

# Union-Find data structure

Given a “universe”  $U$  of  $n$  elements, we want to maintain a partitioning of  $U$  into disjoint subsets.

At the beginning, each element is in its own subset.

We support two operations:

- $\text{find}(x)$ : determine which subset contains  $x$
- $\text{union}(s, t)$ : replace subsets  $s$  and  $t$  by their union

# Quick-find

Quick-find data structure:

Create an array  $A$  with  $n$  slots. The value  $A[i]$  is the subset containing element  $i$ .

Find takes constant time.

Union takes  $O(n)$  time.

# Quick-union

Quick-union data structure:

We organize each subset as a tree.

Union takes constant time.

Find needs to trace references to the root in time  $O(h)$ , where  $h$  is the height of the tree.

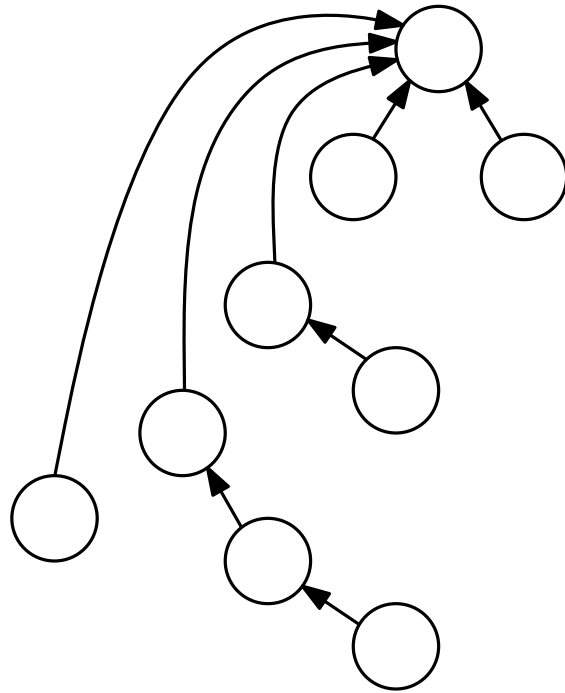
**Union by size heuristic:** When performing a union, make the smaller tree a subtree of the root of the larger tree.

This heuristic guarantees that a tree of size  $m$  has height  $O(\log m)$ .

# Path compression

## Path compression heuristic:

During a find operation, we make all the nodes found children of the root.



It is surprising that **find** should modify the tree. The idea is that this will improve the running time of future find operations.

# Time complexity of Union-Find using path compression

Let  $F(m, n)$  be the total number of parent links followed by  $m$  find operations (in a sequence of union and find operations) on a universe of size  $n$ .

**Theorem:** If  $n < 2^{2^{2^{2^2}}}$ , then  $F(m, n) \leq 4m + 4n$ .

But  $F(n, n)$  is not a linear function, and for  $n \rightarrow \infty$  we have  $F(n, n)/n \rightarrow \infty$ .

The true time complexity is  $F(m, n) = O(n + m\alpha(m + n))$ , where  $\alpha(m)$  is the inverse of Ackermann's function. It grows very very slowly.

# Analysis of path compression

When we create a link from  $u$  to  $v$  during a union, we assign **rank**  $r(u) = \lfloor \log n(u) \rfloor$  to  $u$ , where  $n(u)$  is the number of nodes in the tree with root  $u$ .

**Lemma:** If  $v$  is the parent of  $u$  at some time and  $v$  is not a root, then  $r(v) > r(u)$ .

**Lemma:** The number of nodes with rank  $r(u) = s$  is at most  $n/2^s$ .

**Proof:** When the rank is assigned,  $u$  is the root of a subtree with at least  $2^s$  nodes.

After the union, these nodes are part of a tree with at least  $2^{s+1}$  nodes, and can never be counted again when assigning rank  $s$ .

## Counting acorns

When we assign the rank, we also give some acorns to  $u$ :

Group	Ranks	Acorns	Total acorns
0	0	0	0
1	1...4	$4 - r(u)$	$\leq 2.125n$
2	5...16	12	$\leq 0.75n$
3	17...65536	65536	$\leq n$

The total number of acorns given to nodes is  $\leq 4n$ .

A find operation follows at most three links between different groups, and one link to the root of the subtree  $\Rightarrow 4m$  links.

Following a link from  $u$  to  $v$  in the same group is paid with an acorn at  $u$ .

$$F(m) \leq 4m + 4m.$$