


**Eliminate  $q_1$ : re-draw GNFA with all other states**





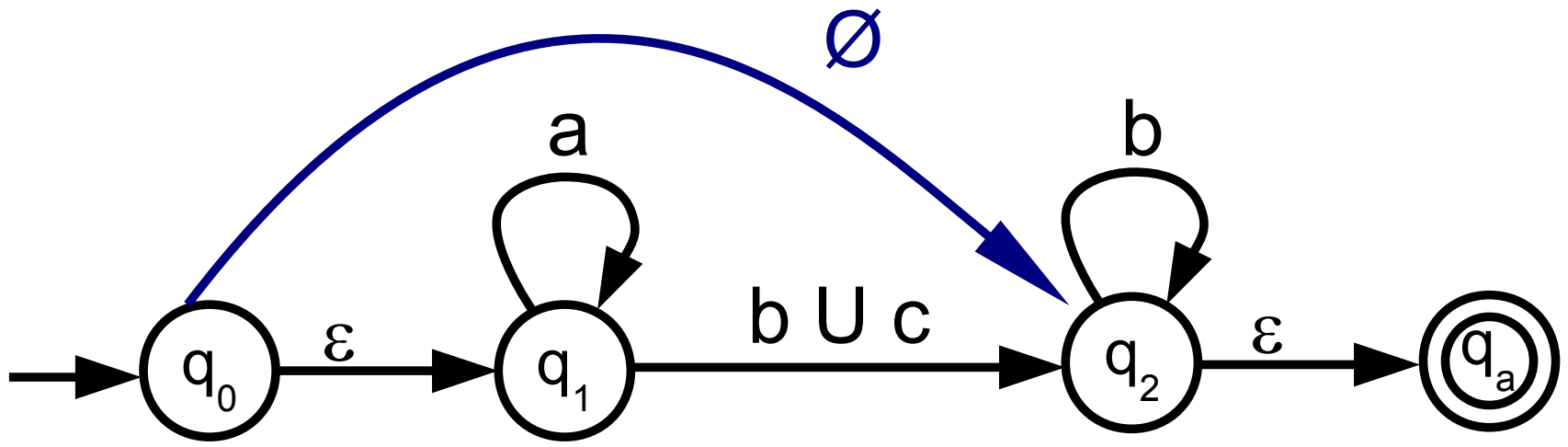
# Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



**Eliminate  $q_1$ :** find a path through  $q_1$

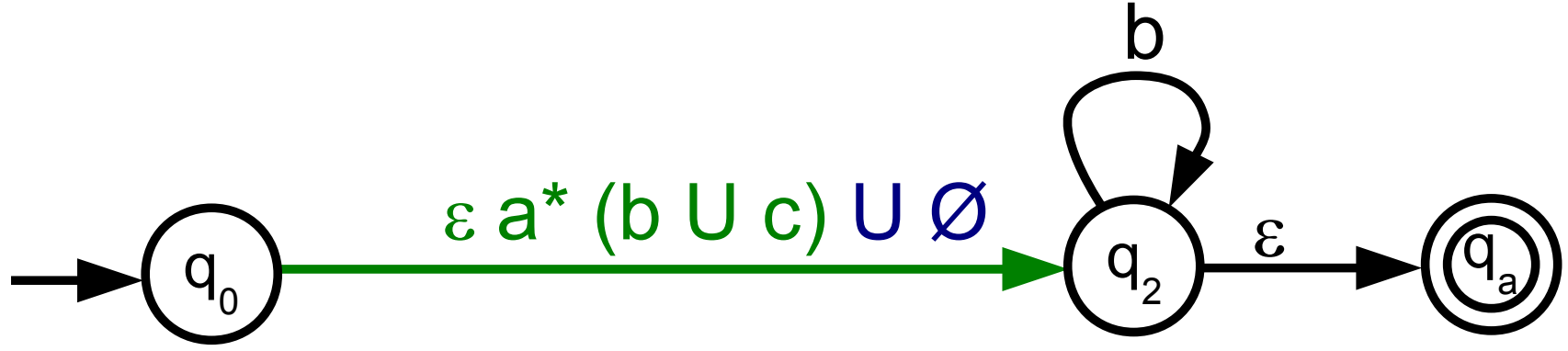


# Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

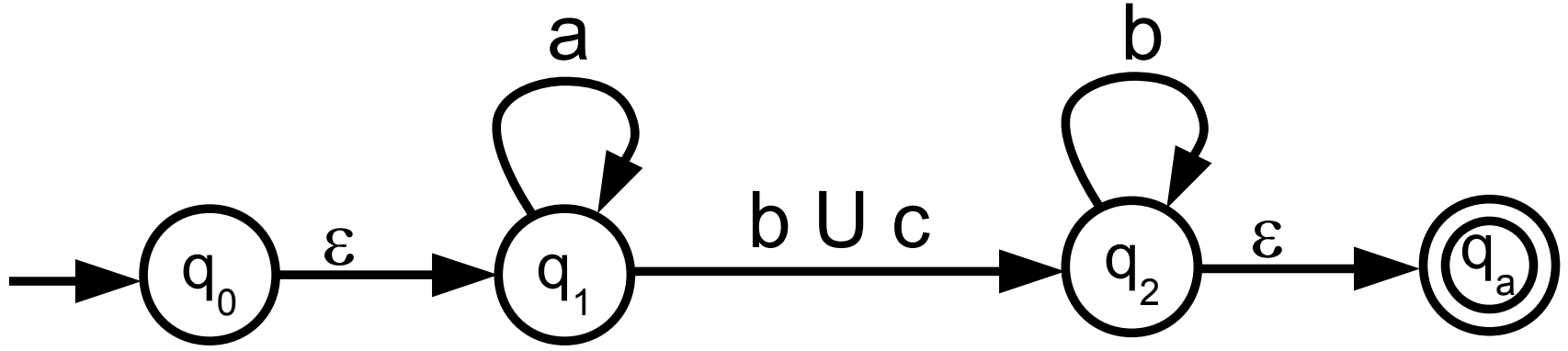


**Eliminate  $q_1$ :** add edge to new GNFA

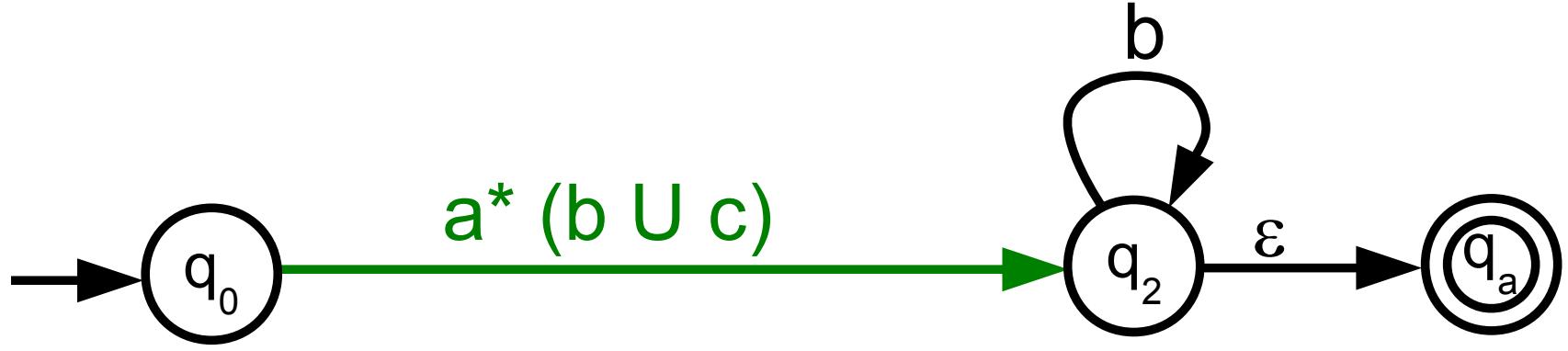
Don't forget: no arrow means label  $\emptyset$



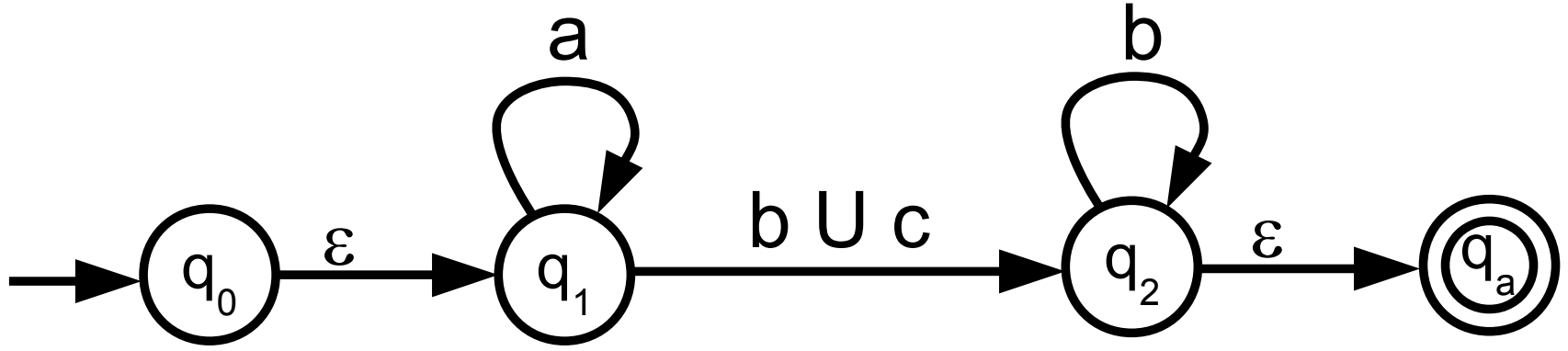
# Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



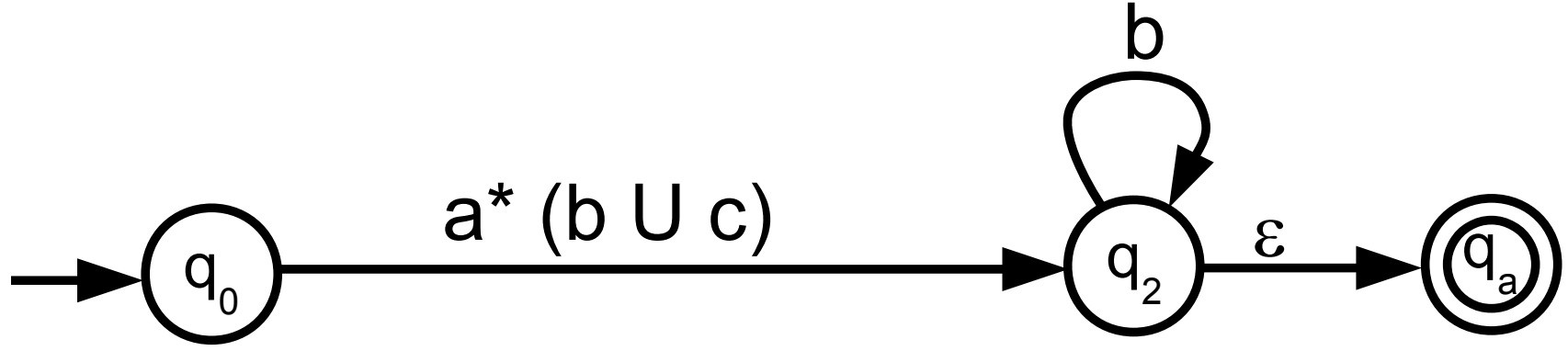
**Eliminate  $q_1$ :** simplify RE on new edge



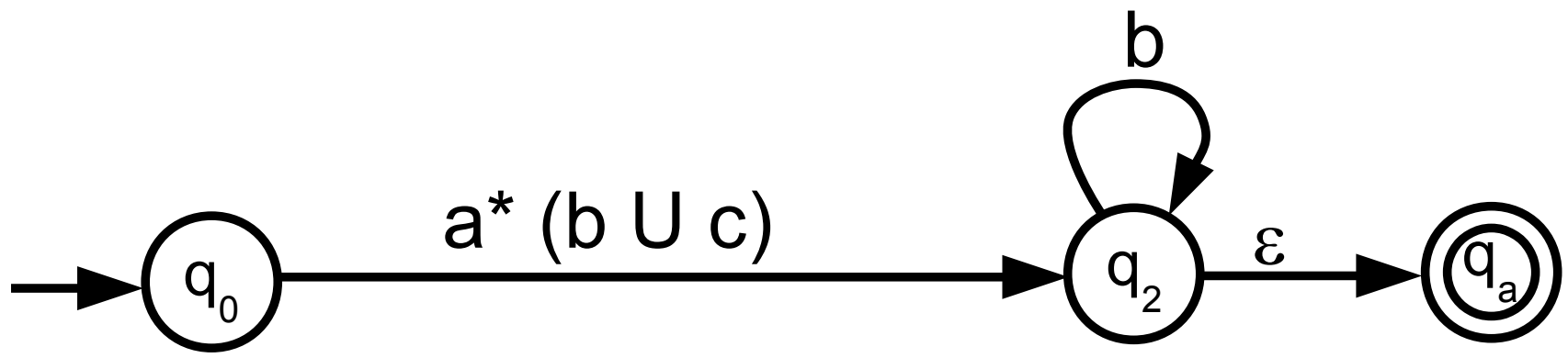
# Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



**Eliminate  $q_1$ :** if no more paths through  $q_1$ , start over



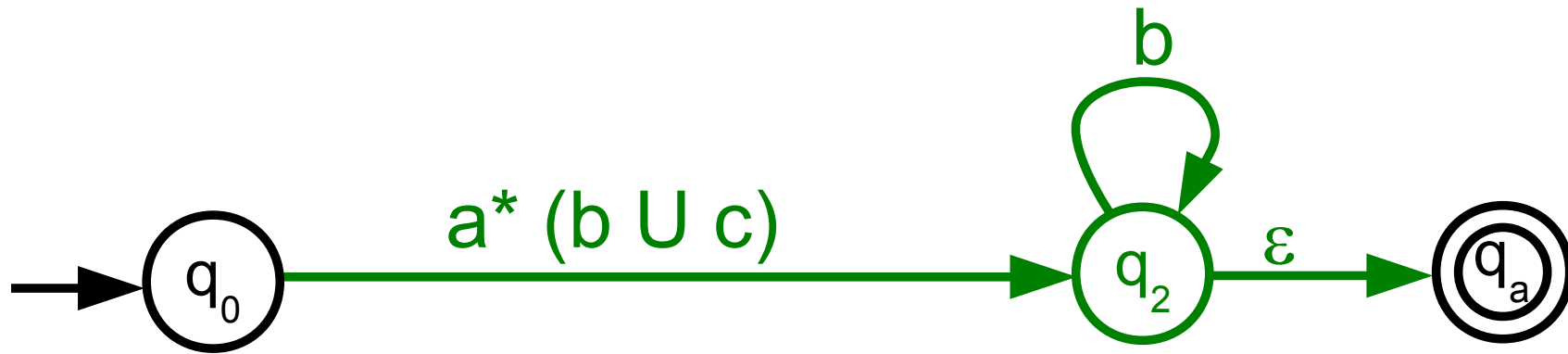
Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



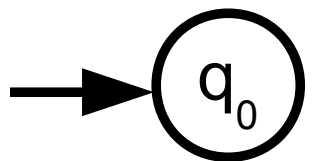
**Eliminate  $q_2$ :** re-draw GNFA with all other states



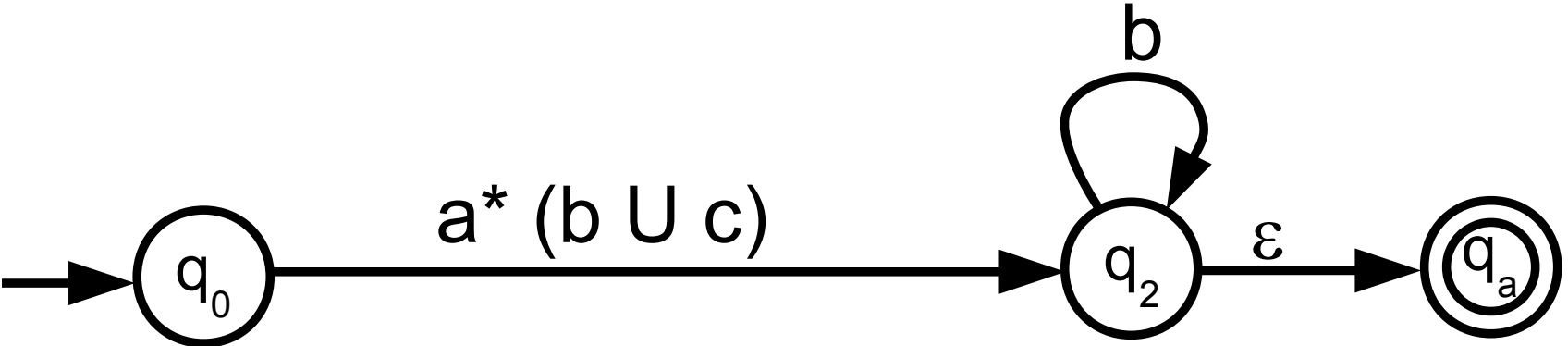
Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



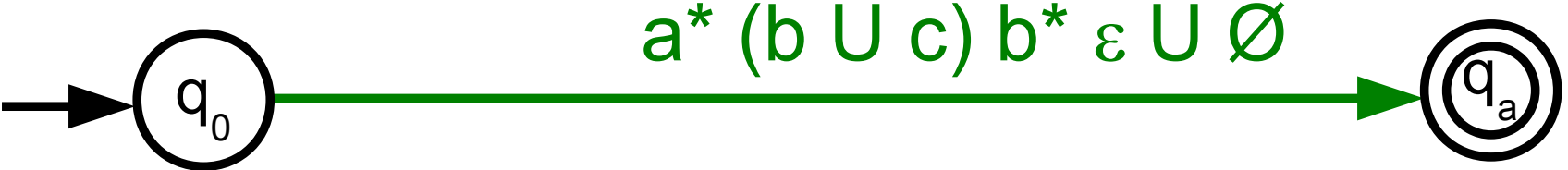
**Eliminate  $q_2$ :** find a path through  $q_2$



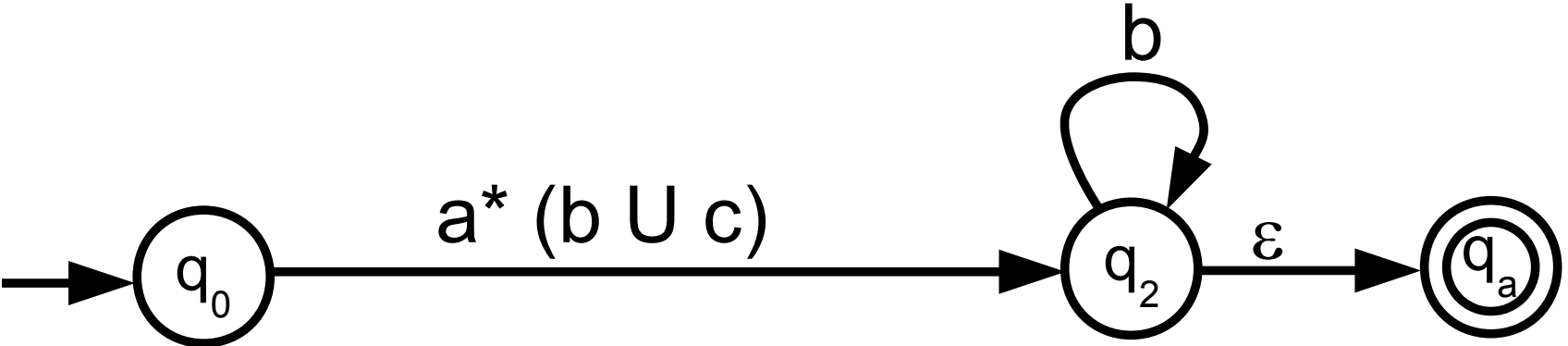
Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



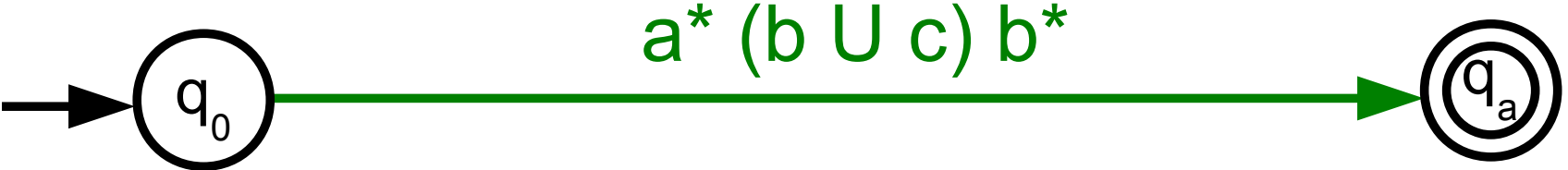
**Eliminate  $q_2$ :** add edge to new GNFA



Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE

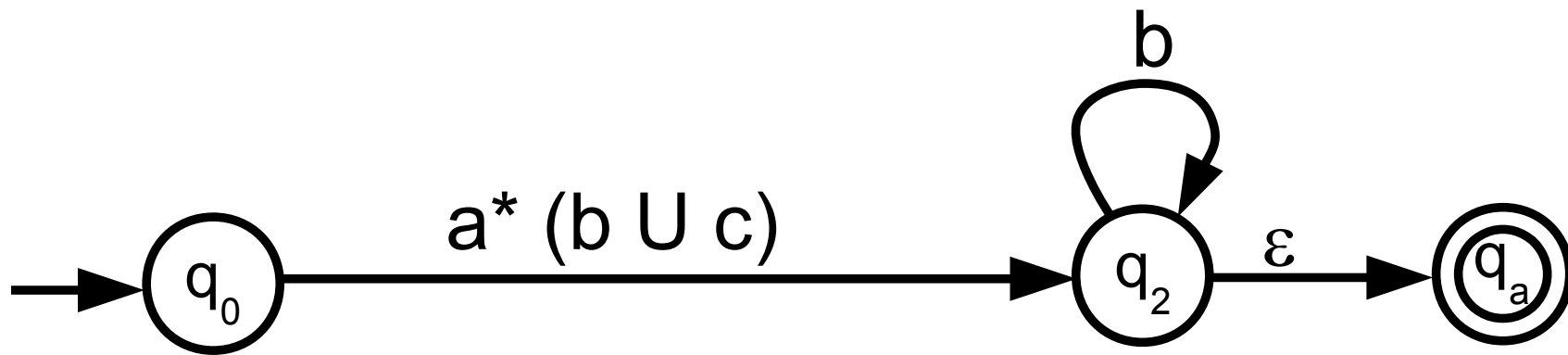


**Eliminate  $q_2$ :** simplify RE on new edge

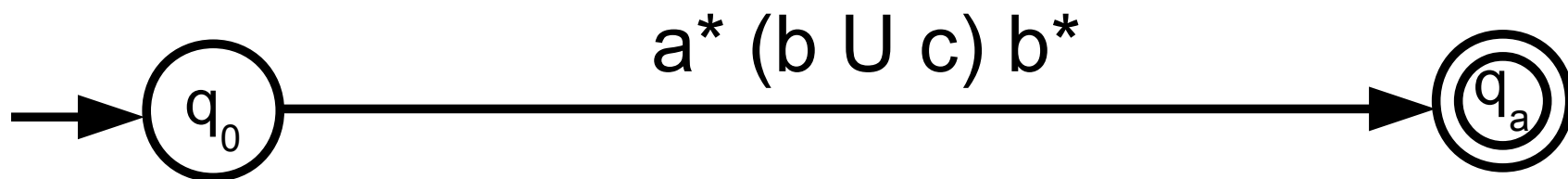




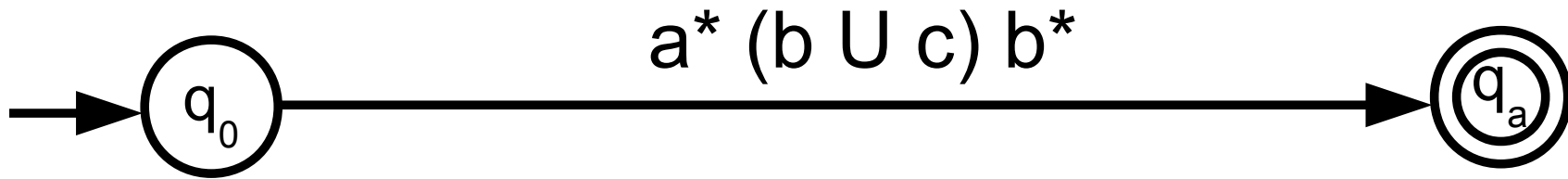
Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



**Eliminate  $q_2$ :** if no more paths through  $q_2$ , start over



Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE

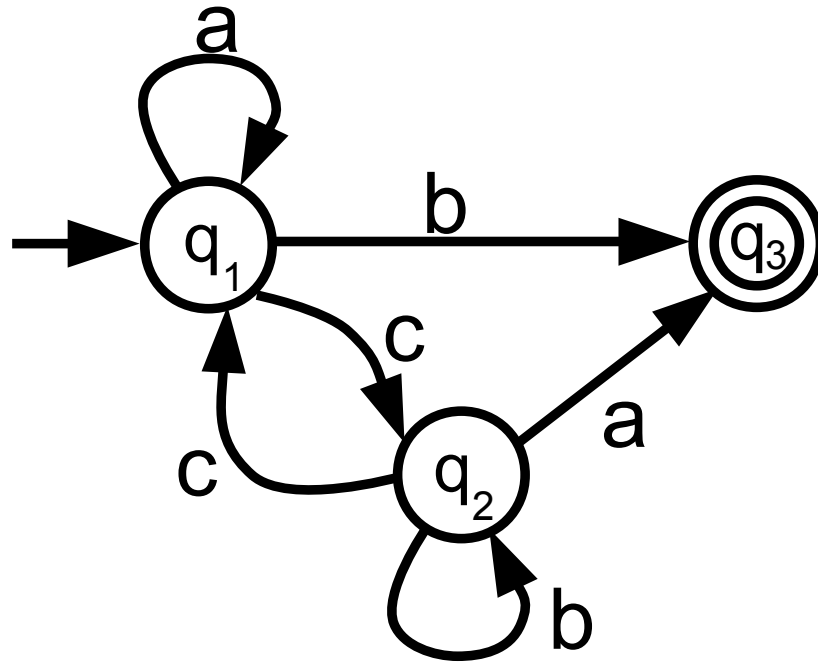


Only two states remain:

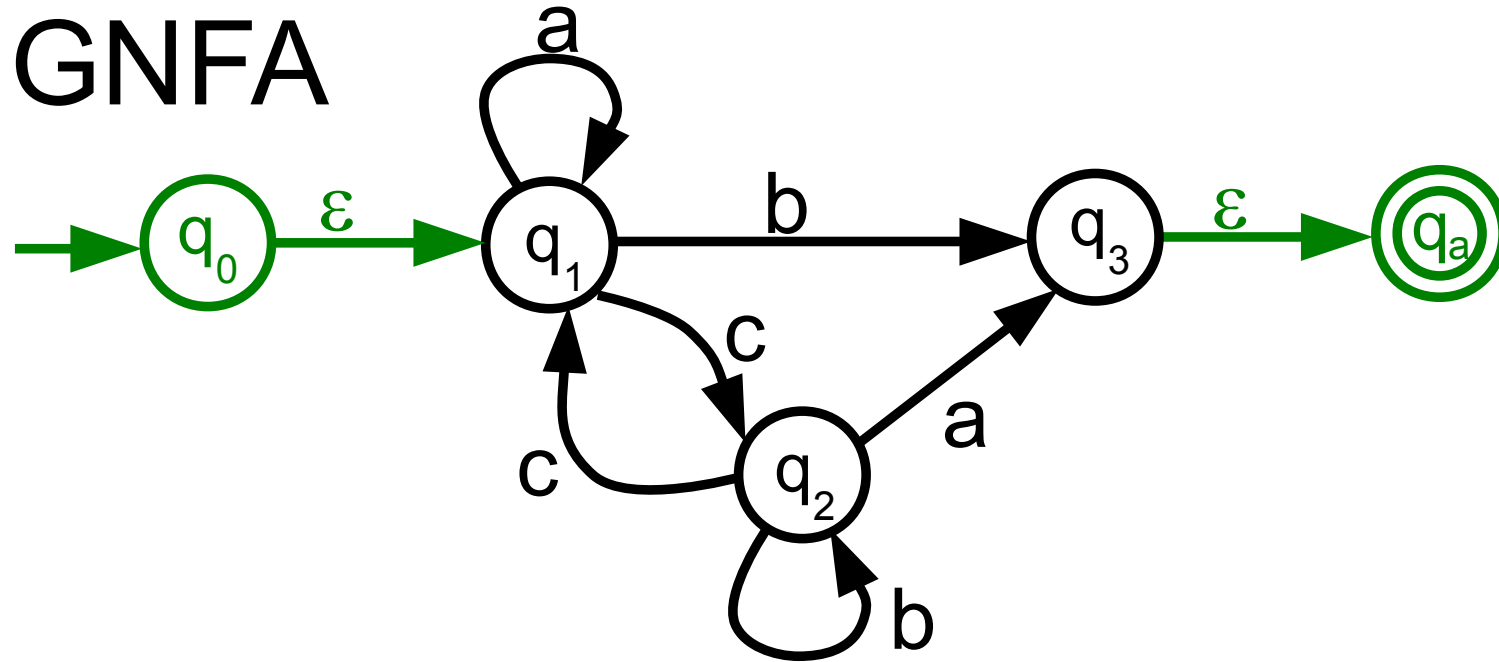
$$RE = a^* (b \cup c) b^*$$

# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

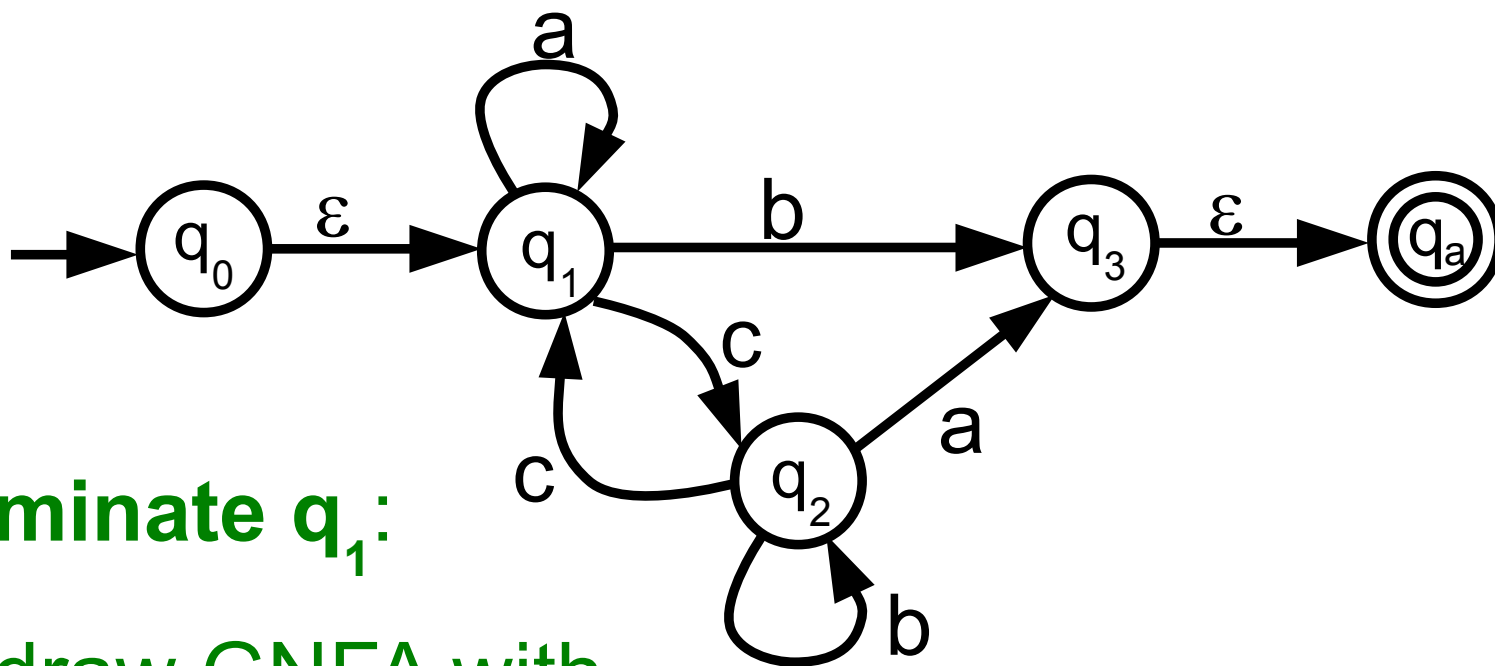
DFA



# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

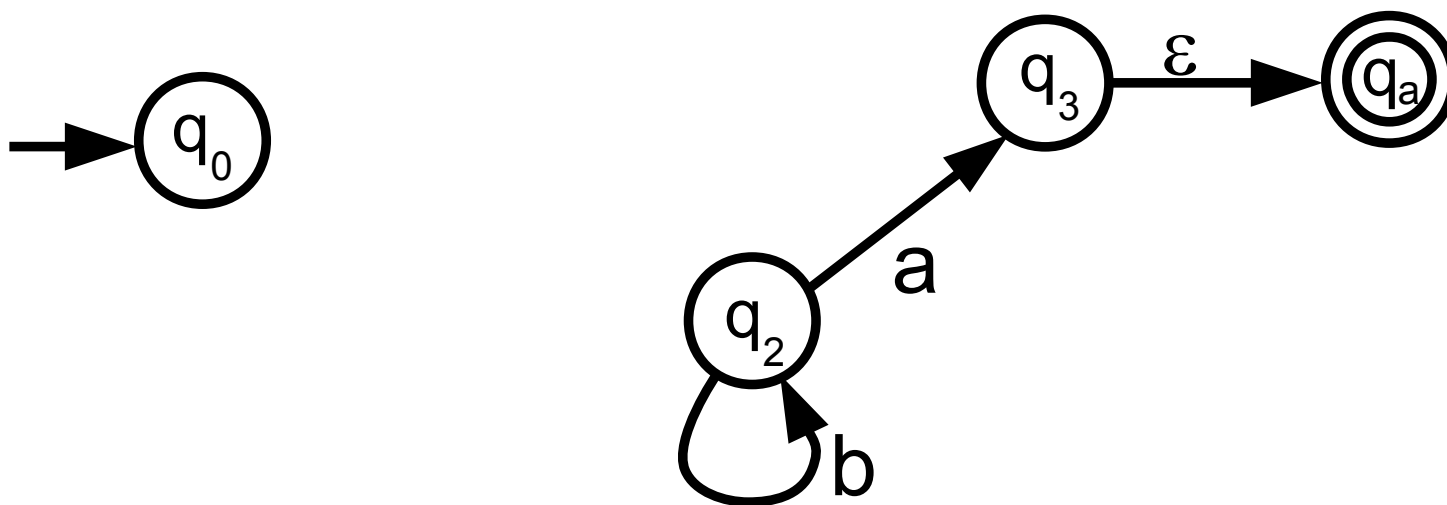


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

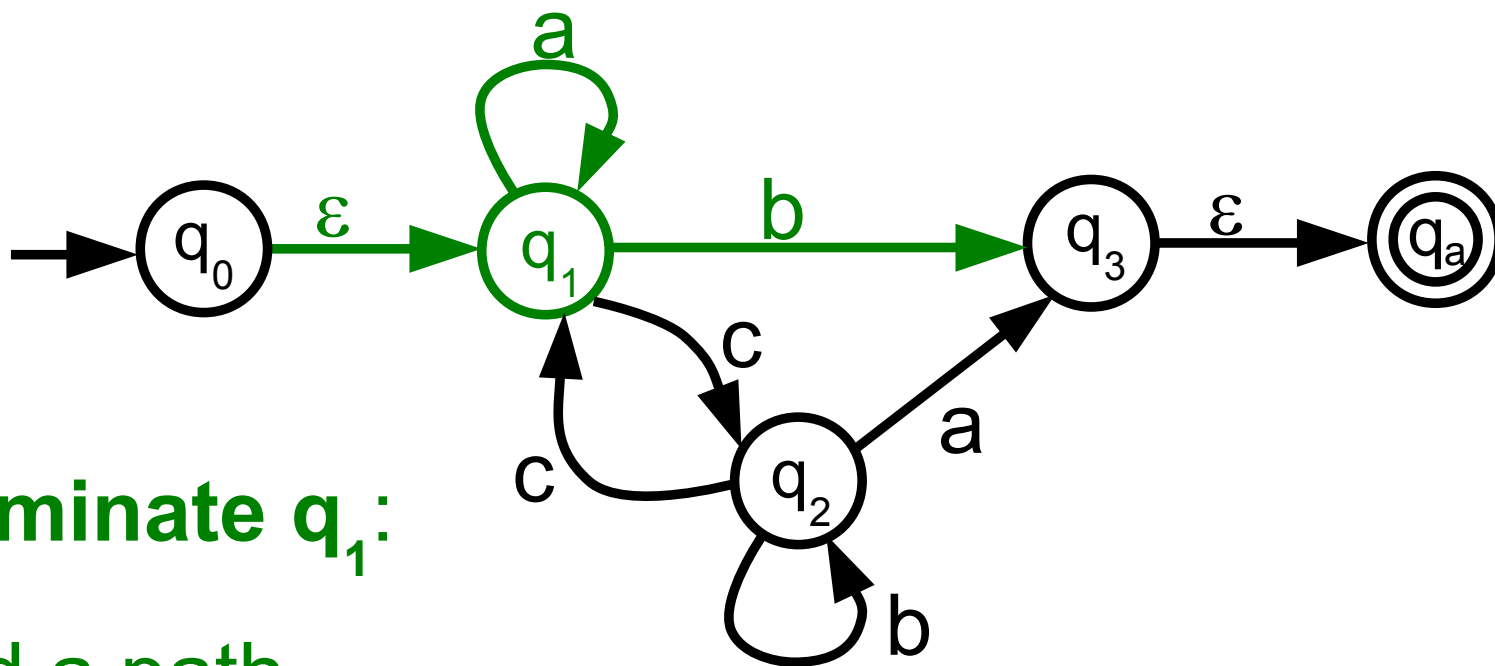


**Eliminate  $q_1$ :**

re-draw GNFA with  
all other states

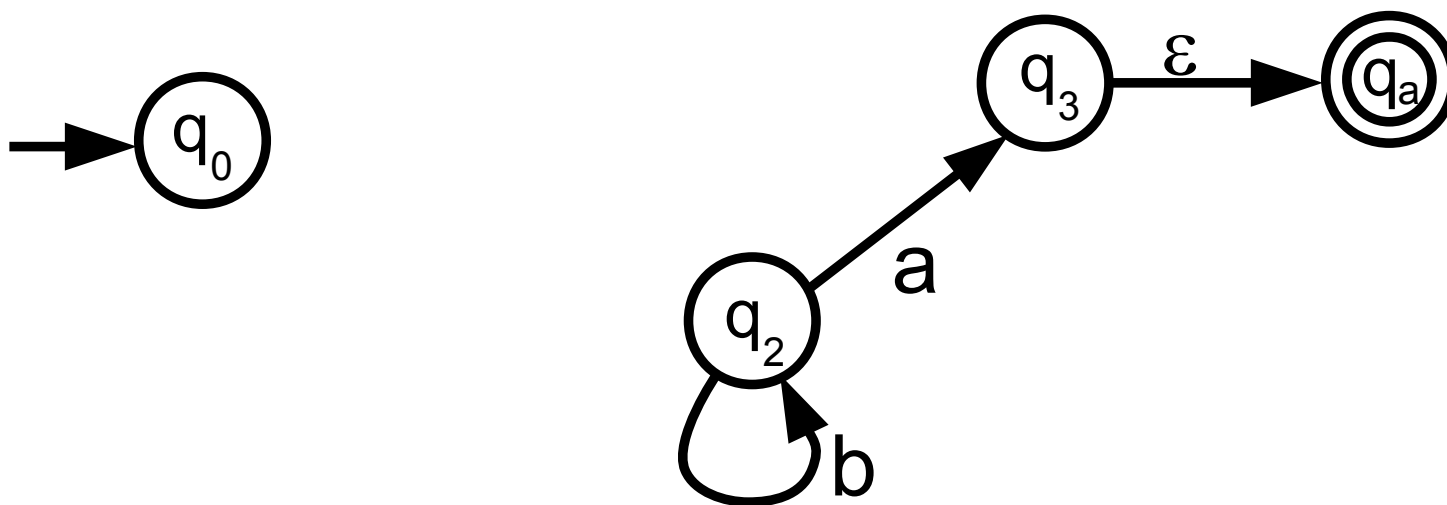


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

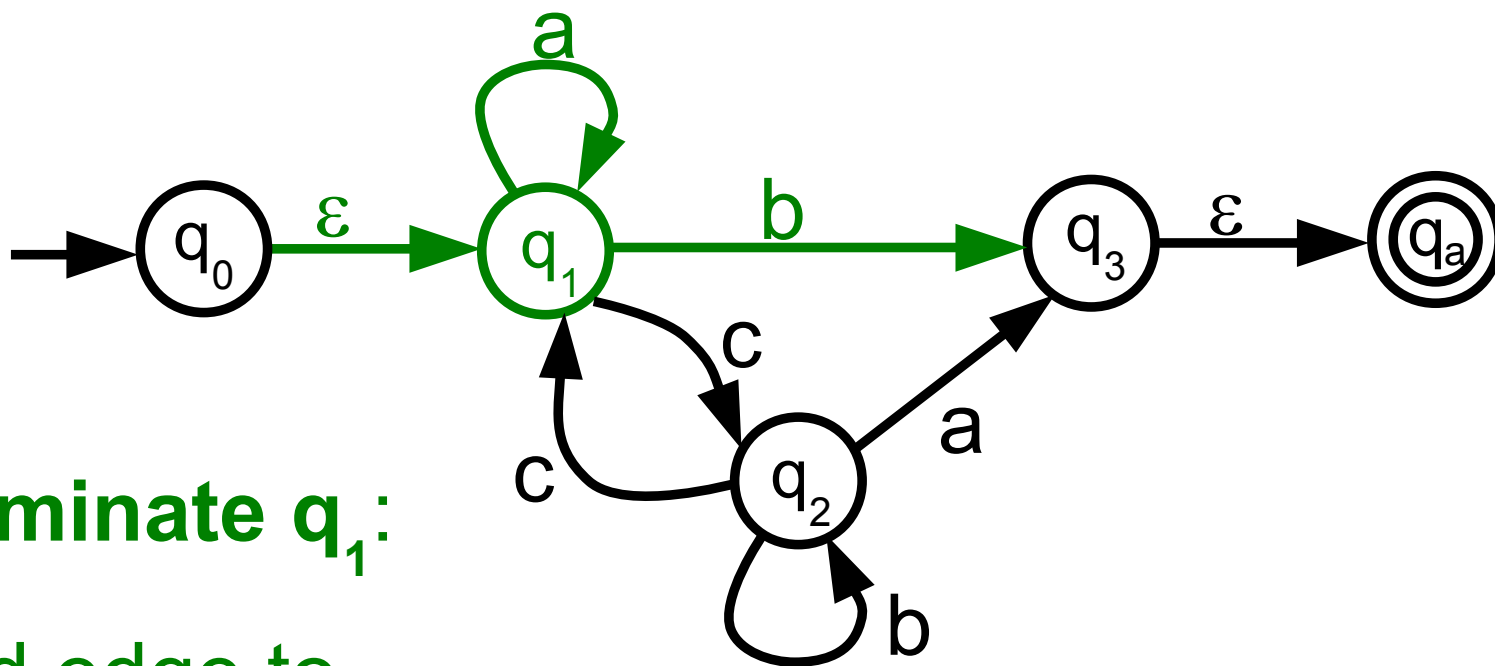


**Eliminate  $q_1$ :**

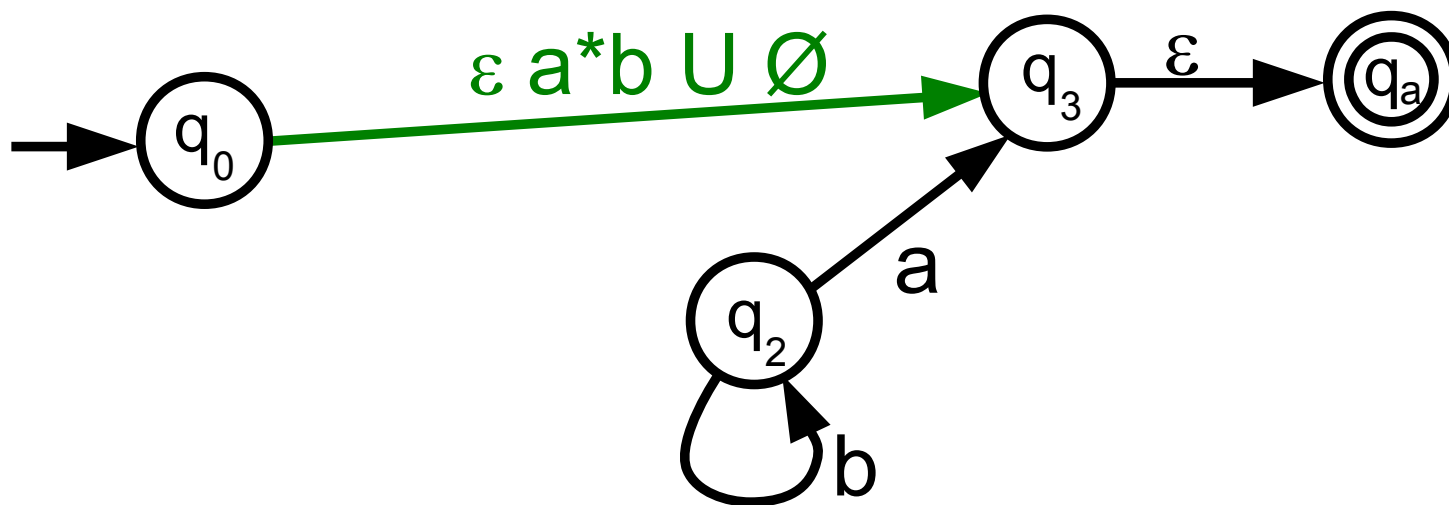
find a path  
through  $q_1$



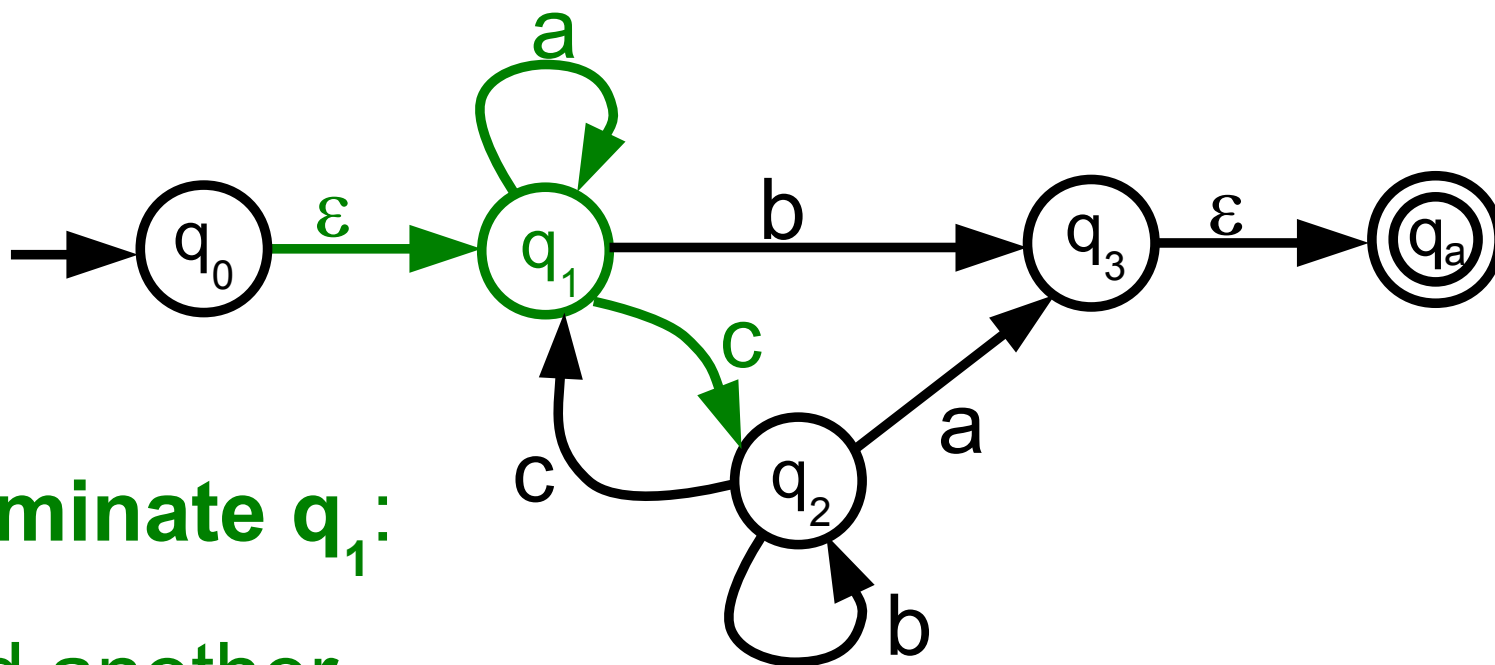
# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



**Eliminate  $q_1$ :**  
add edge to  
new GNFA

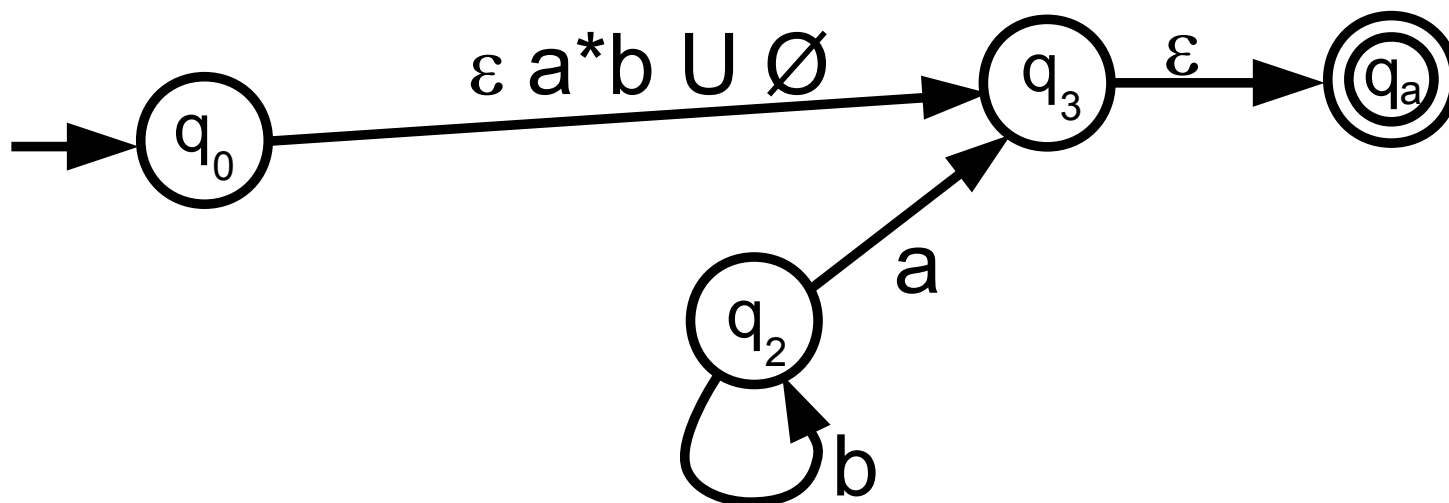


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



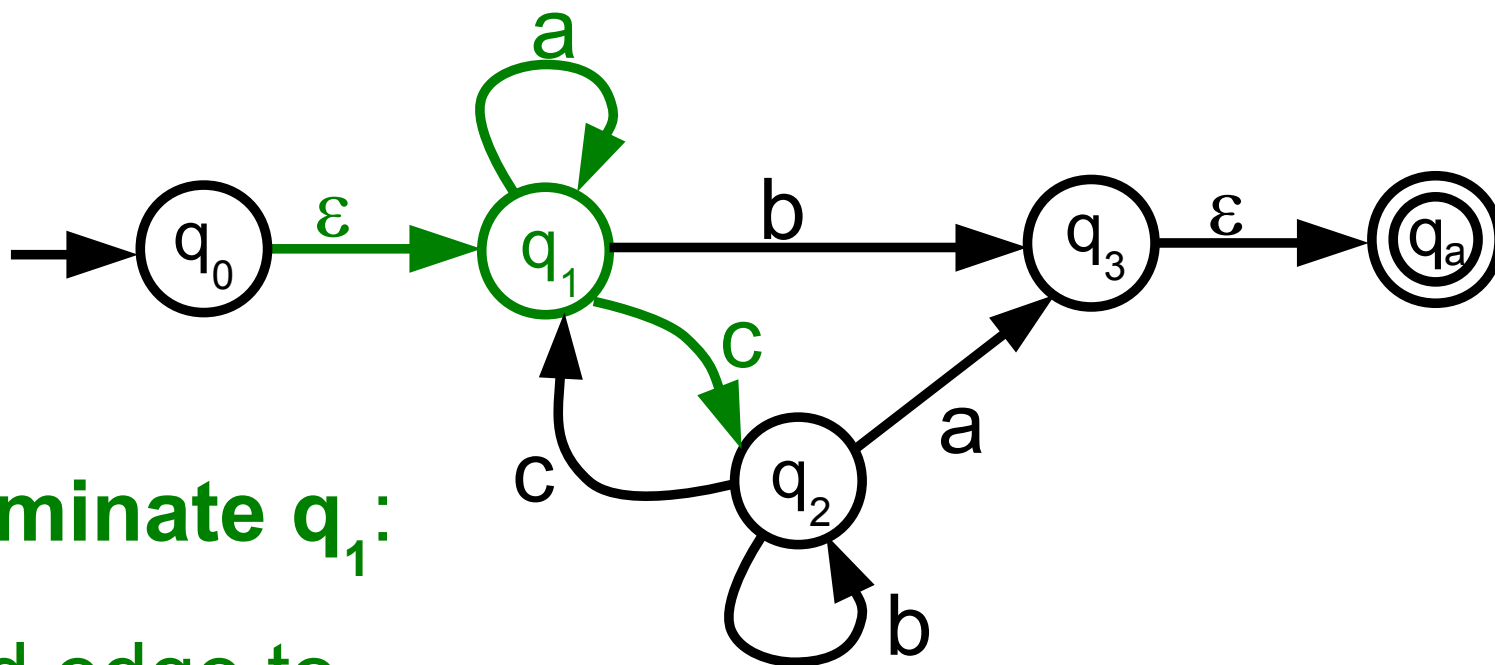
**Eliminate  $q_1$ :**

find another  
path through  $q_1$

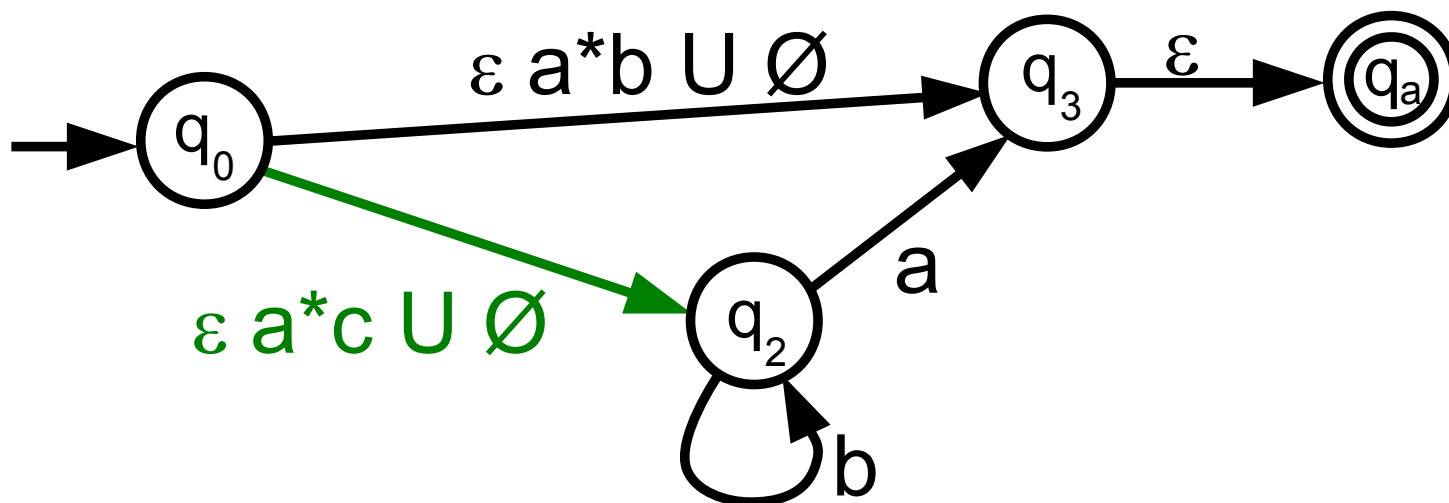




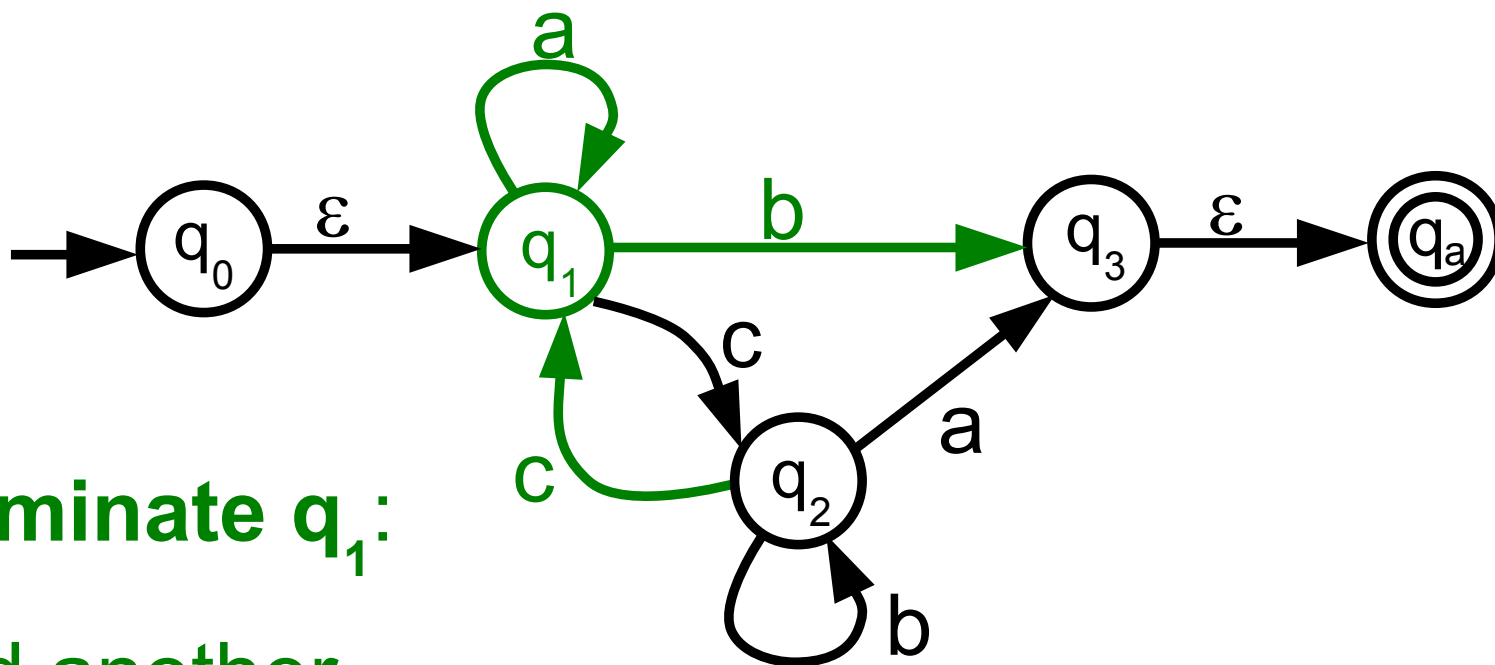
# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



**Eliminate  $q_1$ :**  
add edge to  
new GNFA

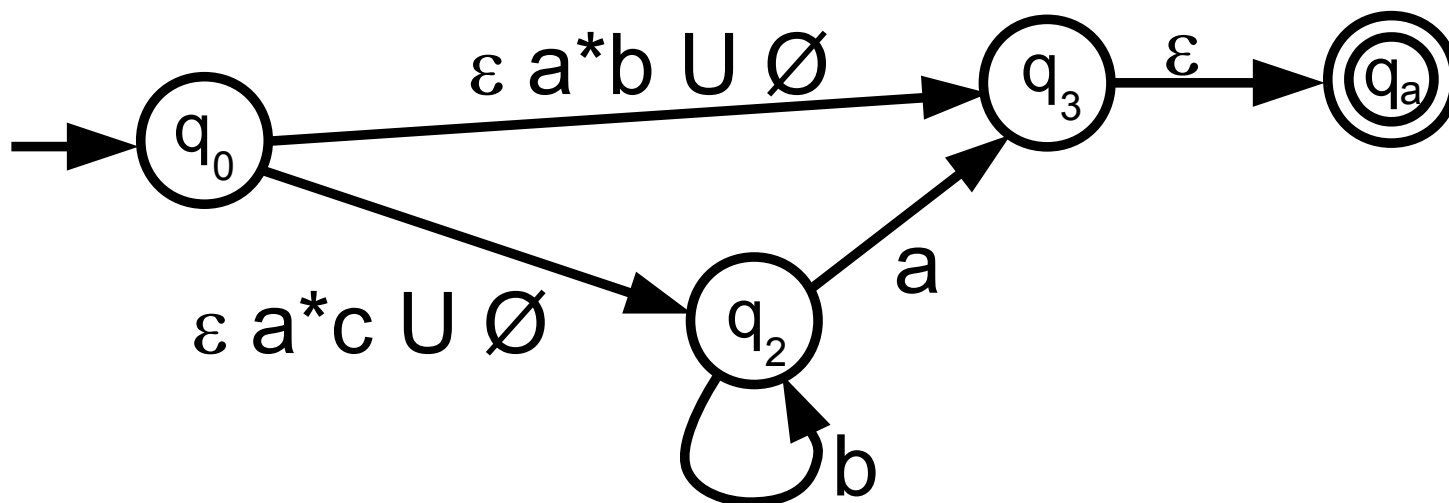


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

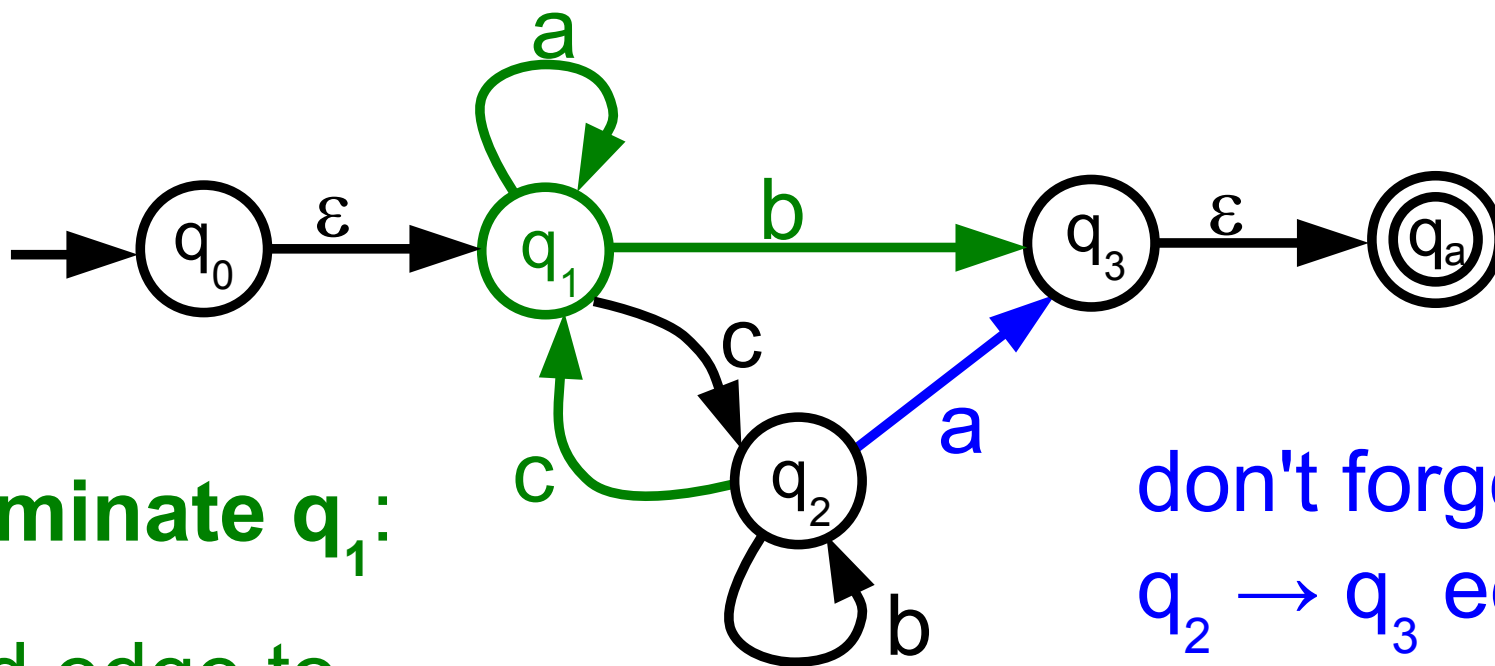


**Eliminate  $q_1$ :**

find another  
path through  $q_1$



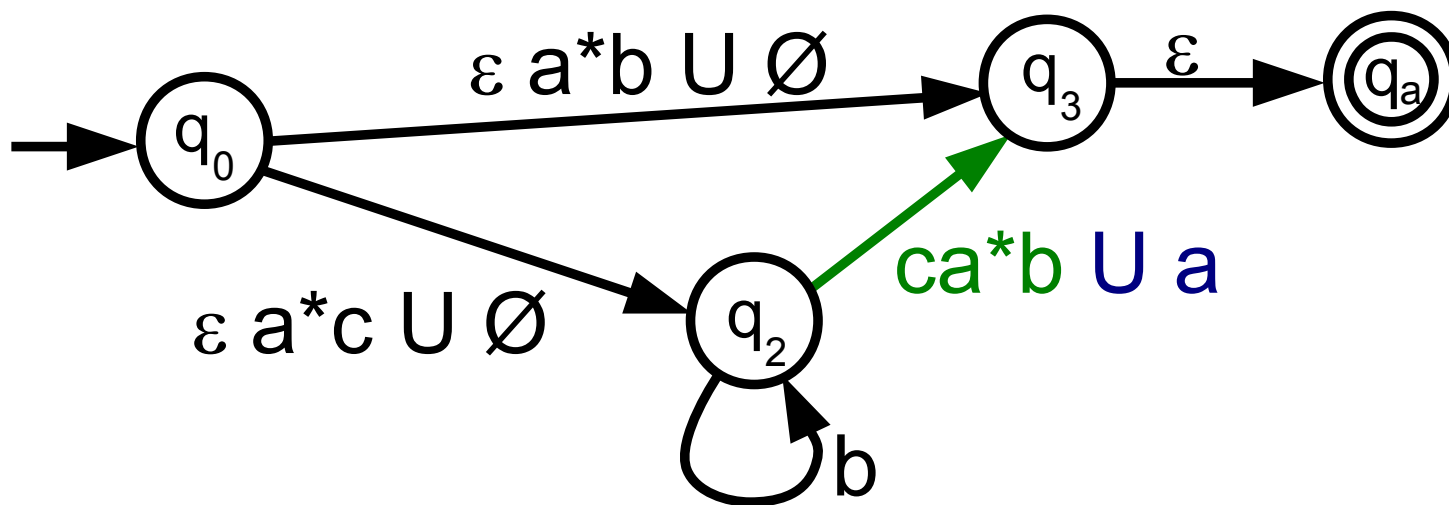
# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



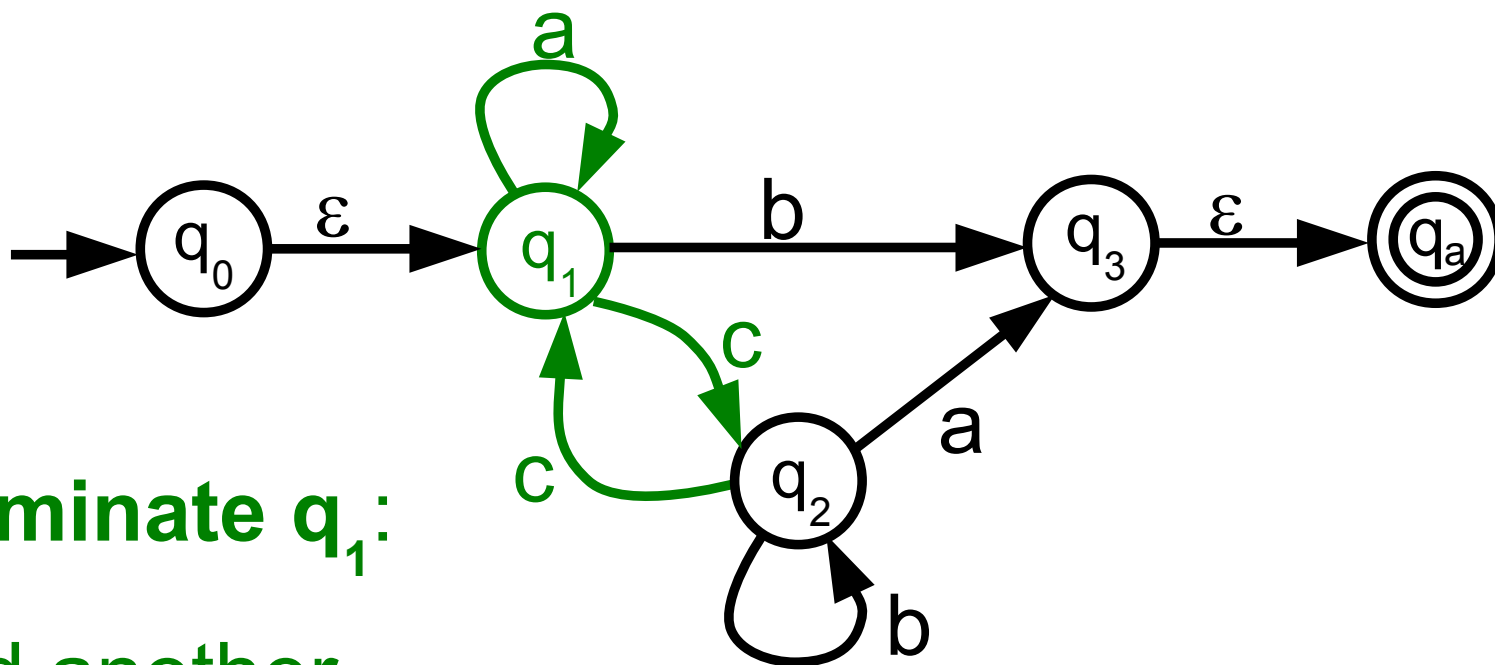
**Eliminate  $q_1$ :**  
add edge to  
new GNFA

don't forget current  
 $q_2 \rightarrow q_3$  edge!

This time is not  $\emptyset$  !

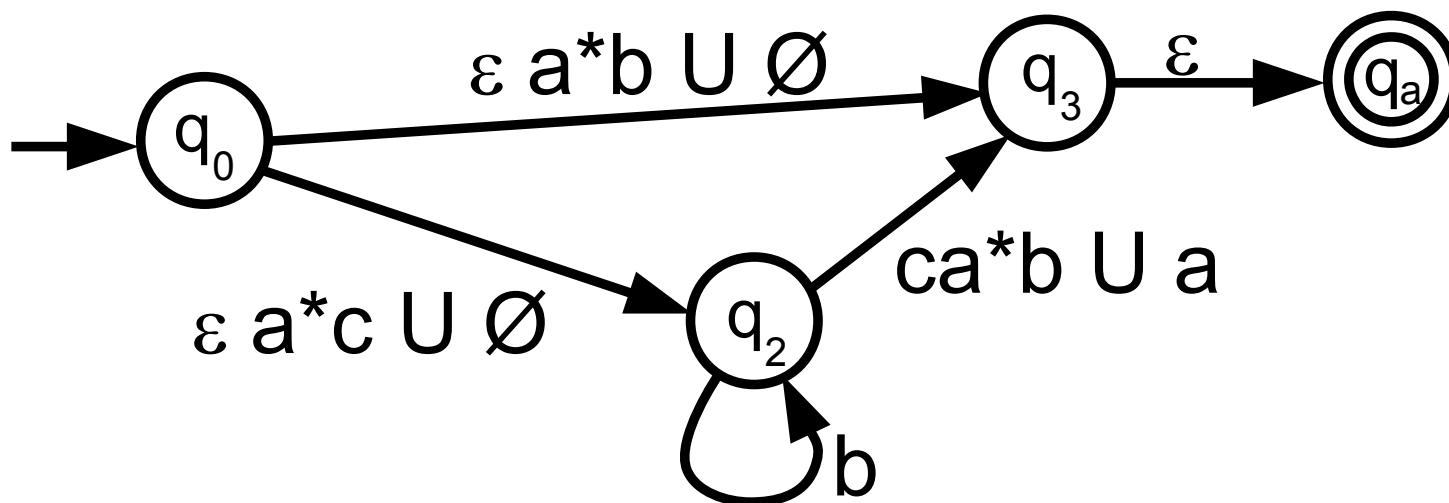


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

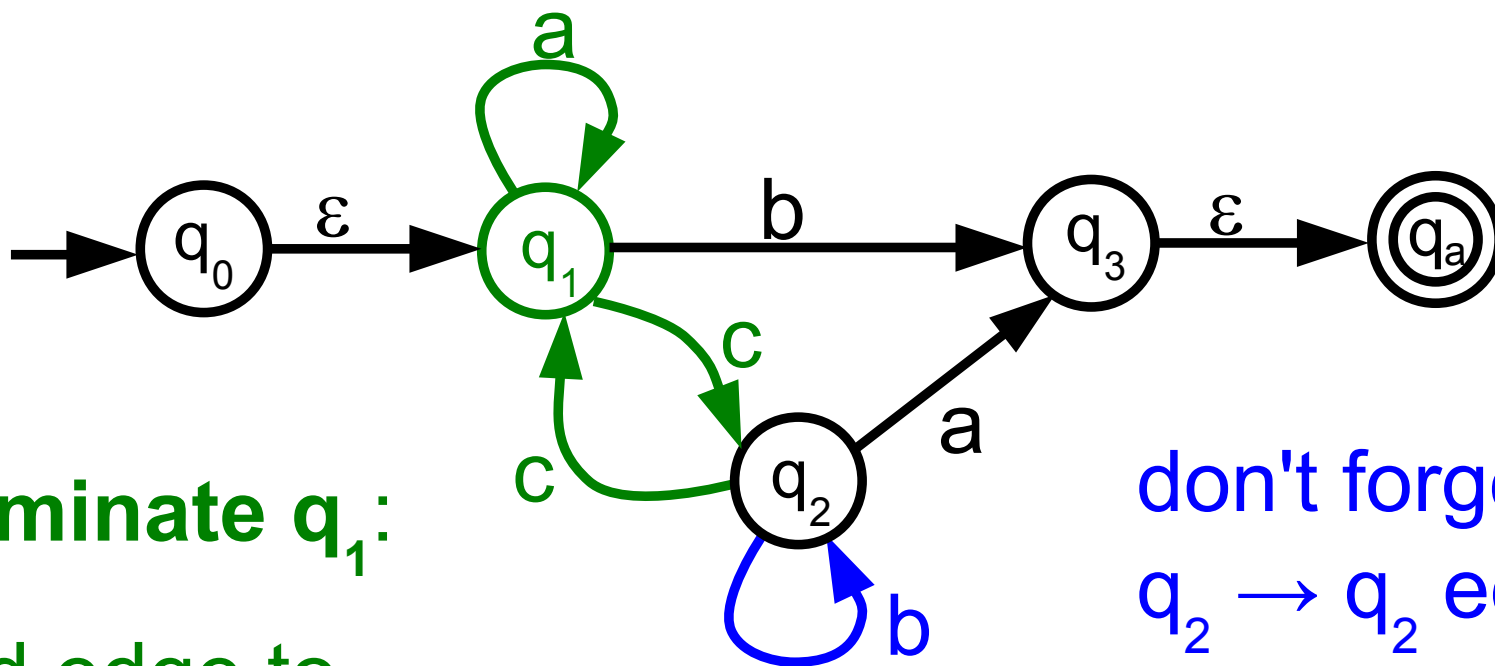


**Eliminate  $q_1$ :**

find another path through  $q_1$

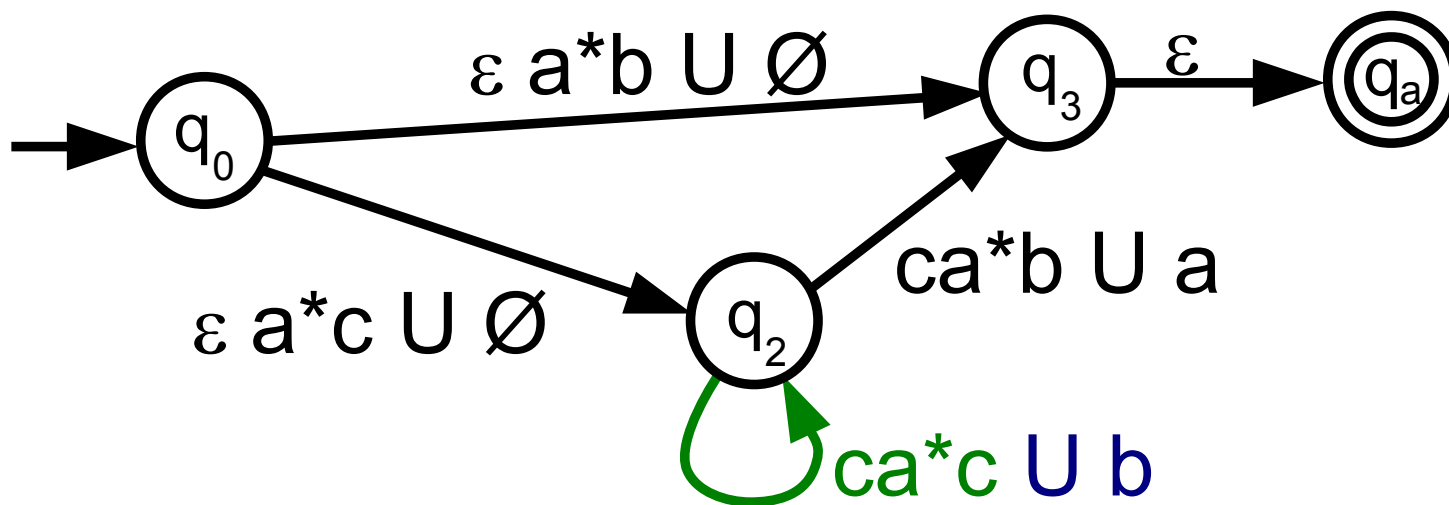


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

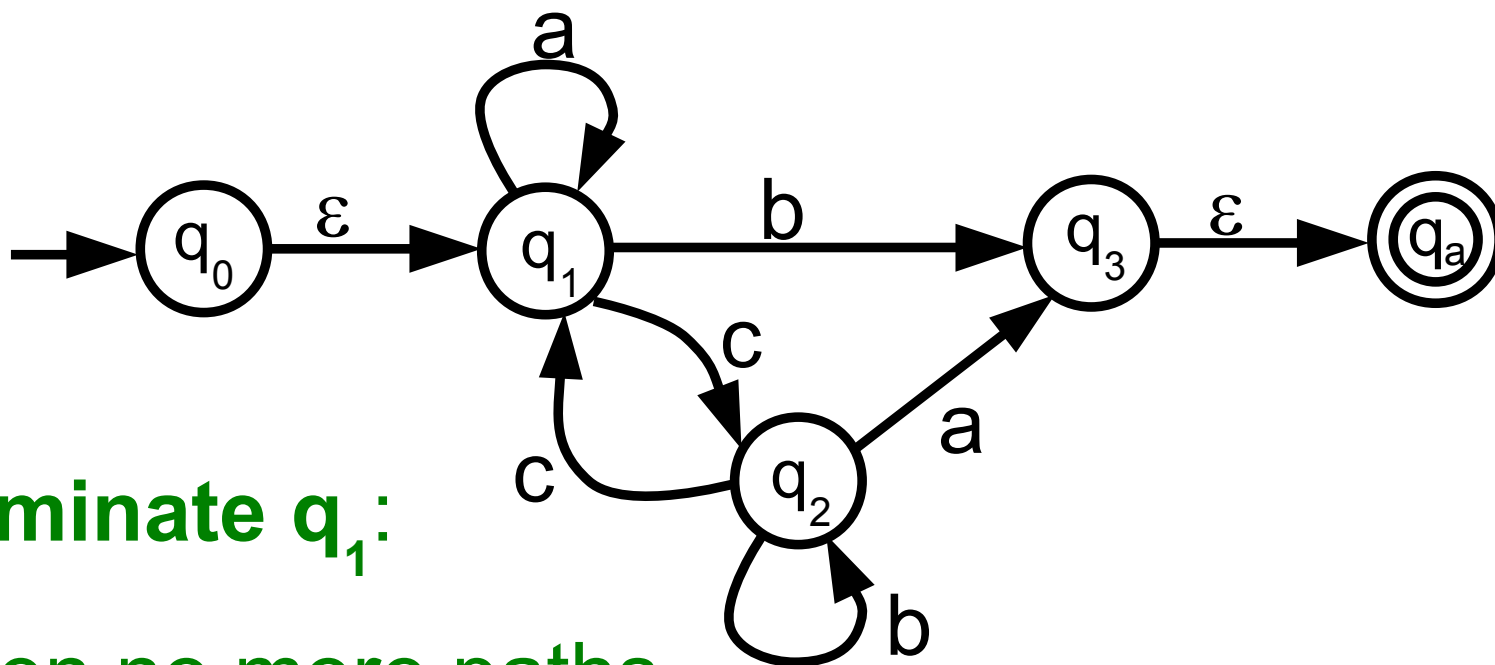


**Eliminate  $q_1$ :**  
 add edge to  
 new GNFA

don't forget current  
 $q_2 \rightarrow q_2$  edge!



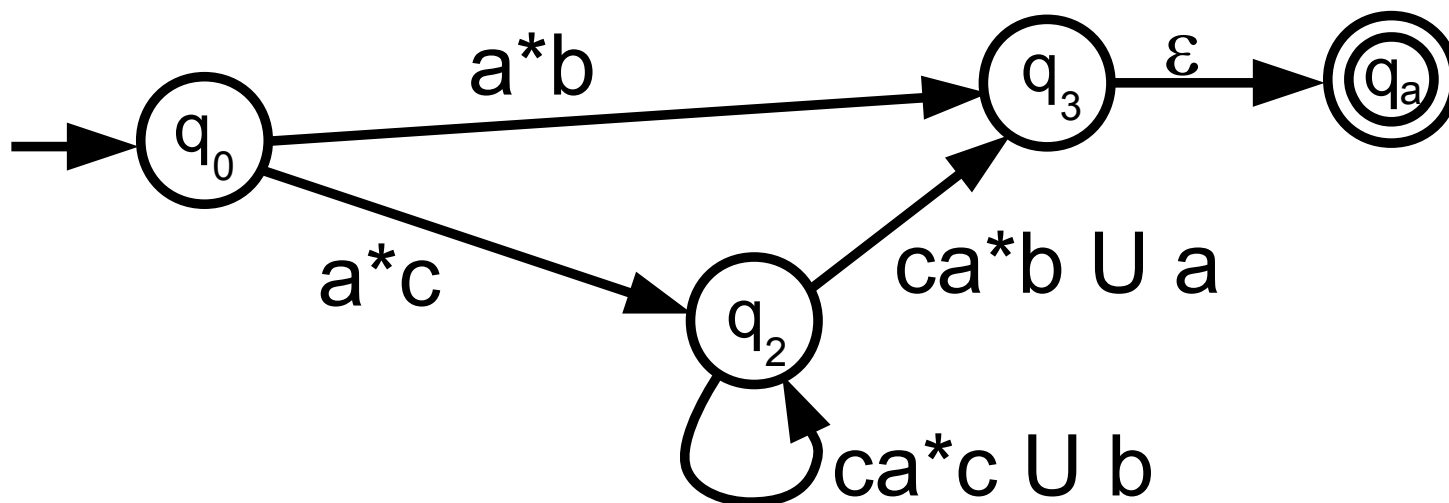
# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



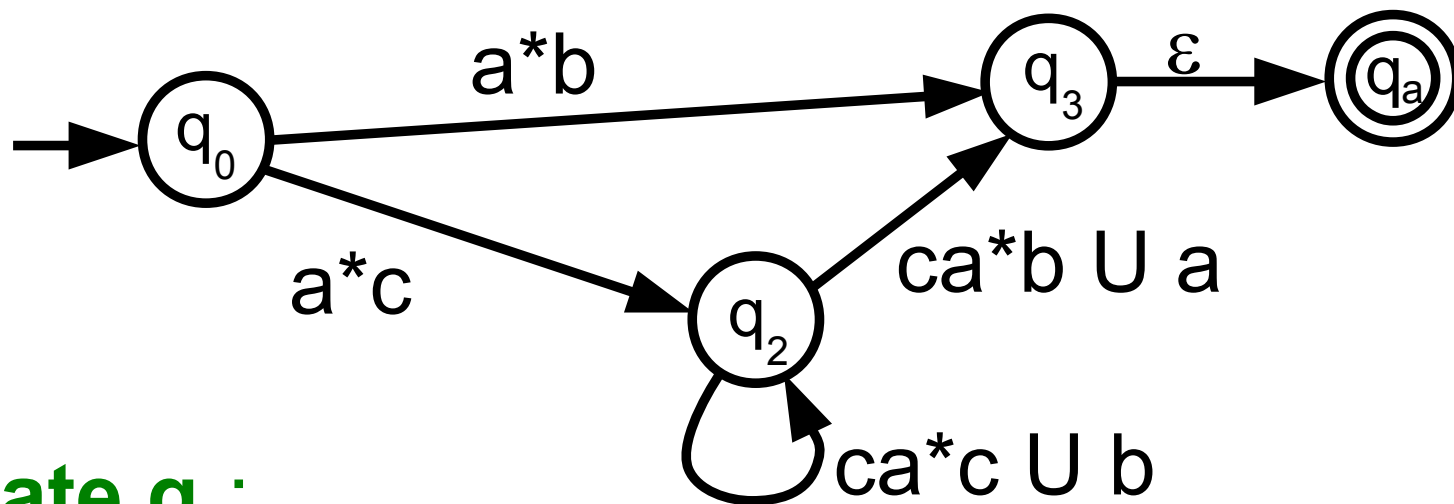
**Eliminate  $q_1$ :**

when no more paths  
through  $q_1$ , start over

(and simplify  
REs)

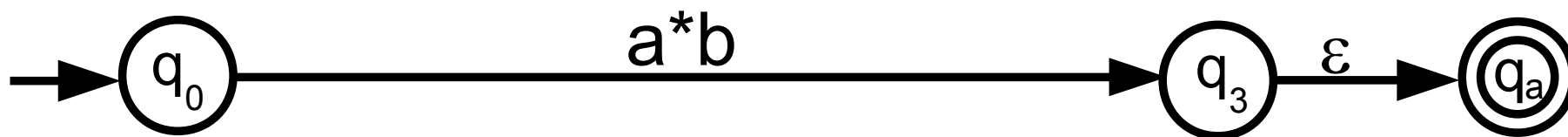


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

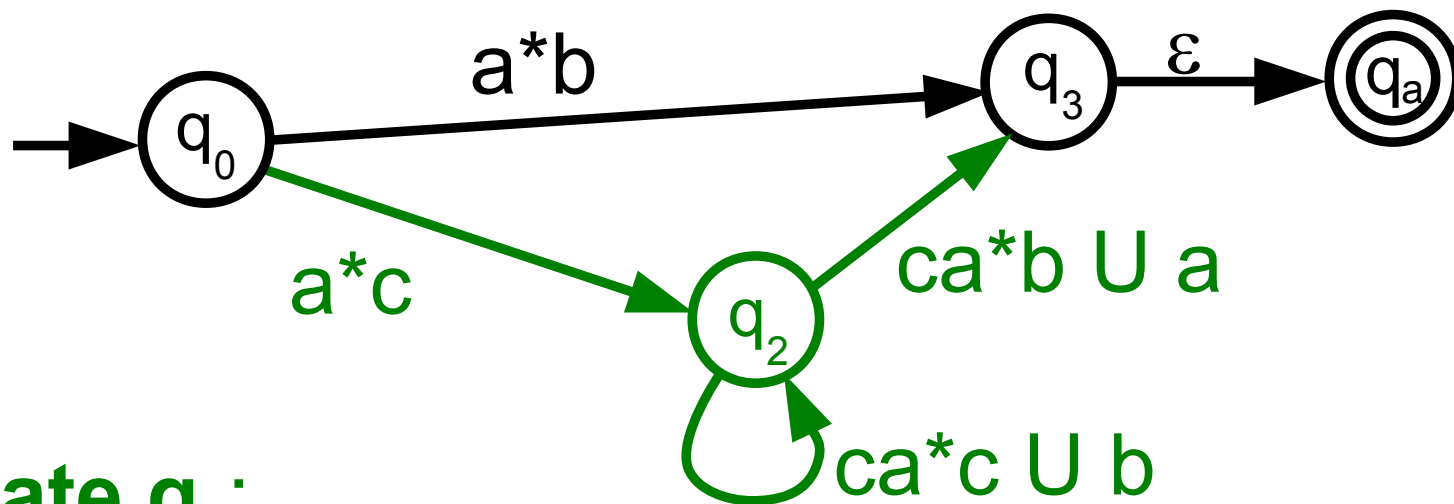


**Eliminate  $q_2$ :**

re-draw GNFA with  
all other states

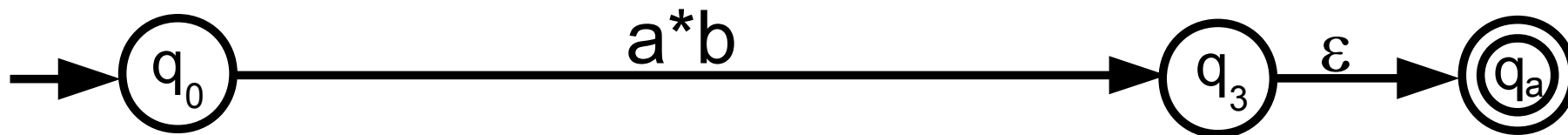


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



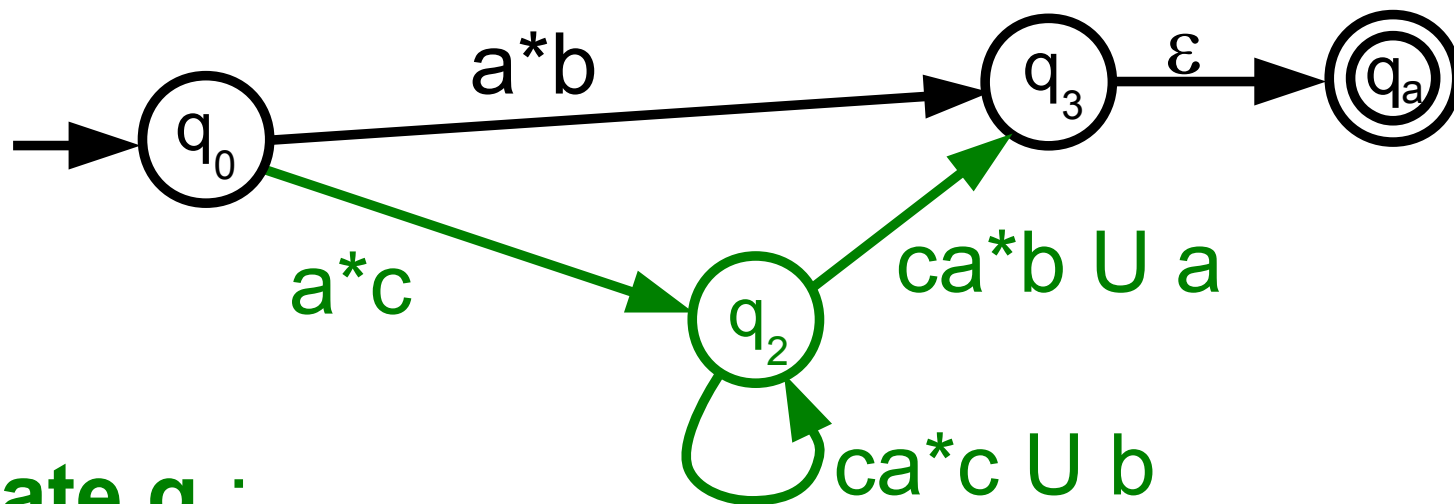
**Eliminate  $q_2$ :**

find a path through  $q_2$



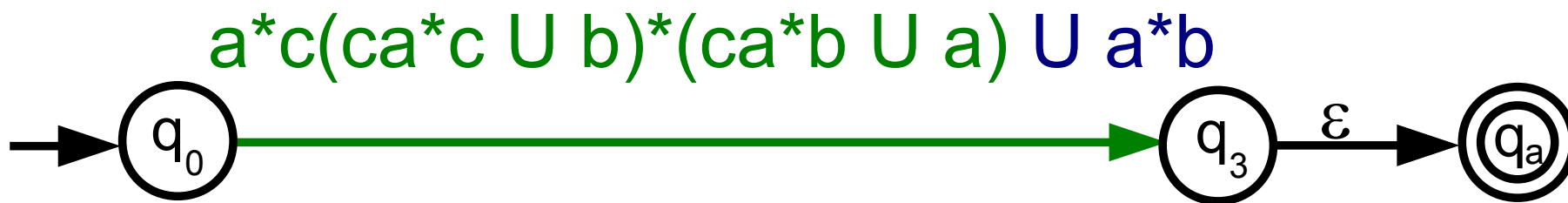


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

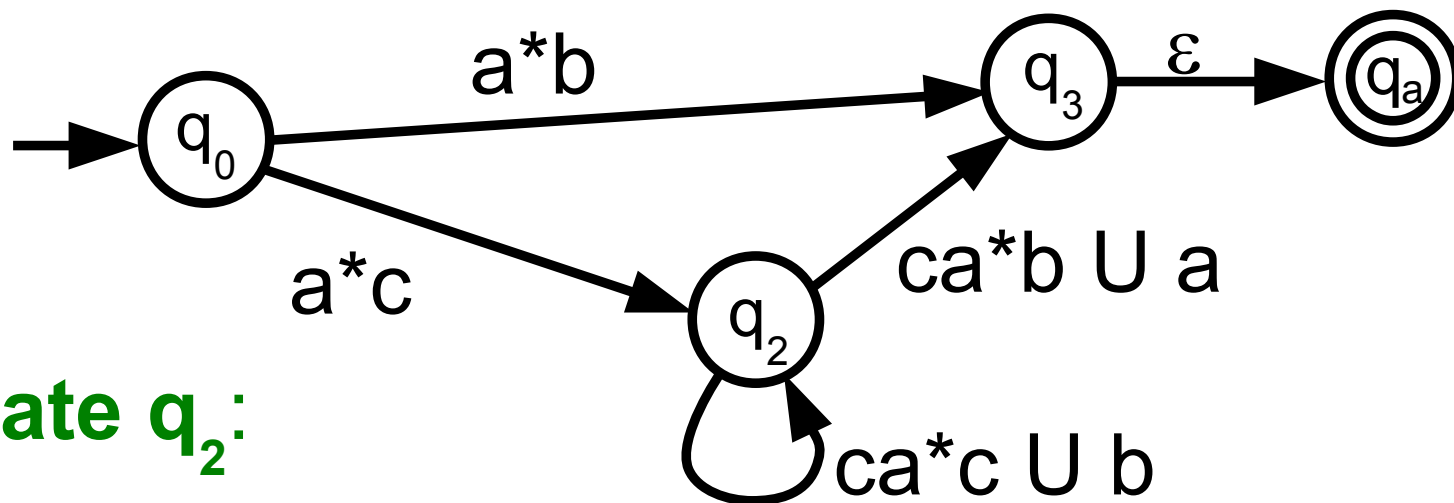


**Eliminate  $q_2$ :**

add edge to new GNFA

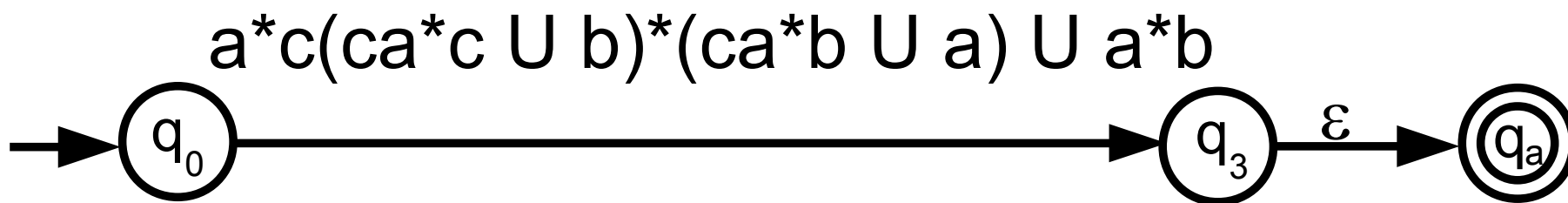


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

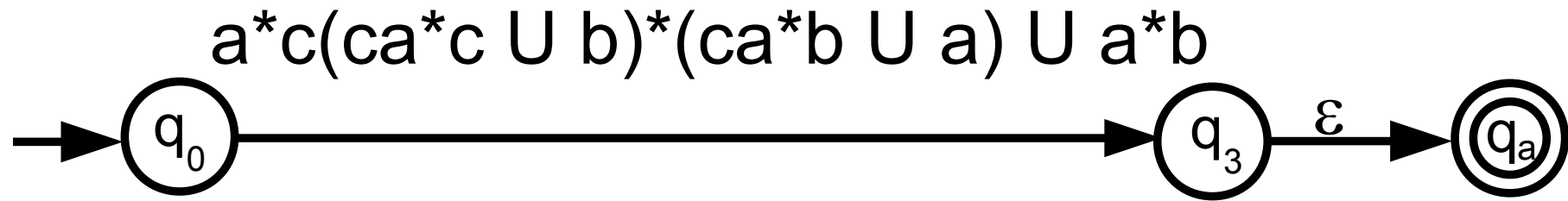


**Eliminate  $q_2$ :**

when no more paths  
through  $q_2$ , start over

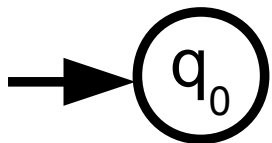


# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

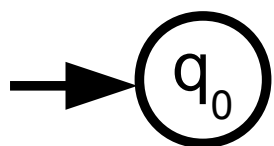
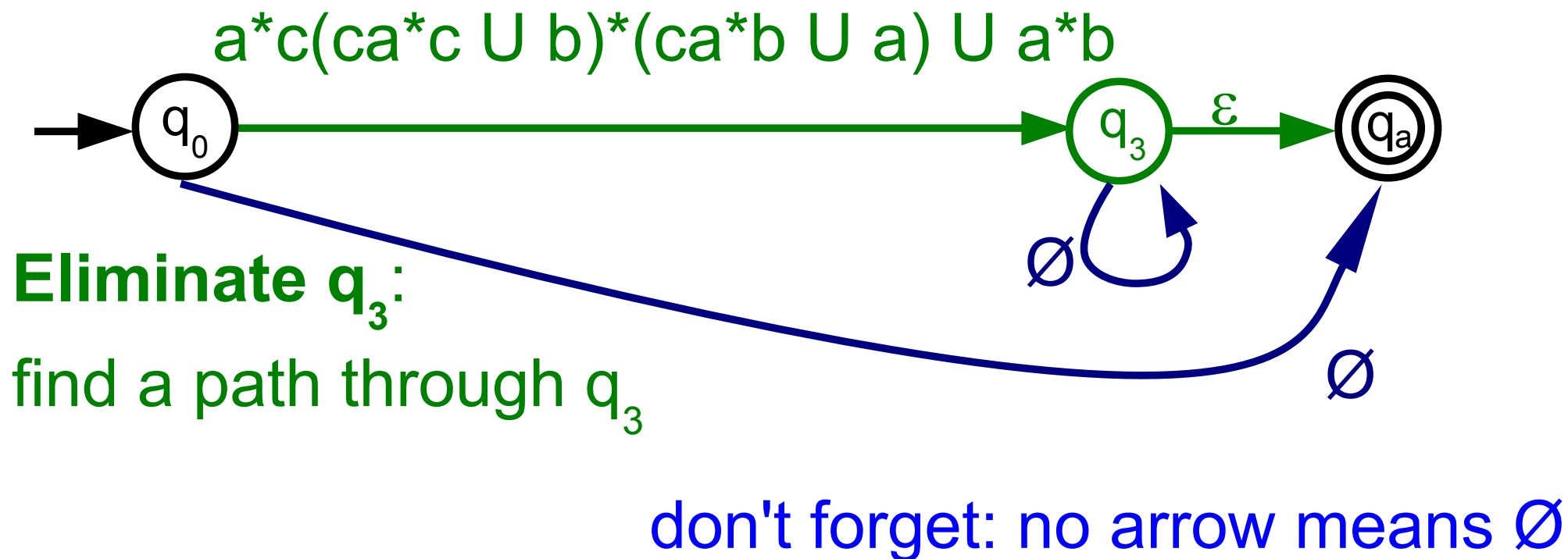


**Eliminate  $q_3$ :**

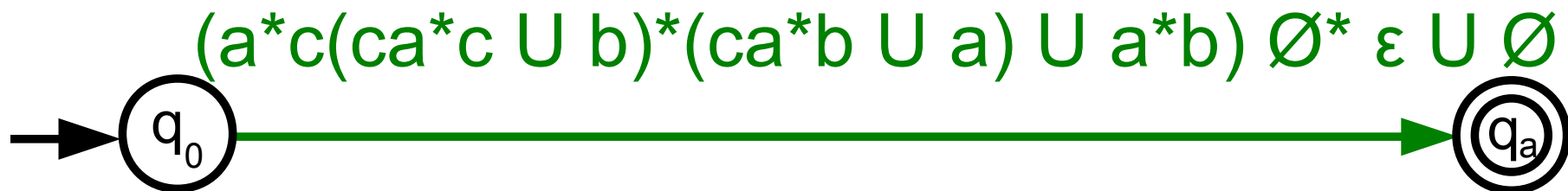
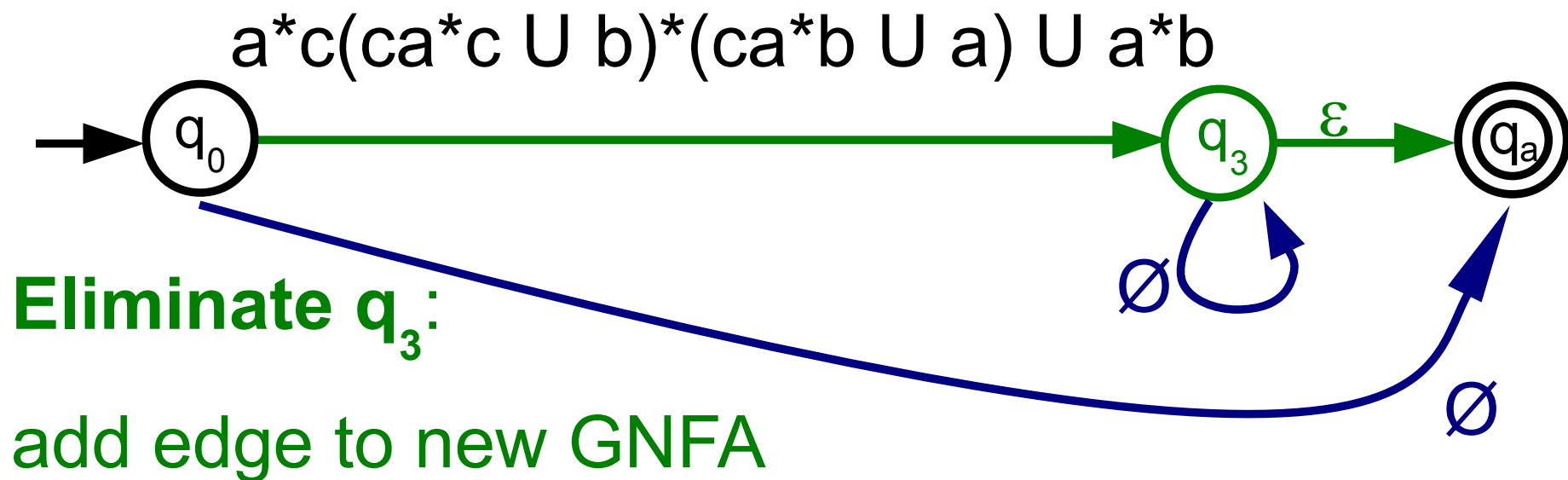
re-draw GNFA with  
all other states



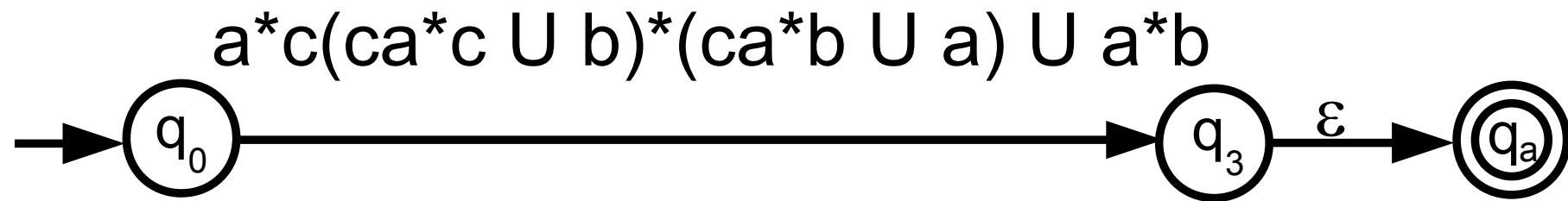
# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE



# ANOTHER Example: DFA $\rightarrow$ GNFA $\rightarrow$ RE

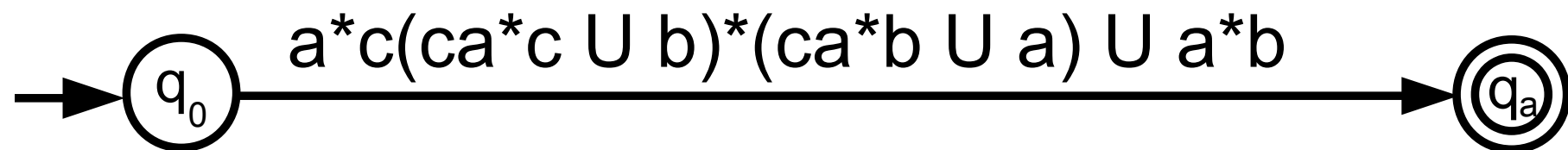


**Eliminate  $q_3$ :**

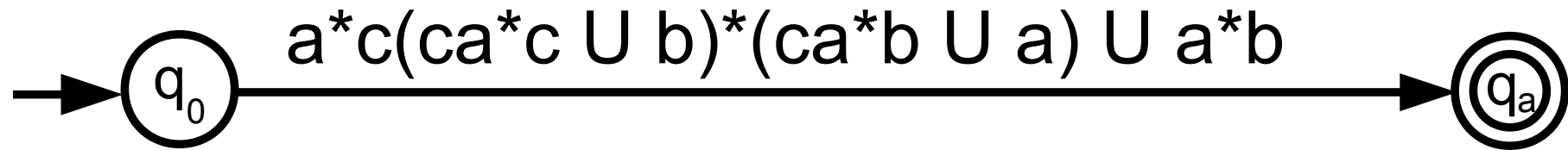
when no more paths through  $q_3$ , start over

(and simplify REs)

don't forget:  $\emptyset^* = \epsilon$



ANOTHER Example: DFA  $\rightarrow$  GNFA  $\rightarrow$  RE



Only two states remain:

$$RE = a^*c(ca^*c \cup b)^*(ca^*b \cup a) \cup a^*b$$

Recap:

Here “ $\Rightarrow$ ” means “can be converted to”

RE  $\Leftrightarrow$  DFA  $\Leftrightarrow$  NFA

Any of the three recognize exactly  
the regular languages (initially defined using DFA)



These conversions are used every time you enter an RE, for example for pattern matching using *grep*

- The RE is converted to an NFA
- Then the NFA is converted to a DFA
- The DFA representation is used to pattern-match

Optimizations have been devised,  
but this is still the general approach.

What language is NOT regular?

Is  $\{ 0^n 1^n : n \geq 0 \} = \{ \varepsilon, 01, 0011, 000111, \dots \}$  regular?

## Pumping lemma:

L regular language  $\Rightarrow$

$$\exists p \geq 0$$

$$\forall w \in L, |w| \geq p$$

$$\exists x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\forall i \geq 0 : xy^i z \in L$$

Recall  $y^0 = \varepsilon$ ,  $y^1 = y$ ,  $y^2 = yy$ ,  $y^3 = yyy$ , ...

## Pumping lemma:

L regular language  $\Rightarrow$

$$\exists p \geq 0$$

$$\forall w \in L, |w| \geq p$$

$$\exists x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\forall i \geq 0 : xy^i z \in L$$

## Proof Idea:

Let M be a DFA recognizing L. Choose  $p := |Q|$

Let  $w \in L, |w| \geq p$ .

Among the first  $p+1$  states of the trace of M on  $w$ ,  
2 states must be the same  $q$ .

$y$  = portion of  $w$  that brings  $q$  back to  $q$

can repeat or remove  $y$  and still accept string

## Pumping lemma:

L regular language  $\Rightarrow$

$$\exists p \geq 0$$

A

$$\forall w \in L, |w| \geq p$$

$$\exists x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\forall i \geq 0 : xy^i z \in L$$

Useful to prove L NOT regular. Use contrapositive:

L regular language  $\Rightarrow$  A

same as

(not A)  $\Rightarrow$  L not regular

## Pumping lemma (contrapositive)

$\forall p \geq 0$  not A

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

$\Rightarrow L$  not regular

To prove  $L$  not regular it is enough to prove **not A**

**Not A** is the stuff in the box.

Proving something like

$\forall \text{ bla } \exists \text{ bla } \forall \text{ bla } \exists \text{ bla } \text{ bla}$

means winning a game

Theory is all about winning games!

# Example NAME THE BIGGEST NUMBER GAME

- Two players:

You, Adversary.

- Rules:

First Adversary says a number.

Then You say a number.

You win if your number is bigger.

Can you win this game?



# Example NAME THE BIGGEST NUMBER GAME

- Two players:

You, Adversary.

- Rules:

First Adversary says a number.

Then You say a number.

You win if your number is bigger.

You have **winning strategy**:

if adversary says  $x$ , you say  $x+1$

# Example NAME THE BIGGEST NUMBER GAME

- Two players:

You, Adversary.

$\exists, \forall$

- Rules:

First Adversary says a number.

$\forall x \exists y : y > x$

Then You say a number.

You win if your number is bigger.

You have **winning strategy**:

**Claim is true**

if adversary says  $x$ , you say  $x+1$

Another example:

**Theorem:**  $\forall$  NFA  $N \exists$  DFA  $M : L(M) = L(N)$

We already saw a winning strategy for this game

What is it?

Another example:

**Theorem:**  $\forall$  NFA  $N \exists$  DFA  $M : L(M) = L(N)$

We already saw a winning strategy for this game

The power set construction.

Games with more moves:

Chess, Checkers, Tic-Tac-Toe

You can win if

$\forall$  move of the Adversary

$\exists$  move You can make

$\forall$  move of the Adversary

$\exists$  move You can make

...

: You checkmate

## Pumping lemma (contrapositive)

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

$\Rightarrow L$  not regular

Rules of the game:

**Adversary** picks  $p$ ,

**You** pick  $w \in L$  of length  $\geq p$ ,

**Adversary** decomposes  $w$  in  $xyz$ , where  $|y| > 0, |xy| \leq p$

**You** pick  $i \geq 0$

Finally, you win if  $xy^i z \notin L$

**Theorem:**  $L := \{0^n 1^n : n \geq 0\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^p 1^p$

Adversary moves  $x, y, z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$  and  $w = xyz = 0^p 1^p$ ,  $y$  only has 0

So  $xyyz = 0^{p + |y|} 1^p$

Since  $|y| > 0$ , this is not of the form  $0^n 1^n$

**DONE**

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

**Theorem:**  $L := \{w : w \text{ has as many 0 as 1}\}$  not regular

**Same Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^iz \notin L$$



**Theorem:**  $L := \{w : w \text{ has as many } 0 \text{ as } 1\}$  not regular

**Same Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^p 1^p$

Adversary moves  $x, y, z$

You move  $i := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

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**Theorem:**  $L := \{w : w \text{ has as many } 0 \text{ as } 1\}$  not regular

**Same Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^p 1^p$

Adversary moves  $x, y, z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$  and  $w = xyz = 0^p 1^p$ ,  $y$  only has 0

So  $xyyz = ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{w : w \text{ has as many 0 as 1}\}$  not regular

**Same Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^p 1^p$

Adversary moves  $x, y, z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$  and  $w = xyz = 0^p 1^p$ ,  $y$  only has 0

So  $xyyz = 0^{p + |y|} 1^p$

Since  $|y| > 0$ , not as many 0 as 1

DONE

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{0^j 1^k : j > k\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{0^j 1^k : j > k\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^{p+1} 1^p$

Adversary moves  $x, y, z$

You move  $i := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{0^j 1^k : j > k\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^{p+1} 1^p$

Adversary moves  $x, y, z$

You move  $i := 0$

You must show  $xz \notin L$ :

Since  $|xy| \leq p$  and  $w = xyz = 0^{p+1} 1^p$ ,  $y$  only has 0

So  $xz = 0^{p+1-|y|} 1^p$

Since  $|y| > 0$ , this is not of the form  $0^j 1^k$  with  $j > k$

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

**Theorem:**  $L := \{uu : u \in \{0,1\}^*\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^iz \notin L$$

**Theorem:**  $L := \{uu : u \in \{0,1\}^*\}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 0^p 1 0^p 1$

Adversary moves  $x,y,z$

You move  $i := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$$

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**Theorem:**  $L := \{uu : u \in \{0,1\}^*\}$  is not regular

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Adversary moves  $p$

You move  $w := 0^p 1 0^p 1$

Adversary moves  $x,y,z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$  and  $w = xyz = 0^p 1 0^p 1$ ,  $y$  only has 0

So  $xyyz = 0^{p + |y|} 1 0^p 1$

Since  $|y| > 0$ , first half of  $xyyz$  only 0, so  $xyyz \notin L$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{ 1^{n^2} : n \geq 0 \}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := ?$

$$\forall p \geq 0$$

$$\exists w \in L, |w| \geq p$$

$$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$$

$$\exists i \geq 0 : xy^i z \notin L$$

**Theorem:**  $L := \{ 1^{n^2} : n \geq 0 \}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 1^{p^2}$

Adversary moves  $x, y, z$

You move  $i := ?$

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

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Use pumping lemma

Adversary moves  $p$

You move  $w := 1^{p^2}$

Adversary moves  $x, y, z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$ ,  $|xyyz| \leq ?$

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x, y, z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

**Theorem:**  $L := \{ 1^{n^2} : n \geq 0 \}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 1^{p^2}$

Adversary moves  $x,y,z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$ ,  $|xyyz| \leq p^2 + p < (p+1)^2$

Since  $|y| > 0$ ,  $|xyyz| > ?$

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^i z \notin L$

**Theorem:**  $L := \{ 1^{n^2} : n \geq 0 \}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 1^{p^2}$

Adversary moves  $x,y,z$

You move  $i := 2$

You must show  $x^{i+1}yz \notin L$ :

Since  $|xy| \leq p$ ,  $|x^{i+1}yz| \leq p^2 + p < (p+1)^2$

Since  $|y| > 0$ ,  $|x^{i+1}yz| > p^2$

So  $|x^{i+1}yz|$  cannot be ... what ?

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : x^{i+1}yz \notin L$

**Theorem:**  $L := \{ 1^{n^2} : n \geq 0 \}$  is not regular

**Proof:**

Use pumping lemma

Adversary moves  $p$

You move  $w := 1^{p^2}$

Adversary moves  $x,y,z$

You move  $i := 2$

You must show  $xyyz \notin L$ :

Since  $|xy| \leq p$ ,  $|xyyz| \leq p^2 + p < (p+1)^2$

Since  $|y| > 0$ ,  $|xyyz| > p^2$

So  $|xyyz|$  cannot be a square.  $xyyz \notin L$

$\forall p \geq 0$

$\exists w \in L, |w| \geq p$

$\forall x,y,z : w = xyz, |y| > 0, |xy| \leq p$

$\exists i \geq 0 : xy^iz \notin L$

# Big picture



- All languages

- Decidable

  - Turing machines

- NP

- P

- Context-free

  - Context-free grammars, push-down automata

- Regular

  - Automata, non-deterministic automata,  
regular expressions