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# Environmental Acquisition Revisited

Richard Cobbe and Matthias Felleisen

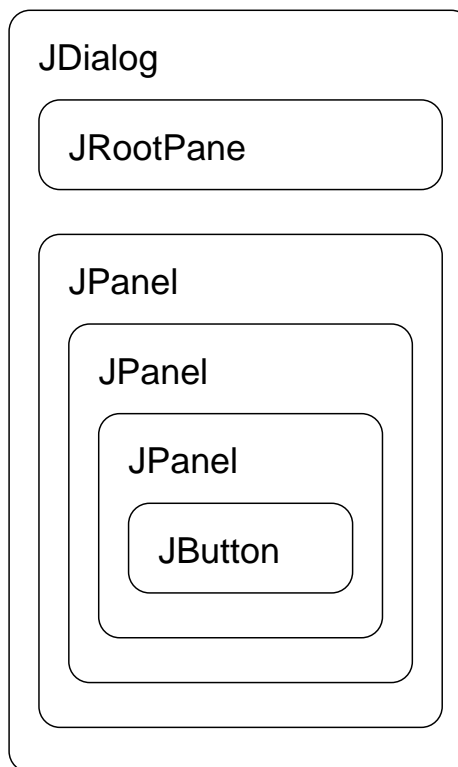
Northeastern University

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# What is Acquisition?

# Example: Swing Containers

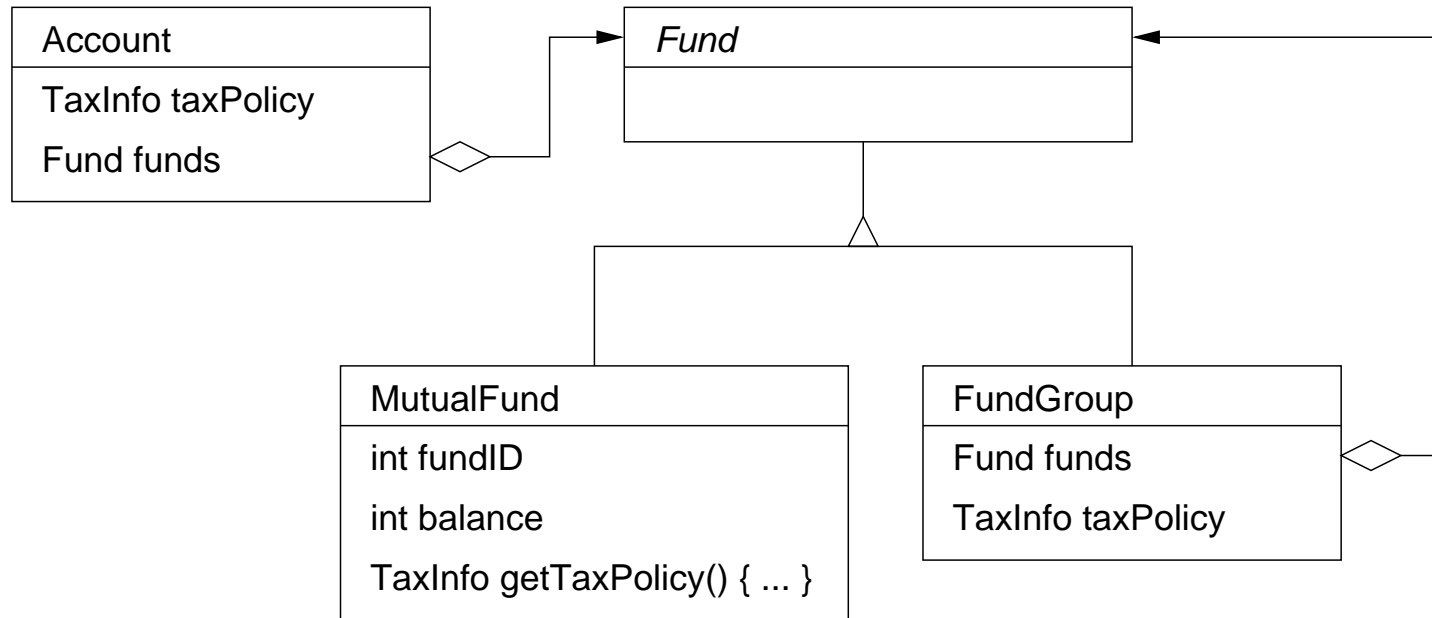
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JRootPane located only at top level

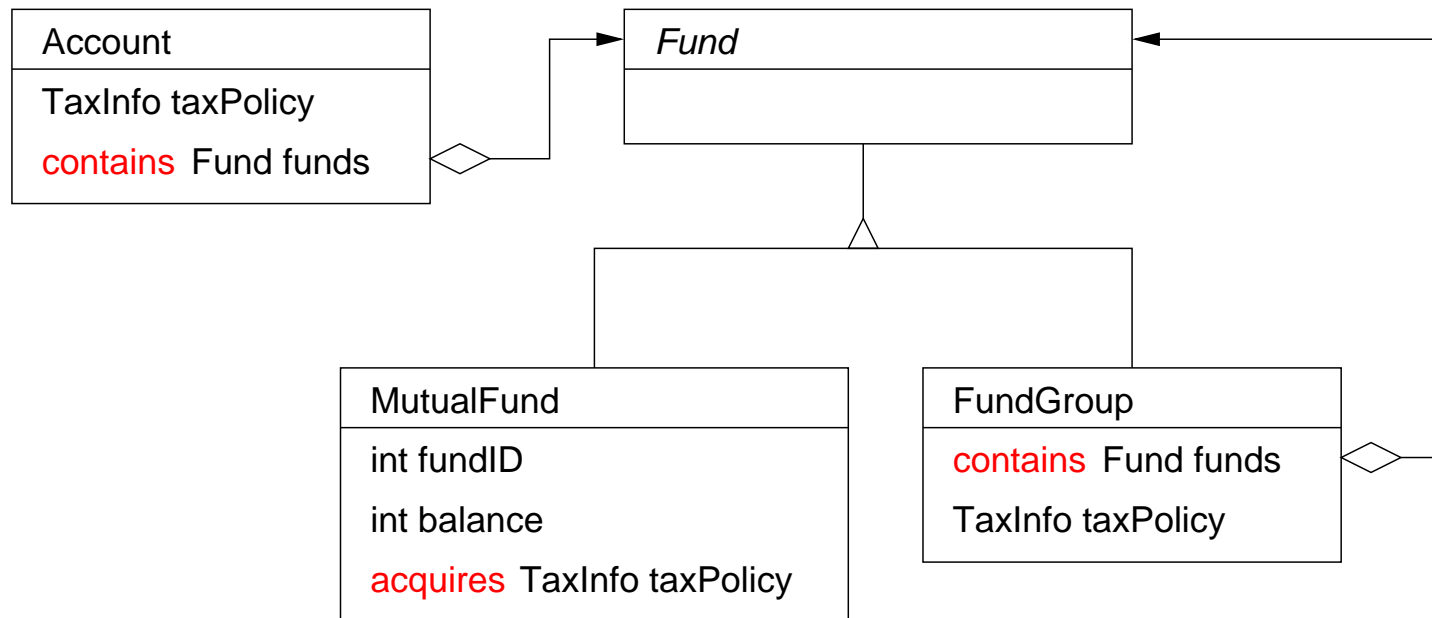
JButton must chase pointers to access root pane

# Example: Financial Application



Operations on *Funds* must know tax policy

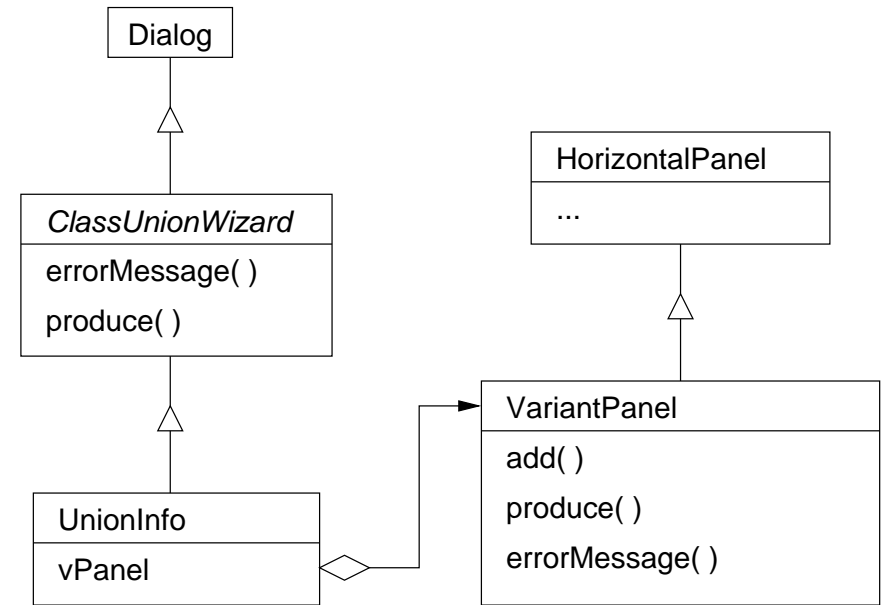
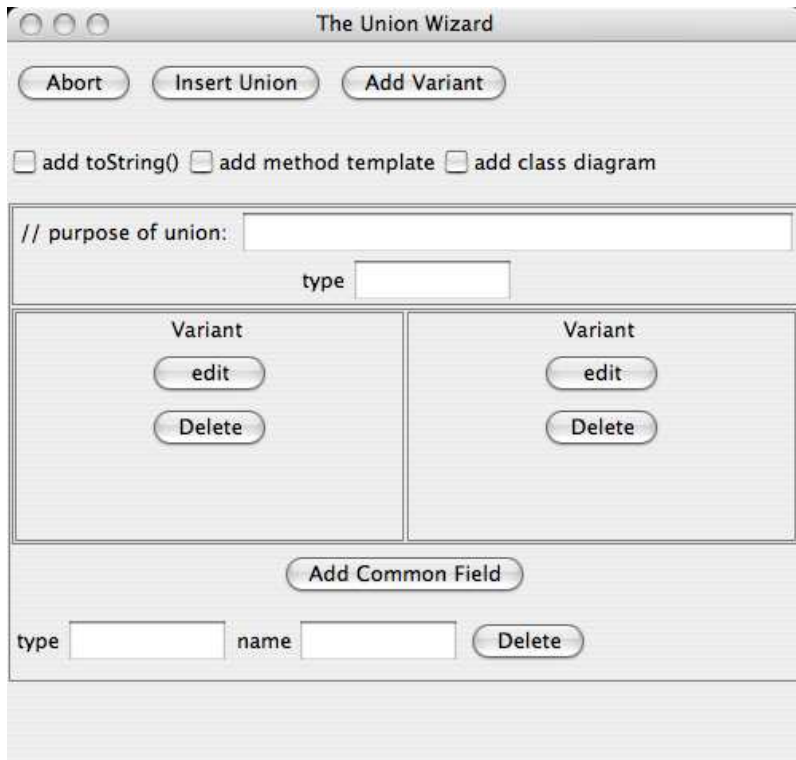
# Example: Financial Application



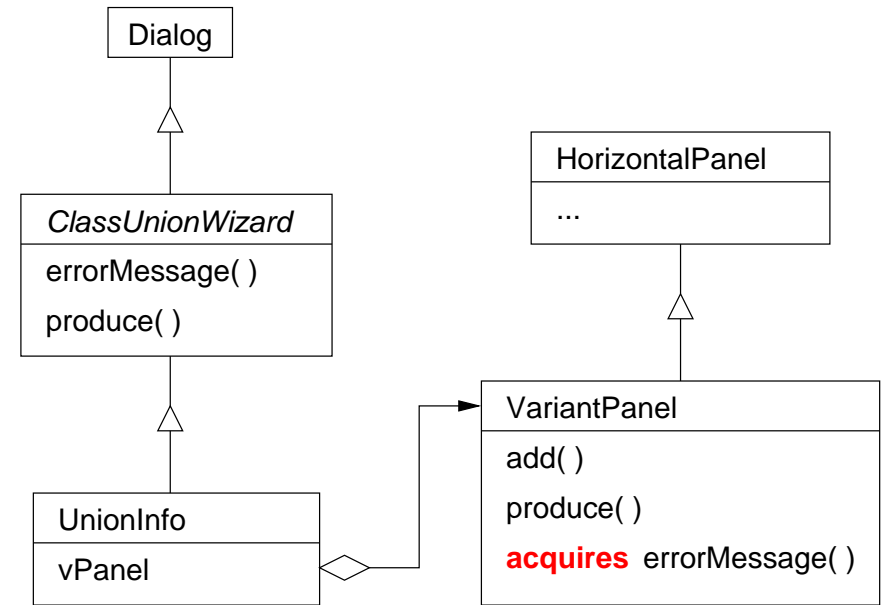
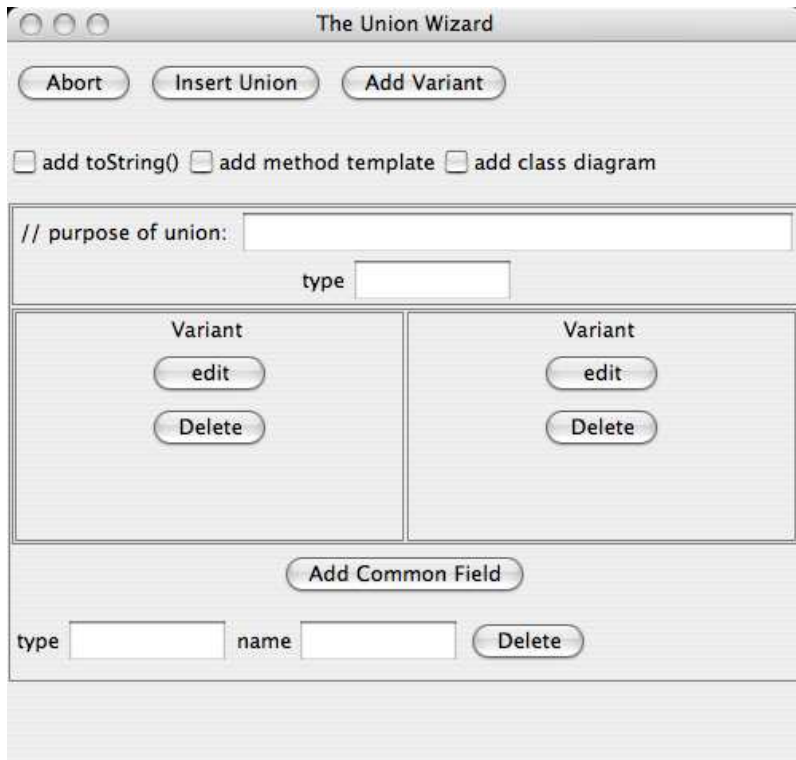
Operations on *Funds* must know tax policy

With acquisition, no longer need to maintain and chase parent refs

# Example: IDE Wizard



# Example: Wizard with Acquisition



# Containment Invariants

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Invariants ensured by language support for acquisition:



# Containment Invariants

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Invariants ensured by language support for acquisition:

- Objects allow access to their containers

# Containment Invariants

---

Invariants ensured by language support for acquisition:

- Objects allow access to their containers
- Two-way links (or their analog) are consistent

# Restrictions on Acquisition

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- Limit object's "environment" to its containers
- Only specifically marked fields establish containment relationship
- An object may have at most one container
- Object containment cycles forbidden

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# *Jacques*: the Formal Model

# Jacques

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Based on *ClassicJava*, formal model of Java by Flatt, Krishnamurthi, and Felleisen (1998).

Supported features:

- core OO: classes, inheritance, method dispatch
- field assignment

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Supported features:

- core OO: classes, inheritance, method dispatch
- field assignment
- field and method acquisition
- explicit marks for “containment” fields
- list of possible containers in class definitions

# Wizard Example

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```
class UnionInfo extends ClassUnionWizard {  
    VariantPanel vPanel;  
    ...  
}
```

```
class VariantPanel extends HorizontalPanel {  
  
    Button editButton;  
    void add(...) { ... }  
    void produce(...) { ... }  
    void errorMessage(String msg) { ... }  
}
```

# Jacques: Wizard Example

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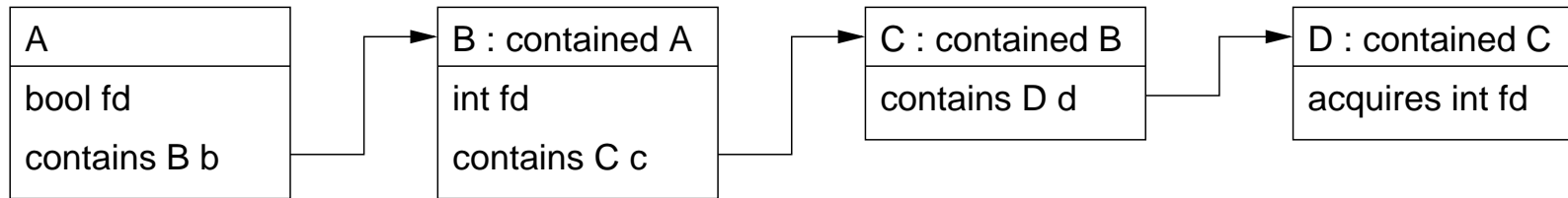
```
class UnionInfo extends ClassUnionWizard {  
    contains VariantPanel vPanel;  
    ...  
}
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class VariantPanel extends HorizontalPanel  
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    Button editButton;  
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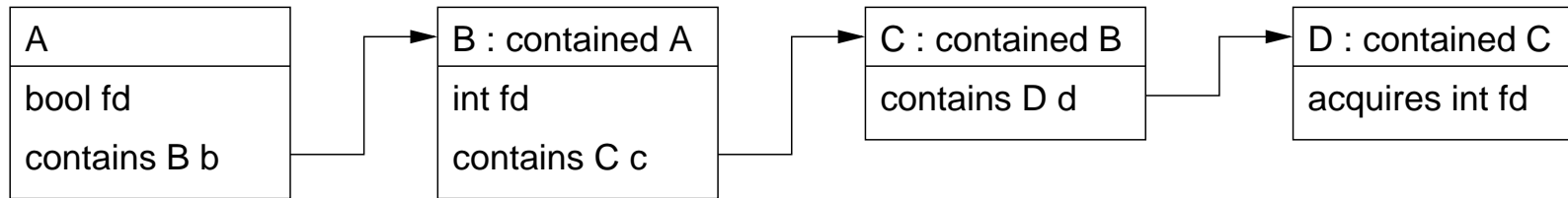
# Static Check I

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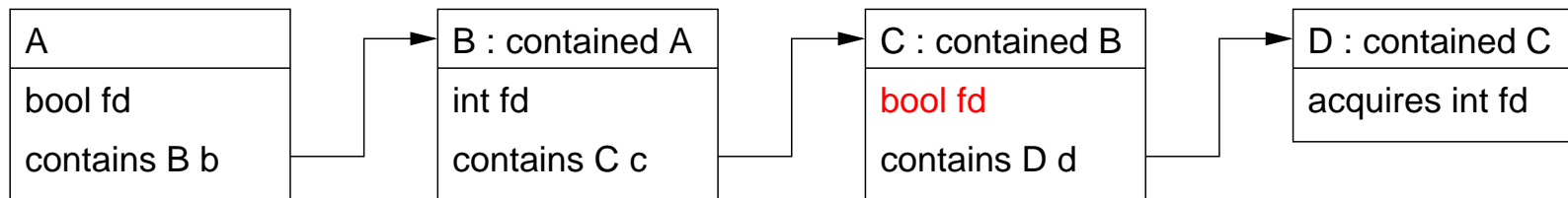


D acquires `fd` from B, and types match.

Program is well-typed.

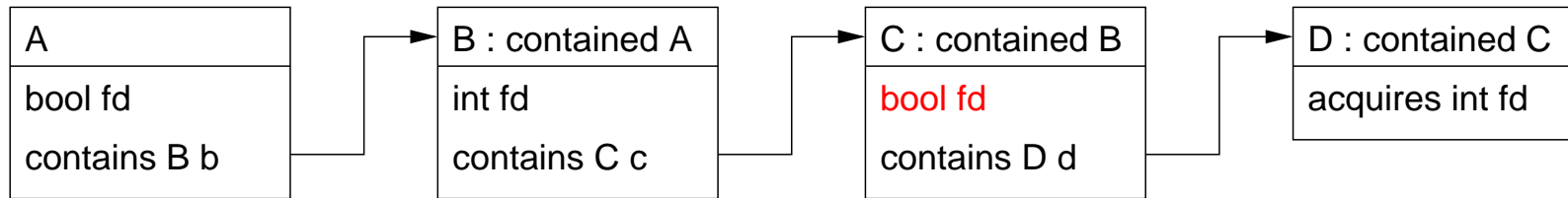
# Static Check II

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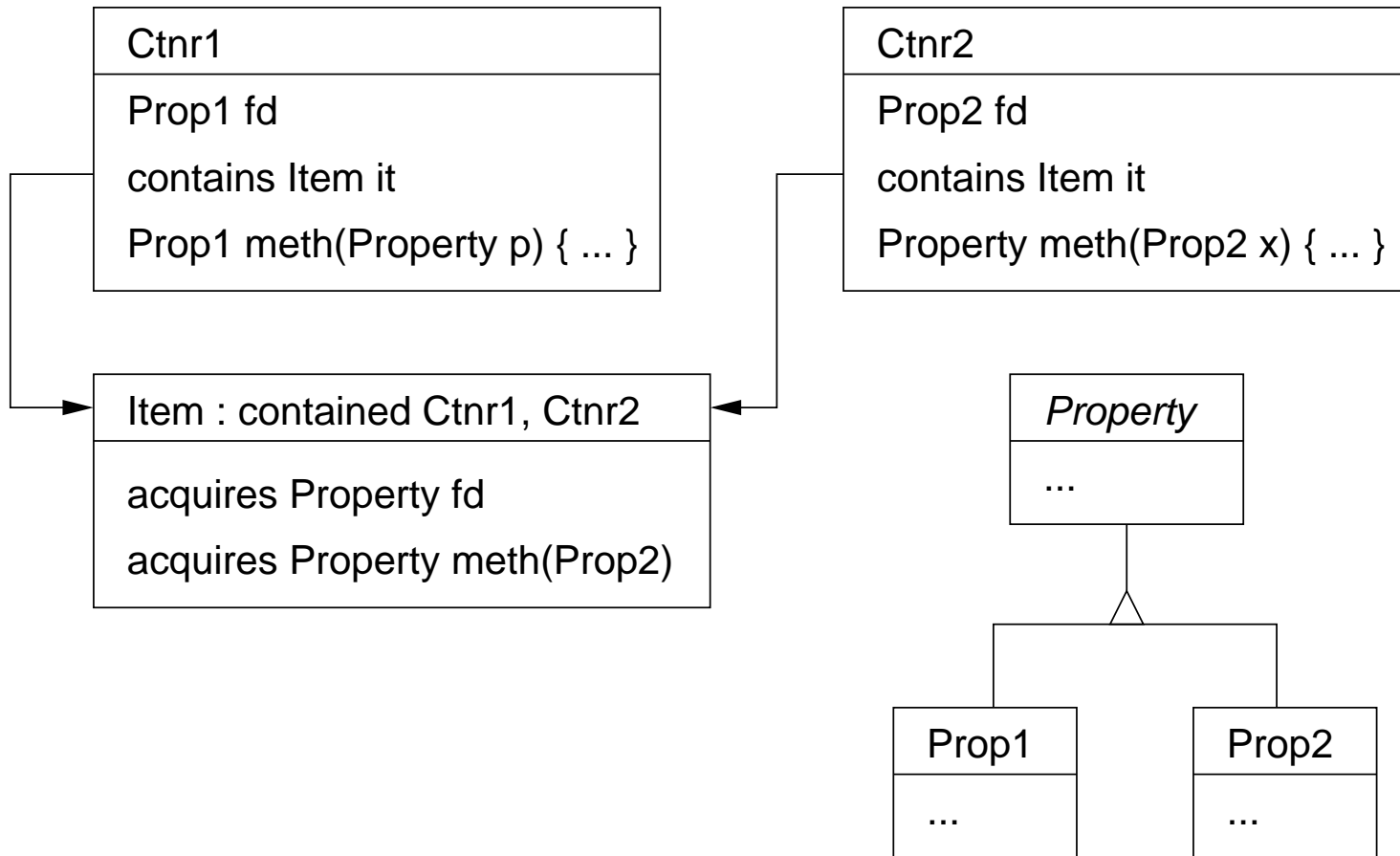
D acquires `fd` from C, and types are not compatible.

Program is **not** well-typed.

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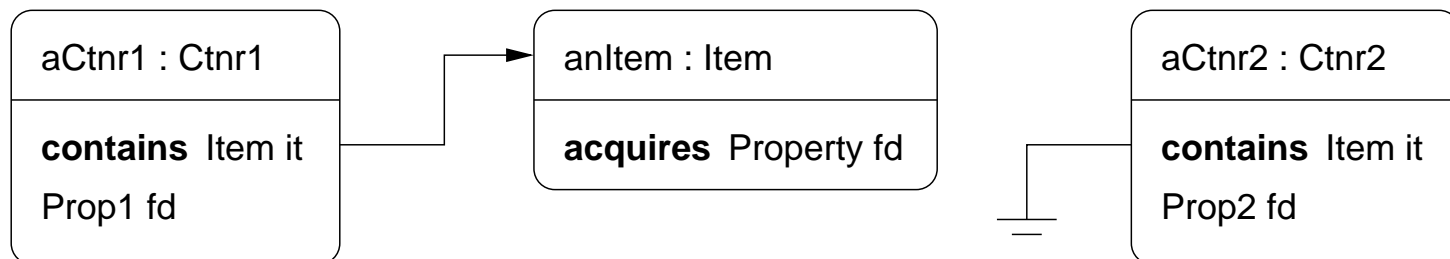
# Design Decisions

# Running Example



# Acquisition by Value and by Name

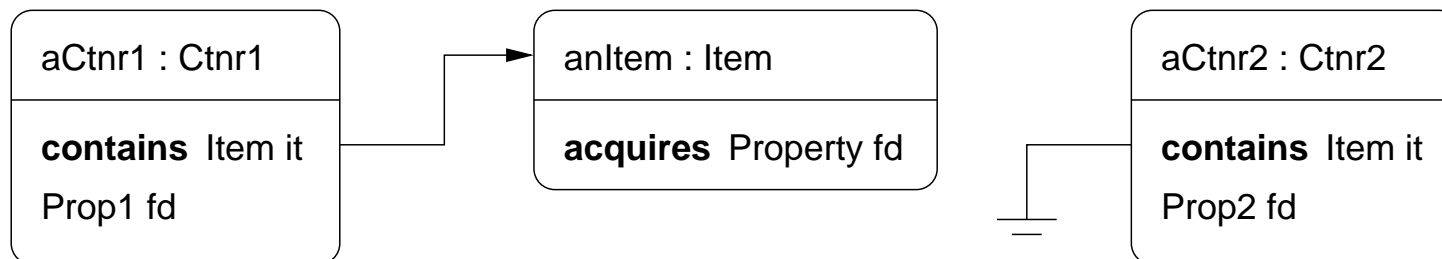
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When does `anItem` acquire `fd`'s value?

# Acquisition by Value and by Name

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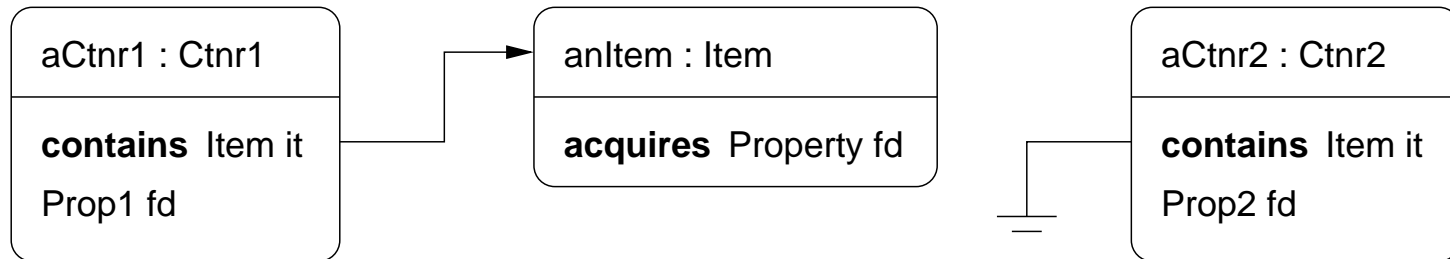
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- By value: when `anItem` is placed into `aCtnr1`.



# Acquisition by Value and by Name

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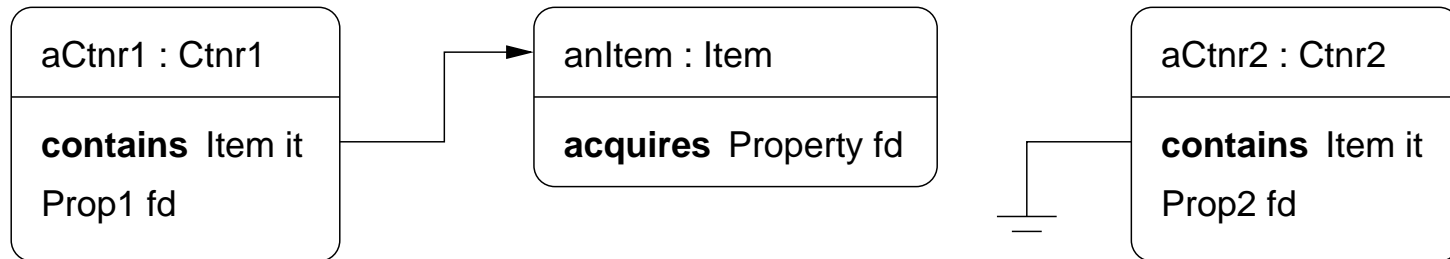


When does `anItem` acquire `fd`'s value?

- By value: when `anItem` is placed into `aCtnr1`.
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# Acquisition by Value and by Name

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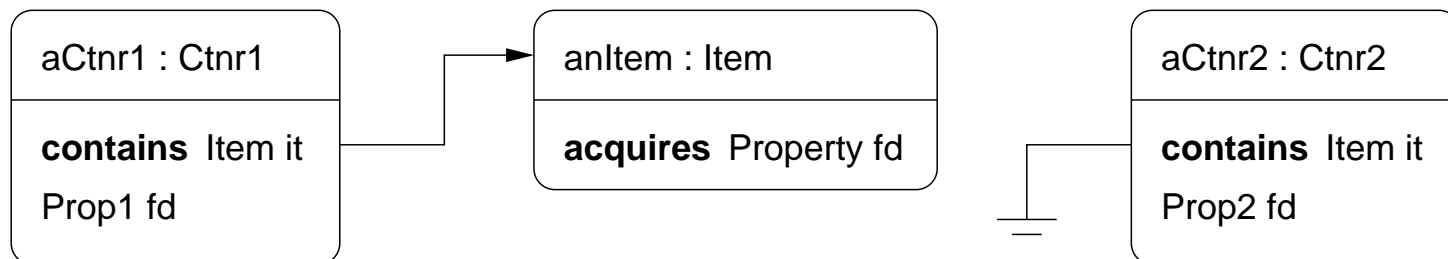
When does `anItem` acquire `fd`'s value?

- By value: when `anItem` is placed into `aCtnr1`.
- By name: when `anItem.fd` is referenced.

Both are sound; primarily affects visibility of assignments.

# Acquisition by Value and by Name

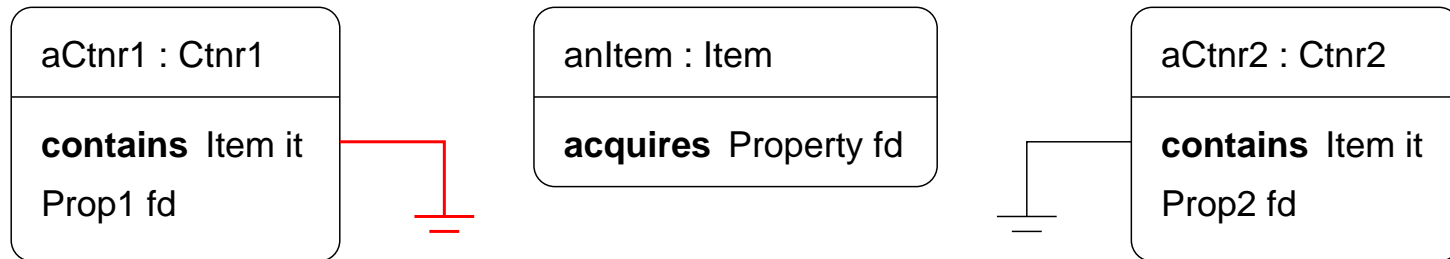
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Two questions with acquisition-by-value:

# Acquisition by Value and by Name

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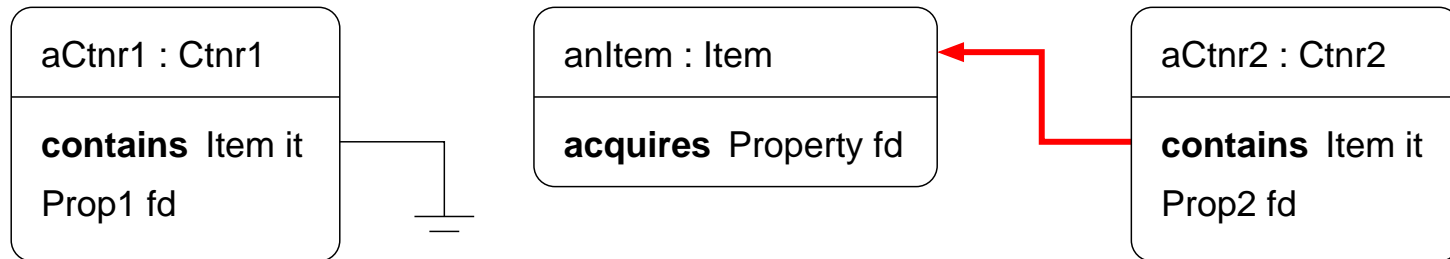


Two questions with acquisition-by-value:

1. `aCtnr1.it := null;`  
`anItem.fd`: previous value or undefined?

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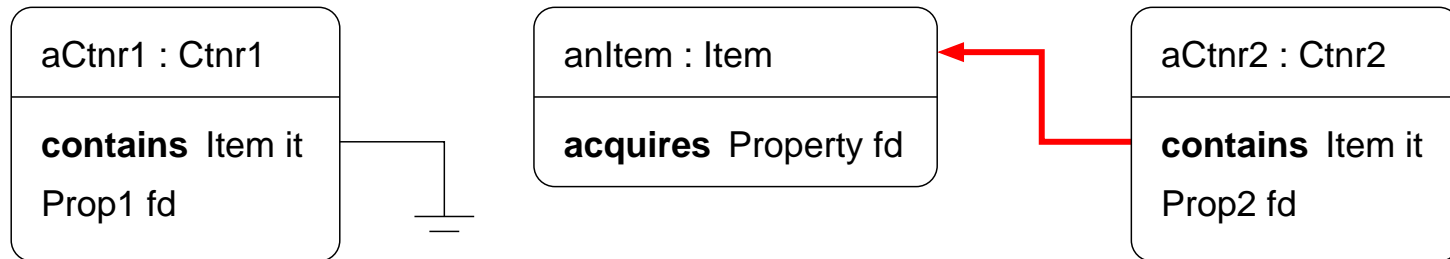


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`anItem.fd`: previous value, or value of `aCtnr2.fd`?

# Acquisition by Value and by Name

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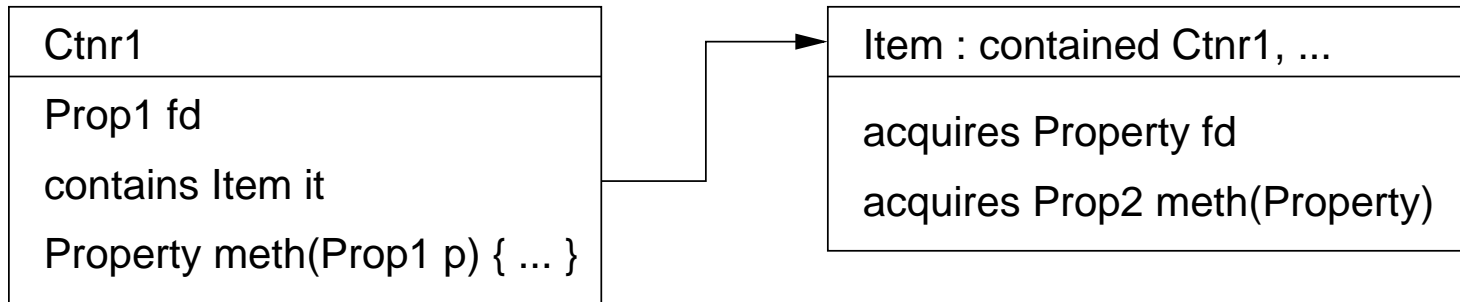
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We implement acquisition-by-name; it avoids both issues.

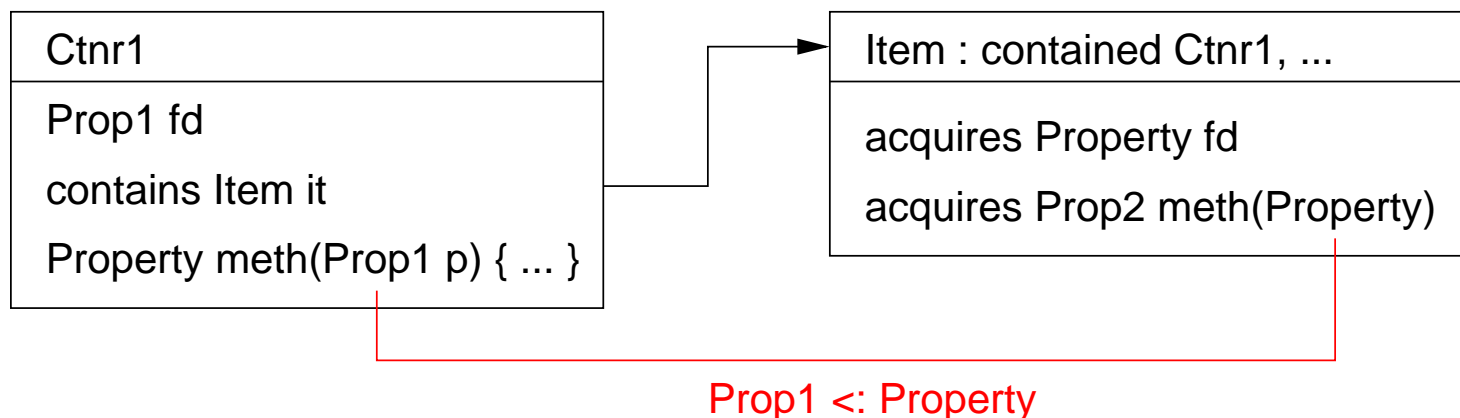
# Type Variance in Acquisition

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Gil and Lorenz claim that the above program is type-safe, because of normal method-type co/contravariance.

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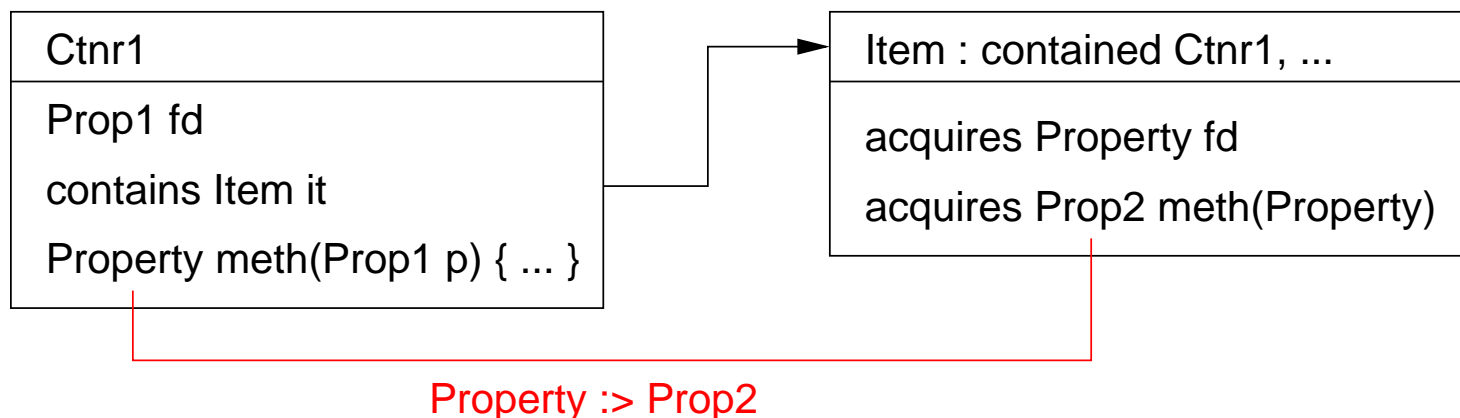


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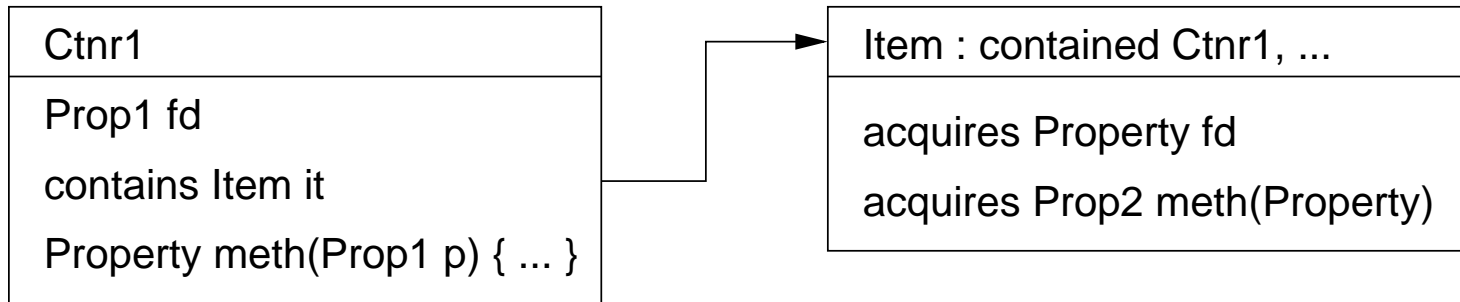
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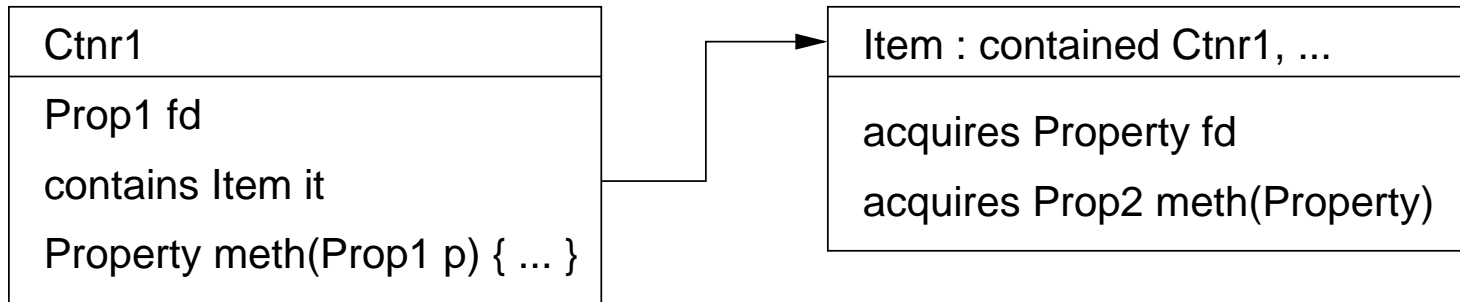


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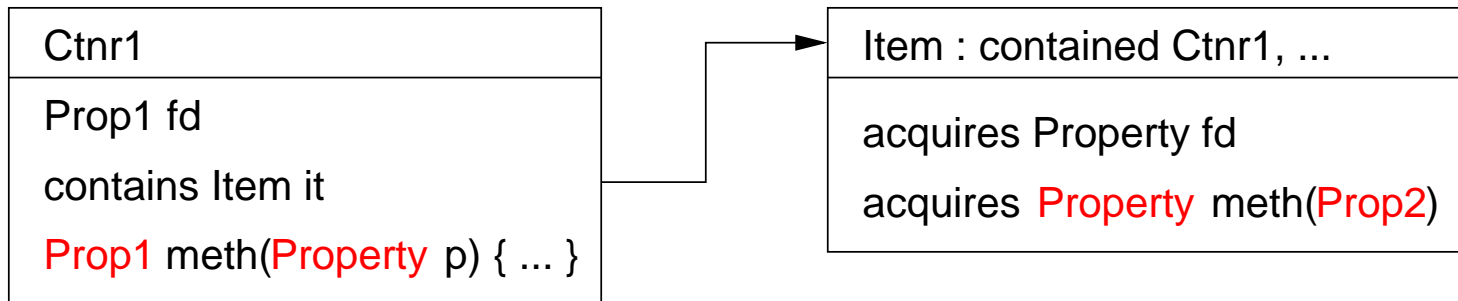
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**Unsafe!**

Co/contravariance don't apply.

# Type Variance in Acquisition

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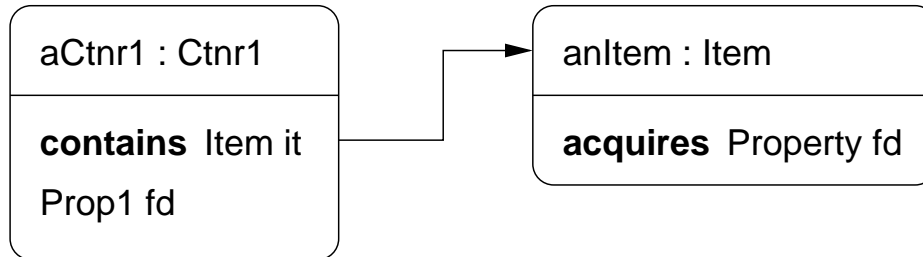


Variance is still possible.

Acquiring class may expect more *general* type.

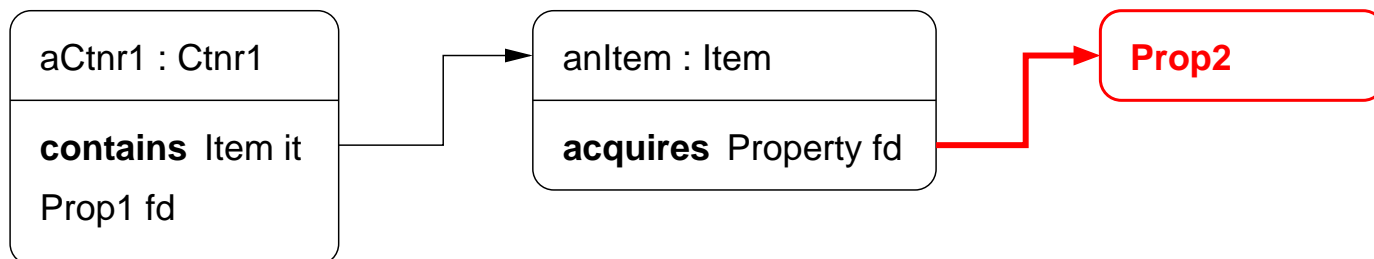
# Assignment to Acquired Fields

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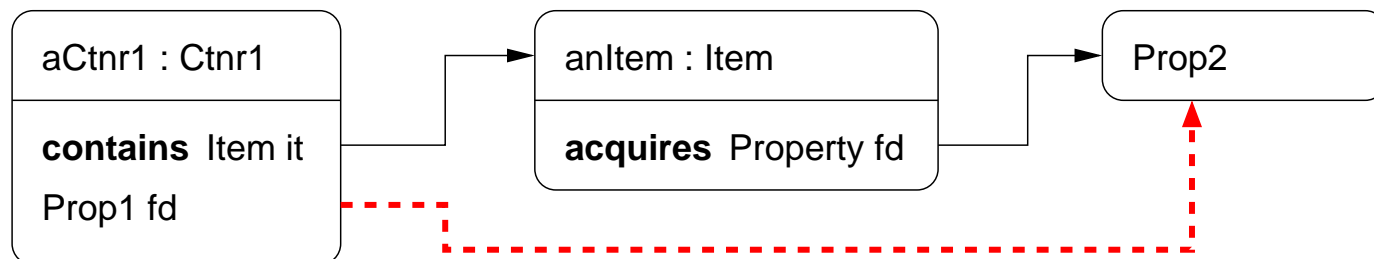
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In a naïve system, `anItem.fd := new Prop2()` type-checks.

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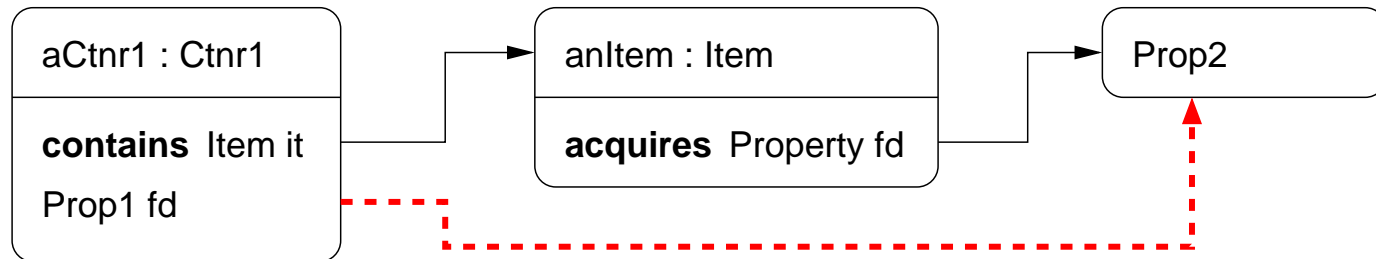


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But `anItem.fd` is an alias to `aCtnr1.fd`.

**Unsafe:** `aCtnr1.fd` is no longer a `Prop1`.



# Assignment to Acquired Fields

---

Three possible solutions:

1. Forbid subsumption on the right-hand side of assignments to acquired fields.

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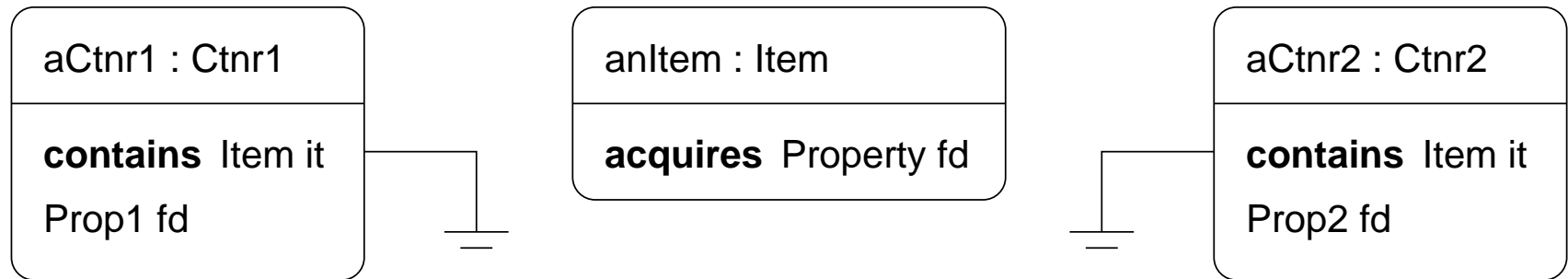
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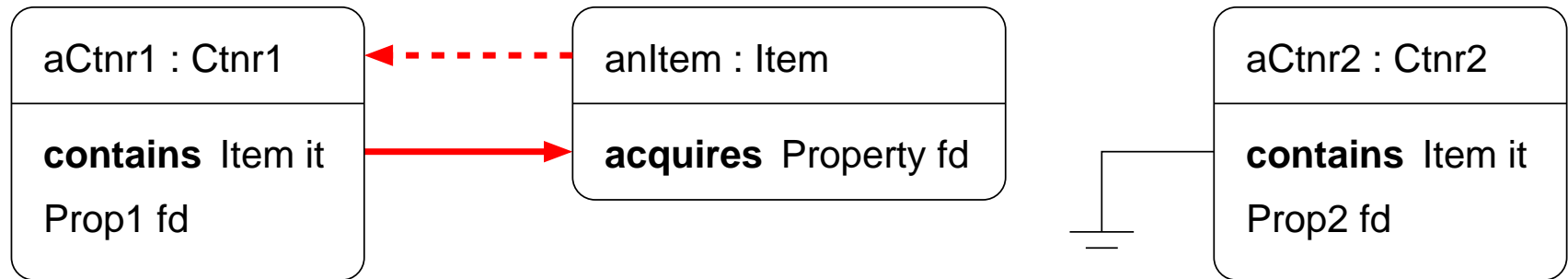
*Jacques* implements option 3: right balance between flexibility and safety.

# Changing Containers

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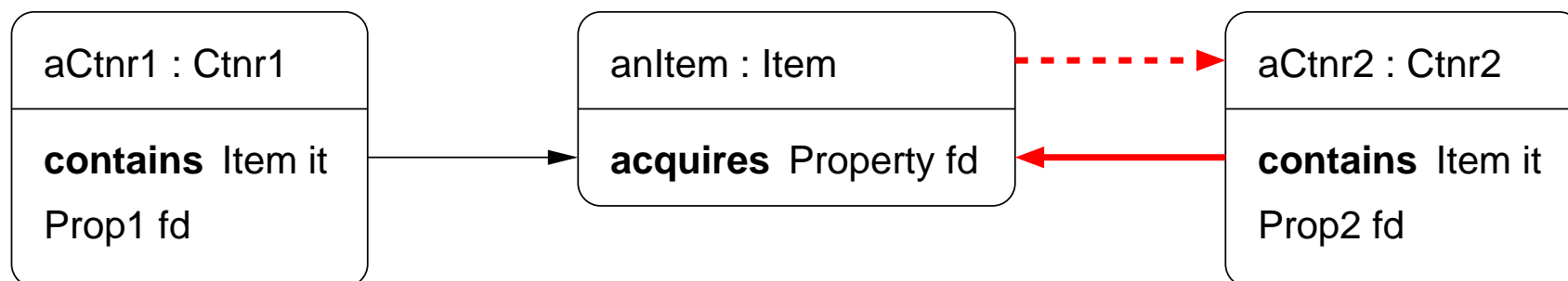
# Changing Containers



Assignment `aCtnr1.it := anItem` automatically updates hidden parent ref.



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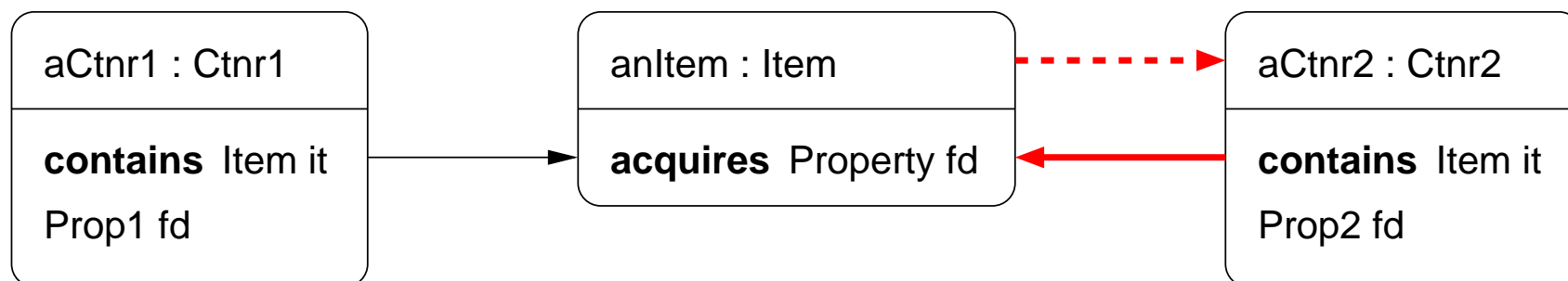


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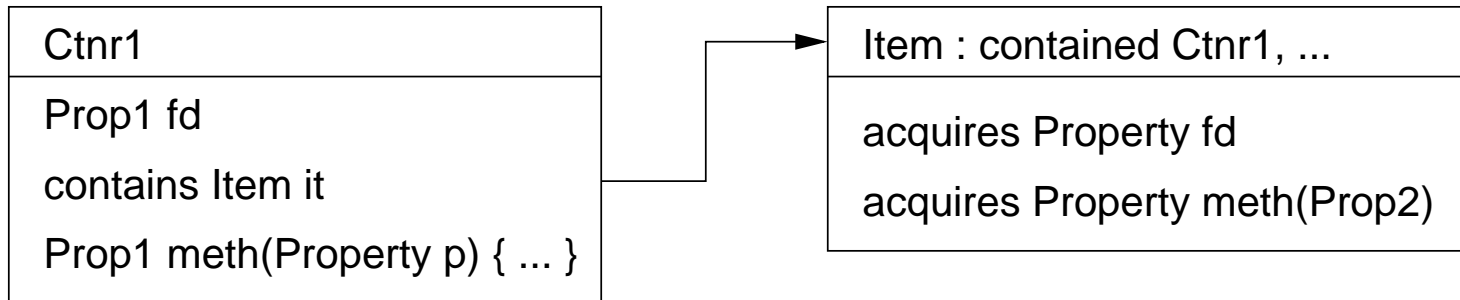
Can change existing containment tree: `aCtnr2.it := anItem`.

Violates two-way reference invariant.

So we forbid this assignment.

# Forwarding and Delegation

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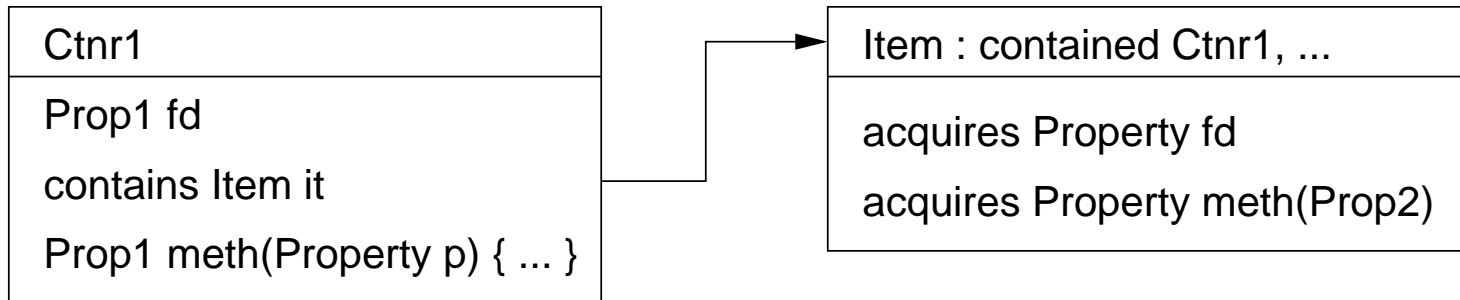


What is **this** when executing acquired method `anItem.meth(...)`?

- Delegation: **this** refers to acquiring object (`anItem`)
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What is **this** when executing acquired method `anItem.meth(...)`?

- Delegation: **this** refers to acquiring object (`anItem`)
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Delegation unsafe: body of `Ctnr1.meth` type-checked under assumption that **this** : `Ctnr1`.

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# Type Soundness

# Jacques Soundness

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If program  $P$  has type  $t$ , then evaluating  $P$  has one of the following results:

- The result is an object reference with the right type, or
- The result is **null**, or
- The program diverges, or
- The program halts with an error:
  - dereferenced **null**
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  - dereferenced **null**
  - bad cast
  - **incomplete context**
  - **object already contained**
  - **container cycle**

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# Conclusions



# Contributions

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We have placed demonstrated acquisition's technical feasibility and placed it on a firm theoretical foundation.

- We developed a formal model for reasoning about acquisition in the context of a Java-like language.
- We used the formal model to re-examine Gil & Lorenz's conclusions about type safety.
- We explored the interactions between acquisition and assignment.

# Future Work

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- Wider range of examples of acquisition.
- Practical experience: implement this and use it.
- More advanced type systems:
  - Can we infer list of possible containers for a class?
  - Can a resource-aware type system ensure that the “incomplete context” exception is never generated?

# Related Work

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Ownership types (Clarke *et al*):

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Ownership types (Clarke *et al*):

- Also constrain object containment—to limit object aliasing
- Could help us ensure no object has multiple containers
- But resulting constraints on aliasing too restrictive
- Cannot statically prevent “incomplete context” exceptions

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Thank you.  
cobbe@ccs.neu.edu