

# Lecture 4

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# Invariants

- ▶ What is an invariant?
  - ▶ A property that is always satisfied in all executions of a program is an invariant
  - ▶ Properties are associated with program locations

```
(definec mlen (l :tl) :nat
  (if (endp l)
      0
      (+ 1 (mlen (rest l))))))
```

- ▶ For example let  $I = (\text{tlp } l)$
- ▶ Then  $I$  is an invariant because at that location in the program it always holds
- ▶ Why?
- ▶ The input contract of  $\text{mlen}$  requires it

```
(definec mlen (l :tl) :nat
  (if (endp l)
      0
      (+ 1 (mlen (rest {I}l))))))
```

# Contracts

- ▶ A simple, useful class of invariants that you should **always** check are contracts
- ▶ Every function has an input contract
- ▶ For every function call, we must be able to
  - ▶ **statically** establish that the input contract of the function is satisfied
- ▶ In ACL2s we can specify contracts
  - ▶ ACL2s checks them for us

```
(definec mlen (l :tl) :nat
  (if (endp l)
      0
      (+ 1 (mlen (rest l)))))
```

**All elite programmers I know think in terms of invariants**

# Contracts

## ▶ Body contracts

- ▶ 1. `endp: (listp l)`
- ▶ 2. `rest: (listp l)`
- ▶ 3. `mten: (tlp l)`
- ▶ 4. `+: (acl2-numberp 1)`  
`(acl2-numberp (mten (rest l)))`
- ▶ 5. `if: t`

```
(definec mten (l :tl) :nat
  (if (endp l)
      0
      (+ 1 (mten (rest l))))))
```

## ▶ Function contract

- ▶ `(tlp l) => (natp (mten l))`

```
(defunc mten (l)
  :input-contract {6}(tlp l)
  :output-contract {8}(natp {7}(mten l))
  {5}(if {1}(endp l)
        0
        {4}(+ 1 {3}(mten {2}(rest l)))))
```

## ▶ Contract contracts

- ▶ 6. `tlp: t` (`tlp` is a recognizer)
- ▶ 7. `mten: (tlp l)` (input contract!)
- ▶ 8. `natp: t` (`natp` is a recognizer)

▶ Every time you write a program, (not just for for this class), check body and function contracts!

▶ You can think of invariants as assertions

- ▶ `{i}` means that every time program execution reaches this point then `{i}` is true

# Static Checking

- ▶ Body contracts

- ▶ 1. `endp: (listp l)`
- ▶ 2. `rest: (listp l)`
- ▶ 3. `mLen: (tlp l)`
- ▶ 4. `+: (acl2-numberp 1)`
  - ▶ `(acl2-numberp (mLen (rest l)))`
- ▶ 5. `if: t`

```
(defunc mlen (l)
  :input-contract {6}(tlp l)
  :output-contract {8}(natp {7}(mlen l))
  {5}(if {1}(endp l)
        0
        {4}(+ 1 {3}(mlen {2}(rest l)))))
```

- ▶ Function contract, contract contracts ...

- ▶ Static checking of contracts

- ▶ Before the definition is accepted we **prove** all the contracts
  - ▶ During execution, only top-level input contracts are checked
  - ▶ We have assurance that, at the language level, code will run without any runtime errors
- ▶ Static checking of contracts is hard, which is why it is not supported in most PLs

# Dynamic Checking

- ▶ Body contracts

- ▶ 1. endp: (listp l)
- ▶ 2. rest: (listp l)
- ▶ 3. mlen: (tlp l)
- ▶ 4. +: (acl2-numberp 1)  
    (acl2-numberp (mlen (rest l)))
- ▶ 5. if: t

```
(defunc mlen (l)
  :input-contract {6}(tlp l)
  :output-contract {8}(natp {7}(mlen l))
  {5}(if {1}(endp l)
        0
        {4}(+ 1 {3}(mlen {2}(rest l)))))
```

- ▶ Function contract, contract contracts ...

- ▶ Dynamic checking of contracts

- ▶ We generate code to check the contracts at **run-time**
  - ▶ This code can incur a significant performance penalty
  - ▶ Contract violations are possible and will lead to an exception
- ▶ Dynamic checking is supported via mechanisms such as assertions; typically used only in development

# Invariants & Properties

The best programmers are not marginally better than merely good ones. They are an order-of-magnitude better, measured by whatever standard: conceptual creativity, speed, ingenuity of design, or problem-solving ability.

Randall E. Stross

First learn computer science and all the theory. Next develop a programming style. Then forget all that and just hack.

George Carrette

A great lathe operator commands several times the wage of an average lathe operator, but a great writer of software code is worth 10,000 times the price of an average software writer.

Bill Gates

# Definitional Principle

▶ The definitions

```
(defunc f (x1 ... xn)
  :input-contract ic
  :output-contract oc
  body)
```

```
(definec f (x1 :t1 ... xn :tn) :tf
  :input-contract ic
  :output-contract oc
  body)
```

is admissible provided:

- ▶ f is a new function symbol
- ▶ the  $x_i$  are distinct variable symbols
- ▶ body is a term, possibly using f recursively as a function symbol, mentioning no variables freely other than the  $x_i$
- ▶ the function is terminating
- ▶  $ic \Rightarrow oc$  is a theorem (definec gets turned into defunc)
- ▶ the body contracts hold under the assumption that ic holds

# Definitional Axioms

- ▶ When we admit a function, we get the following axiom and theorem
  - ▶  $ic \Rightarrow (f\ x_1 \dots x_n) = \text{body}$  (Definitional axiom)
  - ▶  $ic \Rightarrow oc$  (Contract theorem)
- ▶ In proofs we will not explicitly mention input contracts when using a function definition because contract completion (test?!)
- ▶ Why termination?  $(f\ x) = 1 + (f\ x)$  leads to inconsistency
- ▶ Why no free vars?  $(f\ x) = y$  leads to inconsistency

# Measure Functions

- ▶ We use measure functions to prove termination.
- ▶  $m$  is a measure function for  $f$  if all of the following hold.
  - ▶  $m$  is an admissible function defined over the parameters of  $f$ ;
  - ▶  $m$  has the same input contract as  $f$ ;
  - ▶  $m$  has an output contract stating that it always returns a natural number; and
  - ▶ on every recursive call,  $m$  applied to the arguments to that recursive call decreases, under the conditions that led to the recursive call.

# Measure Function Example

```
(definec drop-last (x :tl) :tl
  (match x
    (()) ()
    (&) ()
    ((a . as) (cons a (drop-last as)))))
```

- ▶ What is a measure function?
- ▶ (len x)

# Measure Function Example

```
(defrec prefixes (l :tl) :tl
  (match l
    (( () '( () ))
     (& (cons l (prefixes (drop-last l)))))))
```

▶ Is prefixes admissible?

▶ Yes. Use (len l)

▶ But, our main proof obligation is:

```
(=> (and (tlp l)
        (not (endp l)))
     (< (len (drop-last l)) (len l)))
```

▶ This needs a proof by induction

▶ Common pattern: f's definition uses g

▶ to prove termination of f, we often need “size” theorems about g

# ACL2s-size

A very useful, built-in function, since ACL2s uses this function to build measure functions.

```
(defnec acl2s-size (x :all) :nat
  (match x
    ((l . r) (+ 1 (acl2s-size l) (acl2s-size r)))
    (:rational (integer-abs (numerator x)))
    (:string (length x))
    (& 0)))
```

# Observation

- ▶ We require a measure function to return a natural number
- ▶ But sometimes need more than a natural number to prove termination
- ▶ We need infinite numbers!
- ▶ An example is the "weird" function below (Ackermann)
- ▶ Try proving that is terminating and you'll see what I mean

```
(definec weird (x :nat y :nat) :pos
  (cond ((= x 0) (+ 1 y))
        ((= y 0) (weird (- x 1) 1))
        (t (weird (- x 1) (weird x (- y 1))))))
```

# Observation

- ▶ There are simple programs for which no one knows whether they terminate
- ▶ And no one has any good idea on how to prove that they do or don't
- ▶ Here is a simple, famous example

```
(define c (n :nat) :nat
  (cond ((< n 2) n)
        ((evenp (/ n 2)) (c (/ n 2)))
        (t (c (+ 1 (* 3 n))))))
```

- ▶ The claim that it terminates is called the “Collatz conjecture.”
- ▶ Paul Erdos: “Mathematics may not be ready for such problems.”

# Homework 2

# Review

# Questions?

