

# Abstracting Abstract Machines

David Van Horn  
Northeastern University  
dvanhorn@ccs.nyu.edu

Matthew Might  
University of Utah  
might@cs.utah.edu

# What is an Abstract Machine?

An idealized, low-level model of an interpreter.  
Typically: a first-order state transition system

$$S \mapsto S'$$

where each transition is a unit-cost operation.

Examples: Landin's SECD, Felleisen & Friedman's CEK,  
Krivine's machine, ...

Non-examples: compositional evaluation function.

# What is an Abstract Interpreter?

A computable approximation to an interpreter.

Typically: a sound approximation of intensional properties of program execution.

Examples: Shivers' kCFA, ...

Sort of non-examples: constraint-based analyses, type inference, ...

# Expressions

Expr  $e ::= x \mid \lambda x. e \mid e e$

# Values

Den  $d ::= \langle \lambda x. e, \rho \rangle$

# Continuations

Cont  $K ::= mt \mid ar(e, \rho, K) \mid fn(d, K)$

Continuations represent evaluation contexts (inside out)

$E ::= [] \mid (E e) \mid (d E)$

$[] \approx mt$

$E[([] e)] \approx ar(e, \rho, K)$

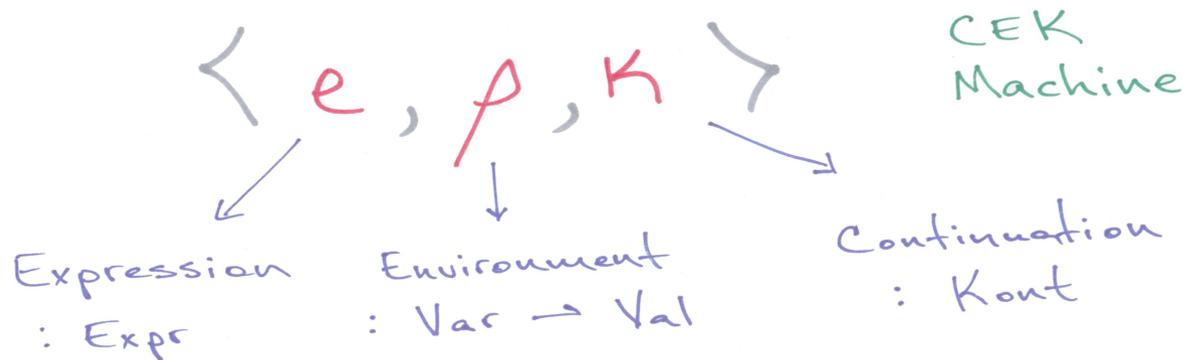
where  $K \approx E$  and  $\rho$  closes  $e$ .

$E[(d [])] \approx fn(d, K)$

where  $K \approx E$ .

# States

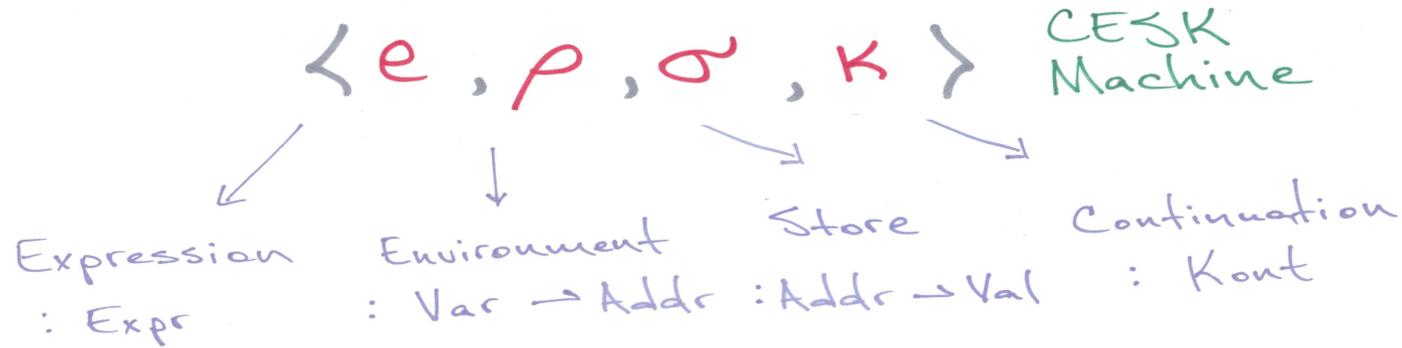
$s ::= \langle e, \rho, \kappa \rangle \mid \langle d, \kappa \rangle$



$\langle x, \rho, \kappa \rangle$	$\mapsto$	$\langle d, \kappa \rangle$ where $d = \rho(x)$
$\langle \lambda x. e, \rho, \kappa \rangle$	$\mapsto$	$\langle \langle \lambda x. e, \rho \rangle, \kappa \rangle$
$\langle e_0 e_1, \rho, \kappa \rangle$	$\mapsto$	$\langle e_0, \rho, \text{arg}(e_1, \rho, \kappa) \rangle$
$\langle d, \text{arg}(e, \rho, \kappa) \rangle$	$\mapsto$	$\langle e, \text{fn}(d, \kappa) \rangle$
$\langle d', \text{fn}(d, \kappa) \rangle$	$\mapsto$	$\langle e, \rho[x \mapsto d'] \rangle$ where $d = \langle \lambda x. e, \rho \rangle$

# States

$s ::= \langle e, \rho, \sigma, \kappa \rangle \mid \langle d, \sigma, \kappa \rangle$



$$\langle x, \rho, \sigma, \kappa \rangle \mapsto \langle d, \sigma, \kappa \rangle \text{ where } d = \sigma(\rho(x))$$

$$\langle \lambda x. e, \rho, \sigma, \kappa \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, \kappa \rangle$$

$$\langle e_0 e_1, \rho, \sigma, \kappa \rangle \mapsto \langle e_0, \rho, \sigma, \text{arg}(e_1, \rho, \kappa) \rangle$$

$$\langle d, \sigma, \text{arg}(e, \rho, \kappa) \rangle \mapsto \langle e, \sigma, \text{fn}(d, \kappa) \rangle$$

$$\langle d', \sigma, \text{fn}(d, \kappa) \rangle \mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], \kappa \rangle$$

where  $d = \langle \lambda x. e, \rho \rangle$   
 $a \notin \text{dom}(\sigma)$

# States

$$s ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$$

$\langle e, \rho, \sigma, a \rangle$  CEJK\* Machine

Expression : Expr  
 Environment : Var  $\rightarrow$  Addr  
 Store : Addr  $\rightarrow$  Val  
 Continuation pointer : Addr

$$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle \text{ where } d = \sigma(\rho(x))$$

$$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, a \rangle$$

$$\langle e_0 e_1, \rho, \sigma, a \rangle \mapsto \langle e_0, \rho, \sigma', a' \rangle$$

$$\sigma' = \sigma[a' \mapsto \text{ar}(e_1, \rho, a)] \quad a' \notin \text{dom}(\sigma)$$

$$\langle d, \sigma, a \rangle$$

$$\text{where } \sigma(a) = \text{ar}(e, \rho, a')$$

$$\mapsto \langle e, \rho, \sigma', a'' \rangle$$

$$\sigma' = \sigma[a'' \mapsto \text{fn}(d, a)] \quad a'' \notin \text{dom}(\sigma)$$

$$\mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], a \rangle$$

$$\langle d', \sigma, a \rangle$$

$$\text{where } \sigma(a) = \text{fn}(d, a')$$

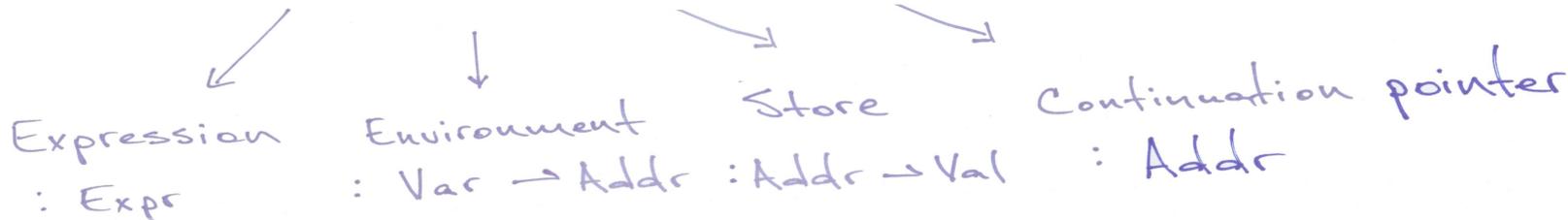
$$\text{where } d = \langle \lambda x. e, \rho \rangle$$

$$a \notin \text{dom}(\sigma)$$

# States

$$s ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$$

$\langle e, \rho, \sigma, a \rangle$  CEJK\* Machine



$$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle \text{ where } d = \sigma(\rho(x))$$

$$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, a \rangle$$

$$\langle e_0 e_1, \rho, \sigma, a \rangle \mapsto \langle e_0, \rho, \sigma', a' \rangle$$

$$\sigma' = \sigma[a' \mapsto \text{ar}(e_1, \rho, a)] \quad a' = \text{alloc}(s)$$

$$\langle d, \sigma, a \rangle$$

$$\text{where } \sigma(a) = \text{ar}(e, \rho, a')$$



$$\langle e, \rho, \sigma', a'' \rangle$$

$$\sigma' = \sigma[a'' \mapsto \text{fn}(d, a)] \quad a'' = \text{alloc}(s)$$

$$\langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], a \rangle$$

$$\text{where } d = \langle \lambda x. e, \rho \rangle$$

$$\langle d', \sigma, a \rangle$$

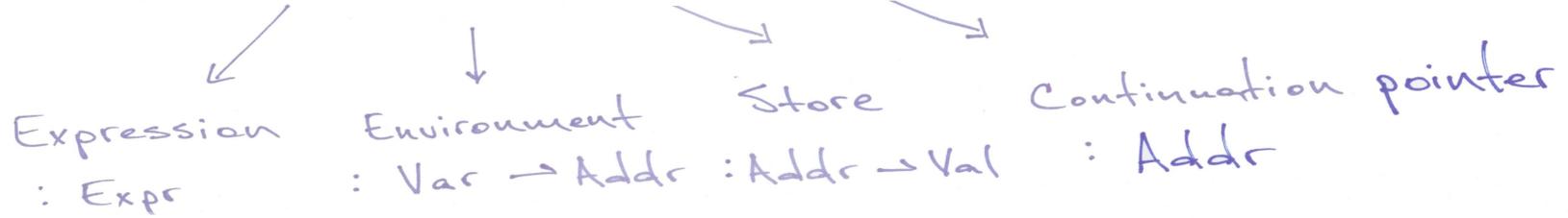
$$\text{where } \sigma(a) = \text{fn}(d, a')$$

$$a = \text{alloc}(s)$$

# States

$$\hat{s} ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$$

$$\langle e, \rho, \sigma, a \rangle \quad \widehat{\text{CEJK}^* \text{ Machine}}$$



$$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle \text{ where } d \ni \sigma(\rho(x))$$

$$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, a \rangle$$

$$\langle e_0 e_1, \rho, \sigma, a \rangle \mapsto \langle e_0, \rho, \sigma', a' \rangle$$

$$\sigma' = \sigma \sqcup [a' \mapsto \text{ar}(e_1, \rho, a)] \quad a' = \widehat{\text{alloc}}(s)$$

$$\langle d, \sigma, a \rangle \mapsto \langle e, \rho, \sigma', a'' \rangle$$

$$\text{where } \sigma(a) \ni \text{ar}(e, \rho, a') \quad \sigma' = \sigma \sqcup [a'' \mapsto \text{fn}(d, a)] \quad a'' = \widehat{\text{alloc}}(s)$$

$$\mapsto \langle e, \rho[x \mapsto a], \sigma \sqcup [a \mapsto d'], a \rangle$$

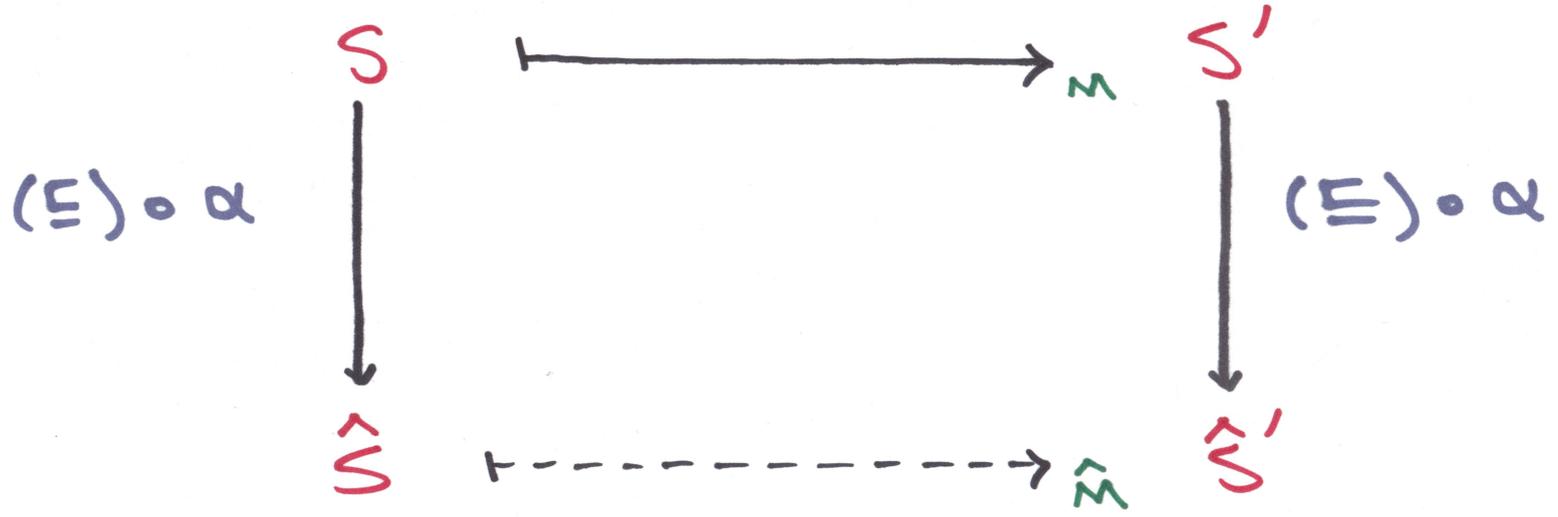
$$\text{where } d = \langle \lambda x. e, \rho \rangle$$

$$\langle d', \sigma, a \rangle$$

$$\text{where } \sigma(a) \ni \text{fn}(d, a')$$

$$a = \widehat{\text{alloc}}(s)$$

# Soundness



# Preprint Redex models

## Abstracting Abstract Machines

<http://lambda-calcul.us/aam/preprint.pdf>

<http://lambda-calcul.us/aam/redex/>

THE END

Thank You