

## Cryptographic Hash Functions

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Textbook: "Cryptography: Theory and Applications",  
Douglas Stinson, Chapman & Hall/CRC Press, 2002

Reading: Chapter 4

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## Outline

- Applications of Hash Functions
- Definition of Hash Functions
- Security of Hash Functions
- Iterated Hash Functions (e.g., SHA)
- Message Authenticated Codes

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## Applications of Hash Functions

- Building Integrity Protection Services
- Files integrity
- Message Integrity

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## Definition

- Hash Family is a four-tuple  $(X, Y, K, H)$  s.t.
  - $X$  is a set of possible messages (not necessarily finite)
  - $Y$  is a finite set of possible message digests
  - $K$  is a finite set of possible keys
  - For each  $k \in K$ , there exists a hash function  $h_k \in H$  and  $h_k : X \rightarrow Y$
- $|X| = M; |Y| = M'$
- $F^{X,Y}$  is the set of all functions from  $X$  to  $Y$
- $|F^{X,Y}| = M^M$
- Any  $F \subseteq F^{X,Y}$  is called an  $(N, M)$  family

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## Security of Hash Functions

- Preimage Problem:
  - Given a hash function  $h: X \rightarrow Y$ , and an element  $y \in Y$
  - Find:  $x \in X$  s.t.  $h(x) = y$
- Second Preimage Problem:
  - Given a hash function  $h: X \rightarrow Y$ , and an element  $x \in X$
  - Find:  $x' \in X$  s.t.  $x \neq x'$  and  $h(x') = h(x)$
- Collision Problem:
  - Given a hash function  $h: X \rightarrow Y$
  - Find:  $x, x' \in X$  s.t.  $x \neq x'$  and  $h(x) = h(x')$

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## Random Oracle Model (RO)

- Definition:
  - Tries to capture the concept of "ideal" hash function
  - The only way to determine the value of the hash function at  $x$  is by evaluating  $h$
  - Examples of function that do not satisfy the RO model:
    - $h(x, y) = ax + by \pmod n$
- Fundamental theorem:
  - Suppose that  $h \in F^{X,Y}$  is chosen randomly and let  $X_0 \subseteq X$  s.t.  $h(x)$  is known iff  $x \in X_0$ . Then for all  $x \notin X_0: \Pr[h(x) = y] = 1/M$
- Las Vegas Randomized Algorithms:
  - Either returns a correct answer or returns "failure"
  - A Las Vegas randomized algorithm has worst case success probability  $\epsilon$  if for every instance it returns a correct answer with probability at least  $\epsilon$
- $(\epsilon, q)$ -algorithm is a Las Vegas randomized algorithm that makes  $q$  queries to the random oracle and has worst case success probability  $\epsilon$

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## Hash Function Security

- FindPreImage( $h, y, q$ )
  - Choose  $X_0 \in X, |X_0| = q$
  - For each  $x \in X_0$  do
    - If  $h(x) = y$  then return( $x$ )
  - return(failure)
  - FindPreImage has average case success probability  $1 - (1-1/M)^q$
- FindSecondPreImage
  - $Y \leftarrow h(x)$
  - Choose  $X_0 \in X, |X_0| = q$
  - For each  $x \in X_0$  do
    - If  $h(x) = Y$  then return( $x$ )
  - return(failure)
  - FindPreImage has average case success probability  $1 - (1-1/M)^{q+1}$
- FindCollision
  - Choose  $X_0 \in X, |X_0| = q$
  - For each  $x \in X_0$  do  $y_x \leftarrow h(x)$
  - If  $y_x = y_{x'}$  for  $x \neq x'$  then return( $x, x'$ ) else return(failure)  $\epsilon = 1 - \left(\frac{M-1}{M}\right)^{\binom{M-2}{2}} \left(\frac{M-q+1}{M}\right)$
  - FindPreImage has average case success probability  $q = \sqrt{2M \ln \frac{1}{1-\epsilon}}$

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## Comparison of Security Criteria

- CollisionToSecondPreImage( $h$ )
  - External** Oracle2ndPreImage
  - Choose  $x \in X$  (random uniform)
  - If (Oracle2ndPreImage( $h, x$ ) =  $x'$ ) and ( $x \neq x'$ ) and ( $h(x) = h(x')$ )
  - Then return( $x, x'$ )
  - Else return(failure)
- CollisionToPreImage( $h$ )
  - External** OraclePreImage
  - Choose  $x \in X$  (random uniform);  $y \leftarrow h(x)$ ;
  - If (OraclePreImage( $h, y$ ) =  $x'$ ) and ( $x \neq x'$ )
  - Then return( $x, x'$ )
  - Else return(failure)
- If  $|X| > 2|Y|$  and OraclePreImage is a  $(1, q)$ -algorithm for PreImage of  $h$ , then CollisionPreImage is a  $(1/2, q+1)$

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## Iterated Hash Functions

**Goal:**

- Construct a hash function with infinite domain using finite domain hash function
- $h: \bigcup_{i \geq 0} \{0,1\}^i \rightarrow \{0,1\}^l$

**Assumptions:**

- Only consider bitstreams
- Compress:  $\{0, 1\}^{m+l} \rightarrow \{0, 1\}^m$

**Algorithm Outline:**

- Input: bitstring  $x$  s.t.  $|x| > m+l$
- Preprocessing (usually achieved through padding):
  - Construct:  $y = y_1 | y_2 | \dots | y_t$
  - s.t.  $|y_i| = 0 \pmod{\delta}$  and  $|y_t| = l$
- Processing:
  - $z_0 \leftarrow IV$
  - $z_1 \leftarrow \text{compress}(z_0 | y_1)$
  - ...
  - $z_t \leftarrow \text{compress}(z_{t-1} | y_t)$
- Optional Output Transformation:
  - Let  $g: \{0, 1\}^m \rightarrow \{0, 1\}^l$
  - $h(x) = g(z_t)$

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## Merkle-Damgard

- Merkle-Damgard(x)
  - **External** compress:  $\{0, 1\}^{m+t} \rightarrow \{0, 1\}^m$  s.t.  $t > 1$
  - $n \leftarrow |x|$
  - $k \leftarrow \lceil n/(t-1) \rceil$
  - $d \leftarrow n - k(t-1)$
  - for  $i \leftarrow 1$  to  $k-1$  do  $y_i \leftarrow x_i$
  - $y_k \leftarrow x_k \parallel 0^d$
  - $y_{k+1} \leftarrow$  binary representation of  $d$
  - $z_1 \leftarrow 0^{m+1} | y_1$
  - $g_1 \leftarrow$  compress( $z_1$ )
  - for  $i = 1$  to  $k$ 
    - $z_{i+1} \leftarrow g_i \parallel y_{i+1}$
    - $g_{i+1} \leftarrow$  compress( $z_{i+1}$ )
  - return ( $h(x) \leftarrow g_{k+1}$ )
- **Theorem:**
  - If compress is a collision resistant compression function where  $t > 1$  then  $h$  is a collision resistant hash function.

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## Merkle-Damgard2(x)

- Let  $f$  s.t.  $f(0) = 0$  and  $f(1) = 01$
- Merkle-Damgard2(x)
  - **External** compress:  $\{0, 1\}^{m+t} \rightarrow \{0, 1\}^m$
  - $n \leftarrow |x|$
  - $y \leftarrow 11 \parallel f(x_1) \parallel f(x_2) \parallel \dots \parallel f(x_n)$
  - $g_1 \leftarrow$  compress( $0^m | y_1$ )
  - for  $i \leftarrow 1$  to  $k-1$  do  $g_{i+1} \leftarrow$  compress( $g_i | y_{i+1}$ )
  - return( $g_k$ )
- **Theorem:**
  - If compress is a collision resistant compression function then  $h$  is a collision resistant hash function.

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## Secure Hash Algorithm-1

- **SHA-1-PAD**
  - Assumption:  $L = |x| < 2^{64}-1$  ( $L$  is encoded on 64 bits)
  - $Y \leftarrow x \parallel 1 \parallel 0^t \parallel L$
  - $d \in \mathbb{Z}$  s.t.  $|Y|$  is a multiple of 512
- **SHA-1:**
  - $h_0 = 0x67452301$ ;  $h_1 = 0xEFCDAB89$ ;  $h_2 = 0x98BADCFE$ ;
  - $h_3 = 0x10325476$ ;  $h_4 = 0xC3D2E1F0$ ;
  - **(Process the message in successive 512-bit chunks:)**
  - **while** 512-bit chunk(s) remain(s):
    - **break** the current chunk into sixteen 32-bit words  $w(i)$ ,  $0 \leq i < 15$
    - **(Extend the sixteen 32-bit words into eighty 32-bit words:)**
    - **for**  $i$  from 16 to 79:  $w(i) = (w(i-3) \text{ xor } w(i-8) \text{ xor } w(i-14) \text{ xor } w(i-16))$  leftrotate 1
    - $a = h_0$ ;  $b = h_1$ ;  $c = h_2$ ;  $d = h_3$ ;  $e = h_4$ ;
    - **(Main loop)**
    - **for**  $i$  from 0 to 79:
      - $\text{temp} = (a \text{ leftrotate } 5) + f_i(b, c, d) + e + w(i)$  (note: all addition is mod  $2^{32}$ )
      - $e = d$ ;  $d = c$ ;  $c = b$  leftrotate 30;  $b = a$ ;  $a = \text{temp}$ ;
    - $h_0 = h_0 + a$ ;  $h_1 = h_1 + b$ ;  $h_2 = h_2 + c$ ;  $h_3 = h_3 + d$ ;  $h_4 = h_4 + e$
  - **Return** ( $h_0 \parallel h_1 \parallel h_2 \parallel h_3 \parallel h_4$ ): **160 bits**
  - $(0 \leq i < 19)$ :  $f_i(b, c, d) = (b \text{ and } c) \text{ or } ((\text{not } b) \text{ and } d)$ ,  $k_i = 0x5A827999$
  - $(20 \leq i < 39)$ :  $f_i(b, c, d) = (b \text{ xor } c) \text{ xor } d$ ,  $k_i = 0x6E996BA1$
  - $(40 \leq i < 59)$ :  $f_i(b, c, d) = (b \text{ and } c) \text{ or } (b \text{ and } d) \text{ or } (c \text{ and } d)$ ,  $k_i = 0x8F1BBCDC$
  - $(60 \leq i < 79)$ :  $f_i(b, c, d) = (b \text{ xor } c) \text{ xor } d$ ,  $k_i = 0xC62F1066$

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## Message Authentication Codes

- Goal:
  - Construct keyed MACs to prevent fabrication and substitution
- Example of insecure MAC:
  - Using the key for IV
- Nested-MAC
  - Goal: construct a secure "big MAC" using two keyed hash functions that satisfy some security constraints
  - Nested-MAC composes:  $(X, Y, K, G)$  with  $(Y, Z, L, H)$
  - Constraints:
    - $Y$ , and  $Z$  are finite sets s.t.  $|Y| > |Z|$
    - $(Y, Z, L, H)$  is a secure MAC given a fixed (unknown) key (secure "little MAC")
    - $(X, Y, K, G)$  is collision resistant, given a fixed (unknown) key
  - Attacks:
    - Forger for the nested MAC (big MAC attack):
      - $(K, L)$  are secret, attacker is allowed to query the Nested-MAC for  $h_i(g_k(x))$  and tries to output  $(x', z)$  s.t.  $h_i(g_k(x')) = z$
    - Forger for the little MAC:
      - $L$  is secret, attacker is allowed to query the little-MAC for  $h_i(y)$  and tries to output  $(y', z)$  s.t.  $h_i(y') = z$
    - Collision finder for the hash family (unknown key):
      - $K$  is secret, attacker is allowed to query the a hash oracle for  $g_k(x)$  and tries to output  $(x', x'')$  s.t.  $g_k(x') = g_k(x'')$

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## Theorem:

- Suppose  $(X, Z, M, \text{GoH})$  is a Nested-MAC.
- Suppose there does not exist an  $(\epsilon_1, q+1)$ -collision attack for a randomly chosen function  $g_k \in G$ , where the key is secret.
- Suppose there does not exist an  $(\epsilon_2, q+1)$ -forger for a randomly chosen function  $h_i \in H$  where  $L$  is secret.
- If an  $(\epsilon, q)$ -forger exists for the nested-MAC, for a randomly chosen function  $(\text{goh})_{(K, L) \in \text{GoH}}$ , then  $\epsilon \leq \epsilon_1 + \epsilon_2$

- Example of Nested MAC: HMAC
  - $\text{HMAC}_K(x) = \text{SHA-1}((K \oplus \text{opad}) \parallel \text{SHA-1}((K \oplus \text{ipad}) \parallel x))$
  - $\text{ipad} = 3636...36$ ;  $\text{opad} = 5C5C...5C$
  - Assumption: SHA-1 restricted to one application is a secure MAC

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## CBC-MAC

- CBC-MAC: very popular technique
  - Use a block cipher in CBC mode
  - CBC-MAC is the result of the last encryption
  - Best known attack: birthday attack
    - $x_1, \dots, x_n$  fixed bitstrings of length  $\ell$  ( $\ell > 2$ )
    - $q = 1.172^{2\ell}$
    - $x_1^1, x_1^2, \dots, x_1^q$ : distinct  $\ell$ -bitstrings
    - $x_2^1, x_2^2, \dots, x_2^q$ : random  $\ell$ -bitstrings
    - $x' = x_1^i \parallel x_2^j \parallel x_3 \parallel \dots \parallel x_n$
    - $i \neq j \Rightarrow x' \neq x'$
    - $h_i(x') = h_i(x) \Rightarrow y_1^i \oplus x_2^j = y_1^j \oplus x_2^i$
    - $\Rightarrow v = x_1^i \parallel x_2^j \oplus x_1^j \parallel x_2^i \parallel x_3 \parallel \dots \parallel x_n$  and  $v = x_1^j \parallel x_2^i \oplus x_1^i \parallel x_2^j \parallel x_3 \parallel \dots \parallel x_n$  have the same CBC-MAC

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### Unconditionally Secure MAC

- Goal:
  - Construct MAC for which there does not exist a  $(\epsilon, 0)$  and  $(\epsilon, 1)$ -forgers
- Assumption:
  - Users use a key for one MAC (similar to one time pad)
- $(\epsilon, 0)$ -forgers  $\Rightarrow$  fabrication
- $(\epsilon, 1)$ -forgers  $\Rightarrow$  substitution

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