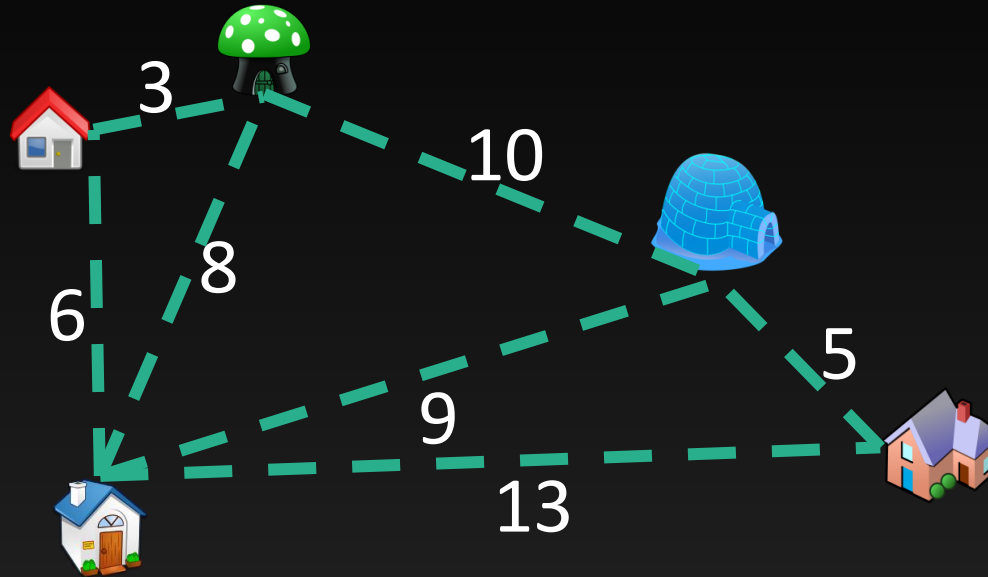


# CS 4800: Algorithms & Data

Lecture 16

March 14, 2017

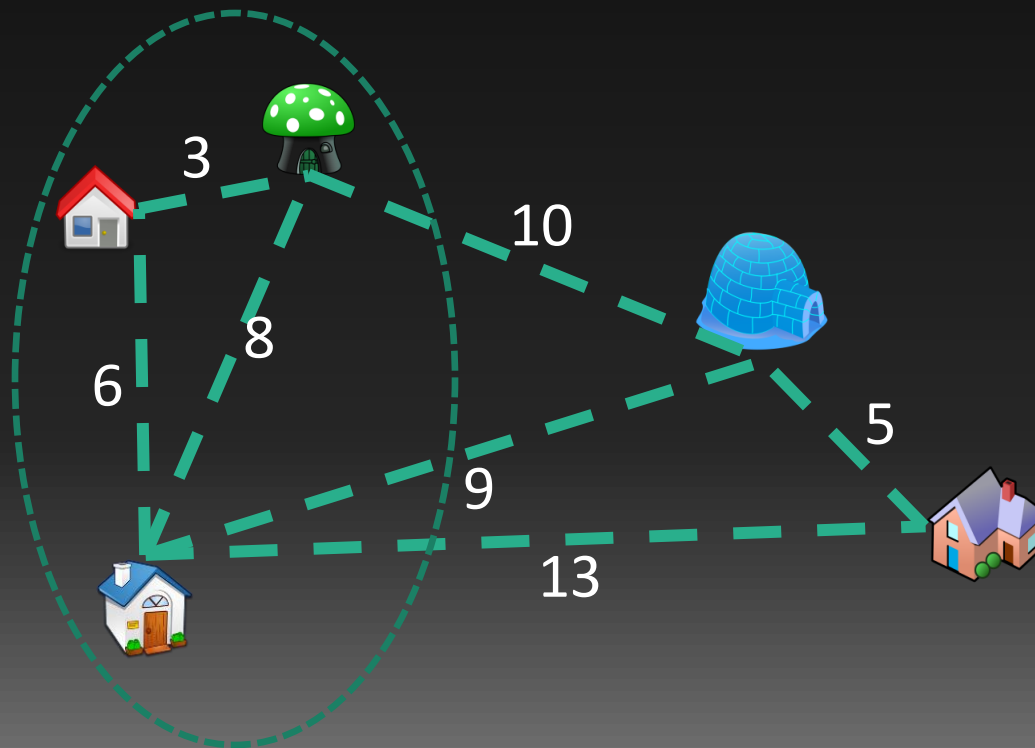
# Minimum spanning tree (MST)



- $G = (V, E, w)$ ,  $w$  positive
- Want a set of edges that connects all  $V$  and has minimum cost
- For simplicity, assume all weights are distinct

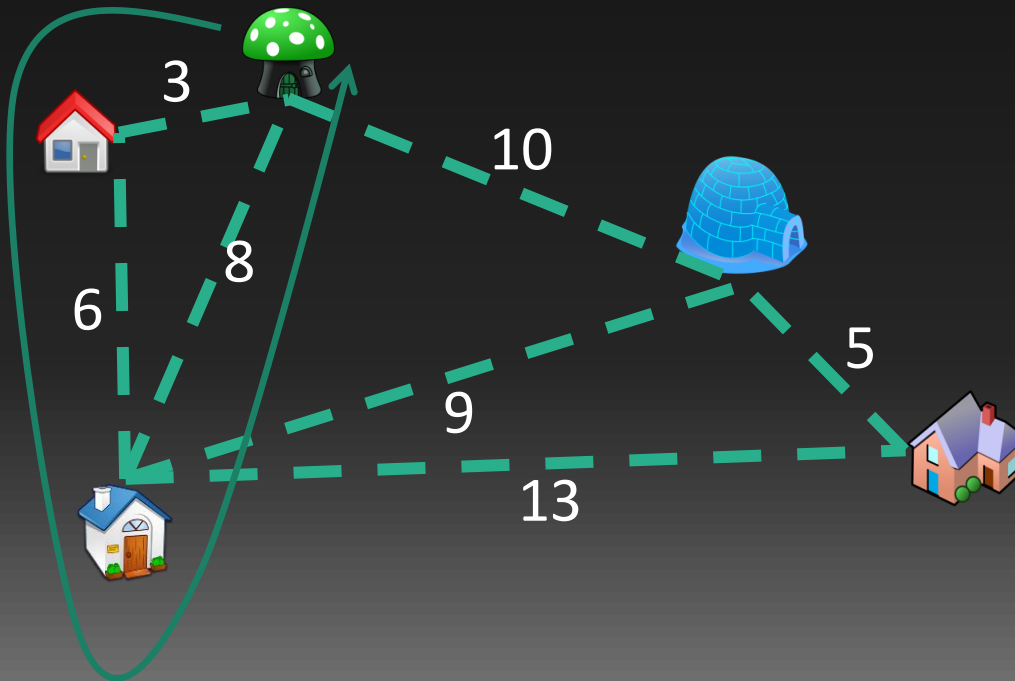
# Blue rule

- Pick a set of nodes  $S$
- Color minimum weight edge in cut induced by  $S$  **blue**



# Red rule

- Pick a cycle  $C$
- Color the maximum weight edge in  $C$  **red**



# What we proved

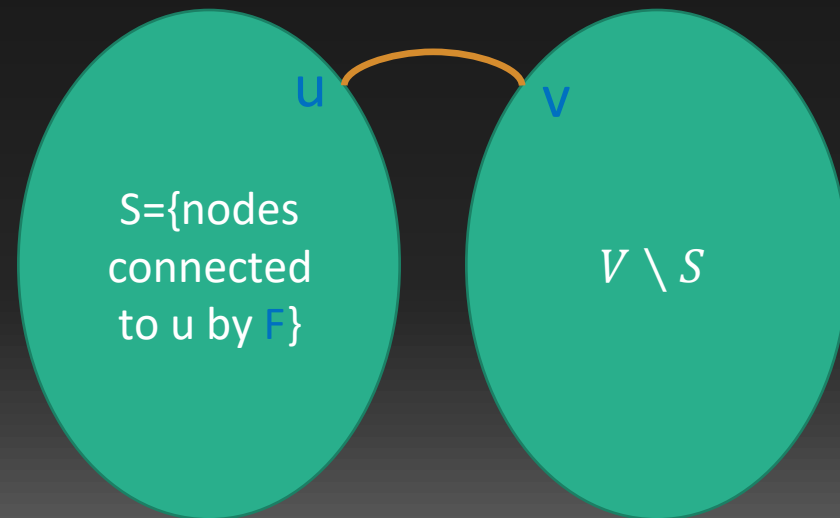
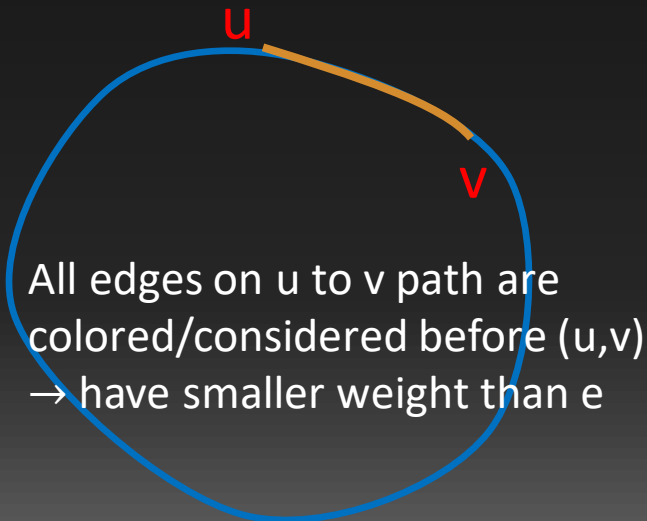
- All blue edges belong to the minimum spanning tree
- All red edges do not belong to the minimum spanning tree

# Generic algorithm

- Maintain an acyclic set of blue edges  $F$
- Initially no edge is colored,  $F = \emptyset$
- Repeat the following in arbitrary order
  - Consider a cut with no blue edge. Color the minimum weight edge in the cut blue.
  - Consider a cycle with no red edge. Color the maximum weight edge in the cycle red.
  - Terminate when  $V-1$  edges colored blue.

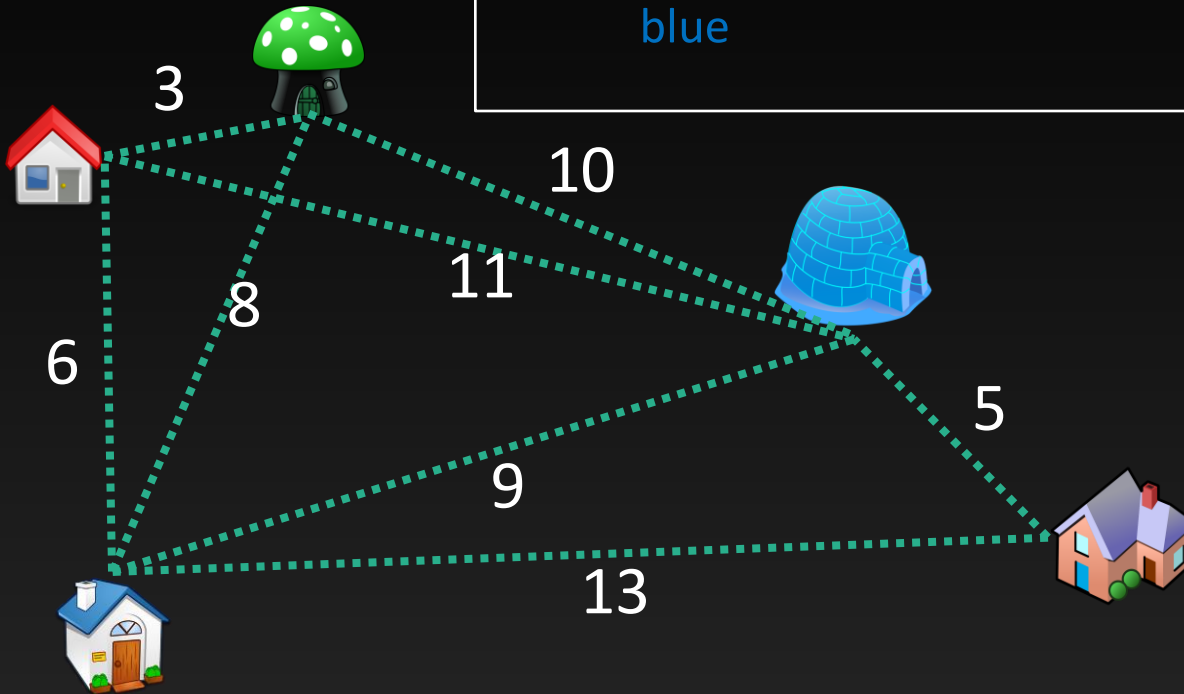
# Kruskal's algorithm

- Consider edges in order of increasing weights
- When considering  $e=(u,v)$ 
  - If  $u$  and  $v$  are connected by  $F$ , color  $e$  red
  - If  $u$  and  $v$  are not connected by  $F$ , color  $e$  blue



# Example

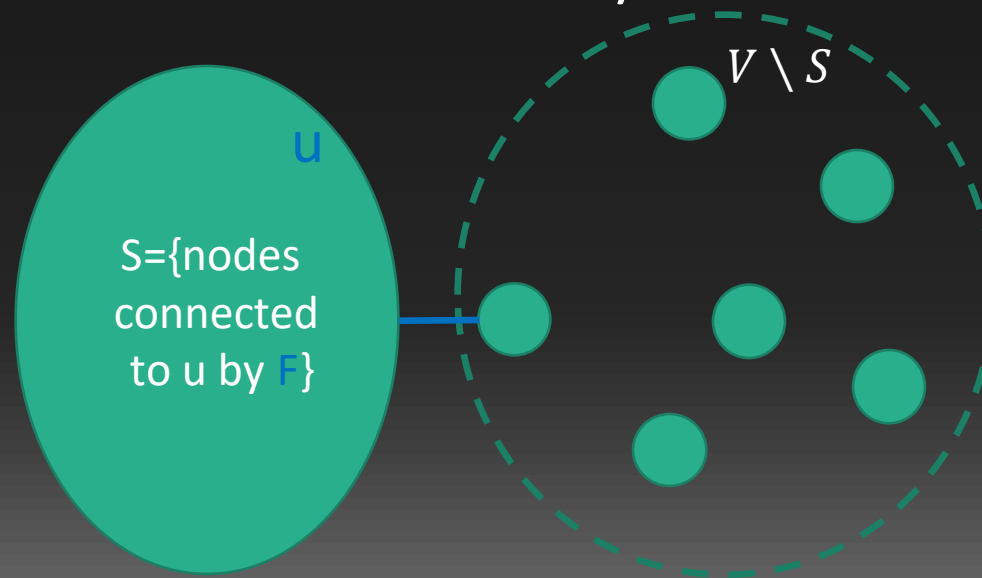
- Consider edges in order of increasing weights
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  - If  $u$  and  $v$  are not connected by  $F$ , color  $e$  blue




3	5	6	8	9	10	11	13
✓	✓	✓	✗	✓	✗	✗	✗

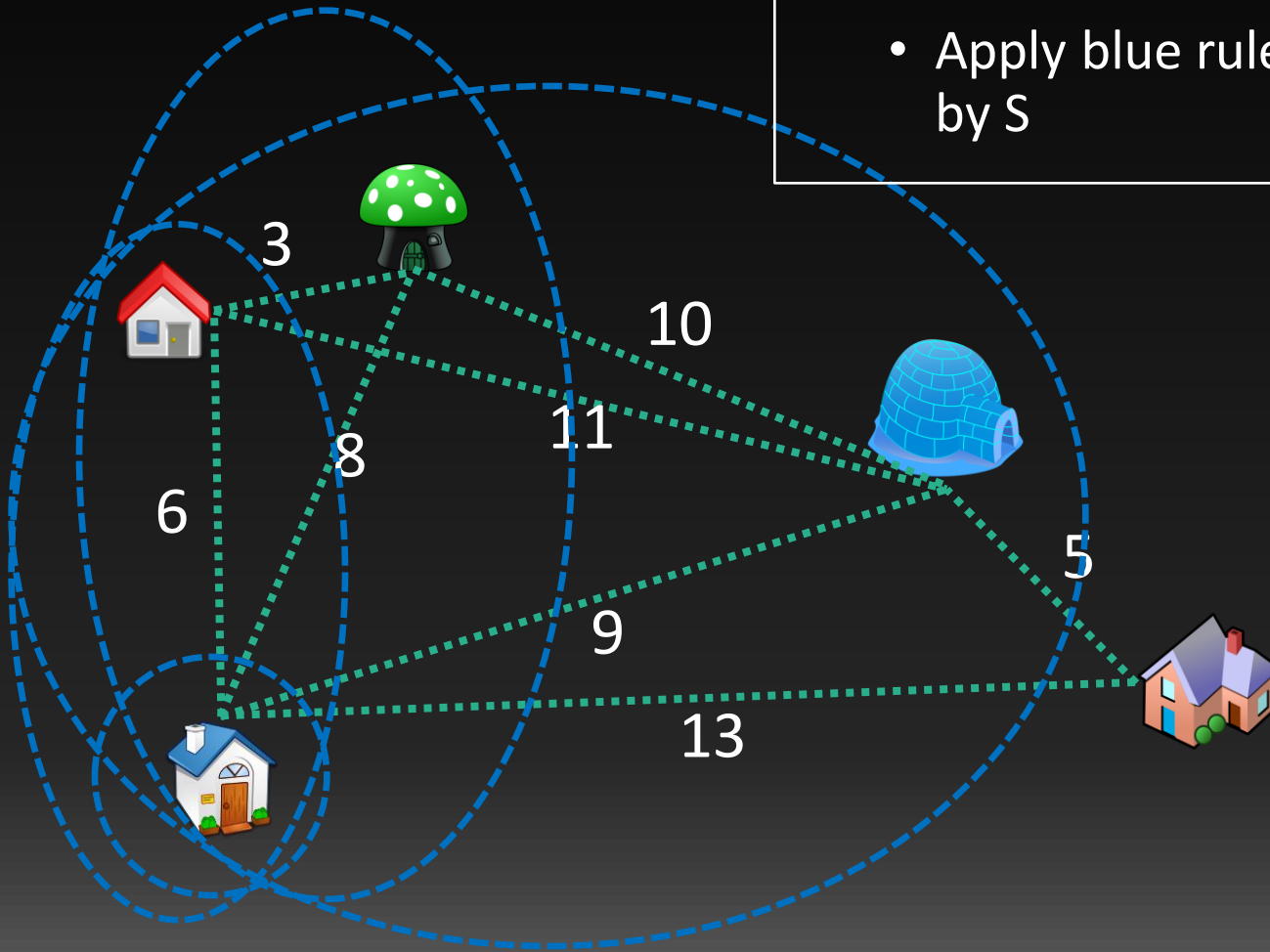
# Prim's algorithm

- Pick an arbitrary root node  $u$
- $S = \{\text{nodes connected to } u \text{ by blue edges}\}$
- While  $S \neq V$ 
  - Apply blue rule to cut induced by  $S$



# Example

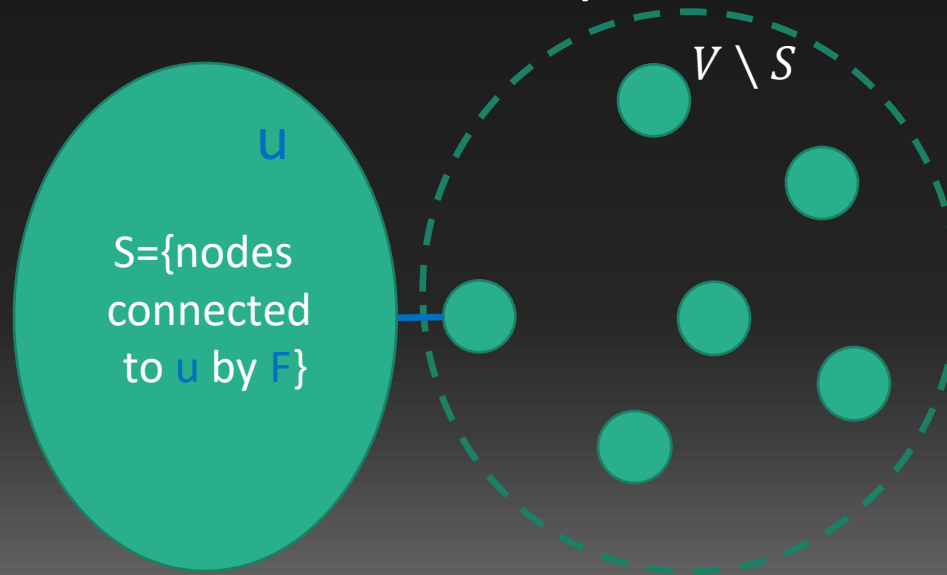
- Pick an arbitrary root node  $u =$  
- $S = \{\text{nodes connected to } u \text{ by blue edges}\}$
- While  $S \neq V$ 
  - Apply blue rule to cut induced by  $S$



# Prim's algorithm

- Pick an arbitrary root node  $u$
- $S = \{\text{nodes connected to } u \text{ by blue edges}\}$
- While  $S \neq V$ 
  - Apply blue rule to cut induced by  $S$

Need to maintain collection of edges and find minimum

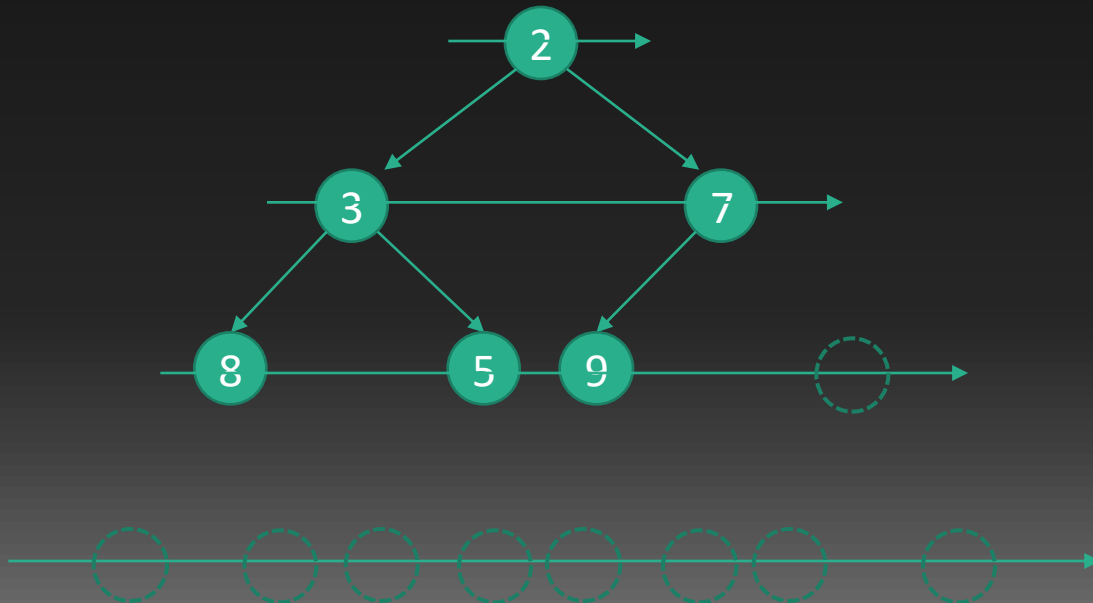


# Priority queue

- Data structure maintaining collection of pairs (id, key)
- **Insert**: Insert a new pair (id, key) into the queue
- **Find-min**: Find the pair with minimum key
- **Extract-min**: Find the pair with minimum key and remove it from the queue
- **Decrease-key(id, D)**: Decrease the key of element id to D

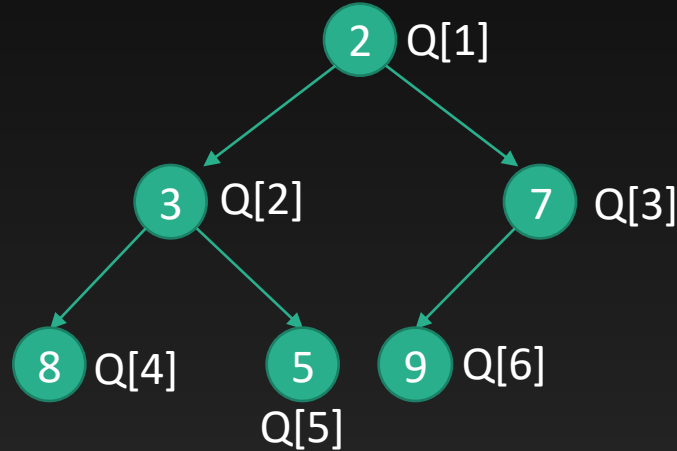
# Binary heap

- Full binary tree
- Each node stores an (id, key) pair
- Key of parent is no larger than keys of children



# Implicit binary heap

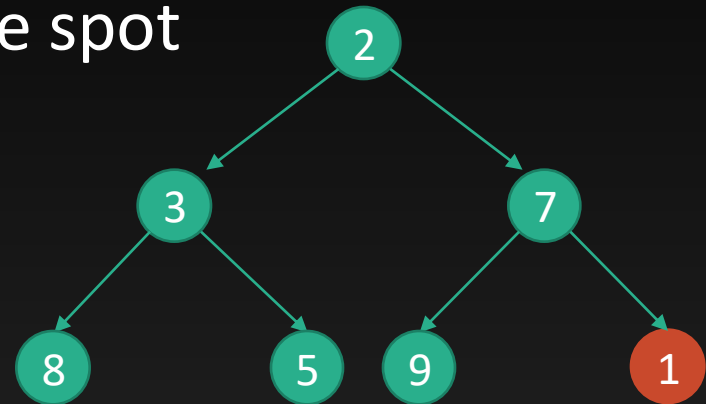
- Store as array  $Q[1\dots n]$
- The children of node  $i$  are nodes  $2i$  and  $2i+1$



Index	1	2	3	4	5	6
Key	2	3	7	8	5	9

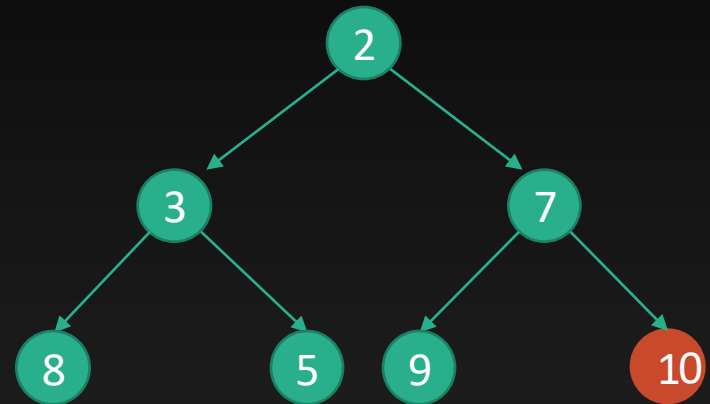
# Insert

- Put new key at next available spot
- Bubble up to maintain heap property
- Insert takes  $O(\log n)$  time



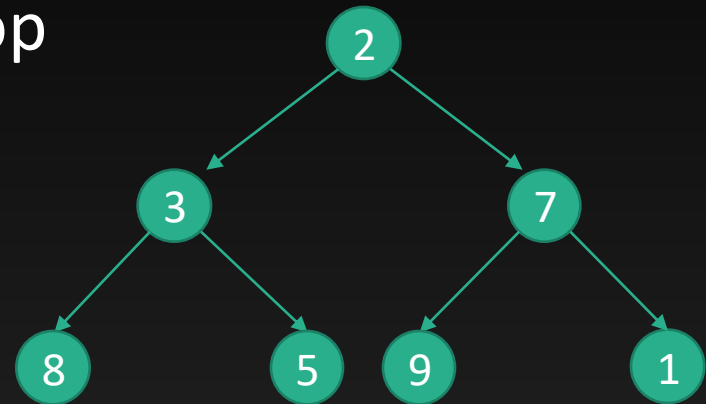
# Decrease-key

- Bubble up to maintain heap property
- Decrease-key takes  $O(\log n)$  time



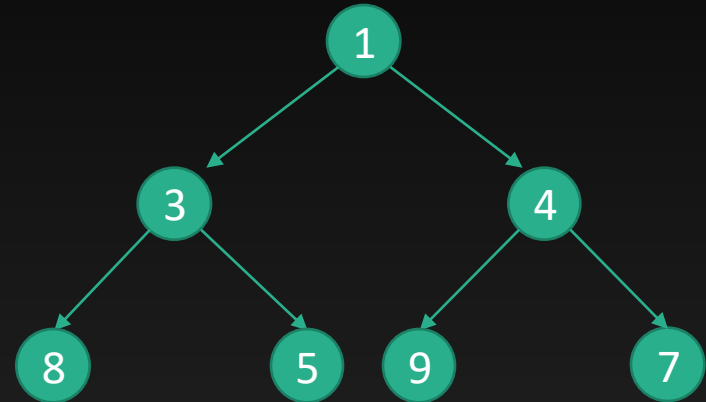
# Find-min

- Minimum is always at the top of the heap
- Find-min runs in  $O(1)$



# Extract-min

- Remove top node
- Put bottom node at the top
- Bubble down to maintain heap property
- Extract-min runs in  $O(\log n)$  time

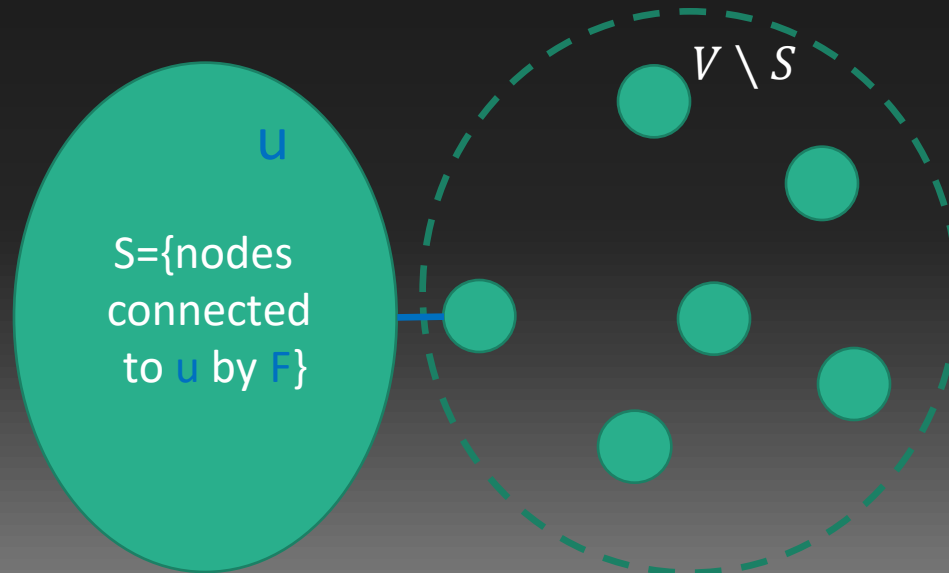


# Running time of heap

Operation	Binary heap	Fibonacci heap
Insert	$O(\log n)$	$O(1)$
Find-min	$O(1)$	$O(1)$
Extract-min	$O(\log n)$	$O(\log n)$
Decrease-key	$O(\log n)$	$O(1)$ (amortized)

# Prim's algorithm

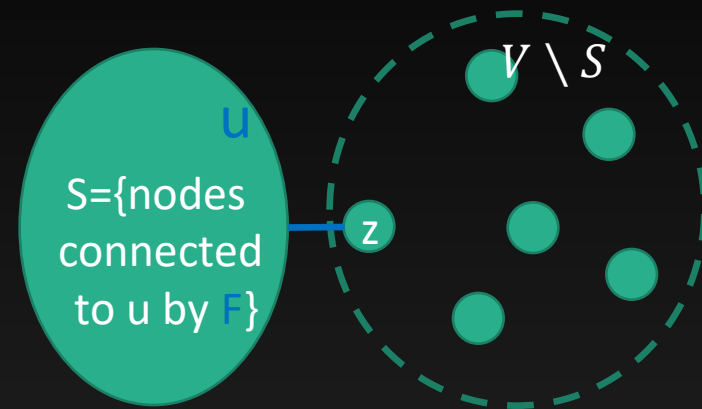
- Pick root node  $u$
- $S = \{\text{nodes connected to } u \text{ by blue edges}\}$
- While  $S \neq V$ 
  - Find min weight edge between  $S$  and  $V \setminus S$  and color it blue
  - Update  $S$  (new edges between  $S$  and  $V \setminus S$ )



# Implementing Prim's algorithm

- $Q = \emptyset$ ,  $F = \emptyset$
- Pick start node  $u$ , insert  $(u, 0)$  into  $Q$
- Insert  $(v, \infty)$  into  $Q$  for all vertices  $v \neq u$
- Set  $pred(v) = u$  for all vertices  $v$
- While  $Q \neq \emptyset$

$key(v) = \min$  weight edge  
between  $v$  and  $S$



- $V$  times
- $z \leftarrow ExtractMin(Q)$   $\leftarrow O(\log V)$
  - $F \leftarrow F \cup \{(z, pred(z))\}$
  - For  $v \in adjacent(z)$

Find min weight edge between  
 $S$  and  $V \setminus S$  and color it blue

- $E$  times
- If  $v \in Q$  and  $key(v) > w(z, v)$
  - DecreaseKey( $v, w(z, v)$ )  $\leftarrow O(\log V)$
  - $pred(v) \leftarrow z$

Update  $S$  (new  
edges between  $S$   
and  $V \setminus S$ )

$O((V+E)\log V)$  time