# Advances in IR Evaluation

Ben Carterette Evangelos Kanoulas Emine Yilmaz







# Information Retrieval Systems

Match information seekers with the information they seek

I want to learn about Neural Nets...



#### Results

1-10 of 2,830,000 results · Advanc

### User's Request

### Search Engine





#### Collection





The modern usage of the term often refers to artificial neural networks .

Neural network - Wikipedia, the free encyclopedia
Overview - History of the neural ... - The brain, neural ...
The term neural network was traditionally used to refer to a network or circuit of biological neurons.

**Neural Networks** Introduction

Neural Networks Ingrid F. Russell Department of Computer Science University of Hartford West Hartford, CT 06117 irussell@mail.hartford.edu {Printed with permission from the Journal ... uhavax.hartford.edu/compsci/neural-networks-tutorial.html · Cached page neural network: Definition from Answers.com The Nonlinear Workbook: Osos, Fractals, Neural Networks, Genetic Algorithms, Gene Expression Programming, Support Vector Machine, Wavelets, Hidden Markov Models, Fuzzy Logic with ... www.answers.com/logic/nearl-network

Neural Networks help is provided by StatSoft ... Basic Statistics ANOVA / MANOVA Association Rules Boosting Trees Canonical Analysis www.statsoft.com/textbook/neural-networks · Cached page

Neural Networks Consulting, Leadership Training and Business ...
Leadership Training and Sales Force Development - Have your leaders develop the leadership identity and associated took it that will enable them to inspire others to motivate.

Neural Networks - Home

Brisbane based colocation, dedicated servers, hosting and data services.

www.neural.com.au · Cached page





Change the search algorithm.

How can we know whether we made the users happier?

# "What you can't measure you can't improve"

Lord Kelvin



### By the end of this course...

You will be able to evaluate your retrieval algorithms

- A. At low cost
- B. Reliably
- C. Effectively

# Course Outline

- Intro to evaluation
  - Evaluation methods, test collections, measures, comparable evaluation
- Low cost evaluation
- Advanced user models
  - Web search models, novelty & diversity, sessions
- Reliability
  - Significance tests, reusability
- Other evaluation setups

# Today's Outline

- Different evaluation methods
  - Interactive, on-line, off-line
- Off-line evaluation
- Basic measures of effectiveness
- Test collections

I want to learn about Neural Nets...



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Artificial neural network - Wikipedia, the free encyclopedia

Radiground Neural network - vynhpedia, the nee encyclopedia
Background Models - Employing artificial ... Applications
... swarm intelligence techniques. Most of the algorithms used in training artificial neural networks ...
rather than programming and ... Applications of neural networks ...
en.wikipedia.org/wiki/Artificial\_neural\_net - Cached page

Abstract. This report is an introduction to Artificial Neural Networks. The various types of neural networks are explained and demonstrated, applications of neural networks ...

www.doc.ic.ac.uk/~nd/surprise\_96/journal/vol4/cs11/report.html · Cached page

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Neural Networks Ingrid F. Russell Department of Computer Science University of Hartford West Hartford, CT 06117 irussell@mail.hartford.edu {Printed with permission from the Journal ... uhavax.hartford.edu/compsci/neural-networks-tutorial.html · Cached page

#### neural network: Definition from Answers.com

The Nonlinear Workbook: Osos, Fractals, Neural Networks, Genetic Algorithms, Gene Expression Programming, Support Vector Machine, Wavelets, Hidden Markov Models, Fuzzy Logic with ... www.answers.com/logic/nearl-network

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#### Neural Networks - Home

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www.neural.com.au · Cached page

# Interactive Evaluation

The user is part of the evaluation

"What is a neural net?"

"Pictures of white nights in St. Petersburg"



### Participants' Request

### Search Engine





#### Collection



#### Results

1-10 of 2,830,000 results · Advance Neural network - Wikipedia, the free encyclopedia
Overview - History of the neural ... - The brain, neural ...
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Background - Models - Employing artificial ... - Applications ... swam intelligence techniques. Most of the algorithms used in training artificial neural networks .r ather than programming and ... Applications of neural networks ... en.wikipedia.org/wiki/Artificial\_neural\_net - Cached page

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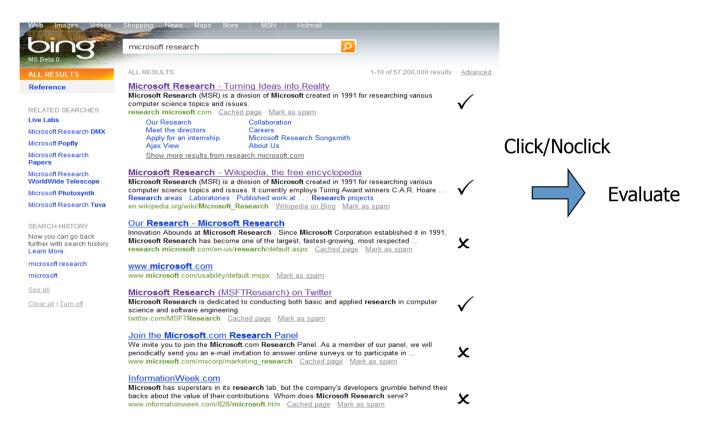
www.neural.com.au · Cached page



# Online Evaluation

Go LIVE!!!
and observe the user's trails

# Online Evaluation



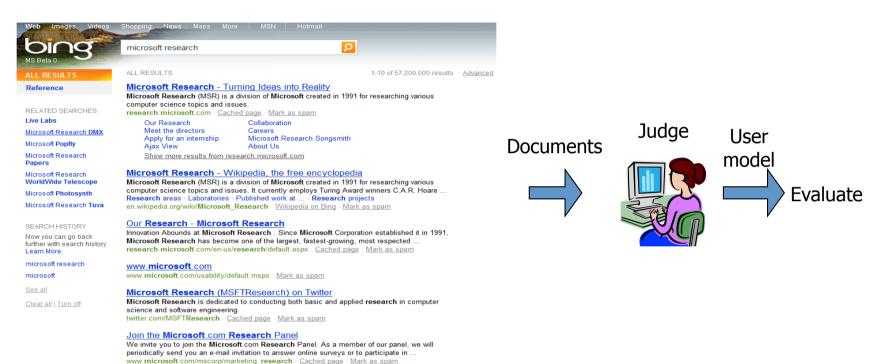
- Design interactive experiments
- Use users' actions to evaluate the quality

# Offline Evaluation

Controlled laboratory experiments

The user's interaction with the engine is only simulated

# Offline Evaluation



- Ask experts to judge each query result
- Predict how users behave when they search

Microsoft has superstars in its research lab, but the company's developers grumble behind their backs about the value of their contributions. Whom does Microsoft Research serve? www.informationweek.com/828/microsoft.htm - Cached page - Mark as spam

Aggregate judgments to evaluate

InformationWeek.com

	Interactive	On-line	Off-line
Pros	User is part of the process  Evaluate the overall experience and utility  Learn "why's"	Cheap Measure actual user reactions	Fast  Easy to try new ideas  Amortized Cost  Portable
Cons	Noisy Not duplicable Expensive	Need to go live  Noisy  Slow  Not duplicable	Needs ground truth Slow "Expensive" Inconsistent  Difficult to model how users behave

# Offline vs. Online Evaluation

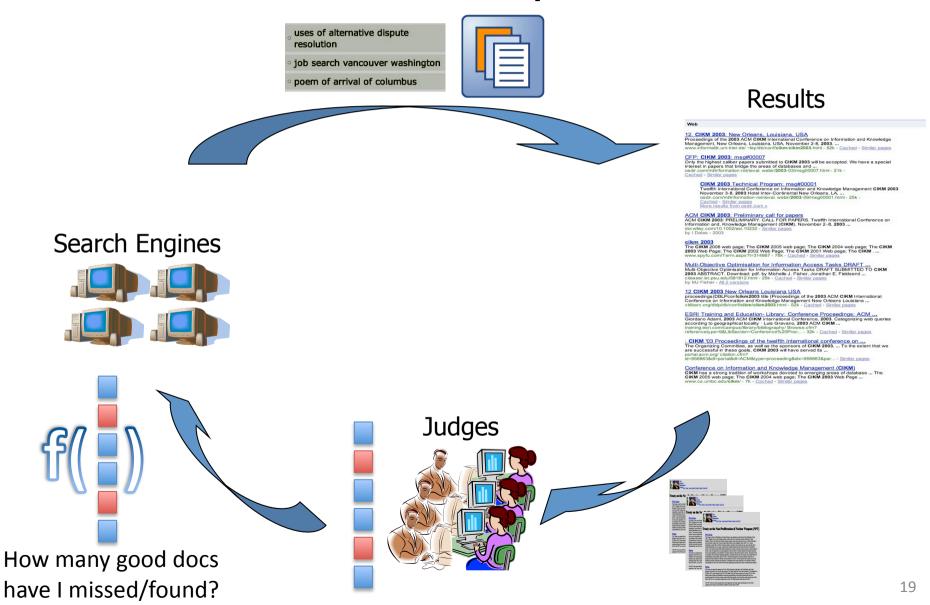
- Offline Evaluation
  - Used to optimize the engine
    - Most current engines optimize for an offline evaluation metric

- Online Evaluation
  - More correlated with user satisfaction
    - Directly derived from user behaviour (clicks)

# Today's Outline

- Different evaluation methods
  - Interactive, on-line, off-line
- Off-line evaluation
- Basic measures of effectiveness
- Test collections

# **Traditional Experiment**



# Portable Test Collection

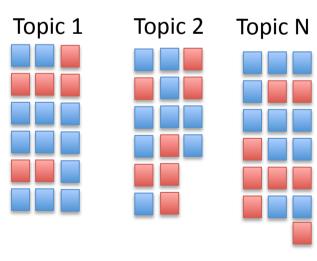
Document Corpus



Topics

uses of alternative dispute resolution
 job search vancouver washington
 poem of arrival of columbus

 Relevance Judgments (QRELs)



# Simulation

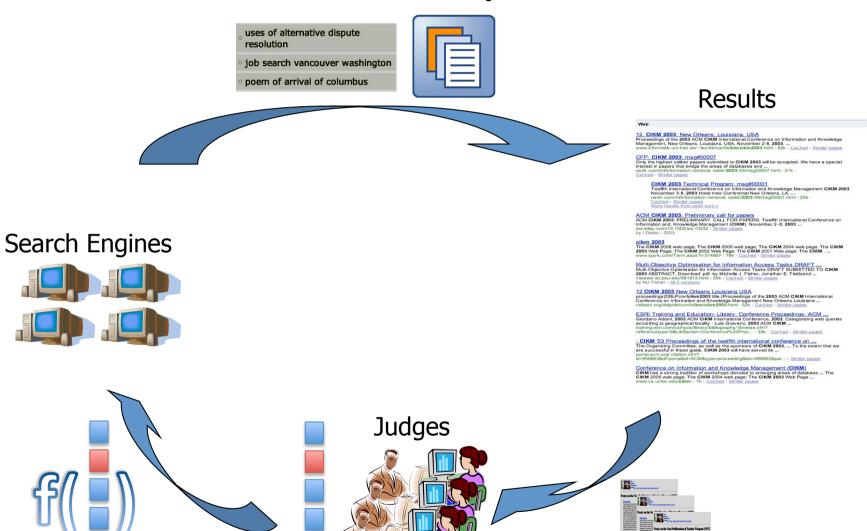
ld	Topic	QRELS
1	Saint Petersburg	7623, 3256
2	Aurora	5425, 7654, 9582
3	Pitergov	3417, 6589
4	Dostoyevsky	6539, 8042
5	Peter the Great	4375, 5290
6	Russian Standard	9301, 7392

# Results (Run)



ld	Quality
1	•••
2	
3	

# **Traditional Experiment**





# **Evaluation Measures**

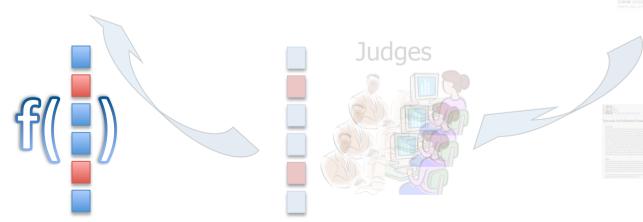


### Search Engines



### **Effectiveness**

(Cost & Reliability)



### Results

Proceedings of the 2003 ACM CIKM International Conference on Information and Knowledg Management, New Orleans, Louisiana, USA, November 2-8, 2003. ...

CFP: CIKM 2003: msg#00007

Only the highest caliber papers submitted to CIKM 2003 will be accepted. We have a special interest in papers that bridge the areas of databases and ... osdir.com/milinformation-retrieval, webir/2003-03/msg00007.html - 21k - Cached - Similar pages

CIKM 2003 Technical Program: msg#00001

Twelfth International Conference on Information and Knowledge Management CIKM 2003 November 3-8, 2003 Hotel Inter-Continental New Orleans, L.A., osdir, com/mil/information-retrieval, webir/2003-09/msg00001.html - 25k - Cached - Smilar pages

ACM CIKM 2003: Preliminary call for papers

ACM CIRM 2003: PRELIMINARY, CALL FOR PAPERS, I weath international Conference on Information and, Knowledge Management (CIRM), November 2–8, 2003 ... doi.wiley.com/10.1002/asi.10232 - Similar pages by L Darlen 2 2003

cikm 2003

The CIKM 2008 web page; The CIKM 2005 web page; The CIKM 2004 web page; The CIKM 2003 Web Page; The CIKM 2002 Web Page; The CIKM 2001 Web page; The CIKM . ...
www.spxfu.com/Tem.spx/2ts/14867 x 78ts . Cached a Similar page.

Multi-Objective Optimisation for Information Access Tasks DRAFT....
Multi-Objective Optimisation for Information Access Tasks DRAFT SUBMITTED TO CI
2003 ABSTRACT. Download: pdf. by Michalle J. Fisher, Jonathan E. Fieldsend ...
citiseer at pau-adu/Sel 1912.html - 284. Calchder Similar pages.

12 CIKM 2003 New Orleans Louisiana USA proceedings (DBLPconfelkm2003 title (Proceedings of the 2003 ACM CIKM Conference on Information and Knowledge Management New Orleans Louis

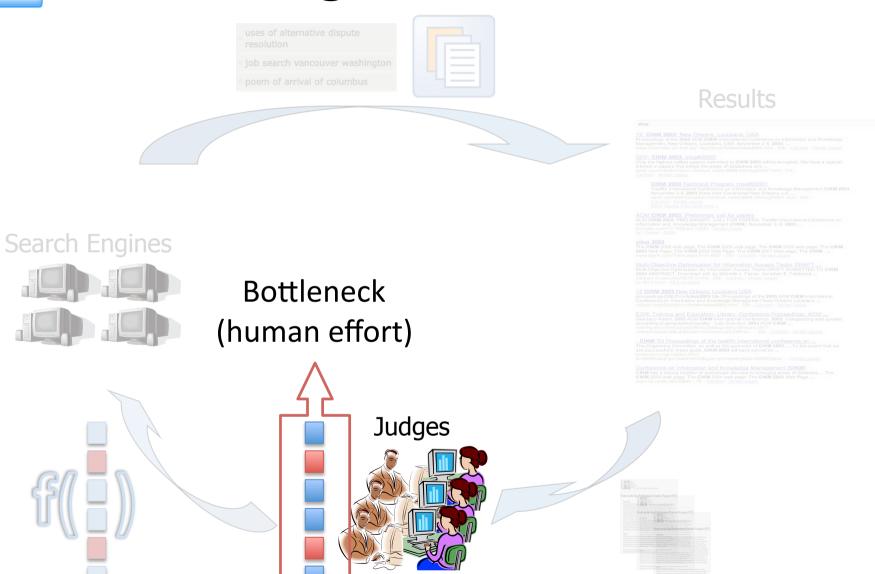
ESRI Training and Education- Library: Conference Proceedings: ACM ....
Giordano Adami, 2003 ACM GIKM International Conference, 2003. Categorizing web querie according to geographical locality - Lus Gravano, 2003 ACM GIKM.

referencetype=9&LibSection=Conference%20Proc... - 32k - <u>Cached - Similar pages</u> ; **CIKM** '03 Proceedings of the twelfth international conference on ...

The Organizing Committee, as well as the sponsors of CIKM 2003, ... To the extent that are successful in these goals, CIKM 2003 will have served its ... portal.acm.org/ citation.cfm?

Conference on Information and Knowledge Management (CIKM)
CIKM has a strong tradition of workshops devoted to emerging greas of database ... The

# Judgment Effort



2

Search Engines

# Judgment Effor

uses of alternative dispute resolution

job search vancouver washington

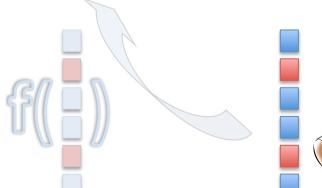
poem of arrival of columbus



Cost

VS.

Reliability





	Costs	
Year	(thousands \$2009)	
1991	-\$753	
1992	-\$713	
1993	-\$674	
1994	-\$1,522	
1995	-\$1,282	
1996	-\$2,129	
1997	-\$61	ent CIKM
1998	-\$1,739	
1999	-\$1,848	b; The CIK
2000	-\$1,844	
2001	-\$1,544	
2002	-\$2,173	
2003	-\$1,880	
2004	-\$1,634	
2005	-\$2,143	
2006	-\$1,788	
2007	-\$1,668	
2008	-\$1,982	
2009	-\$1,671	
Total	-\$29,046	_

Total TREC

# Today's Outline

- Different evaluation methods
  - Interactive, on-line, off-line
- Off-line evaluation
- Basic measures of effectiveness
- Test collections

# Evaluating early IR system

- Many early IR systems Boolean
  - Split collection in two: documents that
    - Match the query (Retrieved)
    - Don't match the query (Not retrieved)
  - Test collection: those documents that are
    - Relevant
    - Not Relevant

# Measuring Boolean Output

The goal of a retrieval system is

A. To retrieve relevant documents

B. Not to retrieve non-relevant documents

# Measuring Boolean Output

### Contingency table

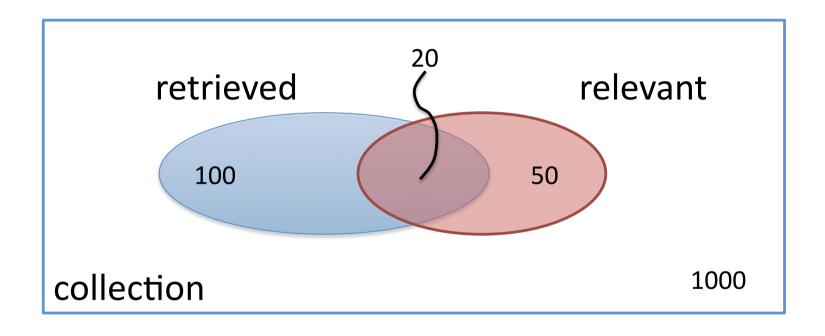
	Relevant	Not-relevant	
Retrieved	a	b	a+b
Not retrieved	c	d	c+d
	a+c	b+d	a+b+c+d

Precision = 
$$\frac{a}{a+b}$$
 Recall =  $\frac{a}{a+c}$ 

Recall = 
$$\frac{a}{a+c}$$

Fallout = 
$$\frac{b}{b+d}$$

# Measuring Boolean Output



```
Precision = 20/100 = 0.2
```

Recall = 20/50 = 0.4

Fallout = (100-20)/(1000-50) = 0.08

# Precision, Recall, Fall-out

### Contingency table

	Relevant	Not-relevant		
Retrieved	a	b	a+b	
Not retrieved	c	d	c+d	-:£+b
	a+c	b+d	a+b+c+d=C	size of the collection

γ: generality

$$a + c = \gamma C$$

$$b + d = (1 - \gamma) C$$

Precision = 
$$\frac{\text{Recall}}{\text{Recall} + \left(\frac{1-\gamma}{\gamma}\right) \text{ Fallout}}$$

# Summarising the two

- Isn't one measure better than two?
  - Van Rijsbergen's F: weighted harmonic mean

$$F = \frac{1}{\alpha \left(\frac{1}{P}\right) + (1 - \alpha)\left(\frac{1}{R}\right)}$$

# Summarising the two

- Isn't one measure better than two?
  - Van Rijsbergen's F: weighted harmonic mean
  - With  $\alpha=1/(\beta^2+1)$

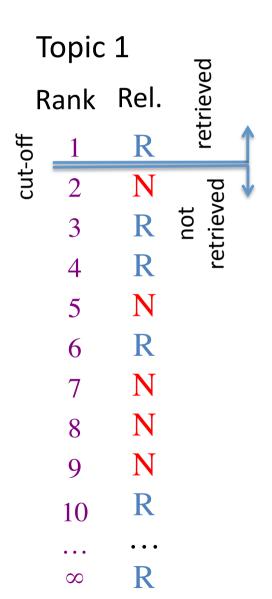
$$F_{\beta} = \frac{\left(\beta^2 + 1\right)PR}{R + \beta^2 P}$$

# Evaluating best match systems

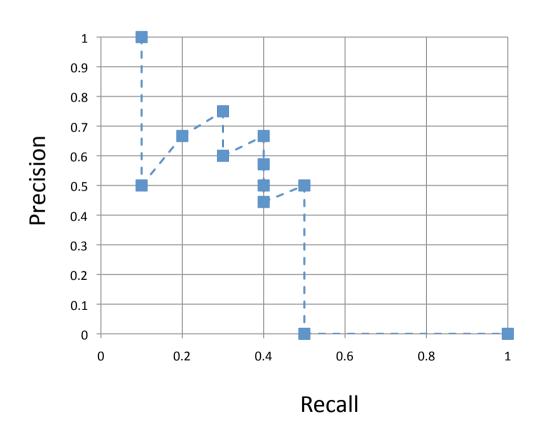
- Modern IR systems Best Match
  - Return a ranking of the collection instead of a set of documents

 A good system returns relevant documents before non-relevant

# Ranking Measures





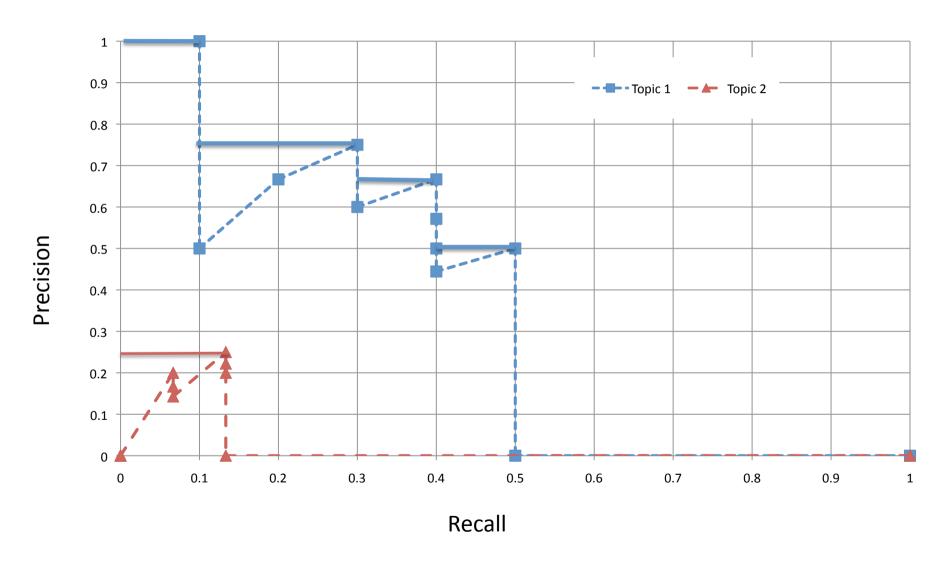


# Ranking Measures

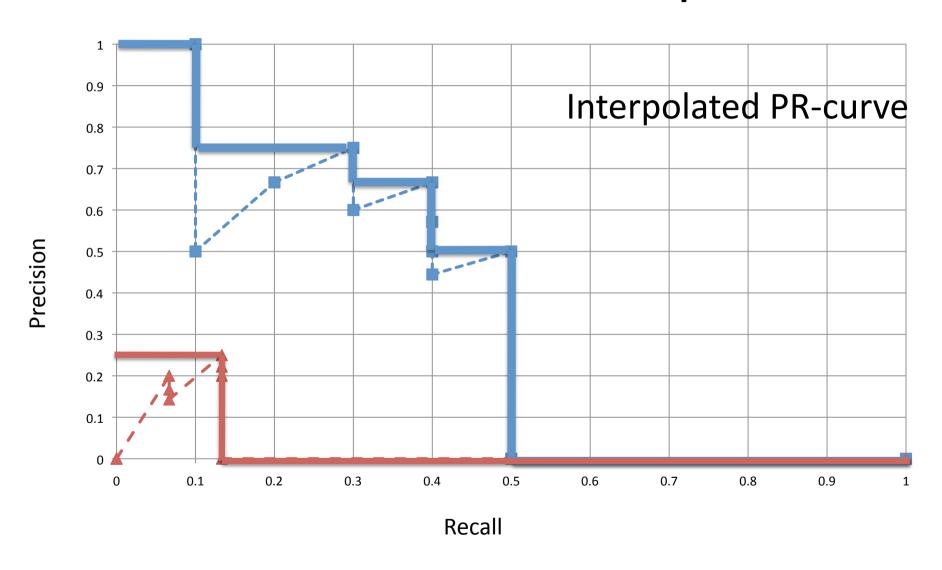
### Topic 2

Rank	Rel.	Precision	Recall	Let R=15
1	N	0	0	1
2	N			0.9 Topic 1
3	N	• • •	• • •	0.8 Topic 2
4	N			□ 0.7
5	R			0.6
6	N	1/6	4/5	0.6 0.5 0.4
7	N			0.3
8	R	• • •	• • •	0.2
9	N			0.1
10	N	2/10	2/5	0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1
•••	• • •	• • •	• • •	Recall
$\infty$	R	0	10/10	36

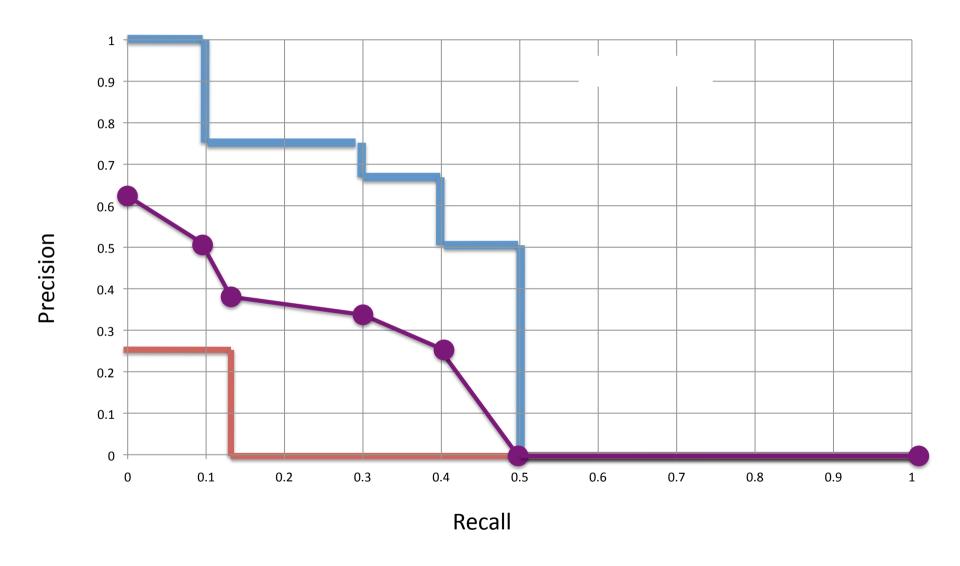
# Recally erræges Corra porra po



# Recall/Precision Graph



# Recall/Precision Graph



#### **Average Precision**

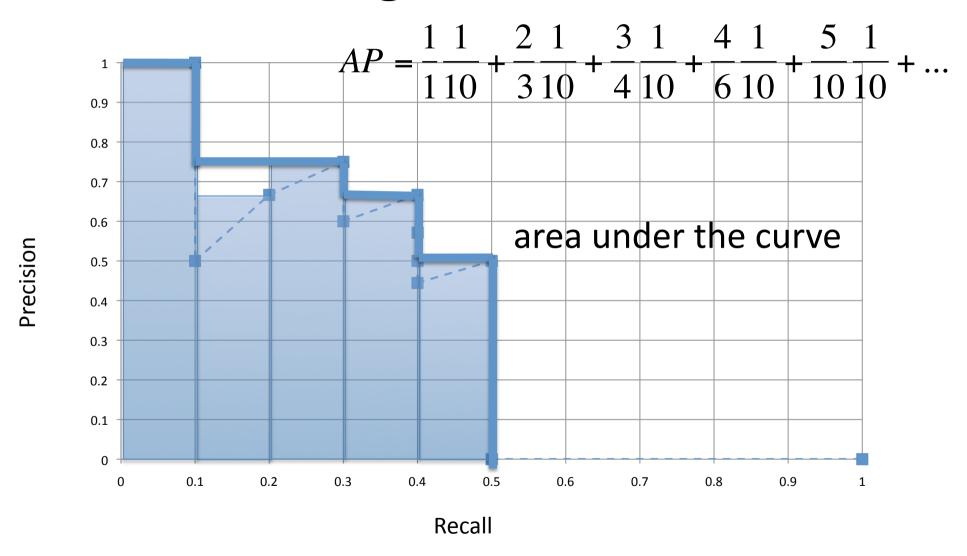
#### Topic 1

Rank	Rel.	Precision	Recall
1	R	1/1	1/10
2	N	1/2	1/10
3	R	2/3	2/10
4	R	3/4	3/10
5	N	• • •	• • •
6	R	4/6	4/10
7	N		
8	N	• • •	• • •
9	N		
10	R	5/10	5/10
• • •	• • •	• • •	• • •
$\infty$	R	0	10/10

- Average Precision
  - Average of precisions at relevant documents

$$AP = \frac{\frac{1}{1} + \frac{2}{3} + \frac{3}{4} + \frac{4}{6} + \frac{5}{10} + \dots}{10}$$

### **Average Precision**

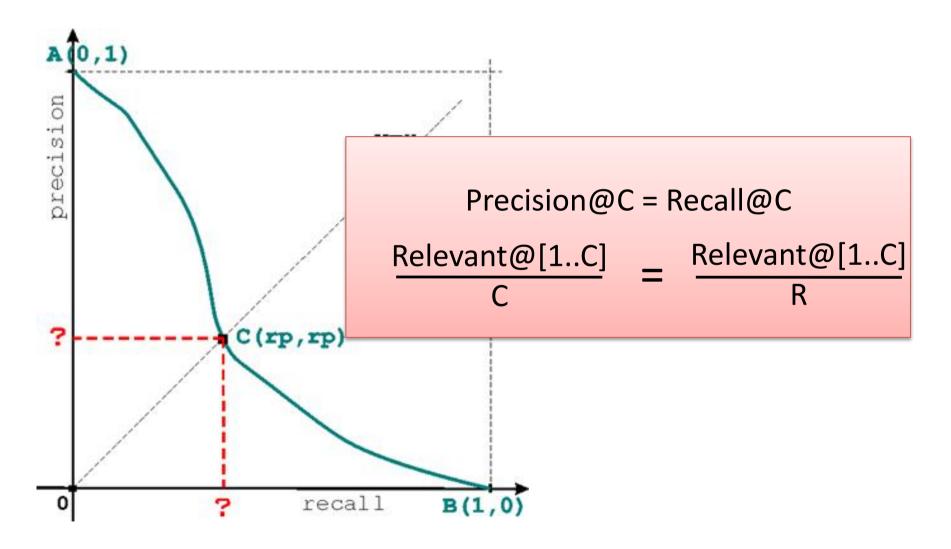


# R-precision

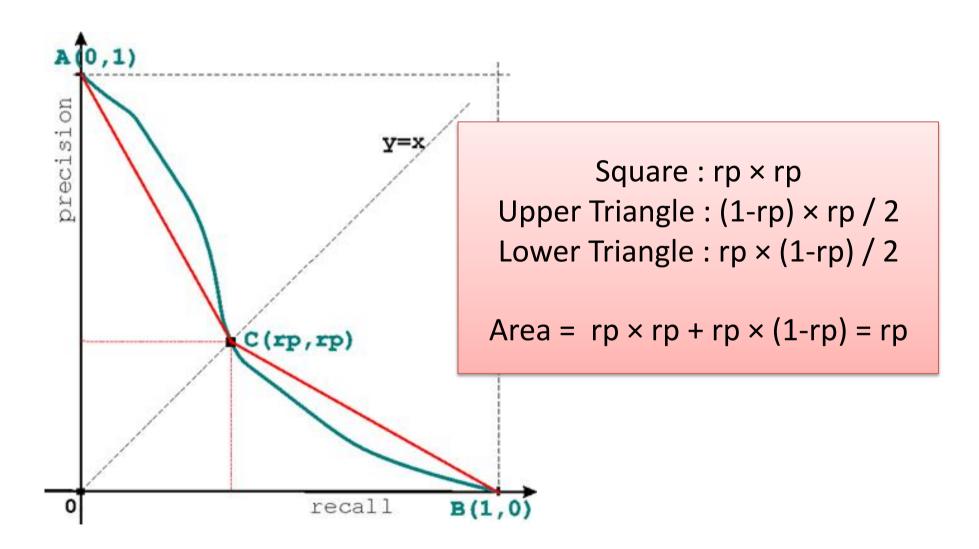
#### Topic 1

Rank	Rel.	Precision	Recall	Let R=10
1	R	1/1	1/10	
2	N	1/2	1/10	
3	R	2/3	2/10	
4	R	3/4	3/10	
5	N	• • •	• • •	R-precision =
6	R	4/6	4/10	Precision@R = 5/10
7	N			1 16C(3)O(1@11 = 3/10
8	N	• • •	• • •	
9	N			
10	R	5/10	5/10	
• • •	• • •	• • •	• • •	
$\infty$	R	0	10/10	42

# Recall/Precision



## Recall/Precision



### Focusing on the top of the ranking

- Most users focus on the top ranked documents
- Many search tasks have a unique relevant document
  - e.g. home-page finding
- Recall-based measures are not appropriate

Reciprocal Rank (RR) =
 1/rank of the (first) relevant document

#### **Evaluating Retrieval Systems**

#### Evaluation measures

- User-oriented
  - Underlying user model to simulate user's interaction
  - Precision@k, nDCG@k, MRR, RBP,
     ERR [Chapelle et al CIKM09], EBU [Yilmaz et al CIKM10]...
- System-oriented
  - Also often based on a user model [Robertson SIGIR08]
  - Capture the overall effectiveness of retrieval system
  - Average Precision, R-Precision, nDCG, normalized Recall,
     PRES [Magdy and Jones SIGIR10], GAP [Robertson et al SIGIR10], ...

#### TREC 1992

 create test collections for a set of retrieval tasks

Text REtrieval Conference (TREC) ... to encourage research in information retrieval from large text collections. Overview Other **Publications** Evaluations Information Frequently for Active Asked Questions **Participants** Data **Tracks** Past TREC Contact

standardize evaluation measures

http://trec.nist.gov/images/paper\_3.jpg

Information

Results

# trec\_eval

- TREC standardised evaluation code
  - http://trec.nist.gov/trec\_eval/trec\_eval\_latest.tar.gz
- Given output from IR system searching over test collection
  - Produces all measures (and many more)

- Most researchers use trec\_eval to save time, avoid introducing bugs.
  - Look at some output...

#### **Precion-Recall Curve**

Summary Statistics Run Number ok8amxc Run Description Automatic, title + desc Number of Topics Total number of documents over all topics Retrieved: 50000 Relevant: 4728

Out of 4728 rel docs. we've got 3212

Recall=3212/4728

01 4720 101 4003,	] /
've got 3212	a.s.
all=3212/4728	
- D	0.6
]	0.4
Precision@10doc	s
	0.2-
about 5.5 docs	
in the top 10 docs	0,0 0,2 0,4 0,9 0,8 7,0
are relevant	Recall Recall-Precision Curve

Recall Level Precision Averages					
Recall	Precision				
0.00	0.8190				
0.10	0.5975				
0.20	0.5032				
0.30	0.4372				
0.40	0.3561				
0.50	0.2936				
0.60	0.2511				
0.70	0.1941				
0.80	0.1257				
0.90	0.0696				
1.00	0.0296				
Average precision over all					

relevant docs

non-interpolated

Rel-ret:

тэскинис	MID IM	era merapera	
		Precision	
At 5 does	3	0.5800	
At 10 doc	75	0.5500	4
At 15 doc	75	0.4987	
At 20 doc	<b>7S</b>	0.4650	
At 30 doc	<b>3</b> 5	0.4253	
At 100 do	KCS	0.2680	
At 200 do	KTS	0.1921	
At 500 do	KCS	0.1085	
At 1000 d	locs	0.0642	
R-P recisi	on (p	recision afte	er
		ved (where :	
is the n	umbe	r of relevan	ıt
document	is))		

Exact

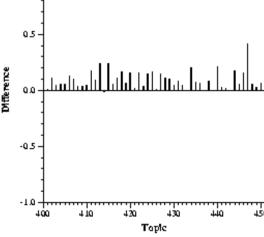
0.3470

3212

Document Level Averages

(precision when prec=recall)

**Breakeven Precision** 



Difference from Median in Average Precision per Topic

Mean Avg. Precision (MAP)

0.3169

# Today's Outline

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  - Interactive, on-line, off-line
- Off-line evaluation
- Basic measures of effectiveness
- Test collections

#### **Test Collections**

Document Corpus

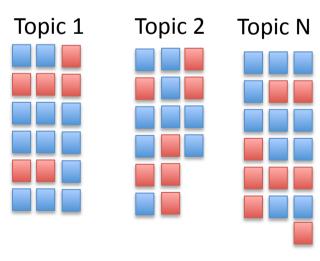


Topics

uses of alternative dispute resolution

job search vancouver washington
poem of arrival of columbus

 Relevance Judgments (QRELs)



#### **Test Collections Simulate**

**Retrieval Scenarios** 

#### Test Collection Design

- What corpus?
- What queries?
- What judgments?
  - How many documents per topic?
  - How many assessors per document?

## **Early Test Collections**

Name	Docs.	Qrys	Year	Size, Mb	Source document
Cranfield 2	1,400	225	1962	1.6	Title, authors, source, abstract of scientific papers from the aeronautic research field, largely ranging from 1945-1963.
ADI	82	35	1968	0.04	A set of short papers from the 1963 Annual Meeting of the American Documentation Institute.
IRE-3	780	34	1968	-	A set of abstracts of computer science documents, published in 1959-1961.
NPL	11,571	93	1970	3.1	Title, abstract of journal papers
MEDLARS	450	29	1973	-	The first page of a set of MEDLARS documents copied at the National Library of Medicine.
Time	425	83	1973	1.5	Full text articles from the 1963 edition of Time magazine.

http://ir.dcs.gla.ac.uk/resources/test\_collections/

#### Problem with Scale

Name	Docs.	Qrys	Year	Size, Mb	Source document
Cranfield 2	1,400	225	1962	1.6 <b>B</b> y	Title, authors, source, abstract of scientific papers iron tile 91710 is research field, largely langing from 1945-1863.
ADI	82	35	1968 <b>CO</b>	nm	A set of short papers from the 1963 Annual <b>erchall Resystems</b> sn Institute.
IRE-3	780	34	sea	arcl	hed hundreds of
NPL	11,571	93	1970 hou	ısa İ	nds of documents
MEDLARS	450	29	1973	-	The first page of a set of MEDLARS documents copied at the National Library of Medicine.
Time	425	83	1973	1.5	Full text articles from the 1963 edition of Time magazine.

# Slightly bigger collections

Name	Docs.	Qrys.	Year	Size, Source document Mb
INSPEC	12,684	77	1981	- Title, au Boy, squip, 91615 t and indexing information from Sep-Dec 1979 issues of Computer and Control Alastrality
CACM	3,204	64	1983	2.2 TiCommand Control Alistra Its IR 2.2 TiCommand Control Alistra Its II 2.2 Ticomma
CISI	1,460	112	1983	the 14 ministry of the sand manuscripts in information science,
LISA	6,004	35	1983	1969-1977.  3.4 Taken Go Gullment Snation Science Abstracts database.

# Individual group

Investment Costs (thousands Year \$2009)

Total TREC

 Weren't able to produce test col sufficient scale

Someone needed to coalesce the

community

- TREC

• Donna Harman



1992	-\$713	
1993	-\$674	
1994	-\$1,522	
1995	-\$1,282	
1996	-\$2,129	
1997	-\$61	
1998	-\$1,739	
1999	-\$1,848	
2000	-\$1,844	
2001	-\$1,544	
2002	-\$2,173	
2003	-\$1,880	
2004	-\$1,634	
2005	-\$2,143	W.
2006	-\$1,788	
2007	-\$1,668	
2008	-\$1,982	4
2009	-\$1,671	
Total	_\$29 D46	_

http://www.itl.nist.gov/iau/pnotos/treczu01.gif

# Early TREC collections

- Largely articles (news, journals, government)
- Long time to try web search
  - Assumption web wasn't different
    - Very wrong
  - Fixed now

Collection	Number of	Size	Average number
	documents		of words/doc.
$\overline{\text{CACM}}$	3,204	2.2 Mb	64
AP	242,918	0.7 Gb	474
GOV2	25,205,179	426 Gb	1073

#### Recent TREC collections

- ClueWeb09 collection
  - about 1 billion web pages in ten languages
  - 5 TB, compressed (25 TB, uncompressed)
  - collected by CMU in January and February 2009
- Other recent TREC collections
  - Collections from wide range of sources
    - Blogs, Twitter, Legal documents, Patents, ...
- TREC model copied by others
  - CLEF, INEX, NTCIR, …

### Test Collection Design

- What corpus?
- What queries?
- What judgments?
  - How many documents per topic?
  - How many assessors per document?

- Early TREC collections
  - Searched collection for potential topics



```
<top>
<num> Number:
               2.00
<title> Topic: Impact of foreign textile imports on U.S. textile industry
<desc> Description: Document must report on how the importation of foreign
textiles or textile products has influenced or impacted on the U.S. textile
industry.
<narr> Narrative: The impact can be positive or negative or qualitative.
It may include the expansion or shrinkage of markets or manufacturing volume
or an influence on the methods or strategies of the U.S. textile industry.
"Textile industry" includes the production or purchase of raw materials;
basic processing techniques such as dyeing, spinning, knitting, or weaving;
the manufacture and marketing of finished goods; and also research in the
textile field.
</top>
```

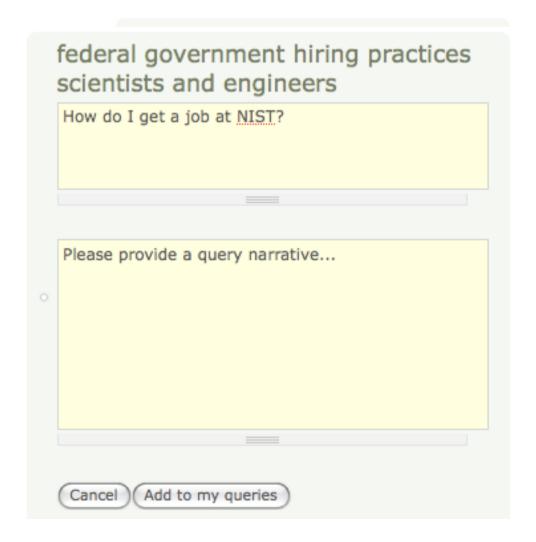
- In the past : Some criticism
  - Not representative
  - No association with topic characteristics (?)
    - Topics with too few or too many relevant documents were avoided [Harman NIST93, Voorhees and Harman NIST99]
    - "Candidate topics were also rejected if they seemed ambiguous" [Voorhees and Harman NIST96]
  - 50~100 topics
- Recently: Queries taken from query logs
  - "Torso queries" neither too rare nor too popular
  - More representative
  - 50,000 queries in 2009 Million Query Track

- In early TREC collections
  - The creators of the topics (queries) were the judges of the documents

How about queries taken from query logs?

# Million Query Track

What is the user looking for ?



#### Test Collection Design

- What corpus?
- What queries?
- What judgments?
  - How many documents per topic?
  - How many assessors per document?

#### TREC relevance

- In early TREC collections documents judged either
  - Relevant
    - Even if just a single sentence was relevant
  - Not relevant
- In later collections (TREC 9 Web and on) ternary judgments
  - highly relevant / relevant / non-relevant

#### Web 2010 track

#### 1. Nav

This page represents a home page of an entity directly named by the query; the user may be searching for this specific page or site.

#### 2. Key

This page or site is dedicated to the topic; authoritative and comprehensive, it is worthy of being a top result in a web search engine.

#### 3. Hrel

The content of this page provides substantial information on the topic.

#### Web 2010 track

#### 4. Rel

The content of this page provides some information on the topic, which may be minimal; the relevant information must be on that page, not just promisinglooking anchor text pointing to a possibly useful page.

#### 5. Non

The content of this page does not provide useful information on the topic, but may provide useful information on other topics, including other interpretations of the same query.

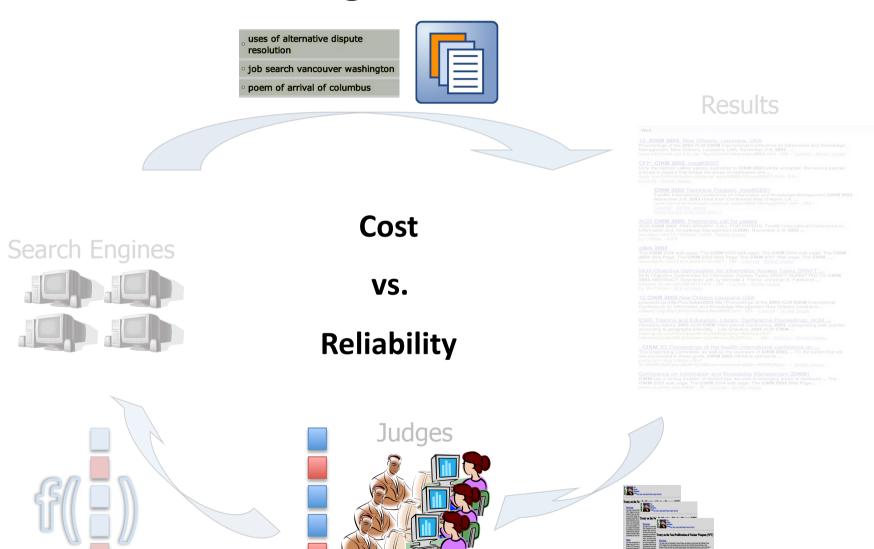
#### 6. Junk

This page does not appear to be useful for any reasonable purpose; it may be spam or junk.

### Test Collection Design

- What corpus?
- What queries?
- What judgments?
  - How many documents per topic?
  - How many assessors per document?

#### Judgment Effort



## Obtaining Relevance Judgments

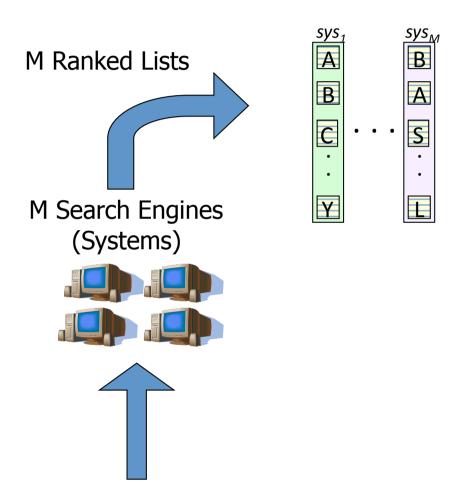
- System-oriented measures have some recall component
  - AP defined on all relevant documents, optimal DCG requires optimal ranking of all relevant documents, etc.
  - All relevant documents in the collection need to be identified

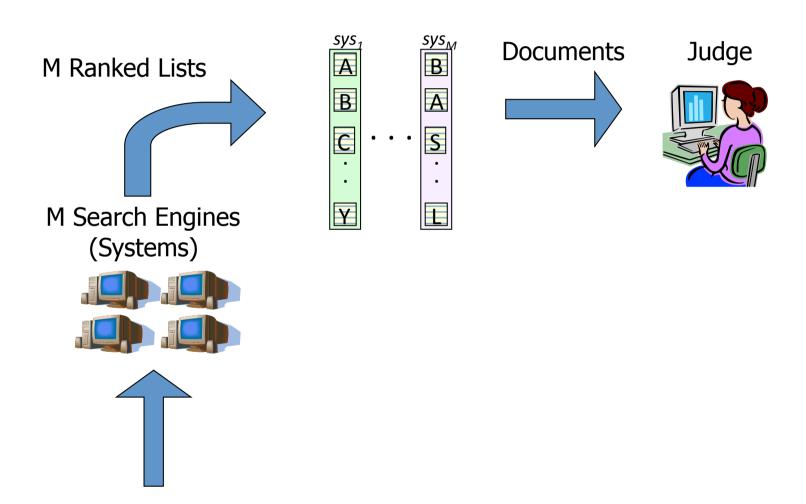
#### Obtaining Relevance Judgments

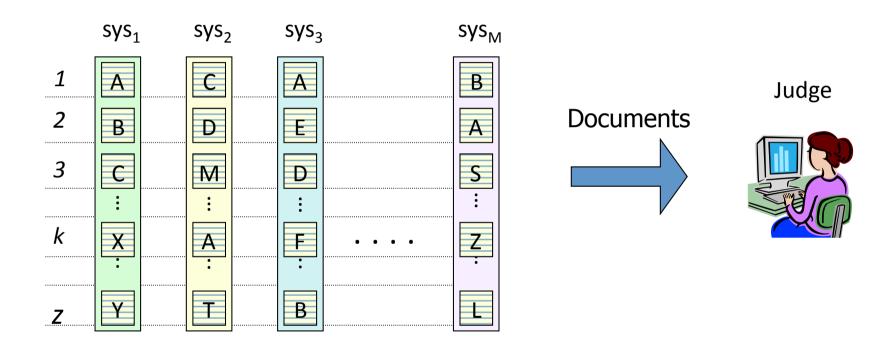
- User-oriented measures
  - Let's assume we are interested at Precision@10

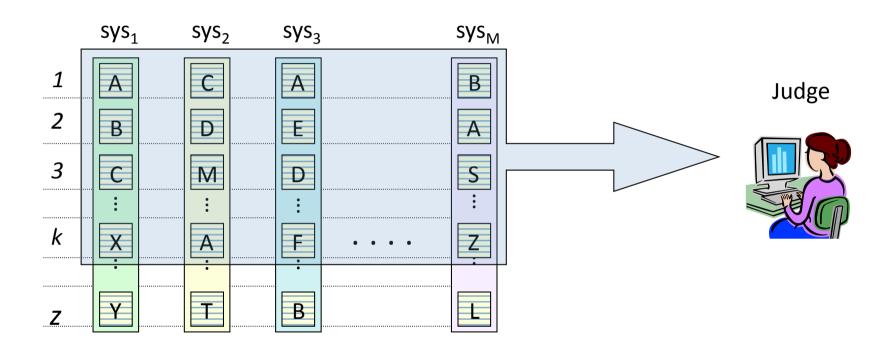
Retrieved List by SYS1			Retrieved List by FUTURE S	SYS2
A		R	K	?
В		N	В	N
C		R	L	?
D		N	M	?
E		N	E	N
F		R	N	?
G		N	O	?
Н		N	P	?
I		N	I	N
J		R	Q	?

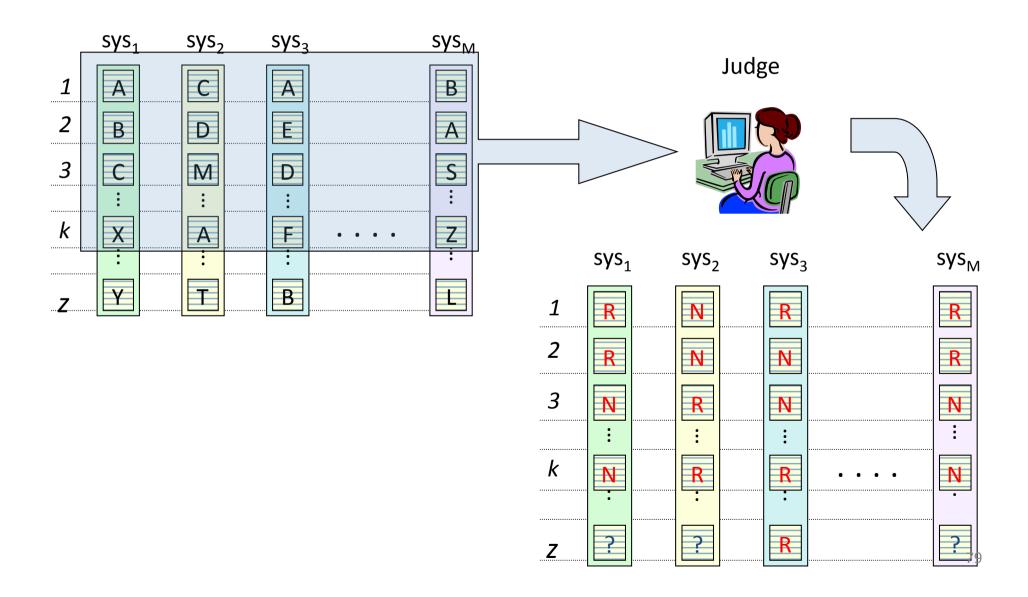
M Search Engines (Systems)

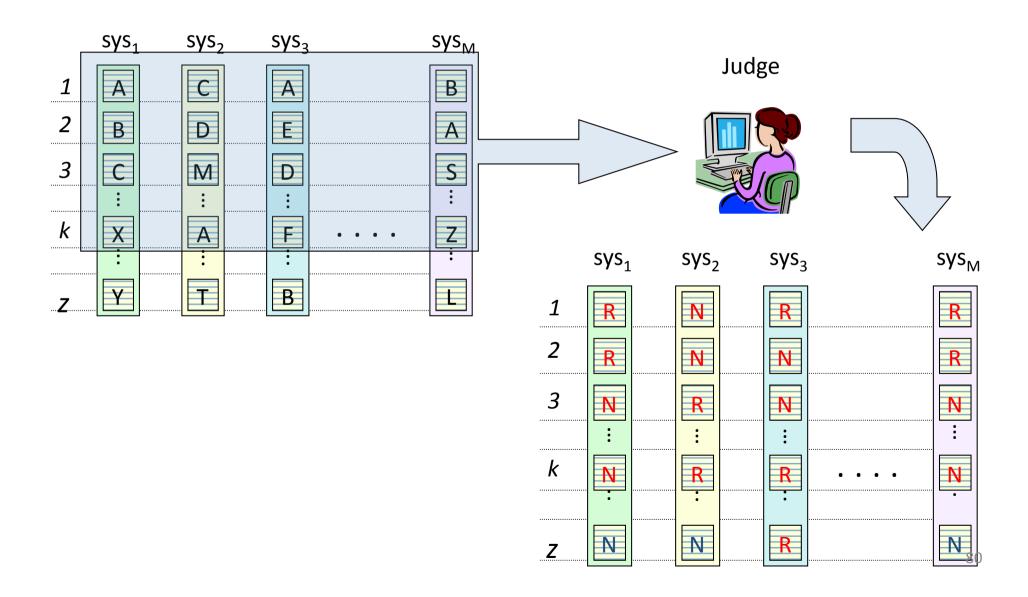


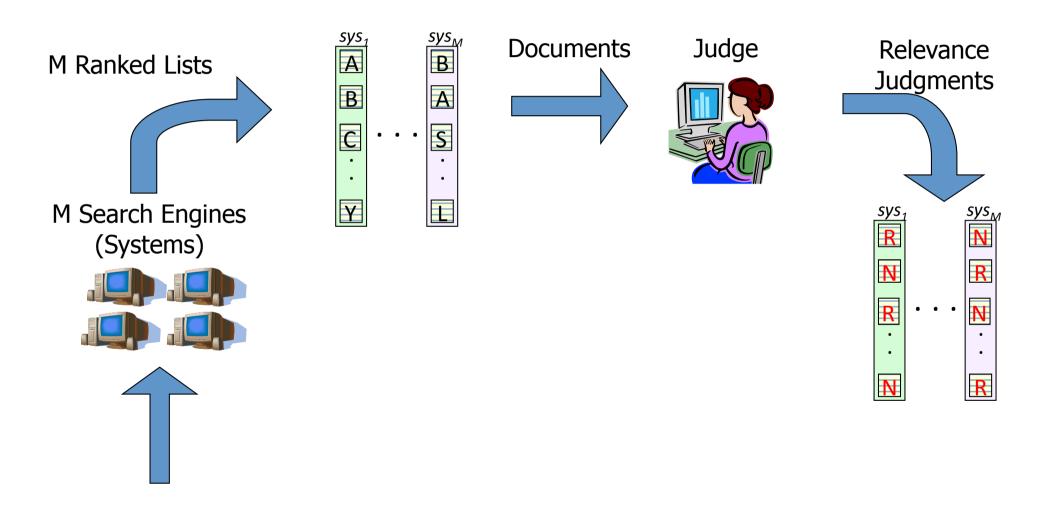


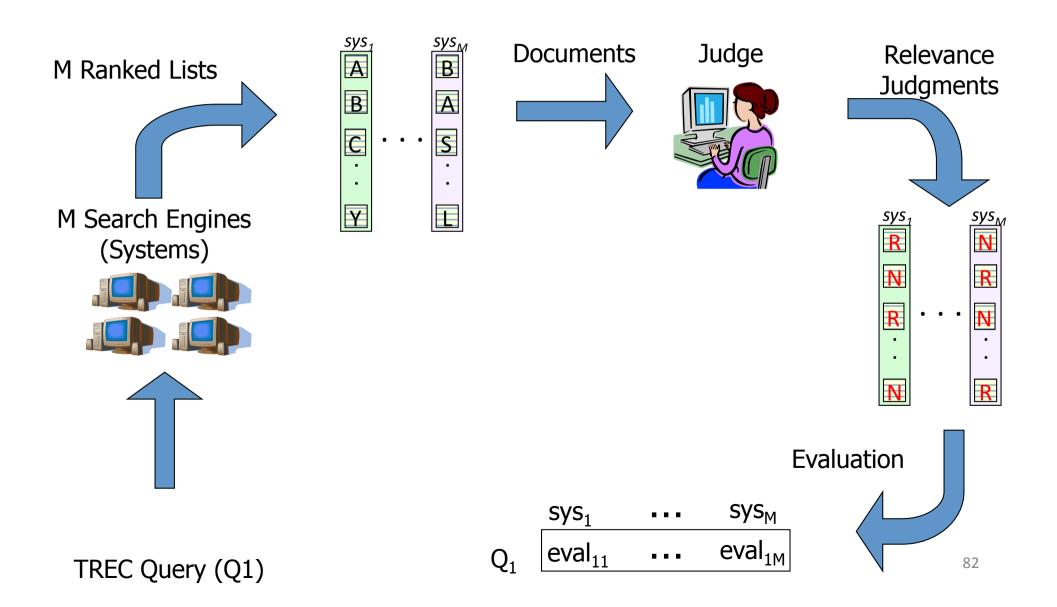


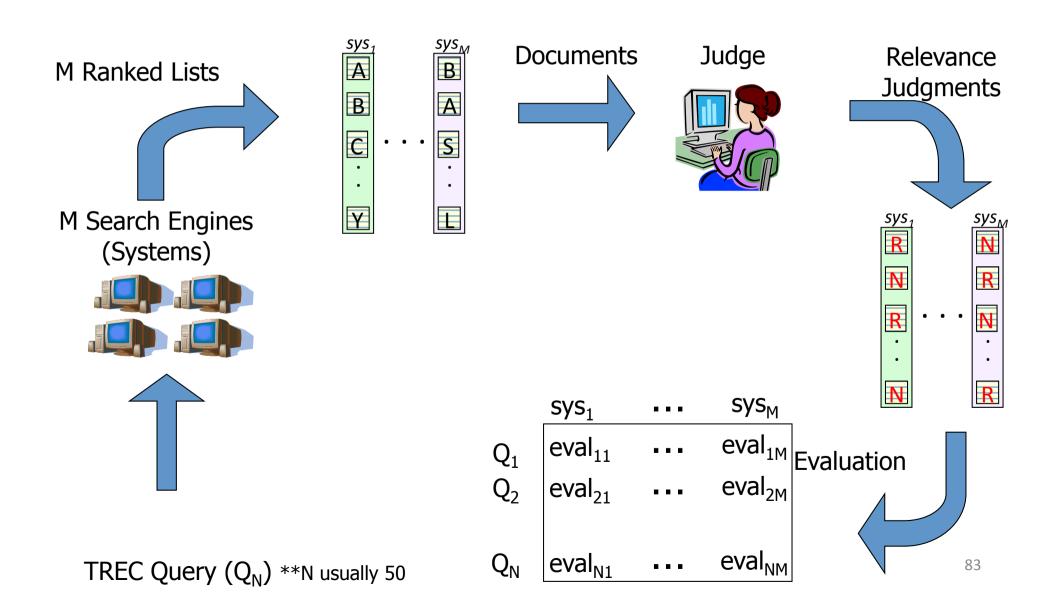












#### Depth-100 pooling

- Many missing relevant documents [Harman NIST96]
  - In small collection missing relevant docs do not affect the ranking of systems [Zobel SIGIR98]
  - In larger collections pooling is inadequate to identify "interesting" relevant docs [Buckley et al SIGIR06]
    - Most judged relevant docs simply contain query terms
- Still expensive
  - TREC 1 8 test collections [Voorhees and Harman NIST99]
    - 50 topics, depth-100 pooling => 73,000 judgments
    - 30 sec per judgment => 75 labor days
  - Preferably more than 50 queries (?)

#### Test Collection Design

- What corpus?
- What queries?
- What judgments?
  - How many documents per topic?
  - How many assessors per document?

#### Inconsistency among Assessors

- Evaluation robust to assessors' inconsistency
  - Variation in assessments although high did not affect the relative ranking of systems [Lesk and Salton JACM68, Cleverdon CLR70, Voorhees SIGIR98]
  - Consistency of judgments against the rank of documents [Lesk and Salton JACM68, Voorhees IPM00, Sanderson CIKM98]
- Evaluation not robust when assessors vary in task and topic expertise [Bailey et al. SIGIR08]

#### Today's Outline

- Different evaluation methods
  - Interactive, on-line, off-line
- Off-line evaluation
- Basic measures of effectiveness
- Test collections
  - Judgment Effort

#### TREC 1992

Text REtrieval
...to encourage r
from large text

Ove

Publications

Information

for Active

**Participants** 

**Tracks** 

Past TR

Results

http://trec.nis

Year \$2009) 1991 -\$753 1992 -\$713 1993 -\$6741994 -\$1.5221995 -\$1,2821996 -\$2,1291997 -\$61 1998 -\$1,7391999 -\$1.848ons 2000 -\$1.8442001 -\$1.544requently 2002 -\$2,173Asked 2003 -\$1.880uestions 2004 -\$1.634-\$2,1432005 2006 -\$1.7882007 -\$1,6682008 -\$1,9822009 -\$1,671-\$29,046Total \_3.jpg

Total TREC Investment Costs

(thousands

 create test collections for a set of retrieval tasks

standardize evaluation measures

#### NIST Text REtrieval Conference (TREC)

#### **Economic Impact Survey:**

"...responsible for approximately *one-third of an improvement* of more than 200% in web search products that was observed between 1999 and 2009."

"...total extrapolated benefits were over **\$153 million** for private, academic, and nonprofit organizations"

#### Company

Amazon

AOL

Apple

Ask

AT&T Bell Laboratories

Autonomy

**Boeing Company** 

Cirrus Logic

CL Research

Clearwell Systems Inc.

Cleary Gottlieb Steen & Hamilton

Google

Harris Corporation

Hewlett-Packard

IBM

Language Computer Corporation

LCC International

Lucid Imagination

Microsoft

Omniture

Oracle Corp.

Progress Software Corp.

SABIR Research

Sapient Corporation

Sun Microsystems

Texas Instruments

The Echo Nest

Thomson Reuters Corporation

VIStology, Inc.

Xerox

Yahoo

# Many thanks to Mark Sanderson @RMIT, for many of the introductory slides