

Abstracting Abstract Machines

Storing & Stacking Continuations

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What is an Abstract Machine?

An idealized, low-level model of an interpreter.

Typically: a first-order state transition system

$$S \xrightarrow{} S'$$

where each transition is a unit-cost operation.

Examples: Landin's SECD, Felleisen & Friedman's CEK,
Krivine's machine, ...

Non-examples: compositional evaluation function.

What is an Abstract Interpreter?

A computable approximation to an interpreter.

Typically: a sound approximation of intensional properties of program execution.

Examples: Shivers' kCFA, ...

Sort of non-examples: constraint-based analyses, type inference, ...

Storing:

store-allocate continuations & bindings
in a bounded store for finite state-space
abstractions.

Van Horn, Might
Abstracting Abstract Machines
ICFP, 2010

Stacking:

store-allocate bindings, but keep continuations
on the stack for infinite state-space
abstractions.

Earl, Might, Van Horn
Push-down control-flow of higher-order programs
SFP, 2010

PART I:

Storing

Expressions

Expr $e ::= x \mid \lambda x. e \mid ee$

Values

Den $d ::= \langle \lambda x. e, p \rangle$

Continuations

Cont $K ::= mt \mid ar(e, p, K) \mid fn(d, K)$

Continuations represent evaluation contexts (inside out)

$E ::= [] \mid (E\ e) \mid (d\ E)$

$[] \approx mt$

$E([(] e)] \approx ar(e, p, K)$

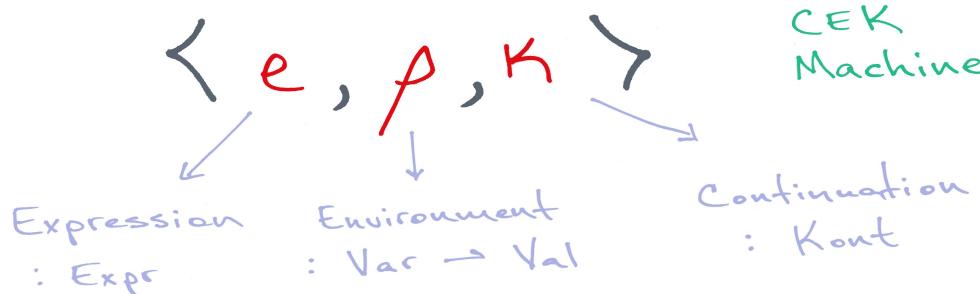
where $K \approx E$ and p closes e .

$E[(d\ [])] \approx fn(d, K)$

where $K \approx E$.

States

$s ::= \langle e, p, K \rangle \mid \langle d, K \rangle$



$$\langle x, p, K \rangle \mapsto \langle d, K \rangle \text{ where } d = p(x)$$

$$\langle \lambda x. e, p, K \rangle \mapsto \langle \langle \lambda x. e, p \rangle, K \rangle$$

$$\langle e_0 e_1, p, K \rangle \mapsto \langle e_0, p, \text{ar}(e_1, p, K) \rangle$$

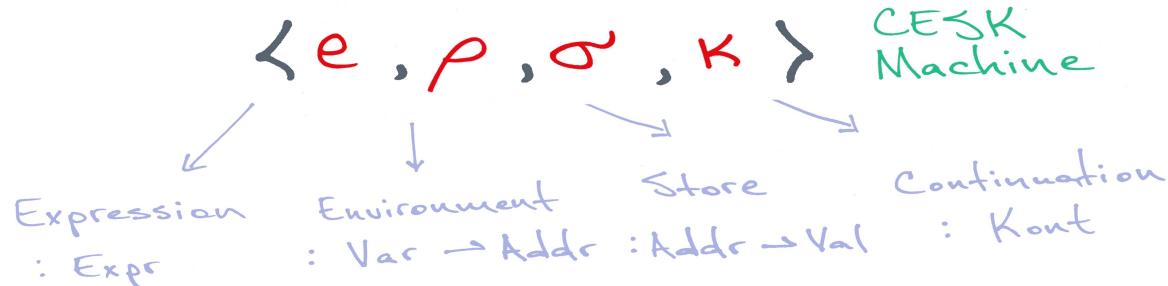
$$\langle d, \text{ar}(e, p, K) \rangle \mapsto \langle e, \text{fn}(d, K) \rangle$$

$$\langle d', \text{fn}(d, K) \rangle \mapsto \langle e, p[x \mapsto d'], K \rangle$$

where $d = \langle \lambda x. e, p \rangle$

States

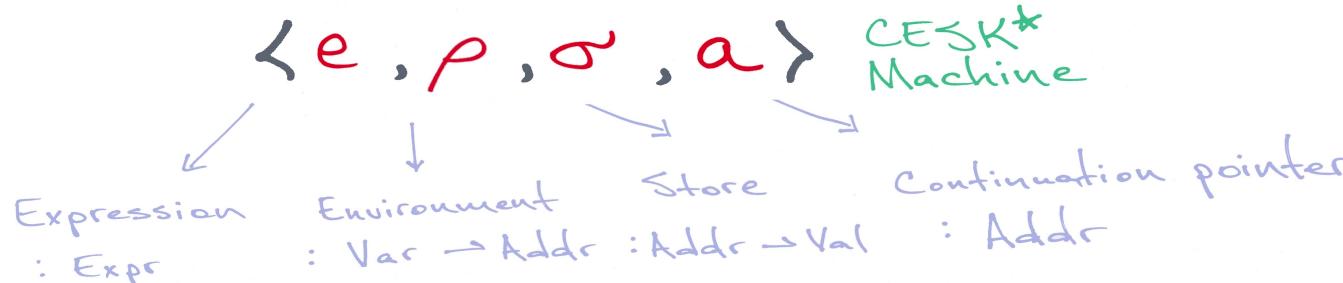
$$s ::= \langle e, \rho, \sigma, K \rangle \mid \langle d, \sigma, K \rangle$$



- $\langle x, \rho, \sigma, K \rangle \mapsto \langle d, \sigma, K \rangle$ where $d = \sigma(\rho(x))$
 $\langle \lambda x. e, \rho, \sigma, K \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, K \rangle$
 $\langle e_0 e_1, \rho, \sigma, K \rangle \mapsto \langle e_0, \rho, \sigma, \text{ar}(e_1, \rho, K) \rangle$
 $\langle d, \sigma, \text{ar}(e, \rho, K) \rangle \mapsto \langle e, \sigma, \text{fn}(d, K) \rangle$
 $\langle d', \sigma, \text{fn}(d, K) \rangle \mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], K \rangle$
where $d = \langle \lambda x. e, \rho \rangle$
 $a \notin \text{dom}(\sigma)$

States

$s ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$



$$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle \text{ where } d = \sigma(\rho(x))$$

$$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle x. e, \rho \rangle, \sigma, a \rangle$$

$$\begin{aligned} \langle e_0 e_1, \rho, \sigma, a \rangle &\mapsto \langle e_0, \rho, \sigma', a' \rangle \\ &\quad \sigma' = \sigma[a' \mapsto \text{ar}(e_1, \rho, a)] \quad a' \notin \text{dom}(\sigma) \end{aligned}$$

$$\langle d, \sigma, a \rangle$$

$$\text{where } \sigma(a) = \text{ar}(e, \rho, a')$$

$$\langle d', \sigma, a \rangle$$

$$\text{where } \sigma(a) = \text{fn}(d, a')$$

$$\begin{aligned} \langle e, \rho, \sigma', a'' \rangle &\mapsto \sigma' = \sigma[a'' \mapsto \text{fn}(d, a)] \quad a'' \notin \text{dom}(\sigma) \\ \langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], a \rangle &\quad \text{where } d = \langle \lambda x. e, \rho \rangle \\ &\quad a \notin \text{dom}(\sigma) \end{aligned}$$

States

$s ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$

$\langle e, \rho, \sigma, a \rangle$ CESK*
 Machine

Expression : Expr Environment : $\text{Var} \rightarrow \text{Addr}$ Store : $\text{Addr} \rightarrow \text{Val}$ Continuation pointer : Addr

$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle$ where $d = \sigma(\rho(x))$

$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, a \rangle$

$\langle e_0 e_1, \rho, \sigma, a \rangle \mapsto \langle e_0, \rho, \sigma', a' \rangle$
 $\sigma' = \sigma[a' \mapsto \text{ar}(e_1, \rho, a)]$ $a' = \text{alloc}(s)$

$\langle d, \sigma, a \rangle$

where $\sigma(a) = \text{ar}(e, \rho, a')$

$\langle d', \sigma, a \rangle$

where $\sigma(a) = \text{fn}(d, a')$

$\mapsto \langle e, \rho, \sigma', a'' \rangle$

$\mapsto \sigma' = \sigma[a'' \mapsto \text{fn}(d, a)]$ $a'' = \text{alloc}(s)$

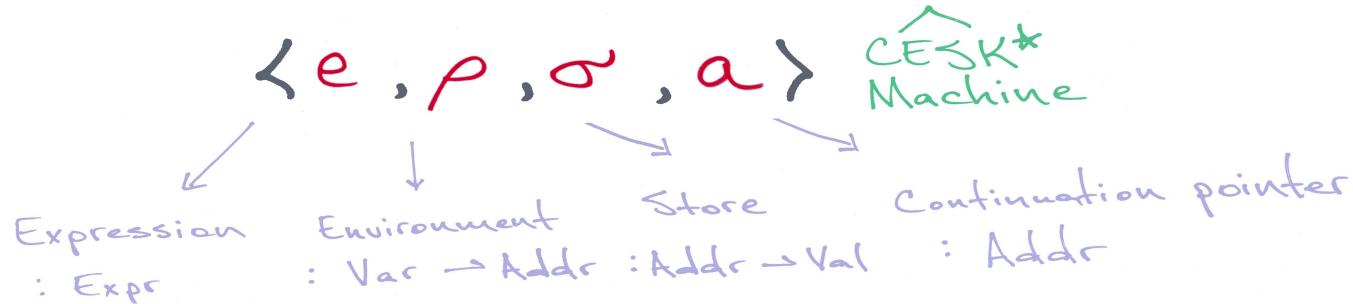
$\mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto d'], a \rangle$

where $d = \langle \lambda x. e, \rho \rangle$

$a = \text{alloc}(s)$

States

$\hat{s} ::= \langle e, \rho, \sigma, a \rangle \mid \langle d, \sigma, a \rangle$



$$\langle x, \rho, \sigma, a \rangle \mapsto \langle d, \sigma, a \rangle \text{ where } d \ni \sigma(p(x))$$

$$\langle \lambda x. e, \rho, \sigma, a \rangle \mapsto \langle \langle \lambda x. e, \rho \rangle, \sigma, a \rangle$$

$$\begin{aligned} \langle e_0 e_1, \rho, \sigma, a \rangle &\mapsto \langle e_0, \rho, \sigma', a' \rangle \\ \sigma' = \sigma \cup [a' \mapsto ar(e_1, \rho, a)] & \quad a' = \widehat{\text{alloc}}(s) \end{aligned}$$

$$\langle d, \sigma, a \rangle$$

where $\sigma(a) \ni ar(e, \rho, a')$

$$\begin{aligned} &\mapsto \langle e, \rho, \sigma', a'' \rangle \\ \sigma' = \sigma \cup [a'' \mapsto ar(d, a)] & \quad a'' = \widehat{\text{alloc}}(s) \end{aligned}$$

$$\mapsto \langle e, \rho[x \mapsto a], \sigma \cup [a \mapsto d'], a \rangle$$

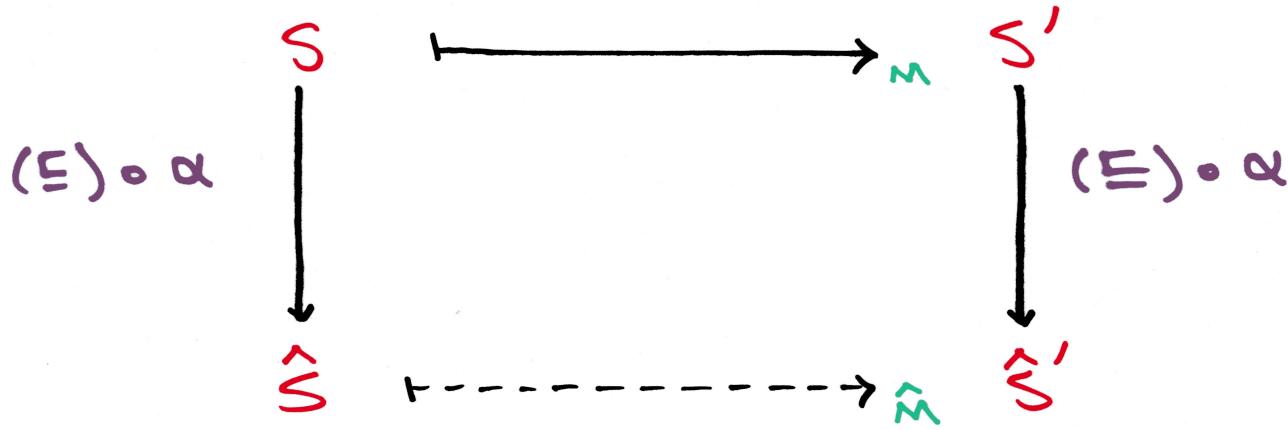
$$\langle d', \sigma, a \rangle$$

where $\sigma(a) \ni fn(d, a')$

where $d = \langle \lambda x. e, \rho \rangle$

$a = \widehat{\text{alloc}}(s)$

Soundness



Extends to:

- State

Felleisen, Friedman

A calculus for assignments
in higher-order languages
POPL, 1987

- Exceptions

- First-class control

- Garbage collection

Felleisen, Findler, Flatt

Semantics Engineering with PLT Redex

MIT Press, 2009

- Laziness

Ager, Danvy, Midgaard

A functional correspondence between
call-by-need evaluators + lazy abstract machines
Information Processing Letters, 2004

- Stack-inspection

Clements, Felleisen

A tail-recursive machine with stack-inspection
ACM Trans. Program. Lang. Syst., 2004

Future work:

- Blame analysis

Meunier, Findler, Felleisen

Modular set-based analysis from contracts

Principles of Programming Languages, 2006

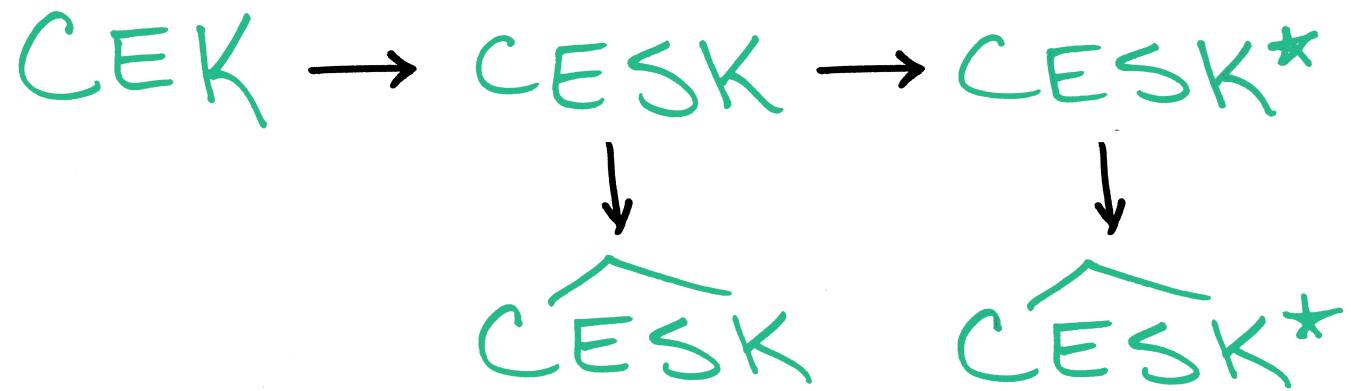
PART II:

Stacking

$\text{CEK} \rightarrow \text{CESK} \rightarrow \text{CESK}^*$

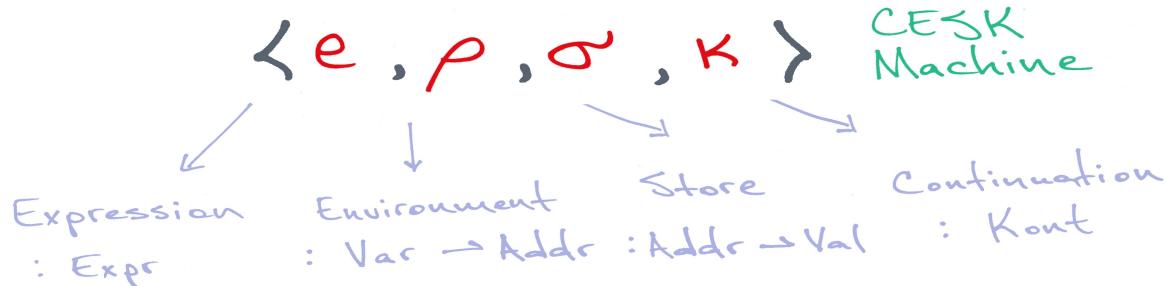
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$\widehat{\text{CESK}^*}$



States

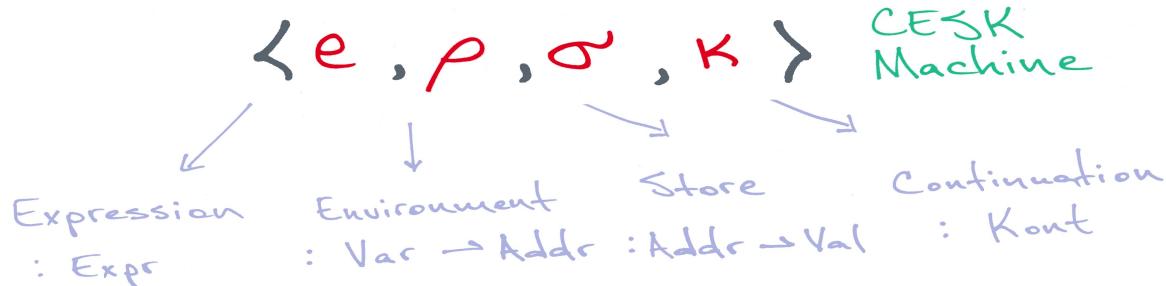
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- $\langle x, \rho, \sigma, K \rangle \mapsto \langle d, \sigma, K \rangle$ where $d = \sigma(\rho(x))$
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 $a \notin \text{dom}(\sigma)$

States

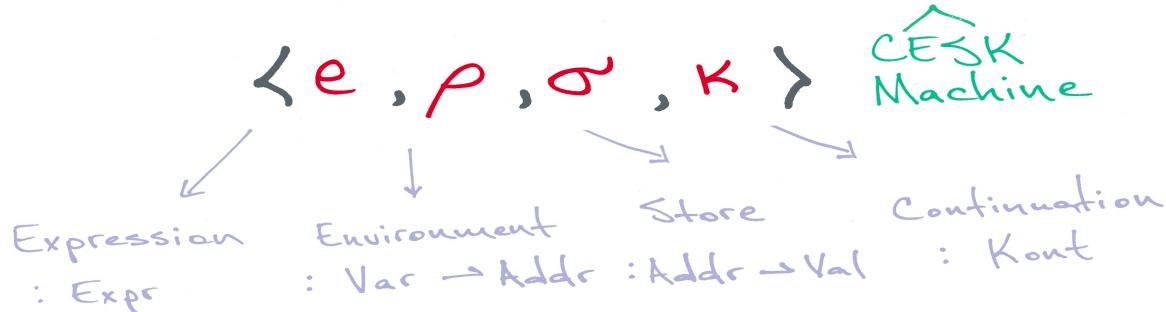
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States

$\hat{s} ::= \langle e, \rho, \sigma, K \rangle \mid \langle d, \sigma, K \rangle$



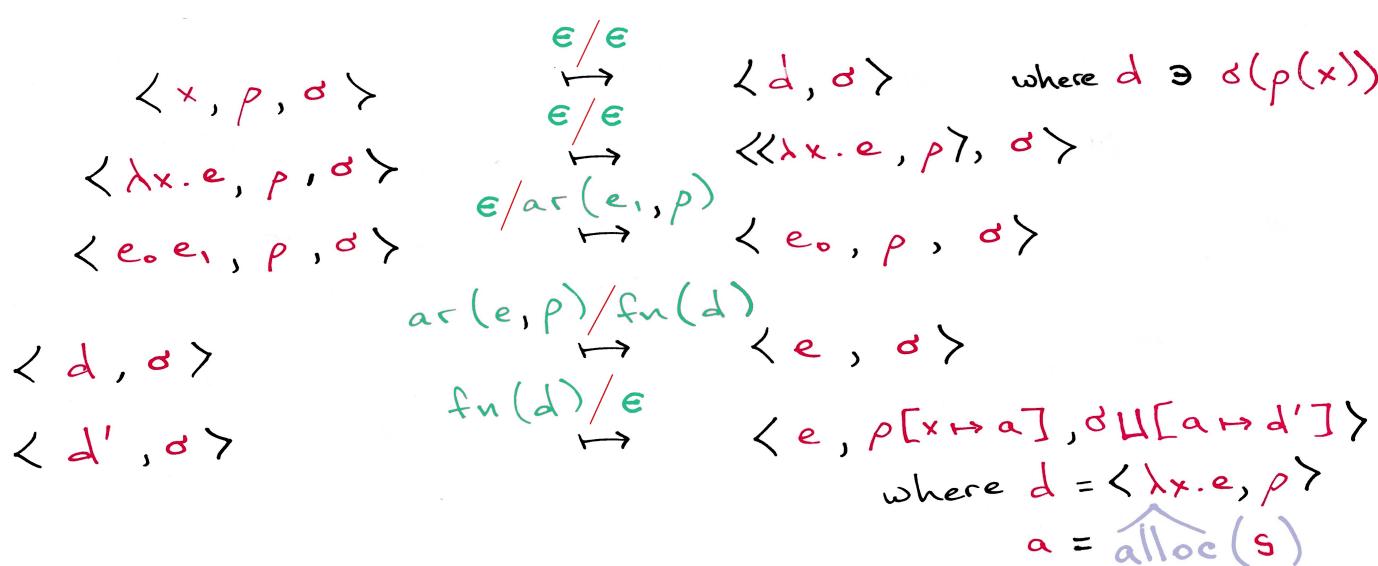
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| $\langle x, \rho, \sigma, K \rangle$ | \mapsto | $\langle d, \sigma, K \rangle$ where $d \ni \sigma(\rho(x))$ |
| $\langle \lambda x. e, \rho, \sigma, K \rangle$ | \mapsto | $\langle \langle \lambda x. e, \rho \rangle, \sigma, K \rangle$ |
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| $\langle d', \sigma, \text{fn}(d, K) \rangle$ | \mapsto | $\langle e, \rho[x \mapsto a], \sigma \sqcup [a \mapsto d'], K \rangle$
where $d = \langle \lambda x. e, \rho \rangle$
$a = \widehat{\text{alloc}}(s)$ |

States

$\langle e, \rho, \sigma \rangle$

Stack alphabet

$\text{ar}(e, \rho) \mid \text{fn}(d)$



THE END

Thank You

