## 1-25-06: More on equivalence with unit

Wanting to find an algorithmic notion of equivalence (and we find it by trying to prove it correct). Completeness: definitional equivalence implies algorithmic equivalence.

**Theorem** If  $\Gamma \vdash e_1 \equiv e_2 : \tau$ , then  $\Gamma \vdash e_1 \Leftrightarrow e_2 : \tau$ 

(Validity: if two things are equiv, they are both well-formed)

$$\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{unit}$$

Notation for logical two-place relation:  $\Gamma \vdash e_1 \approx e_2 : \tau$ 

$$\frac{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}{\Gamma \vdash e_1 \approx e_2 : \mathbf{unit}} \quad \frac{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}{\Gamma \vdash e_1 \approx e_2 : \mathbf{T}}$$

$$\frac{\forall f_1, f_2 \; \Gamma \vdash f_1 \approx f_2 : \tau' \Rightarrow \Gamma \vdash e_1 f_1 \approx e_2 f_2 : \tau''}{\Gamma \vdash e_1 \approx e_2 : \tau' \to \tau''}$$

**Lemma Main lemma (1)** If  $\Gamma \vdash e_1 \approx e_2 : \tau$  then  $\Gamma \vdash e_1 \Leftrightarrow e_2 : \tau$ .

**Lemma Main lemma (2)** If  $\Gamma \vdash p_1 \leftrightarrow p_2 : \tau$ , then  $\Gamma \vdash p_1 \approx p_2 : \tau$ .

*Proof:* part (1) by induction on  $\tau$ .

Case:  $\tau = \mathbf{unit}$ . Trivial

Case:  $\tau = \mathbf{T}$ . Trivial

Case:  $\tau = \tau' \to \tau''$ . We have  $\Gamma, x : \tau' \vdash x \leftrightarrow x : \tau'$ . By induction (using part 2) we have  $\Gamma, x : \tau' \vdash x \approx x : \tau'$ . By the logical relation,  $\Gamma, x : \tau' \vdash e_1 x \approx e_2 x : \tau''$ . By induction on part 1,  $\Gamma, x : \tau' \vdash e_1 x \Leftrightarrow e_2 x : \tau''$ .

Suggests eta rule:

$$\frac{\Gamma, x : \tau' \vdash e_1 x \Leftrightarrow e_2 x : \tau''}{\Gamma \vdash e_1 \Leftrightarrow e_2 : \tau' \to \tau''}$$

Also we have:

$$\Gamma. x : \tau \vdash x \leftrightarrow x : \tau$$

**Monotonicity** If  $\Gamma \vdash e_1 \approx e_2 : \tau$  and  $\Delta \supseteq \Gamma$  then  $\Delta \vdash e_1 \approx e_2 : \tau$ .

*Proof:* by induction on  $\tau$ .

Case:  $\tau = \tau' \to \tau''$ . For all  $f_1, f_2$  assume  $\Delta \vdash f_1 \approx f_2 : \tau'$ . To show:  $\Delta \vdash e_1 f_2 \approx e_2 f_2 : \tau''$ .

To make this work, we need to adjust the logical relation:

$$\frac{\forall f_1, f_2 \text{ if } \Delta \supseteq \Gamma \text{ and } \Delta \vdash f_1 \approx f_2 : \tau' \text{ then } \Delta \vdash e_1 f_1 \approx e_2 f_2 : \tau''}{\Gamma \vdash e_1 \approx e_2 : \tau' \to \tau''}$$

Kripke-style logical relation.

*Proof:* (Main lemma part 2) by induction on  $\tau$ .

Case:  $\tau = \mathbf{unit}$ . Trivial.

Case:  $\tau = \mathbf{T}$ . Suggests rule:

$$\frac{\Gamma \vdash p_1 \leftrightarrow p_2 : \mathbf{T}}{\Gamma \vdash p_1 \Leftrightarrow p_2 : \mathbf{T}}$$

Case:  $\tau = \tau' \to \tau''$ . Let  $f_1, f_2, \Delta \supseteq \Gamma$ . Assume  $\Delta \vdash f_1 \approx f_2 : \tau'$ . By induction on part 1, we have  $\Gamma \vdash f_1 \Leftrightarrow f_2 : \tau'$ . By ?? (to show below),  $\Delta \vdash p_1 f_1 \leftrightarrow p_2 f_2 : \tau''$ . By induction on part 2, we have  $\Delta \vdash p_1 f_1 \approx p_2 f_2 : \tau''$ .

To get the ?? step above, we introduce an algorithmic rule:

$$\frac{\Gamma \vdash p_1 \leftrightarrow p_2 : \tau' \to \tau''}{\Gamma \vdash e_1 \Leftrightarrow e_2 : \tau'}$$

$$\frac{\Gamma \vdash p_1 e_1 \leftrightarrow p_2 e_2 : \tau''}{\Gamma \vdash p_1 e_1 \leftrightarrow p_2 e_2 : \tau''}$$

Want to separate algorithmic equivalence for paths and for arbitrary terms: the rules for paths shrink terms and grow types; for terms, the opposite.

$$\begin{array}{ccc} \mathcal{E} & ::= & \cdot \\ & \mid & \mathcal{E}(e) \end{array}$$

Closure under head expansion If  $\Gamma \vdash \mathcal{E}_1(e_1[f_1/x]) \approx \mathcal{E}_2(e_2[f_2/x]) : \tau$  then  $\Gamma \vdash \mathcal{E}_1((\lambda x.e_1)f_1) \approx \mathcal{E}_2((\lambda x.e_2)f_2) : \tau$ .

*Proof:* by induction on  $\tau$ .

Case:  $\tau = \mathbf{unit}$ . Trivial.

Case:  $\tau = \mathbf{T}$ . We want to define weak head reduction:

$$\mathcal{E}((\lambda x.e)f) \to_{\mathrm{wh}} \mathcal{E}(e[f/x])$$

Now we want a new rule:

$$\frac{e_1 \to_{\text{wh}} e'_1 \qquad e_2 \to_{\text{wh}} e'_2}{\Gamma \vdash e'_1 \Leftrightarrow e'_2 : \mathbf{T}}$$
$$\frac{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}$$

Karl groups rules into a more general form:

$$\frac{e_1 \to_{\text{wh}}^* p_1 \qquad e_2 \to_{\text{wh}}^* p_2}{\Gamma \vdash p_1 \leftrightarrow p_2 : \mathbf{T}}$$
$$\frac{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}{\Gamma \vdash e_1 \Leftrightarrow e_2 : \mathbf{T}}$$

Case:  $\tau = \tau' \to \tau''$ . To prove this case, we need to generalize:

**Head expansion version 2** If  $\Gamma \vdash e_1 \approx e_2 : \tau$  and  $e'_1 \to_{\text{wh}}^* e_1$  and  $e'_2 \to_{\text{wh}}^* e_2$  then  $\Gamma \vdash e'_1 \approx e'_2 : \tau$ .

*Proof:* by induction on  $\tau$ .

Case:  $\tau = \tau' \to \tau''$ . Assume  $\Delta \supseteq \Gamma$  and  $\Delta \vdash f_1 \approx f_2 : \tau'$ . To show:  $\Gamma \vdash e_1' f_1 \approx e_2' f_2 : \tau''$ . Follows directly from the logical relation. We know that  $e_1' f_1 \to_{\text{wh}}^* e_1 f_1$  and  $e_2' f_2 \to_{\text{wh}}^* e_2 f_2$ . By induction,  $\Delta \vdash e_1' f_1 \approx e_2' f_2 : \tau''$ . We're done.