

# Phantom Types and Subtyping

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# Phantom Types

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Essentially a typing trick to get a Hindley-Milner type system to do something it was not designed to do

- Early uses in Standard ML and Haskell to give a statically safe interface to some unsafe APIs

Relies on:

- parametric polymorphism
- type equivalence properties

An example is the easiest way to explain...

# Example: Typed Expressions

---

```
datatype value =  
  I of int | B of bool  
  
fun double (v:value):value =  
  case (v) of I(i) => I(i*2)  
            | _ => raise TypeError  
  
fun neg (v:value):value =  
  case (v) of B(b) => B(not b)  
            | _ => raise TypeError  
  
fun toString (v:value):string =  
  case (v)  
  of I(i) => Int.toString(i)  
   | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

Evaluation can cause exceptions:

E.g. `double (B true)`  
`neg (I 3)`

```
datatype value =  
  I of int  
  | B of bool  
  
val (double (v:value)):value =  
  case (v) of  
    I(i) => I(i*2)  
    | B(b) => raise TypeError  
  
val (neg (v:value)):value =  
  case (v) of  
    I(i) => B(not b)  
    | _ => raise TypeError  
  
fun toString (v:value):string =  
  case (v)  
  of I(i) => Int.toString(i)  
   | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

Can we ensure statically that no exception is raised?

```
datatype value =
```

```
| I of int | B of bool
```

```
fun double (v:value):value =
```

```
  case (v) of I(i) => I(i*2)
```

```
            | _ => raise TypeError
```

Sure...

```
fun neg (v:value):value =
```

```
  case (v) of B(b) => B(not b)
```

```
            | _ => raise TypeError
```

SOLUTION I

Introduce different types for values

```
fun toString (v:value):string =
```

```
  case (v)
```

```
    of I(i) => Int.toString(i)
```

```
     | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

---

```
datatype ivalue = I' of value
datatype bvalue = B' of value
```

```
fun mkI (i:int):ivalue = I'(I(i))
fun mkB (b:bool):bvalue = B'(B(b))
```

```
fun sdouble (v:ivalue):ivalue =
  I'(double (I'of (v)))
fun sneg (v:bvalue):bvalue =
  B'(neg (B'of (v)))
```

```
fun toStringI (v:ivalue):string =
  toString (I'of (v))
fun toStringB (v:bvalue):string =
  toString (B'of (v))
```

```
datatype value =
  I of int | B of bool
```

```
fun double (v:value):value =
  case (v) of I(i) => I(i*2)
             | _ => raise TypeError
```

```
fun neg (v:value):value =
  case (v) of B(b) => B(not b)
             | _ => raise TypeError
```

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
     | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype ivalue = I' of value
datatype bvalue = B' of value
```

```
fun mkI (i:int):ivalue =
fun mkB (b:bool):bvalue =
```

```
fun sdouble (v:ivalue):
  I'(double (I'of (v)))
```

```
fun sneg (v:bvalue):bvalue =
  B'(neg (B'of (v)))
```

```
fun toStringI (v:ivalue):string =
  toString (I'of (v))
```

```
fun toStringB (v:bvalue):string =
  toString (B'of (v))
```

```
datatype value =
  I of int | B of bool
```

```
value =
  I of int | B of bool
  (2)
  TypeError
value =
  case (v) of B(b) => B(not b)
  | _ => raise TypeError
```

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
  | B(b) => Bool.toString(b)
```

Types wrapping around the underlying primitive type

# Example: Type

```
datatype ivalue = I' of val  
datatype bvalue = B' of val
```

```
fun mkI (i:int):ivalue = I'(i)  
fun mkB (b:bool):bvalue = B'(b)
```

```
fun sdouble (v:ivalue):ivalue =  
  I'(double (I'of (v)))
```

```
fun sneg (v:bvalue):bvalue =  
  B'(neg (B'of (v)))
```

```
fun toStringI (v:ivalue):string =  
  toString (I'of (v))
```

```
fun toStringB (v:bvalue):string =  
  toString (B'of (v))
```

“Safe” version of operations

```
sdouble (mkB true)  
sneg (mkI 3)
```

do not type check

```
fun neg (v:value):value =  
  case (v) of B(b) => B(not b)  
  | _ => raise TypeError
```

```
fun toString (v:value):string =  
  case (v)  
  of I(i) => Int.toString(i)  
  | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype ivalue = I' of value  
datatype bvalue = B' of value
```

```
fun mkI (i:int):ivalue = I'(IC i)  
fun mkB (b:bool):bvalue = B'(b)
```

```
fun sdouble (v:ivalue):ivalue =  
  I'(double (I'of (v)))  
fun sneg (v:bvalue):bvalue =  
  B'(neg (B'of (v)))
```

```
fun toStringI (v:ivalue):string =  
  toString (I'of (v))  
fun toStringB (v:bvalue):string =  
  toString (B'of (v))
```

```
datatype value =
```

Requires two forms of  
toString

Or forces you to cast an ivalue or  
bvalue to a value before calling  
toString

```
fun toString (v:value):string =  
  case (v)  
  of I(i) => Int.toString(i)  
   | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype ivalue = I' of value          datatype value =
datatype bvalue = B' of value          I of int | B of bool

fun mkI (i:int):ivalue = I'(I(i))      fun double (v:value):value =
fun mkB (b:bool):bvalue = B'(B(b))     case (v) of I(i) => I(i*2)
                                         | _ => raise TypeError

fun sdouble (v:ivalue):ivalue =
  I'(double (I'of (v)))
fun sneg (v:bvalue):bvalue =
  B'(neg (B'of (v)))
fun toStringI (v:ivalue):string =
  toString (I'of (v))
fun toStringB (v:bvalue):string =
  toString (B'of (v))
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
   | B(b) => Bool.toString(b)
```

**SOLUTION 2**

**Introduce different types for values**

**But put the "tag" in the type in such a way that we can be polymorphic in the tag**

**and make sure we share the underlying representation**

# Example: Typed Expressions

---

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(I(i))
fun mkB (b:bool):BT svalue = S(B(b))
```

```
fun sdouble (v:IT svalue):IT svalue =
  S(double (Sof (v)))
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun stoString (v: $\alpha$  svalue):string =
  S(toString (Sof (v)))
```

```
datatype value =
  I of int | B of bool
```

```
fun double (v:value):value =
  case (v) of I(i) => I(i*2)
             | _ => raise TypeError
```

```
fun neg (v:value):value =
  case (v) of B(b) => B(not b)
             | _ => raise TypeError
```

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
     | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
datatype value =
  I of int | B of bool
```

```
fun mkI (i:int):IT svalue = S (value (I i))
```

```
fun mkB (b:bool):BT svalue = S (value (B b))
```

```
fun sdouble (v:IT svalue) : svalue =
  S(double (Sof (v)))
```

```
fun sneg (v:BT svalue) : svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue) : string =
  S(tostring (Sof (v)))
```

IT and BT : tags for integers and  
Booleans

IT svalue : type of an int value  
BT svalue : type of a bool value

```
value (I i) : value =
  I i
```

```
Error
```

```
toString(i)
toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue =
fun mkB (b:bool):BT svalue =
```

```
fun sdouble (v:IT svalue):
  S(double (Sof (v)))
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

```
datatype value =
  I of int | B of bool
```

```
fun double (v:value):value =
  case v of
  I(i) => I(i*2) | B(b) => B(b)
```

A “safe” value is just a value with a superfluous type variable to hold the tag

*A phantom type*

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
  | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(I(i))
```

```
fun mkB (b:bool):BT svalue = S(B(b))
```

```
fun sdouble (v:IT svalue):IT svalue =
  S(double (Sof (v)))
```

```
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

```
datatype value =
```

```
I of int | B of bool
```

All we care about is that

$IT \neq BT$

*Natural but misleading choice:*

$IT = \text{int}$

$BT = \text{bool}$

of I(i) => Int.toString(i)

| B(b) => Bool.toString(b)

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue =
```

```
fun mkB (b:bool):BT svalue =
```

```
fun sdouble (v:IT svalue):
```

```
  S(double (Sof (v)))
```

```
fun sneg (v:BT svalue):BT svalue =
```

```
  S(neg (Sof (v)))
```

```
fun stoString (v: $\alpha$  svalue):string =
```

```
  S(toString (Sof (v)))
```

```
datatype value =
```

```
  I of int | B of bool
```

```
fun double (v:value):value =
```

```
  (*2*)
```

Property needed:

$x \neq y$  iff  $x$  svalue  $\neq$   $y$  svalue

```
fun toString (v:value):string =
```

```
  case (v)
```

```
  of I(i) => Int.toString(i)
```

```
   | B(b) => Bool.toString(b)
```

# Example: Typed

```
datatype IT = Dummy1 of unit
```

```
datatype BT = Dummy2 of unit
```

```
datatype  $\alpha$  svalue = S of val
```

```
fun mkI (i:int):IT svalue = S(I i)
```

```
fun mkB (b:bool):BT svalue = S(B b)
```

```
fun sdouble (v:IT svalue):IT svalue =  
  S(double (Sof (v)))
```

```
fun sneg (v:BT svalue):BT svalue =  
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =  
  S(tostring (Sof (v)))
```

```
sdouble (mkB true)
```

does not type check because  
BT svalue and IT svalue do  
not unify

```
fun neg (v:value):value =  
  case (v) of B(b) => B(not b)  
  | _ => raise TypeError
```

```
fun toString (v:value):string =  
  case (v)  
  of I(i) => Int.toString(i)  
  | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(Dummy1 ())
fun mkB (b:bool):BT svalue = S(Dummy2 ())
```

```
fun sdouble (v:IT svalue):IT svalue =
  S(double (Sof (v)))
```

```
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

sneg (mkI 3)

does not type check because  
IT svalue and BT svalue do  
not unify

```
case (v) of I(i) => B(not b)
```

```
| _ => raise TypeError
```

```
fun toString (v:value):string =
```

```
case (v)
```

```
of I(i) => Int.toString(i)
```

```
| B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(
fun mkB (b:bool):BT svalue =
```

```
fun sdouble (v:IT svalue):IT
  S(double (Sof (v)))
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

```
datatype value =
  I of int | B of bool
```

toString (mkB true)

type checks because  
BT svalue and  $\alpha$  svalue unify

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
   | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(
fun mkB (b:bool):BT svalue =
```

```
fun sdouble (v:IT svalue):IT
  S(double (Sof (v)))
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

```
datatype value =
  I of int | B of bool
```

```
toString (mkI 3)
```

type checks because  
IT svalue and  $\alpha$  svalue unify

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
   | B(b) => Bool.toString(b)
```

# Example: Typed Expressions

```
datatype IT = Dummy1 of unit
datatype BT = Dummy2 of unit
datatype  $\alpha$  svalue = S of value
```

```
fun mkI (i:int):IT svalue = S(I(i))
fun mkB (b:bool):BT svalue = S(B(b))
```

```
fun sdouble (v:IT svalue):IT svalue =
  S(double (Sof (v)))
fun sneg (v:BT svalue):BT svalue =
  S(neg (Sof (v)))
```

```
fun toString (v: $\alpha$  svalue):string =
  S(tostring (Sof (v)))
```

```
datatype value =
  I of int | B of bool
```

```
fun double (v:value):value =
  case (v) of I(i) => I(i*2)
            | _ => raise TypeError
```

```
fun neg (v:value):value =
  case (v) of B(b) => B(not b)
            | _ => raise TypeError
```

```
fun toString (v:value):string =
  case (v)
  of I(i) => Int.toString(i)
     | B(b) => Bool.toString(b)
```

Son, all this S wrapping and unwrapping is bothering me...

# Look, Ma, No Wrapping

```
structure SafeValue :> sig
  type IT
  type BT
  type  $\alpha$  value
  val mkI: int -> IT value
  val mkB: bool -> BT value
  val double : IT value -> IT value
  val neg : BT value -> BT value
  val toString :  $\alpha$  value -> string
end = struct
  datatype IT = Dummy2 of unit
  datatype BT = Dummy1 of unit
  type  $\alpha$  value = Value.value
  fun mkI (i) = Value.I(i)
  fun mkB (b) = Value.B(b)
  fun double (v) = Value.double(v)
  fun neg (v) = Value.neg (v)
  fun toString (v) = Value.toString (v)
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
end
```

# Look, Ma, No Wrapping

```
structure SafeValue :> sig
  type IT
  type BT
  type  $\alpha$  value
  val mkI: int -> IT value
  val mkB: bool -> BT value
  val double : IT value -> IT value
  val neg : BT value -> BT value
  val toString :  $\alpha$  value -> string
end = struct
  datatype IT = Dummy2 of unit
  datatype BT = Dummy1 of unit
  type  $\alpha$  value = Value.value
  fun mkI (i) = Value.I(i)
  fun mkB (b) = Value.B(b)
  fun double (v) = Value.double(v)
  fun neg (v) = Value.neg (v)
  fun toString (v) = Value.toString (v)
end
```

The “safe” type is now just a type abbreviation for the underlying type

Again, with a phantom type

```
end
  fun toString (v:value):string =
    case (v)
    of I(i) => Int.toString(i)
    | B(b) => Bool.toString(b)
end
```

# Look, Ma, No Wrapping

```
structure SafeValue :> sig
  type IT
  type BT
  type  $\alpha$  value
  val mkI: int -> IT value
  val mkB: bool -> BT value
  val double : IT value -> IT value
  val neg : BT value -> BT value
  val toString :  $\alpha$  value -> string
end = struct
  datatype IT = Dummy2 of unit
  datatype BT = Dummy1 of unit
  type  $\alpha$  value = Value.value
  fun mkI (i) = Value.I(i)
  fun mkB (b) = Value.B(b)
  fun double (v) = Value.double(v)
  fun neg (v) = Value.neg (v)
  fun toString (v) = Value.toString (v)
end
```

Opaque signature matching  
gives required equivalence  
behavior for “safe” values

```
structure Value = struct
  type value =
    | I of int
    | B of bool
  fun double (v:value) =
    case (v) of I(i) => I(i*2)
    | B(b) => raise TError
  fun neg (v:value) =
    case (v) of B(b) => B(not b)
    | _ => raise TError
  fun toString (v:value):string =
    case (v)
    of I(i) => Int.toString(i)
    | B(b) => Bool.toString(b)
end
```

# Look, Ma, No

Using the module system also lets us reuse the name of types and operations from the underlying “unsafe” implementation

```
structure SafeValue :> sig
  type IT
  type BT
  type  $\alpha$  value
  val mkI: int -> IT value
  val mkB: bool -> BT value
  val double : IT value -> IT value
  val neg : BT value -> BT value
  val toString :  $\alpha$  value -> string
end = struct

datatype IT = Dummy2 of unit
datatype BT = Dummy1 of unit
type  $\alpha$  value = Value.value
fun mkI (i) = Value.I(i)
fun mkB (b) = Value.B(b)
fun double (v) = Value.double(v)
fun neg (v) = Value.neg (v)
fun toString (v) = Value.toString (v)
end
```

```
fun double (v:value):value =
  case (v) of I(i) => I(i*2)
            | _ => raise TError
fun neg (v:value):value =
  case (v) of B(b) => B(not b)
            | _ => raise TError
fun toString (v:value):string =
  case (v)
    of I(i) => Int.toString(i)
     | B(b) => Bool.toString(b)
end
```

# Example: Sockets Library

---

Phantom types are used in the SML/NJ sockets library

SML/NJ view: a socket is a 32-bit word

OS view: a socket is one of

- UDP socket: used for unstructured sessions
- TCP socket: used for structured sessions
- The socket type is recorded internally by the OS

Can devise a “safe” interface to sockets...

# The OS Interface

---

```
type prim_socket = Word32.word
```

```
val makeUDP : string -> prim_socket
```

```
val makeTCP : string -> prim_socket
```

```
val sendUDP :
```

```
    prim_socket * string -> unit
```

```
val sendTCP :
```

```
    prim_socket * string -> unit
```

```
val close : prim_socket -> unit
```

# The “Safe” Interface

---

```
datatype  $\alpha$  socket = S of prim_socket   type prim_socket = Word32.word
```

```
datatype UDP = U of unit
```

```
datatype TCP = T of unit
```

```
val mkUDP : string -> UDP socket
```

```
val mkTCP : string -> TCP socket
```

```
val sendUDP :
```

```
    UDP socket * string -> unit
```

```
val sendTCP :
```

```
    TCP socket * string -> unit
```

```
val close :  $\alpha$  socket -> unit
```

```
type prim_socket = Word32.word
```

```
val makeUDP : string -> prim_socket
```

```
val makeTCP : string -> prim_socket
```

```
val sendUDP :
```

```
    prim_socket * string -> unit
```

```
val sendTCP :
```

```
    prim_socket * string -> unit
```

```
val close : prim_socket -> unit
```

# A One-Slide Sum Up

---

The phantom-types technique:

- Use a superfluous type variable to tag data
- Use type constraints to set and check the tag
- Use polymorphism to be polymorphic in the tag

By constructing tags correctly, can capture subtyping

- There is a recipe for doing this
- Depends on how to encode the subtyping hierarchy

# The Examples, Abstractly

---

An underlying primitive type of data

- `value`

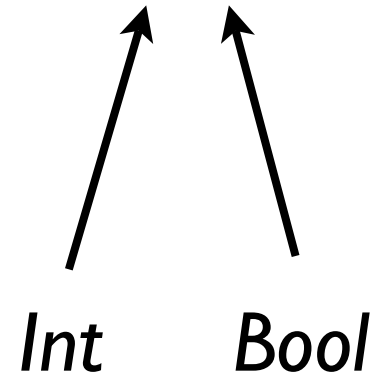
Implicit subtypes

- `Int`, `Bool`, `Top`

Operations on the primitive type, constrained at implicit subtypes

- `double` : `Int`  $\rightarrow$  `Int`
- `neg` : `Bool`  $\rightarrow$  `Bool`

`Top` = `value`



# The Recipe

---

Given:

- A primitive type  $\tau_{\text{prim}}$
- An implicit subtyping hierarchy  $\sigma_1, \dots, \sigma_n$
- An implementation of  $\tau_{\text{prim}}$  and its operations

Derive:

- A “safe” interface (the types)
- A “safe” implementation (the code)

Want:

- Shared representation and shared operations

# Applying the Recipe

---

Given:

- A primitive type: `value`
- An implicit subtyping hierarchy: `Top`, `Int`, `Bool`
- An implementation: structure `Value`

Derive:

- A “safe” interface: signature `SAFE_VALUE`
- A “safe” implementation: structure `SafeValue`

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
```

```
end
```

```
structure Value = struct
```

```
  datatype value =
```

```
    I of int | B of bool
```

```
  fun double (v:value):value =
```

```
    case (v) of I(i) => I(i*2)
```

```
              | _ => raise TError
```

```
  fun neg (v:value):value =
```

```
    case (v) of B(b) => B(not b)
```

```
              | _ => raise TError
```

```
  fun toString (v:value):string =
```

```
    case (v)
```

```
      of I(i) => Int.toString(i)
```

```
       | B(b) => Bool.toString(b)
```

```
end
```

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
  type  $\alpha$  value
```

```
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
```

```
end
```

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
  type  $\alpha$  value
  val mkI : int -> <Int>c value
  val mkB : bool -> <Bool>c value
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
    of I(i) => Int.toString(i)
      | B(b) => Bool.toString(b)
end
```

# Deriving the Safe Interface

```
signature SAFE_VALUE = sig
```

```
  type  $\alpha$  value
```

```
  val mkI : int -> <Int>c value
```

```
  val mkB : bool -> <Bool>c value
```

```
structure Value = struct
```

```
  datatype value =
```

```
    I of int | B of bool
```

```
  fun doI1 (v:value):value =
```

```
    I(i*2)
```

```
  error
```

$\langle\sigma\rangle_c$  is an encoding of  $\sigma$   
using some tag

E.g.  $\langle Top \rangle_c = \text{unit}$

$\langle Int \rangle_c = \text{int}$

$\langle Bool \rangle_c = \text{bool}$

```
end
```

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
  type  $\alpha$  value
  val mkI : int -> <Int>c value
  val mkB : bool -> <Bool>c value
  val double :
    <Int>c value -> <Int>c value
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
end
```

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
  type  $\alpha$  value
  val mkI : int -> <Int>c value
  val mkB : bool -> <Bool>c value
  val double :
    <Int>c value -> <Int>c value
  val neg :
    <Bool>c value -> <Bool>c value
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool
  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError
  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError
  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
end
```

# Deriving the Safe Interface

---

```
signature SAFE_VALUE = sig
  type  $\alpha$  value
  val mkI : int -> <Int>c value
  val mkB : bool -> <Bool>c value
  val double :
    <Int>c value -> <Int>c value
  val neg :
    <Bool>c value -> <Bool>c value
  val toString :
    <Top>A value -> string
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool
  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError
  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError
  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
end
```

# Deriving the Safe Interface

```
signature SAFE_VALUE = sig
```

```
  type  $\alpha$  value
```

```
  val mkI : int ->  $\alpha$ 
```

```
  val mkB : bool ->  $\alpha$ 
```

```
  val double :
```

```
    <Int>c value ->
```

```
  val neg :
```

```
    <Bool>c value ->
```

```
  val toString :
```

```
    <Top>A value -> string
```

```
end
```

$\langle\sigma\rangle_A$  is an encoding of  $\sigma$   
and its subtypes

E.g.  $\langle Top \rangle_A = \alpha$

$\langle Int \rangle_A = \text{int}$

$\langle Bool \rangle_A = \text{bool}$

```
  case (v)
```

```
    of I(i) => Int.toString(i)
```

```
    | B(b) => Bool.toString(b)
```

```
end
```

# Applying the Recipe

---

Given:

- A primitive type: `value`
- An implicit subtyping hierarchy: `Top`, `Int`, `Bool`
- An implementation: structure `Value`

Derive:

- A “safe” interface: signature `SAFE_VALUE` ✓
- A “safe” implementation: structure `SafeValue`

# Deriving a Safe Implementation

---

```
structure SafeValue :> SAFE_VALUE = struct
```

```
end
```

```
structure Value = struct
```

```
  datatype value =
```

```
    I of int | B of bool
```

```
  fun double (v:value):value =
```

```
    case (v) of I(i) => I(i*2)
```

```
              | _ => raise TError
```

```
  fun neg (v:value):value =
```

```
    case (v) of B(b) => B(not b)
```

```
              | _ => raise TError
```

```
  fun toString (v:value):string =
```

```
    case (v)
```

```
      of I(i) => Int.toString(i)
```

```
       | B(b) => Bool.toString(b)
```

```
end
```

# Deriving a Safe Implementation

---

```
structure SafeValue :> SAFE_VALUE = struct
  type  $\alpha$  value = Value.value
```

```
end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool
```

```
  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError
```

```
  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError
```

```
  fun toString (v:value):string =
    case (v)
    of I(i) => Int.toString(i)
      | B(b) => Bool.toString(b)
```

```
end
```

# Deriving a Safe Implementation

---

```
structure SafeValue :> SAFE_VALUE = struct
  type  $\alpha$  value = Value.value
  val mkI = Value.I
  val mkB = Value.B
```

end

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
      of I(i) => Int.toString(i)
       | B(b) => Bool.toString(b)
```

end

# Deriving a Safe Implementation

---

```
structure SafeValue :> SAFE_VALUE = struct
  type  $\alpha$  value = Value.value
  val mkI = Value.I
  val mkB = Value.B
  val double = Value.double

  val neg = Value.neg

  val toString = Value.toString

end
```

```
structure Value = struct
  datatype value =
    I of int | B of bool

  fun double (v:value):value =
    case (v) of I(i) => I(i*2)
              | _ => raise TError

  fun neg (v:value):value =
    case (v) of B(b) => B(not b)
              | _ => raise TError

  fun toString (v:value):string =
    case (v)
    of I(i) => Int.toString(i)
      | B(b) => Bool.toString(b)

end
```

# Applying the Recipe

---

Given:

- A primitive type: `value`
- An implicit subtyping hierarchy: `Top`, `Int`, `Bool`
- An implementation: `structure Value`

Derive:

- A “safe” interface: signature `SAFE_VALUE` ✓
- A “safe” implementation: `structure SafeValue` ✓

# Subtyping Hierarchies Encodings

---

The recipe above relies on some encoding satisfying

$\langle \sigma_1 \rangle_C$  unifies with  $\langle \sigma_2 \rangle_A$  iff  $\sigma_1 \leq \sigma_2$

Our encodings above are ad hoc

We can devise uniform encodings for:

- Tree hierarchies
- Powerset lattice hierarchies

# Subtyping Hierarchies Encodings

---

The recipe above relies on some encoding satisfying

$\langle \sigma_1 \rangle_C$  unifies with  $\langle \sigma_2 \rangle_A$  iff  $\sigma_1 \leq \sigma_2$

Our encodings above are

We can devise uniform

- Tree hierarchies
- Powerset lattice hierarchies

Unification is symmetric, subtyping is not

So must use two different encodings!

# Tree Hierarchies

---

Let  $T$  be a finite tree

Define datatype  $\alpha$   $n_t = \text{Dummy}_t$  of unit

- One for every node  $t$  in  $T$

Encode a node  $t$  in  $T$  by its path  $t_1, \dots, t_k, t$ :

- $\langle t \rangle_C = \text{unit } n_t \ n_{t_k} \ \dots \ n_{t_1}$
- $\langle t \rangle_A = \alpha \ n_t \ n_{t_k} \ \dots \ n_{t_1}$

# Tree Hierarchies

---

Let  $T$  be a finite tree

Define datatype  $\alpha$   $n_t = \text{Dummy}_t$  of unit

- One for every node  $t$  in

Encode a node  $t$  in  $T$  by its p

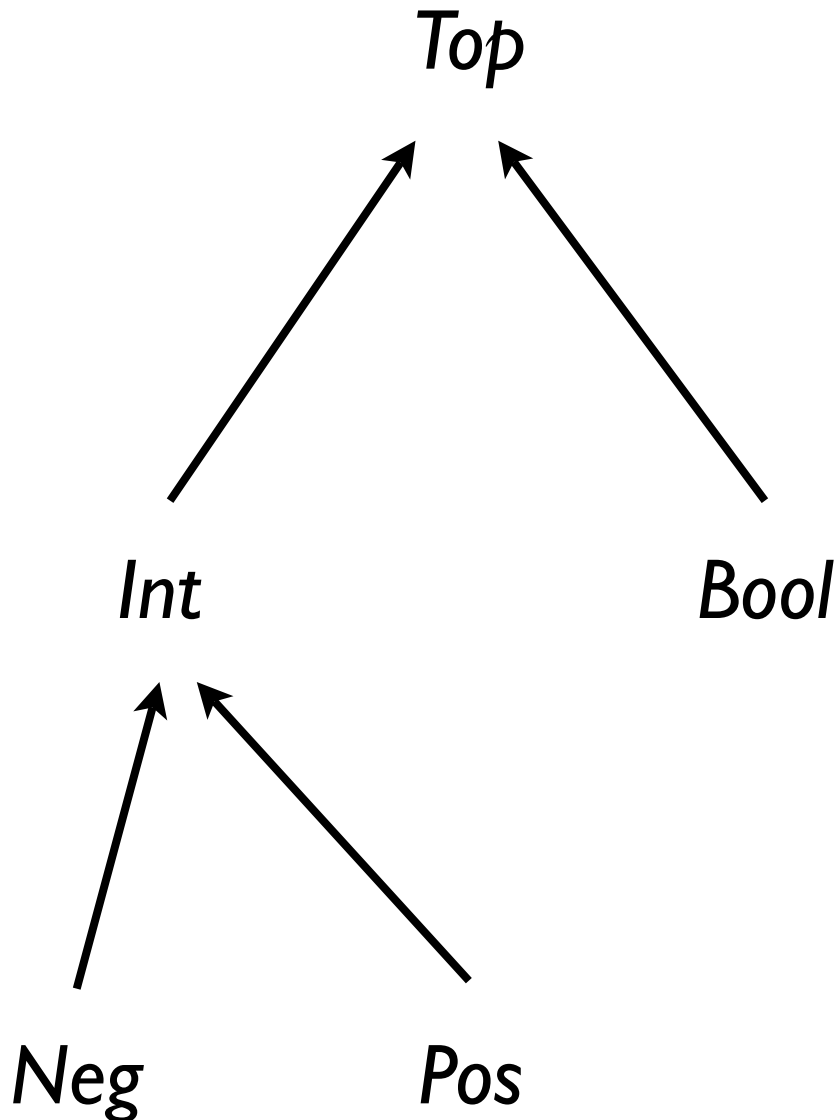
- $\langle t \rangle_C = \text{unit } n_t \ n_{tk} \ \dots$
- $\langle t \rangle_A = \alpha \ n_t \ n_{tk} \ \dots \ n_{t1}$

What this is is  
completely irrelevant

Just need the same  
equivalence property as  
before

# Example

---



$$\langle Top \rangle_C = \text{unit } n_{Top}$$

$$\langle Int \rangle_C = \text{unit } n_{Int} n_{Top}$$

$$\langle Bool \rangle_C = \text{unit } n_{Bool} n_{Top}$$

$$\langle Neg \rangle_C = \text{unit } n_{Neg} n_{Int} n_{Top}$$

$$\langle Pos \rangle_C = \text{unit } n_{Pos} n_{Int} n_{Top}$$

$$\langle Top \rangle_A = \alpha n_{Top}$$

$$\langle Int \rangle_A = \alpha n_{Int} n_{Top}$$

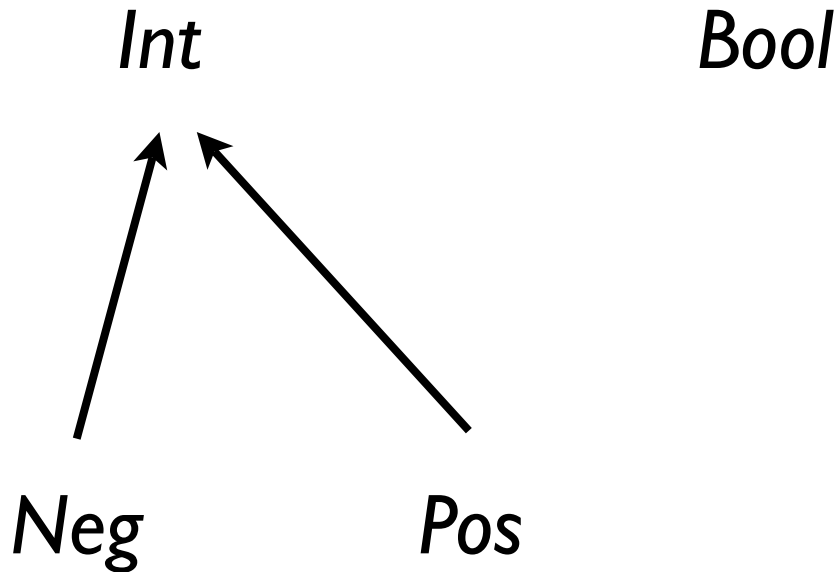
$$\langle Bool \rangle_A = \alpha n_{Bool} n_{Top}$$

$$\langle Neg \rangle_A = \alpha n_{Neg} n_{Int} n_{Top}$$

$$\langle Pos \rangle_A = \alpha n_{Pos} n_{Int} n_{Top}$$

Ex

$\langle \text{Neg} \rangle_C$  unifies with  $\langle \text{Int} \rangle_A$



$$\langle \text{Top} \rangle_C = \text{unit } n_{\text{Top}}$$

$$\langle \text{Int} \rangle_C = \text{unit } n_{\text{Int}} n_{\text{Top}}$$

$$\langle \text{Bool} \rangle_C = \text{unit } n_{\text{Bool}} n_{\text{Top}}$$

$$\langle \text{Neg} \rangle_C = \text{unit } n_{\text{Neg}} n_{\text{Int}} n_{\text{Top}}$$

$$\langle \text{Pos} \rangle_C = \text{unit } n_{\text{Pos}} n_{\text{Int}} n_{\text{Top}}$$

$$\langle \text{Top} \rangle_A = \alpha n_{\text{Top}}$$

$$\langle \text{Int} \rangle_A = \alpha n_{\text{Int}} n_{\text{Top}}$$

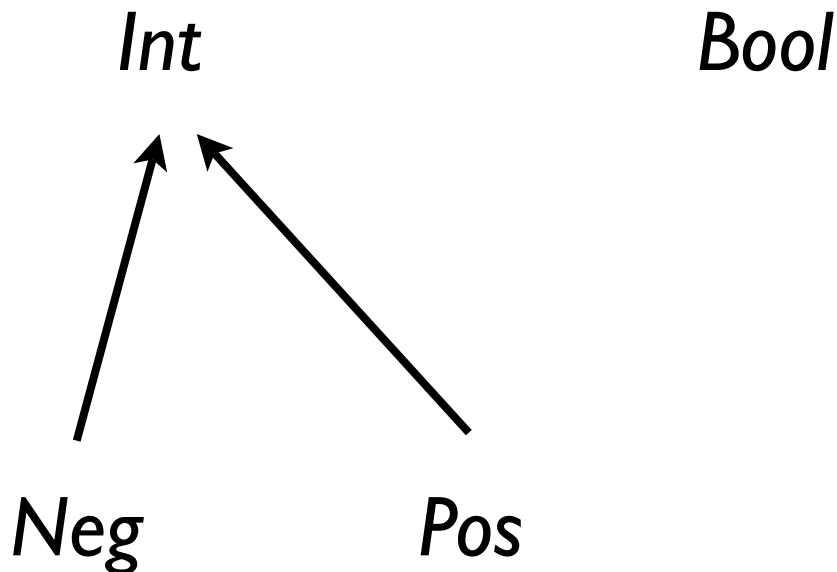
$$\langle \text{Bool} \rangle_A = \alpha n_{\text{Bool}} n_{\text{Top}}$$

$$\langle \text{Neg} \rangle_A = \alpha n_{\text{Neg}} n_{\text{Int}} n_{\text{Top}}$$

$$\langle \text{Pos} \rangle_A = \alpha n_{\text{Pos}} n_{\text{Int}} n_{\text{Top}}$$

# Example

$\langle Pos \rangle_C$  does not unify with  $\langle Bool \rangle_A$



$$\langle Top \rangle_C = \text{unit } n_{Top}$$

$$\langle Int \rangle_C = \text{unit } n_{Int} n_{Top}$$

$$\langle Bool \rangle_C = \text{unit } n_{Bool} n_{Top}$$

$$\langle Neg \rangle_C = \text{unit } n_{Neg} n_{Int} n_{Top}$$

$$\langle Pos \rangle_C = \text{unit } n_{Pos} n_{Int} n_{Top}$$

$$\langle Top \rangle_A = \alpha n_{Top}$$

$$\langle Int \rangle_A = \alpha n_{Int} n_{Top}$$

$$\langle Bool \rangle_A = \alpha n_{Bool} n_{Top}$$

$$\langle Neg \rangle_A = \alpha n_{Neg} n_{Int} n_{Top}$$

$$\langle Pos \rangle_A = \alpha n_{Pos} n_{Int} n_{Top}$$

# Powerset Lattice Hierarchies

---

Let  $S = \{s_1, \dots, s_n\}$  be a finite set

- $L =$  set of subsets of  $S$  ordered by inclusion

Define datatype  $\alpha z =$  Dummy of unit

Given  $X \subseteq S$ :

$\langle X \rangle_C = t_1 * \dots * t_n$  where  $t_i =$  unit if  $s_i \in X$   
unit z o/w

$\langle X \rangle_A = t_1 * \dots * t_n$  where  $t_i = \alpha_i$  if  $s_i \in X$   
 $\alpha_i z$  o/w

# Powerset Lattice Hierarchies

Let  $S = \{s_1, \dots, s_n\}$  be a set

- $L = \mathcal{P}(S)$  is a lattice ordered by inclusion

Define  $t_i$  as unit  $i$  and unit  $z$  as OFF

Given  $X \subseteq S$

$$\langle X \rangle_C = t_1 * \dots * t_n \text{ where } t_i = \begin{cases} \text{unit } i & \text{if } s_i \in X \\ \text{unit } z & \text{o/w} \end{cases}$$

$$\langle X \rangle_A = t_1 * \dots * t_n \text{ where } t_i = \begin{cases} \alpha_i & \text{if } s_i \in X \\ \alpha_i z & \text{o/w} \end{cases}$$

# Powerset Lattice Hierarchies

Let  $S = \{s_1, \dots, s_n\}$  be a finite set

- $L =$  set of subsets of  $S$  ordered by inclusion

Define datatype  $\alpha z =$  Dummy of unit

Given  $X \subseteq S$ :

$$\langle X \rangle_C = t_1 * \dots * t_n \text{ where}$$

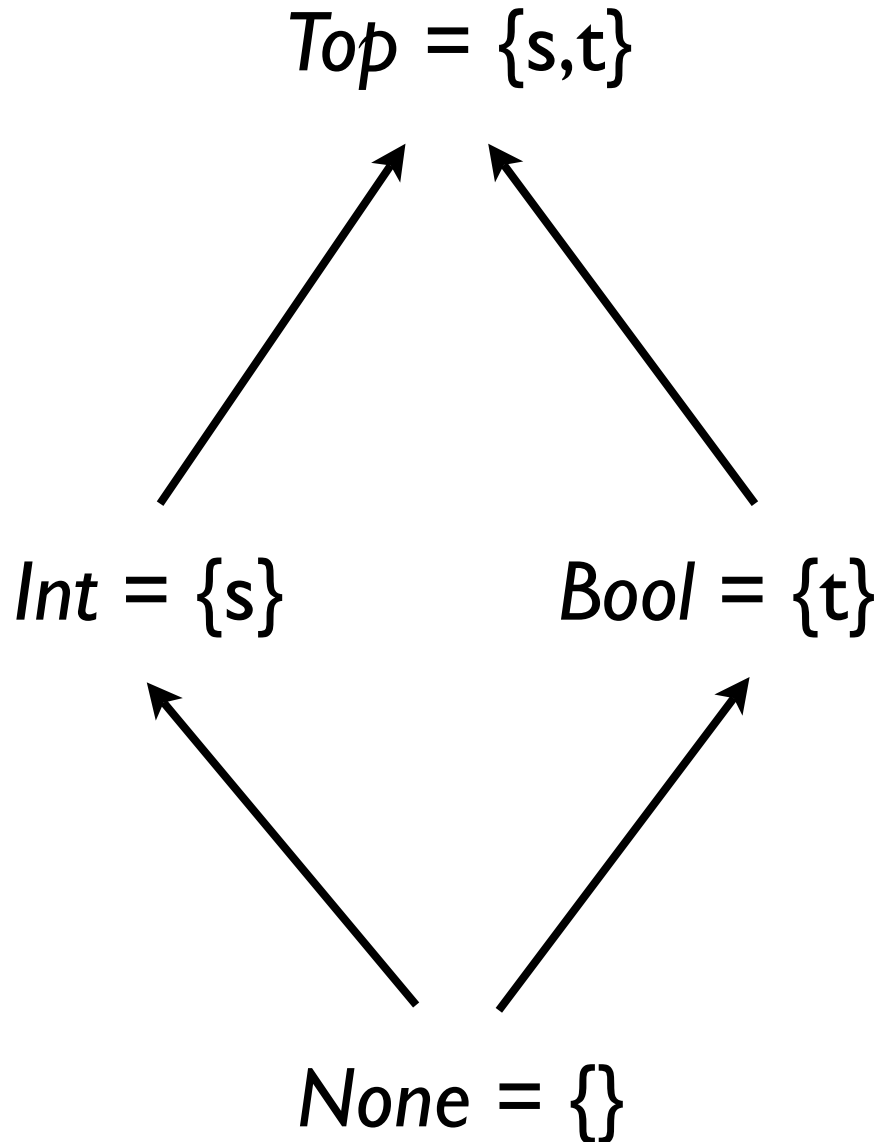
$$\langle X \rangle_A = t_1 * \dots * t_n \text{ where } t_i = \alpha_i \quad \text{if } s_i \in X$$

$$\alpha_i z \quad \text{o/w}$$

Again, what this is  
is completely irrelevant

# Example

---



$$\langle Top \rangle_C = \text{unit} * \text{unit}$$

$$\langle Int \rangle_C = \text{unit} * \text{unit } z$$

$$\langle Bool \rangle_C = \text{unit } z * \text{unit}$$

$$\langle None \rangle_C = \text{unit } z * \text{unit } z$$

$$\langle Top \rangle_A = \alpha_1 * \alpha_2$$

$$\langle Int \rangle_A = \alpha_1 * \alpha_2 \ z$$

$$\langle Bool \rangle_A = \alpha_1 \ z * \alpha_2$$

$$\langle None \rangle_A = \alpha_1 \ z * \alpha_2 \ z$$

Ex

$\langle Bool \rangle_C$  unifies with  
 $\langle Top \rangle_A$

$Int = \{s\}$

$Bool = \{t\}$

$None = \{\}$

$\langle Top \rangle_C = unit * unit$

$\langle Int \rangle_C = unit * unit z$

$\langle Bool \rangle_C = unit z * unit$

$\langle None \rangle_C = unit z * unit z$

$\langle Top \rangle_A = \alpha_1 * \alpha_2$

$\langle Int \rangle_A = \alpha_1 * \alpha_2 z$

$\langle Bool \rangle_A = \alpha_1 z * \alpha_2$

$\langle None \rangle_A = \alpha_1 z * \alpha_2 z$

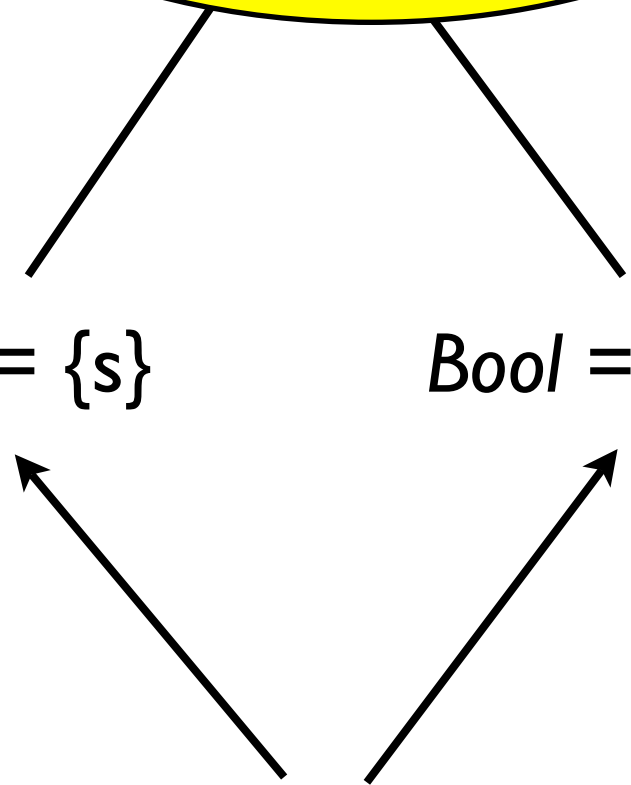
# Ex2

$\langle Bool \rangle_C$  does not unify with  $\langle Int \rangle_A$

$Int = \{s\}$

$Bool = \{t\}$

$None = \{\}$



$$\langle Top \rangle_C = unit * unit$$

$$\langle Int \rangle_C = unit * unit z$$

$$\langle Bool \rangle_C = unit z * unit$$

$$\langle None \rangle_C = unit z * unit z$$

$$\langle Top \rangle_A = \alpha_1 * \alpha_2$$

$$\langle Int \rangle_A = \alpha_1 * \alpha_2 z$$

$$\langle Bool \rangle_A = \alpha_1 z * \alpha_2$$

$$\langle None \rangle_A = \alpha_1 z * \alpha_2 z$$

# Other Results

---

Can encode sublattices of powerset lattices

- Thus, any finite partially ordered hierarchy

Can define extensible encodings *under some conditions*

- How to add subtypes to a hierarchy without recomputing the encoding

# Other Results

## TO SUM UP

Can encode sublattices of powerset lattices

- Thus, any finite partially ordered hierarchy (1)

Choose encoding for implicit subtype hierarchy

Can define extensible encodings *under some conditions*

- How to add subtypes (2) to a hierarchy without

recompiling the encoding  
Create safe interface following recipe

# A Form of Type Safety

---

We can prove that the recipe produces a “safe” interface, roughly as follows:

- $\lambda^{\leq}$  : a simply typed lambda calculus with subtyping only on implicit types
- $\lambda^{\text{DM}}$  : a simply typed lambda calculus with a Hindley-Milner type system and datatypes
- The recipe is a translation  $T$  from  $\lambda^{\leq}$  to  $\lambda^{\text{DM}}$

**Theorem:** If  $\vdash e : \tau$  in  $\lambda^{\leq}$ , then  $\vdash T[e] : T[\tau]$  in  $\lambda^{\text{DM}}$

# Conclusions

---

Phantom types are part of the folklore of typed FP

- Uses are simple instances of (first-order) subtyping
- Shown we can capture any subtyping hierarchy
- Proved formally the safety of the approach

Easy extension of the recipe deals with a restricted form of **bounded subtyping**

- E.g.,  $\forall \tau \leq \tau'. \tau \rightarrow \tau$

**Open question:** expressive power of phantom types