

# Logical Relations for Fine-Grained Concurrency (Technical Appendix)

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# 1 Language

## 1.1 Syntax

$$\begin{aligned}
\tau &::= \mathbf{1} \mid \mathbf{B} \mid \mathbf{N} \mid \alpha \mid \tau + \tau \mid \mathbf{ref}(\bar{\tau}) \mid \mathbf{ref}_?( \bar{\tau} ) \mid \mu\alpha.\tau \mid \forall\alpha.\tau \mid \tau \rightarrow \tau \\
\sigma &::= \mathbf{1} \mid \mathbf{B} \mid \mathbf{N} \mid \tau + \tau \mid \mathbf{ref}(\bar{\tau}) \mid \mathbf{ref}_?( \bar{\tau} ) \mid \mu\alpha.\sigma \\
e &::= () \mid \mathbf{true} \mid \mathbf{false} \mid \mathbf{if} \ e \ \mathbf{then} \ e \ \mathbf{else} \ e \mid n \mid e + e \mid x \mid \mathbf{rec} \ f(x).e \mid e \ e \mid \Lambda.e \mid e \_ \\
&\quad \mid \mathbf{null} \mid \mathbf{some}(e) \mid \mathbf{case}(e, \mathbf{null} \Rightarrow e, \mathbf{some}(x) \Rightarrow e) \mid \mathbf{inj}_i \ e \mid \mathbf{case}(e, \mathbf{inj}_1 \ x \Rightarrow e, \mathbf{inj}_2 \ y \Rightarrow e) \\
&\quad \mid \mathbf{new} \ \bar{e} \mid e[i] \mid e[i] := e \mid \mathbf{CAS}(e[i], e, e) \mid \ell \mid \mathbf{fork} \ e \\
v &::= \mathbf{rec} \ f(x).e \mid \Lambda.e \mid () \mid n \mid \mathbf{true} \mid \mathbf{false} \mid \ell \mid x \\
\Gamma &::= \cdot \mid \Gamma, x : \tau \\
\Delta &::= \cdot \mid \Delta, \alpha \\
\Omega &::= \Delta; \Gamma
\end{aligned}$$

**Note:** recursive types are required to be *productive*: the type variable must appear under a non- $\mu$  type constructor.

## 1.2 Type rules

$$\begin{array}{c}
\Omega \vdash () : \mathbf{1} \quad \Omega \vdash \mathbf{true} : \mathbf{B} \quad \Omega \vdash \mathbf{false} : \mathbf{B} \quad \Omega \vdash n : \mathbf{N} \quad \Omega, x : \tau \vdash x : \tau \\
\\
\frac{\Omega \vdash e : \mathbf{B} \quad \Omega \vdash e_i : \tau}{\Omega \vdash \mathbf{if} \ e \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2 : \tau} \quad \frac{\Omega, f : \tau' \rightarrow \tau, x : \tau' \vdash e : \tau}{\Omega \vdash \mathbf{rec} \ f(x).e : \tau' \rightarrow \tau} \\
\\
\frac{\Omega \vdash e : \tau' \rightarrow \tau \quad \Omega \vdash e' : \tau'}{\Omega \vdash e \ e' : \tau} \quad \Omega \vdash \mathbf{null} : \mathbf{ref}_?( \bar{\tau} ) \quad \frac{\Omega \vdash e : \mathbf{ref}(\bar{\tau})}{\Omega \vdash \mathbf{some}(e) : \mathbf{ref}_?( \bar{\tau} )} \\
\\
\frac{\Omega \vdash e : \mathbf{ref}_?( \bar{\tau} ) \quad \Omega \vdash e_1 : \tau \quad \Omega, x : \mathbf{ref}(\bar{\tau}) \vdash e_2 : \tau}{\Omega \vdash \mathbf{case}(e, \mathbf{null} \Rightarrow e_1, \mathbf{some}(x) \Rightarrow e_2) : \tau} \quad \frac{\Omega \vdash e : \tau_i}{\Omega \vdash \mathbf{inj}_i \ e : \tau_1 + \tau_2} \\
\\
\frac{\Omega \vdash e : \tau_1 + \tau_2 \quad \Omega, x : \tau_i \vdash e_i : \tau}{\Omega \vdash \mathbf{case}(e, \mathbf{inj}_1 \ x \Rightarrow e_1, \mathbf{inj}_2 \ x \Rightarrow e_2) : \tau} \quad \frac{\Omega, \alpha \vdash e : \tau}{\Omega \vdash \Lambda.e : \forall\alpha.\tau} \quad \frac{\Omega \vdash e : \forall\alpha.\tau}{\Omega \vdash e \_ : \tau[\tau'/\alpha]} \\
\\
\frac{\Omega \vdash e_i : \tau_i}{\Omega \vdash \mathbf{new} \ (\bar{e}) : \mathbf{ref}(\bar{\tau})} \quad \frac{\Omega \vdash e : \mathbf{ref}(\bar{\tau})}{\Omega \vdash e[i] : \tau_i} \quad \frac{\Omega \vdash e : \mathbf{ref}(\bar{\tau}) \quad \Omega \vdash e' : \tau_i}{\Omega \vdash e[i] := e' : \mathbf{1}} \\
\\
\frac{\Omega \vdash e : \mathbf{ref}(\bar{\tau}) \quad \tau_i = \sigma \quad \Omega \vdash e_o : \sigma \quad \Omega \vdash e_n : \sigma}{\Omega \vdash \mathbf{CAS}(e[i], e_o, e_n) : \mathbf{B}} \quad \frac{\Omega \vdash e : \mathbf{1}}{\Omega \vdash \mathbf{fork} \ e : \mathbf{1}} \\
\\
\frac{\Omega \vdash e : \mu\alpha.\tau}{\Omega \vdash e : \tau[\mu\alpha.\tau/\alpha]} \quad \frac{\Omega \vdash e : \tau[\mu\alpha.\tau/\alpha]}{\Omega \vdash e : \mu\alpha.\tau}
\end{array}$$

### 1.3 Operational semantics

$$\begin{aligned}
K & ::= [] \mid \mathbf{if} K \mathbf{then} e \mathbf{else} e \mid K + e \mid v + K \mid K e \mid v K \\
& \mid \mathbf{inj}_i K \mid \mathbf{case}(K, \mathbf{inj}_1 x \Rightarrow e, \mathbf{inj}_2 x \Rightarrow e) \mid \mathbf{some}(K) \mid \mathbf{case}(K, \mathbf{null} \Rightarrow e, \mathbf{some}(x) \Rightarrow e) \mid K \_ \\
& \mid \mathbf{new} (\bar{v}, K, \bar{e}) \mid K[i] \mid K[i] := e \mid v[i] := K \mid \mathbf{CAS}(K[i], e, e) \mid \mathbf{CAS}(v[i], K, e) \mid \mathbf{CAS}(v[i], v, K) \\
T & \in \text{ThreadPool} \triangleq \mathbb{N}^{\text{fin}} \text{Exp} \\
u & ::= (\bar{v}) \mid \mathbf{inj}_i v \\
h & ::= \cdot \mid h, \ell \mapsto u
\end{aligned}$$

Primitive reductions  $\boxed{h; e \hookrightarrow h'; e'}$

$$\begin{aligned}
h; n + m & \hookrightarrow h; k && \text{when } k = n + m \\
h; \ell[i] & \hookrightarrow h; v_i && \text{when } h(\ell) = (\bar{v}) \\
h; \ell[i] := v & \hookrightarrow h[\ell[i] = v]; () && \text{when } \ell \in \text{dom}(h) \\
h; \mathbf{CAS}(\ell[i], v_o, v_n) & \hookrightarrow h[\ell[i] = v_n]; \mathbf{true} && \text{when } h(\ell)[i] = v_o \\
h; \mathbf{CAS}(\ell[i], v_o, v_n) & \hookrightarrow h; \mathbf{false} && \text{when } h(\ell)[i] \neq v_o \\
h; \mathbf{case}(\ell, \mathbf{inj}_1 x \Rightarrow e_1, \mathbf{inj}_2 x \Rightarrow e_2) & \hookrightarrow h; e_i[v/x] && \text{when } h(\ell) = \mathbf{inj}_i
\end{aligned}$$

$$\begin{aligned}
h; \mathbf{if} \mathbf{true} \mathbf{then} e_1 \mathbf{else} e_2 & \hookrightarrow h; e_1 \\
h; \mathbf{if} \mathbf{false} \mathbf{then} e_1 \mathbf{else} e_2 & \hookrightarrow h; e_1 \\
h; \mathbf{null} & \hookrightarrow h; () \\
h; \mathbf{some}(\ell) & \hookrightarrow h; \ell \\
h; \mathbf{case}(\_, \mathbf{null} \Rightarrow e_1, \mathbf{some}(x) \Rightarrow e_2) & \hookrightarrow h; e_1 \\
h; \mathbf{case}(\ell, \mathbf{null} \Rightarrow e_1, \mathbf{some}(x) \Rightarrow e_2) & \hookrightarrow h; e_2[\ell/x] \\
h; \mathbf{rec} f(x).e v & \hookrightarrow h; e[\mathbf{rec} f(x).e/f, v/x] \\
h; \mathbf{inj}_i v & \hookrightarrow h \uplus [\ell \mapsto \mathbf{inj}_i v]; \ell \\
h; \Lambda.e \_ & \hookrightarrow h; e \\
h; \mathbf{new} (\bar{v}) & \hookrightarrow h \uplus [\ell \mapsto (\bar{v})]; \ell
\end{aligned}$$

Program reduction  $\boxed{h; T \rightarrow h'; T'}$

$$\frac{h; e \hookrightarrow h'; e'}{h; T \uplus [i \mapsto K[e]] \rightarrow h'; T \uplus [i \mapsto K[e']]}$$

$$h; T \uplus [i \mapsto K[\mathbf{fork} e]] \rightarrow h; T \uplus [i \mapsto K[()]] \uplus [j \mapsto e]$$

### 1.4 Contextual approximation (refinement)

$$\begin{aligned}
\Omega \models e_1 \preceq e_2 : \tau & \triangleq \forall C : (\Omega, \tau) \rightsquigarrow (\emptyset, \mathbf{N}). \forall i, j. \\
& \text{if } \emptyset; [i \mapsto C[e_1]] \rightarrow^* h_1; [i \mapsto n] \uplus T_1 \\
& \text{then } \emptyset; [j \mapsto C[e_2]] \rightarrow^* h_2; [j \mapsto n] \uplus T_2
\end{aligned}$$

## 1.5 Derived forms

$$\begin{aligned} \text{getVal}(e) &\triangleq \text{case}(e, \text{null} \Rightarrow \text{diverge}, \text{some}(x) \Rightarrow x) \\ \text{list}(\tau) &\triangleq \mu\alpha.\text{ref}_?( \alpha, \tau) \\ \text{cons}(e, e') &\triangleq \text{some}(\text{new } (e, e')) \\ \text{let } x = e \text{ in } e' &\triangleq (\lambda_.e') e \\ e; e' &\triangleq \text{let } _ = e \text{ in } e' \\ \text{forkID } e &\triangleq \text{let } x = \text{new none in fork } x := \text{some}(e); x \\ \text{join} &\triangleq \text{rec } f(x). \text{case}(x[0], - \Rightarrow f(x), r \Rightarrow r) \\ \text{acq} &\triangleq \text{rec } f(x). \text{if CAS}(x[1], \text{false}, \text{true}) \text{ then } () \text{ else } f(x) \\ \text{rel} &\triangleq \lambda x.x[1] := \text{false} \\ \text{sync}(e) \{ e' \} &\triangleq \text{let } x = e \text{ in acq}(x); \text{let } r = e' \text{ in rel}(x); r \end{aligned}$$

## 2 The model

### 2.1 Standard resources

$$\begin{aligned}\Sigma \in \text{StateSet} &\triangleq \overline{\varphi}(\text{Heap} \times \text{ThreadPool}) \quad (\text{nonempty, finite subsets}) \\ \eta \in \text{ImplSpec} &\triangleq \text{Heap} \times \text{StateSet}\end{aligned}$$

Composition on state sets is strong:

$$\begin{aligned}\Sigma_1 \otimes \Sigma_2 &\triangleq \{ h_1 \uplus h_2; T_1 \uplus T_2 \mid h_i; T_i \in \Sigma_i \} \quad (\text{defined only when all compositions are defined}) \\ (h_1, \Sigma_1) \otimes (h_2, \Sigma_2) &\triangleq (h_1 \uplus h_2, \Sigma_1 \otimes \Sigma_2)\end{aligned}$$

If  $N_1$  and  $N_2$  are subsets of  $\text{ImplSpec}$  we have:

$$N_1 * N_2 \triangleq \{ \eta_1 \otimes \eta_2 \mid \eta_i \in N_i \}$$

### 2.2 Worlds and islands

$$\begin{aligned}\text{STS} &\triangleq \{ \theta = (S, A, \rightsquigarrow, F) \mid \rightsquigarrow \subseteq S \times S, F \in S \rightarrow \wp(A) \} \\ \text{World}_n &\triangleq \{ W = (k, \omega) \mid k < n, \omega \in \mathbb{N}^{\text{fin}} \text{Island}_k \} \\ \text{Island}_n &\triangleq \{ \iota = (\theta, J, s, A) \mid \theta \in \text{STS}, J \in \theta.S \rightarrow \text{UPred}_n, s \in \theta.S, A \subseteq \theta.A, A \# \theta.F(s) \} \\ \text{UPred}_n &\triangleq \{ \Phi \subseteq \text{UWorld}_n \times \text{ImplSpec} \mid \forall (U, \eta) \in \Phi, U' \sqsupseteq U. (U', \eta) \in \Phi \} \\ \text{VRel}_n &\triangleq \{ V \subseteq \text{UWorld}_n \times \text{Val} \times \text{Val} \mid \forall (U, v_1, v_2) \in V, U' \sqsupseteq U. (U', v_1, v_2) \in V \} \\ \text{UWorld}_n &\triangleq \{ U \in \text{World}_n \mid U = |U| \}\end{aligned}$$

$$\begin{aligned}[\omega]_k &\triangleq i \mapsto [\omega(i)]_k \\ [(\theta, J, s_0, A)]_k &\triangleq (\theta, (s \mapsto I(s) \upharpoonright \text{UWorld}_k), s_0, A) \\ \theta \vdash (s, A) \rightsquigarrow (s', A') &\triangleq s \rightsquigarrow_\theta s' \quad \wedge \quad \theta.F(s) \uplus A = \theta.F(s') \uplus A' \\ (\theta, J, s, A) \sqsubseteq^{\text{guar}} (\theta', J', s', A') &\triangleq \theta = \theta', J = J', \theta \vdash (s, A) \rightsquigarrow^* (s', A') \\ \omega \sqsubseteq^{\text{guar}} \omega' &\triangleq \forall i \in \text{dom}(\omega). \omega(i) \sqsubseteq^{\text{guar}} \omega'(i) \\ (k, \omega) \sqsubseteq^{\text{guar}} (k', \omega') &\triangleq k \geq k' \quad \wedge \quad [\omega]_{k'} \sqsubseteq^{\text{guar}} \omega' \\ \text{frame}(k, \omega) &\triangleq (k, i \mapsto \text{frame}(\omega(i))) \\ \text{frame}(\theta, J, s, A) &\triangleq (\theta, J, s, \theta.A - (\theta.F(s) \cup A)) \\ W \sqsubseteq^{\text{rely}} W' &\triangleq \text{frame}(W) \sqsubseteq^{\text{guar}} \text{frame}(W') \\ |(\theta, J, s, A)| &\triangleq (\theta, J, s, \emptyset) \\ |(k, \omega)| &\triangleq (k, i \mapsto |\omega(i)|) \\ (\theta, J, s, A) \otimes (\theta', J', s', A') &\triangleq \begin{cases} (\theta, J, s, A \cup A') & \theta = \theta', s' = s, J' = J, A \upharpoonright A' \\ \text{undefined} & \text{otherwise} \end{cases} \\ (k, \omega) \otimes (k', \omega') &\triangleq \begin{cases} (k, \omega \otimes \omega') & k = k', \text{dom}(\omega) = \text{dom}(\omega'), \\ \text{undefined} & \text{otherwise} \end{cases} \\ W \# W' &\triangleq W \otimes W' \text{ defined} \\ \mathcal{I}[(\theta, J, s, A)]_W &\triangleq J(s)(\triangleright |W|) \\ h, \Sigma : W, \eta &\triangleq W.k > 0 \implies (h, \Sigma) \in \{\eta\} * \bigstar_{i \in \text{dom}(\omega)} \mathcal{I}[W.\omega(i)]_W\end{aligned}$$

## 2.3 Assertions

$$\begin{aligned}
m &::= i \mid \text{none} \\
\iota &::= (\theta, I, s, A) \text{ where } I \in \theta.S \rightarrow \text{Assert}, s \in \theta.S, A \subseteq \theta.A, \theta.F(s) \# A \\
P &::= \text{emp} \mid \triangleright P \mid \iota \mid v \mapsto_I u \mid v \mapsto_S u \mid i \mapsto_S e \mid T@m \langle x. P \rangle \\
&\quad \mid P \Rightarrow P \mid P \wedge P \mid P \vee P \mid \exists x.P \mid \forall x.P \mid P * P \mid P \oplus P \mid \diamond P \mid \varphi \\
\varphi &::= v = v \mid \langle P \rangle e \langle x. Q \rangle \mid \Omega \vdash e \preceq^{\mathcal{E}} e : \tau \mid v \preceq^{\mathcal{V}} v : \tau \\
\text{inv}(P) &\triangleq ((\{1\}, \emptyset, \emptyset, \lambda.\emptyset), \lambda.P, 1, \emptyset) \\
\Sigma \Rightarrow \Sigma' &\triangleq \forall \zeta' \in \Sigma'. \exists \zeta \in \Sigma. \zeta \rightarrow^* \zeta' \\
\llbracket I \rrbracket_k^\rho &\triangleq s \mapsto \{ (U, \eta) \models^\rho I(s) \mid U \in \text{UWorld}_k \}
\end{aligned}$$

Semantics of assertions (closed on term variables, may have free type variables closed by  $\rho$ )  
 $W, \eta \models^\rho P$ :

$$\begin{aligned}
W, \eta \models^\rho \text{emp} &\triangleq W = |W|, \eta = (\emptyset, \{\emptyset; \emptyset\}) \\
W, \eta \models^\rho \triangleright P &\triangleq W.k > 0 \implies \triangleright W, \eta \models^\rho P \\
W, \eta \models^\rho (\theta, I, s, A) &\triangleq \exists i. W \stackrel{\text{rely}}{\supseteq} (W.k, [i \mapsto (\theta, \llbracket I \rrbracket_{W.k}^\rho, s, A)]) \\
W, \eta \models^\rho v \mapsto_I u &\triangleq \eta = ([v \mapsto u], \{\emptyset; \emptyset\}) \\
W, \eta \models^\rho v \mapsto_S u &\triangleq \eta = (\emptyset, \{[v \mapsto u]; \emptyset\}) \\
W, \eta \models^\rho i \mapsto_S e &\triangleq \eta = (\emptyset, \{\emptyset; [i \mapsto e]\}) \\
W, \eta \models^\rho P \Rightarrow P' &\triangleq \forall W' \stackrel{\text{rely}}{\supseteq} W. W', \eta \models^\rho P \text{ implies } W', \eta \models^\rho P' \\
W, \eta \models^\rho P \wedge P' &\triangleq W, \eta \models^\rho P \text{ and } W, \eta \models^\rho P' \\
W, \eta \models^\rho P \vee P' &\triangleq W, \eta \models^\rho P \text{ or } W, \eta \models^\rho P' \\
W, \eta \models^\rho \exists x.P &\triangleq \exists v. W, \eta \models^\rho P[v/x] \\
W, \eta \models^\rho \forall x.P &\triangleq \forall v. W, \eta \models^\rho P[v/x] \\
W, \eta \models^\rho P_1 * P_2 &\triangleq W = W_1 \otimes W_2, \eta = \eta_1 \otimes \eta_2, W_i, \eta_i \models^\rho P_i \\
W, \eta \models^\rho P_1 \oplus P_2 &\triangleq \eta.\Sigma = \Sigma_1 \cup \Sigma_2, W, (\eta.h, \Sigma_i) \models^\rho P_i \\
W, \eta \models^\rho \diamond P &\triangleq \eta = (h, \Sigma), \forall \Sigma_F \# \Sigma. \exists \Sigma'. (\Sigma \otimes \Sigma_F) \Rightarrow (\Sigma' \otimes \Sigma_F) \text{ and } W, (h, \Sigma') \models^\rho P \\
W, \eta \models^\rho \varphi &\triangleq |W| \models^\rho \varphi
\end{aligned}$$

$$W_0, \eta \models^\rho T@m \langle x. Q \rangle \triangleq \forall W \stackrel{\text{rely}}{\supseteq} W_0, \eta_F \# \eta.$$

if  $W.k > 0$  and  $h, \Sigma : W, \eta \otimes \eta_F$  then

$$\text{if } h; T \rightarrow h'; T' \text{ then } \exists \Sigma', \eta', W' \stackrel{\text{guar}}{\supseteq} W.$$

$$\Sigma \Rightarrow \Sigma', \quad h', \Sigma' : W', \eta' \otimes \eta_F, \quad W'.k = W.k - 1, \quad W', \eta' \models^\rho T'@m \langle x. Q \rangle$$

$$\text{if } T = T_0 \uplus [m \mapsto v] \text{ then } \exists \Sigma', \eta', W' \stackrel{\text{guar}}{\supseteq} W.$$

$$\Sigma \Rightarrow \Sigma', \quad h, \Sigma' : W', \eta' \otimes \eta_F, \quad W'.k = W.k, \quad W', \eta' \models^\rho Q[v/x] * T_0@none \langle x. \text{tt} \rangle$$

Semantics of pure assertions:  $U \models^\rho \varphi$ . If  $P$  is impure,  $U \models^\rho P$  is short for  $\forall \eta. U, \eta \models^\rho P$ .

$$\begin{aligned}
U \models^\rho v_1 = v_2 &\triangleq v_1 = v_2 \\
U \models^\rho \cdot \sqsupseteq^{\text{rely}} U_0 &\triangleq U \sqsupseteq^{\text{rely}} U_0 \\
U \models^\rho \langle P \rangle e \langle x. Q \rangle &\triangleq \forall i. U \models^\rho P \Rightarrow [i \mapsto e]@i \langle x. Q \rangle \\
U \models^\rho v_1 \preceq^\mathcal{V} v_2 : \tau_0 &\triangleq
\end{aligned}$$

$\tau_0$	$v_1, v_2$	Requirements
$\tau_b$	$v, v$	$\vdash v : \tau_b$ for $\tau_b \in \{\mathbf{1}, \mathbf{B}, \mathbf{N}\}$
$\alpha$	$v_1, v_2$	$(U, v_1, v_2) \in \rho(\alpha)$
$\tau \rightarrow \tau'$	$\mathbf{rec} f(x).e_1, \mathbf{rec} f(x).e_2$	$U \models^\rho \triangleright(x : \tau \vdash e_1[\mathbf{rec} f(x).e_1/f] \preceq^\mathcal{E} e_2[\mathbf{rec} f(x).e_2/f] : \tau')$
$\forall \alpha. \tau$	$\Lambda.e_1, \Lambda.e_2$	$U \models^\rho \triangleright(\alpha \vdash e_1 \preceq^\mathcal{E} e_2 : \tau)$
$\mu \alpha. \tau$	$v_1, v_2$	$U \models^\rho v_1 \preceq^\mathcal{V} v_2 : \tau[\mu \alpha. \tau / \alpha]$
$\mathbf{ref}_\tau(\bar{\tau})$	$v_1, v_2$	$U \models^\rho v_1 \preceq^\mathcal{V} v_2 : \mathbf{1} \vee v_1 \preceq^\mathcal{V} v_2 : \mathbf{ref}(\bar{\tau})$
$\mathbf{ref}(\bar{\tau})$	$\ell_1, \ell_2$	$U \models^\rho \text{inv}(\exists \bar{x}, \bar{y}. \bigwedge x \preceq^\mathcal{V} y : \tau \wedge (\ell_1 \mapsto_{\mathbf{I}}(\bar{x}) * \ell_2 \mapsto_{\mathbf{S}}(\bar{y})))$
$\tau_1 + \tau_2$	$\ell_1, \ell_2$	$U \models^\rho \exists x, y. x \preceq^\mathcal{V} y : \tau_i \wedge \text{inv}(\ell_1 \mapsto_{\mathbf{I}} \mathbf{inj}_i x * \ell_2 \mapsto_{\mathbf{S}} \mathbf{inj}_i y)$

$$\begin{aligned}
U \models^\rho \cdot ; \cdot \vdash e_1 \preceq^\mathcal{E} e_2 : \tau &\triangleq \forall K, j. U \models^\rho \langle j \mapsto_{\mathbf{S}} K[e_2] \rangle e_1 \langle x_1. \exists x_2. x_1 \preceq^\mathcal{V} x_2 : \tau \wedge j \mapsto_{\mathbf{S}} K[x_2] \rangle \\
U \models^\rho \cdot ; x : \tau', \Gamma \vdash e_1 \preceq^\mathcal{E} e_2 : \tau &\triangleq U \models^\rho \forall x_1, x_2. x_1 \preceq^\mathcal{V} x_2 : \tau' \Rightarrow \Gamma \vdash e_1[x_1/x] \preceq^\mathcal{E} e_2[x_2/x] : \tau \\
U \models^\rho \alpha, \Delta; \Gamma \vdash e_1 \preceq^\mathcal{E} e_2 : \tau &\triangleq \forall V. U \models^{\rho[\alpha \mapsto V]} \Delta; \Gamma \vdash e_1 \preceq^\mathcal{E} e_2 : \tau
\end{aligned}$$

The set  $\text{World} \times \text{ImplSpec}$  is a preorder when ordered by  $(w, \eta) \sqsupseteq^{\text{rely}} (w', \eta')$  iff  $w \sqsupseteq w' \wedge \eta = \eta'$ . Since  $\text{ImplSpec}$  is a partial commutative monoid and  $\text{World}$  is a commutative semi-group with a unit element for each element, we have:

**Lemma 1.** The set  $P^\uparrow(\text{World} \times \text{ImplSpec})$  of upwards-closed subsets of  $\text{World} \times \text{ImplSpec}$  is a complete BI-algebra.

Hence we get a model of intuitionistic BI logic and, indeed, the interpretation of the logical connectives is as detailed in the above table showing the semantics of assertions.



### 3 Soundness

#### 3.1 Basic Properties

**Lemma 2** (Rely-guarantee Preorders). The relations  $\sqsubseteq^{\text{rely}}$  and  $\sqsubseteq^{\text{guar}}$  are preorders.

**Lemma 3** (Rely-closure of Assertions).  $W, \eta \models^\rho P$  and  $W' \sqsupseteq^{\text{rely}} W$  implies  $W', \eta \models^\rho P$ .

**Lemma 4.**  $|W| \otimes W = W$ .

**Lemma 5.** If  $W \sqsubseteq^{\text{rely}} W'$  then  $|W| \sqsubseteq^{\text{rely}} |W'|$ .

**Lemma 6** (Rely Decomposition). If  $W_1 \otimes W_2 \sqsubseteq^{\text{rely}} W'$  then there are  $W'_1$  and  $W'_2$  with  $W' = W'_1 \otimes W'_2$ ,  $W_1 \sqsubseteq^{\text{rely}} W'_1$  and  $W_2 \sqsubseteq^{\text{rely}} W'_2$ .

**Lemma 7** (Token Framing). If  $W \sqsubseteq^{\text{guar}} W'$  and  $W \otimes W_f$  is defined then there exists some  $W'_f \sqsupseteq^{\text{rely}} W_f$  such that  $W' \otimes W'_f$  is defined and  $W \otimes W_f \sqsubseteq^{\text{guar}} W' \otimes W'_f$ .

**Lemma 8.** If  $h, \Sigma : W, \eta$  then  $h, \Sigma : W \otimes W', \eta$ .

**Lemma 9.** If  $h, \Sigma : W \otimes W', \eta$  then  $h, \Sigma : W, \eta$ .

**Lemma 10.** If  $W.k > 0$  then  $\triangleright W \sqsupseteq^{\text{guar}} W$  and  $\triangleright W \sqsupseteq^{\text{rely}} W$ .

**Lemma 11.** If  $W.k > 0$  then  $|\triangleright W| = \triangleright |W|$ .

**Lemma 12** (Later Satisfaction). If  $W.k > 0$  and  $h, \Sigma : W, \eta$  then  $h, \Sigma : \triangleright W, \eta$ .

**Lemma 13.**  $\Rightarrow$  is transitive.

#### 3.2 Constructions with Threadpool Triples

**Lemma 14** (Framing).  $W, \eta \models^\rho T@m \langle x. Q \rangle$  and  $W_f, \eta_f \models^\rho R$  with  $W \# W_f, \eta \# \eta_f$  gives

$$W \otimes W_f, \eta \otimes \eta_f \models^\rho T@m \langle x. Q * R \rangle.$$

*Proof.* The proof proceeds by induction on  $W.k$ .

**Case**  $\boxed{W.k = 0}$

1.  $(W \otimes W_f).k = W.k = 0$ .

**Case**  $\boxed{W.k > 0}$

2. Let  $W' \sqsupseteq^{\text{rely}} W \otimes W_f, \eta'_f \# \eta \otimes \eta_f$ .
3. Write  $W' = W'_1 \otimes W'_2$  with  $W'_1 \sqsupseteq^{\text{rely}} W, W'_2 \sqsupseteq^{\text{rely}} W_f$   
by Lem. 6.
4. Suppose  $(W'_1 \otimes W'_2).k > 0$ .
5.  $W'_1.k = (W'_1 \otimes W'_2).k > 0$ .
6. Suppose  $h, \Sigma : W'_1 \otimes W'_2, \eta \otimes \eta_f \otimes \eta'_f$ .
7.  $h, \Sigma : W'_1, \eta \otimes \eta_f \otimes \eta'_f$  by Lem. 9.

**Case**  $\boxed{h; T \rightarrow h'; T'}$

8. Pick  $\Sigma', \eta'$ , and  $W_1''$  with by assumption.  
 $W_1'' \stackrel{\text{guar}}{\supseteq} W_1'$ ,  
 $\Sigma \Rightarrow \Sigma'$ ,  
 $h', \Sigma' : W_1'', \eta' \otimes \eta_f \otimes \eta'_f$ ,  
 $W_1''.k = W_1'.k - 1$ ,  
 $W_1'', \eta' \models^\rho T' @ m \langle x. Q \rangle$
9. Pick  $W_2'' \stackrel{\text{rely}}{\supseteq} W_2'$  with  $W_1'' \otimes W_2'' \stackrel{\text{guar}}{\supseteq} W_1' \otimes W_2'$  by Lem. 7.
10.  $h', \Sigma' : W_1'' \otimes W_2'', \eta' \otimes \eta_f \otimes \eta'_f$  by Lem. 8.
11.  $(W_1'' \otimes W_2'').k = W_1''.k = W_1'.k - 1 = (W_1' \otimes W_2').k - 1$ .
12.  $W_2'', \eta_f \models^\rho R$ .
13.  $W_1'' \otimes W_2'', \eta' \otimes \eta_f \models^\rho T' @ m \langle x. Q * R \rangle$  by induction hypothesis.

**Case**  $\boxed{m = i \text{ and } T = T_0 \uplus [i \mapsto v]}$

14. Pick  $\Sigma', \eta'$ , and  $W_1''$  with by assumption.  
 $W_1'' \stackrel{\text{guar}}{\supseteq} W_1'$ ,  
 $\Sigma \Rightarrow \Sigma'$ ,  
 $h, \Sigma' : W_1'', \eta' \otimes \eta_f \otimes \eta'_f$ ,  
 $W_1''.k = W_1'.k$ ,  
 $W_1'', \eta' \models^\rho Q[v/x] * T_0 @ \text{none} \langle x. \text{tt} \rangle$
15. Pick  $W_2'' \stackrel{\text{rely}}{\supseteq} W_2'$  with  $W_1'' \otimes W_2'' \stackrel{\text{guar}}{\supseteq} W_1' \otimes W_2'$  by Lem. 7.
16.  $h', \Sigma' : W_1'' \otimes W_2'', \eta' \otimes \eta_f \otimes \eta'_f$  by Lem. 8.
17.  $(W_1'' \otimes W_2'').k = W_1''.k = W_1'.k = (W_1' \otimes W_2').k$ .
18.  $W_2'', \eta_f \models^\rho R$ .
19.  $W_1'' \otimes W_2'', \eta' \otimes \eta_f \models^\rho Q[v/x] * R * T_0 @ \text{none} \langle x. \text{tt} \rangle$ .

□

**Corollary 1** (Precondition Extension).  $W, \eta \models^\rho T @ m \langle x_1. \exists x_2. x_1 \preceq^V x_2 : \tau \wedge j \mapsto_S K[x_2] \rangle$  together with  $W_f \# W$  gives  $W \otimes W_f, \eta \models^\rho T @ m \langle x_1. \exists x_2. x_1 \preceq^V x_2 : \tau \wedge j \mapsto_S K[x_2] \rangle$ .

**Corollary 2** (Postcondition Strengthening). If we have  $W, \eta \models^\rho T @ m \langle x. Q \rangle$  then  $W, \eta \models^\rho T @ m \langle x. Q \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W| \rangle$  holds too.

**Lemma 15** (Parallel Composition). If we have  $W_1, \eta_1 \models^\rho T_1 @ m_1 \langle x. Q_1 \rangle$  and  $W_2, \eta_2 \models^\rho T_2 @ m_2 \langle x. Q_2 \rangle$  with  $W_1 \# W_2$ ,  $\eta_1 \# \eta_2$ ,  $T_1 \# T_2$  and  $m_1 \neq \text{none} \Rightarrow m_1 \in \text{dom}(T_1)$  then we also have

$$W_1 \otimes W_2, \eta_1 \otimes \eta_2 \models^\rho T_1 \uplus T_2 @ m_1 \langle x. Q_1 \rangle.$$

*Proof.* The proof proceeds by induction on the measure  $M(W_1, m_1)$  defined by

$$M(W, m) = \begin{cases} W.k & m = \text{none} \\ W.k + 1 & m \neq \text{none}. \end{cases}$$

**Case**  $\boxed{M(W_1, m_1) = 0}$

1.  $(W_1 \otimes W_2).k = W_1.k = 0$ .

Case  $M(W_1, m_1) > 0$

2. Let  $W' \stackrel{\text{rely}}{\supseteq} W_1 \otimes W_2, \eta_f \# \eta_1 \otimes \eta_2$ .
3. Write  $W' = W'_1 \otimes W'_2$  with  $W'_1 \stackrel{\text{rely}}{\supseteq} W_1, W'_2 \stackrel{\text{rely}}{\supseteq} W_2$   
by Lem. 6.
4. Suppose  $(W'_1 \otimes W'_2).k > 0$ .
5.  $W'_1.k = (W'_1 \otimes W'_2).k > 0$ .
6. Suppose  $h, \Sigma : W'_1 \otimes W'_2, \eta_1 \otimes \eta_2 \otimes \eta_f$ .
7.  $h, \Sigma : W'_1, \eta_1 \otimes \eta_2 \otimes \eta_f$  by Lem. 9.

Case  $h; T_1 \uplus T_2 \rightarrow h'; T'$

8. Write  $T' = T'_1 \uplus T_2$  WLOG.
9.  $h; T_1 \rightarrow h'; T'_1$  by nondeterminism of fork.
10. Pick  $\Sigma', \eta'_1$ , and  $W''_1$  with by assumption.  
 $W''_1 \stackrel{\text{guar}}{\supseteq} W'_1,$   
 $\Sigma \Rightarrow \Sigma',$   
 $W''_1.k = W'_1.k - 1,$   
 $h', \Sigma' : W''_1, \eta'_1 \otimes \eta_2 \otimes \eta_f,$   
 $W''_1, \eta'_1 \models^\rho T'_1 @ m_1 \langle x_1. Q_1 \rangle$
11. Pick  $W''_2 \stackrel{\text{rely}}{\supseteq} W'_2$  with  $W''_1 \otimes W''_2 \stackrel{\text{guar}}{\supseteq} W'_1 \otimes W'_2$   
by Lem. 7.
12.  $(W''_1 \otimes W''_2).k = W''_1.k = W'_1.k - 1 = (W'_1 \otimes W'_2).k - 1$ .
13.  $h', \Sigma' : W''_1 \otimes W''_2, \eta'_1 \otimes \eta_2 \otimes \eta_f$  by Lem. 8.
14.  $W''_2, \eta_2 \models^\rho T_2 @ m_2 \langle x_2. Q_2 \rangle$  by assumption.
15.  $W''_1 \otimes W''_2, \eta'_1 \otimes \eta_2 \models^\rho T'_1 \uplus T_2 @ m_1 \langle x_1. Q_1 \rangle$   
by induction hypothesis.

Case  $T_1 * T_2 = T_0 \uplus [m_1 \mapsto v_1]$

16.  $m_1 \in \text{dom}(T_1)$  by assumption.
17. Write  $T_1 = T'_1 \uplus [m_1 \mapsto v_1]$ .
18. Pick  $\Sigma', \eta'_1$ , and  $W''_1$  with  
 $W''_1 \stackrel{\text{guar}}{\supseteq} W'_1,$   
 $\Sigma \Rightarrow \Sigma',$   
 $W''_1.k = W'_1.k,$   
 $h, \Sigma' : W''_1, \eta'_1 \otimes \eta_2 \otimes \eta_f,$   
 $W''_1, \eta'_1 \models^\rho Q_1[v_1/x_1] * T'_1 @ \text{none} \langle x_1. \text{tt} \rangle$   
by assumption.
19. Pick  $W''_2 \stackrel{\text{rely}}{\supseteq} W'_2$  with  $W''_1 * W''_2 \stackrel{\text{guar}}{\supseteq} W'_1 * W'_2$   
by Lem. 7.
20.  $(W''_1 * W''_2).k = W''_1.k = W'_1.k = (W'_1 * W'_2).k$ .
21.  $h, \Sigma' : W''_1 * W''_2, \eta'_1 * \eta_2 * \eta_f$  by Lem. 8.
22.  $W''_2, \eta_2 \models^\rho T_2 @ m_2 \langle x_2. Q_2 \rangle$  by assumption.
23.  $W''_1 * W''_2, \eta'_1 * \eta_2 \models^\rho Q[v_1/x_1] * T'_1 \uplus T_2 @ \text{none} \langle x_1. \text{tt} \rangle$   
by induction hypothesis.

□

**Lemma 16** (Sequential Composition). If we have  $W, \eta \models^\rho [i \mapsto e] \uplus T@i \langle x. Q \rangle$  and for all  $v$  and any  $W', \eta'$  with  $W', \eta' \models Q[v/x]$  we have  $W', \eta' \models^\rho [i \mapsto K[v]]@i \langle x. R \rangle$  then

$$W, \eta \models^\rho [i \mapsto K[e]] \uplus T@i \langle x. R \rangle.$$

*Proof.* The proof proceeds by induction on  $W.k$ ; the case  $W.k = 0$  is trivial so we assume  $W.k > 0$ . We branch on the structure of  $e$ :

1. Let  $W' \stackrel{\text{rely}}{\sqsupseteq} W, \eta_f \# \eta$ .
2. Suppose  $W'.k > 0$ .
3. Suppose  $h, \Sigma : W', \eta \otimes \eta_f$ .

**Case**  $\boxed{e = v}$

4. Pick  $\Sigma', \eta'$ , and  $W''$  with by assumption.  
 $W'' \stackrel{\text{guar}}{\sqsupseteq} W'$ ,  
 $\Sigma \Rightarrow \Sigma'$ ,  
 $h, \Sigma' : W'', \eta' \otimes \eta_f$ ,  
 $W''.k = W'.k$ ,  
 $W'', \eta' \models^\rho Q[v/x] * T@none \langle x. \text{tt} \rangle$
5. Write  $W'' = W''_1 \otimes W''_2$  and  $\eta' = \eta'_1 \otimes \eta'_2$ . with  
 $W''_1, \eta'_1 \models^\rho Q[v/x]$ ,  
 $W''_2, \eta'_2 \models^\rho T@none \langle x. \text{tt} \rangle$ .
6.  $W''_1, \eta'_1 \models^\rho [i \mapsto K[v]]@i \langle x. R \rangle$  by assumption.
7.  $W'', \eta' \models^\rho [i \mapsto K[v]] \uplus T@i \langle x. R \rangle$  by Lem. 15.

**Case**  $\boxed{K[v] = v'}$

8. Pick  $\Sigma'', \eta''$ , and  $W'''$  with by (7).  
 $W''' \stackrel{\text{guar}}{\sqsupseteq} W''$ ,  
 $\Sigma' \Rightarrow \Sigma''$ ,  
 $h, \Sigma'' : W''', \eta'' \otimes \eta_f$ ,  
 $W'''.k = W''.k$ ,  
 $W''', \eta'' \models^\rho R[v'/x] * T@none \langle x. \text{tt} \rangle$
9.  $\Sigma \Rightarrow \Sigma''$ .
10.  $W'''.k = W''.k = W'.k$ .

**Case**  $\boxed{h; [i \mapsto K[v]] \uplus T \rightarrow h'; T'}$

11. Pick  $\Sigma'', \eta''$ , and  $W'''$  with by (7).  
 $W''' \stackrel{\text{guar}}{\sqsupseteq} W''$ ,  
 $\Sigma' \Rightarrow \Sigma''$ ,  
 $h', \Sigma'' : W''', \eta'' \otimes \eta_f$ ,  
 $W'''.k = W''.k - 1$ ,  
 $W''', \eta'' \models^\rho T'@i \langle x. R \rangle$
12.  $\Sigma \Rightarrow \Sigma''$ .
13.  $W'''.k = W''.k - 1 = W'.k - 1$ .

Case  $\boxed{e \neq v}$

14. Suppose  $h; [i \mapsto K[e]] \uplus T \rightarrow h'; [i \mapsto K[e']] \uplus T'$ .
15.  $h; [i \mapsto e] \uplus T \rightarrow h'; [i \mapsto e'] \uplus T'$ .
16. Pick  $\Sigma', \eta'$ , and  $W''$  with by assumption.

$$\begin{aligned} W'' &\stackrel{\text{guar}}{\supseteq} W', \\ \Sigma &\Rightarrow \Sigma', \\ h', \Sigma' &: W'', \eta' \otimes \eta_f, \\ W''.k &= W'.k - 1, \\ W'', \eta' &\models^\rho [i \mapsto e'] \uplus T' @i \langle x. Q \rangle \end{aligned}$$
17.  $W'', \eta' \models^\rho [i \mapsto K[e']] \uplus T' @i \langle x. R \rangle$  by induction hypothesis.

□

### 3.3 Congruence

**Lemma 17** (Soundness Shortcut).  $\Delta; \Gamma \models e_1 \preceq e_2 : \tau$  is equivalent to

$$\begin{aligned} &\forall U \forall \rho : \Delta \rightarrow \text{VRel} \forall \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}. \\ &\quad [\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\mathcal{V} \gamma_2(x) : \Gamma(x)] \\ &\quad \implies \\ &\quad [\forall K, j, i. U, (\emptyset, \{\emptyset; [j \mapsto K[e_2[\gamma_2/\Gamma]]]\}) \models^\rho \\ &\quad \quad [i \mapsto e_1[\gamma_1/\Gamma]] @i \langle x_1. \exists x_2. x_1 \preceq^\mathcal{V} x_2 : \tau \wedge j \mapsto_S K[x_2] \rangle]. \end{aligned}$$

#### 3.3.1 New

**Lemma 18.**

$$\frac{\Delta; \Gamma \models e_i \preceq f_i : \tau_i}{\Delta; \Gamma \models \mathbf{new} \bar{e} \preceq \mathbf{new} \bar{f} : \mathbf{ref}(\bar{\tau})}$$

*Proof.*

1. Let  $U, \rho : \Delta \rightarrow \text{VRel}, \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}$ .
2. Suppose  $\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\mathcal{V} \gamma_2(x) : \Gamma(x)$ .
3. Write  $e'_i = e_i[\gamma_1/\Gamma], f'_i = f_i[\gamma_2/\Gamma]$ .
4. Let  $K, i, j$ .
5. Write  $\eta = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} \bar{f}]]\})$ .
6. Write  $Q = \exists y. x \preceq^\mathcal{V} y : \mathbf{ref}(\bar{\tau}) \wedge j \mapsto_S K[y]$ .
7. Suffices to show  $U, \eta \models^\rho [i \mapsto \mathbf{new} \bar{e}] @i \langle x. Q \rangle$ .  
by Lem. 17.

Let  $M = |\mathbf{ref}(\bar{\tau})|$ . We now proceed to make a claim: for any  $0 \leq m \leq M$  it suffices to prove

$$U', \eta_m \models^\rho [i \mapsto \mathbf{new} v_1, \dots, v_m, e'_{m+1}, \dots, e'_M] @i \langle x. Q \rangle,$$

for all  $U' \stackrel{\text{rely}}{\supseteq} U$  and all  $U' \models^\rho v_1 \preceq^\mathcal{V} w_1 : \tau_1, \dots, U' \models^\rho v_m \preceq^\mathcal{V} w_m : \tau_m$ , where  $\eta_m = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} w_1, \dots, w_m, f'_{m+1}, \dots, f'_M]]\})$ . We prove the claim by induction on  $m$ ;

the case  $m = 0$  was proved above. So, suppose the claim holds for  $0 \leq m < M$  and assume that we know that

$$U'', \eta_{m+1} \models^\rho [i \mapsto \mathbf{new} \ v_1, \dots, v_{m+1}, e'_{m+2}, \dots, e'_M] @i \langle x. Q \rangle,$$

holds for all for all  $U'' \sqsupseteq^{\text{rely}} U$  and all  $U'' \models^\rho v_1 \preceq^\mathcal{V} w_1 : \tau_1, \dots, U'' \models^\rho v_{m+1} \preceq^\mathcal{V} w_{m+1} : \tau_{m+1}$ , where  $\eta_{m+1} = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} \ w_1, \dots, w_{m+1}, f'_{m+2}, \dots, f'_M]]\})$ . In the interest of applying the induction hypothesis, we pick arbitrary  $U' \sqsupseteq^{\text{rely}} U$  and  $U' \models^\rho v_1 \preceq^\mathcal{V} w_1 : \tau_1, \dots, U' \models^\rho v_m \preceq^\mathcal{V} w_m : \tau_m$ . By induction, it will suffice for the claim to prove that

$$U', \eta_m \models^\rho [i \mapsto \mathbf{new} \ v_1, \dots, v_m, e'_{m+1}, \dots, e'_M] @i \langle x. Q \rangle,$$

holds, where  $\eta_m = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} \ w_1, \dots, w_m, f'_{m+1}, \dots, f'_M]]\})$ . Now, by assumption and Lemma 17 and Corollary 2 we have

$$U', \eta_m \models^\rho [i \mapsto e'_{m+1}] @i \langle x_{m+1}. Q_{m+1} \wedge \cdot \sqsupseteq^{\text{rely}} U' \rangle,$$

where  $Q_{m+1} = \exists y_{m+1}. x_{m+1} \preceq^\mathcal{V} y_{m+1} : \tau_{m+1} \wedge j \mapsto_S K[\mathbf{new} \ w_1, \dots, w_m, y'_{m+1}, \dots, f'_M]$ .

Now, let  $v_{m+1}$  be arbitrary and take  $W'', \eta'' \models^\rho Q_{m+1}[v_{m+1}/x_{m+1}] \wedge \cdot \sqsupseteq^{\text{rely}} U'$  and by an application of Lemma 16 we have the claim if we can show

$$W'', \eta'' \models^\rho [i \mapsto \mathbf{new} \ v_1, \dots, v_{m+1}, e'_{m+2}, \dots, e'_M] @i \langle x. Q \rangle.$$

Luckily, we can pick  $w_{n+1}$  such that we have  $|W''| \models^\rho v_{m+1} \preceq^\mathcal{V} w_{m+1} : \tau_{m+1}$ ,  $\eta'' = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} \ w_1, \dots, w_{m+1}, f'_{m+2}, \dots, f'_M]]\})$  and  $|W''| \sqsupseteq^{\text{rely}} U'$  and we can apply our original assumption. After this detour, we proceed with the proof proper:

8. Let  $U' \sqsupseteq^{\text{rely}} U$ .
9. Let  $\bigwedge \overline{U' \models^\rho v \preceq^\mathcal{V} w : \tau}$ .
10. Write  $\eta' = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{new} \ \bar{w}]]\})$ .
11. Suffices to show  $U', \eta' \models^\rho [i \mapsto \mathbf{new} \ \bar{v}] @i \langle x. Q \rangle$ .
12. Let  $W' \sqsupseteq^{\text{rely}} U', \eta_f \# \eta'$ .
13. Suppose  $W'.k > 0$  and  $h, \Sigma : W', \eta' \otimes \eta_f$ .
14.  $h; [i \mapsto \mathbf{new} \ \bar{v}] \rightarrow h \uplus [\ell_1 \mapsto \bar{v}]; [i \mapsto \ell_1]$ .
15. Pick  $\ell_2$  with  $\forall h_2; T_2 \in \Sigma. \ell_2 \notin \text{dom}(h_2)$ .
16. Write  $\Sigma = \{\emptyset; [j \mapsto K[\mathbf{new} \ \bar{w}]]\} \otimes \Sigma_0$ .
17. Write  $\Sigma' = \{[\ell_2 \mapsto \bar{w}]; [j \mapsto K[\ell_2]]\} \otimes \Sigma_0$ .
18.  $\Sigma \Rightarrow \Sigma'$ .
19. Write  $\eta'' = (\emptyset, \{\emptyset; [j \mapsto K[\ell_2]]\})$ .
20. Pick  $n \notin \text{dom}(W'.\omega)$ .
21. Write  $P = \exists \bar{x}, \bar{y}. \bigwedge x \preceq^\mathcal{V} y : \tau \wedge (\ell_1 \mapsto_1 (\bar{x}) * \ell_2 \mapsto_S (\bar{y}))$ .
22. Write  $\iota = ((\{1\}, \emptyset, \emptyset, \lambda \cdot \emptyset), \llbracket \lambda \cdot P \rrbracket_{W'.k}^\rho, 1, \emptyset)$ .
23. Write  $W'' = (W'.k, W.\omega \uplus [n \mapsto \iota])$ .
24.  $|W''| \models^\rho \ell_1 \preceq^\mathcal{V} \ell_2 : \mathbf{ref}(\bar{\tau})$ .
25.  $\triangleright W'' \sqsupseteq^{\text{guar}} W'$ .
26.  $\triangleright |W''| \sqsupseteq^{\text{rely}} \triangleright |W'|$ .

27.  $\triangleright |W''| \stackrel{\text{rely}}{\sqsupseteq} U'$ .
28.  $h \uplus [\ell_1 \mapsto \bar{v}], \Sigma' : W'', \eta'' \otimes \eta_f$ .
29.  $h \uplus [\ell_1 \mapsto \bar{v}], \Sigma' : \triangleright W'', \eta'' \otimes \eta_f$  by Lem. 12
30.  $\triangleright W'', \eta'' \models^\rho [i \mapsto \ell_1] @ i \langle x. Q \rangle$ .

□

### 3.3.2 Fork

**Lemma 19.**

$$\frac{\Delta; \Gamma \models e_1 \preceq e_2 : \mathbf{1}}{\Delta; \Gamma \models \mathbf{fork} e_1 \preceq \mathbf{fork} e_2 : \mathbf{1}}$$

*Proof.*

1. Let  $U, \rho : \Delta \rightarrow \text{VRel}, \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}$ .
2. Suppose  $\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\vee \gamma_2(x) : \Gamma(x)$ .
3. Write  $e'_1 = e_1[\gamma_1/\Gamma], e'_2 = e_2[\gamma_2/\Gamma]$ .
4. Let  $K, i, j$ .
5. Write  $\eta = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{fork} e'_2]]\})$ .
6. Write  $Q = \exists x_2. x_1 \preceq^\vee x_2 : \mathbf{1} \wedge j \mapsto_S K[x_2]$ .
7. Suffices to show  $U, \eta \models^\rho [i \mapsto \mathbf{fork} e'_1] @ i \langle x_1. Q \rangle$ .  
by Lem. 17.
8. Let  $W \stackrel{\text{rely}}{\sqsupseteq} U, \eta_f \# \eta$ .
9. Suppose  $W.k > 0$  and  $h, \Sigma : W, \eta \otimes \eta_f$ .
10.  $h; [i \mapsto \mathbf{fork} e'_1] \rightarrow h; [i \mapsto ()] \uplus [i' \mapsto e'_1]$ .
11. Pick  $j'$  with  $\forall h'; T' \in \Sigma. j' \notin \text{dom}(T')$ .
12. Write  $\Sigma = \{\emptyset; [j \mapsto K[\mathbf{fork} e'_2]]\} \otimes \Sigma_0$ .
13. Write  $\Sigma' = \{\emptyset; [j \mapsto K[()]] \uplus [j' \mapsto e'_2]\} \otimes \Sigma_0$ .
14.  $\Sigma \Rightarrow \Sigma'$ .
15. Write  $\eta' = (\emptyset, \{\emptyset; [j \mapsto K[()]]\})$ .
16. Write  $\eta'' = (\emptyset, \{\emptyset; [j' \mapsto e'_2]\})$ .
17.  $h, \Sigma' : W, \eta' \otimes \eta'' \otimes \eta_f$ .
18.  $h, \Sigma' : \triangleright W, \eta' \otimes \eta'' \otimes \eta_f$  by Lem. 12.
19.  $\triangleright W, \eta' \models^\rho [i \mapsto ()] @ i \langle x_1. Q \rangle$ .
20.  $\triangleright W, \eta'' \models^\rho [i' \mapsto e'_2] @ i' \langle x_1. \mathbf{tt} \rangle$  by assumption and Lem. 17.
21.  $\triangleright W, \eta' \otimes \eta'' \models^\rho [i \mapsto ()] \uplus [i' \mapsto e'_2] @ i \langle x_1. Q \rangle$   
by Lem. 15.

□

### 3.3.3 Function Application and Abstraction

**Lemma 20.** For  $U.k \neq 0$  we have  $U \models^\rho \mathbf{rec} f(x).e_1 \preceq^\mathcal{V} \mathbf{rec} f(x).e_2 : \tau_1 \rightarrow \tau_2$  equivalent to

$$\begin{aligned} & \forall w_1, w_2. \forall U' \stackrel{\text{rely}}{\sqsupseteq} \triangleright U. \\ & [U' \models^\rho w_1 \preceq^\mathcal{V} w_2 : \tau_1] \\ & \implies \\ & [\forall K, j, i. U', (\emptyset, \{\emptyset; [j \mapsto K[e_2[\mathbf{rec} f(x).e_2/f, w_2/x]]]\}) \models^\rho \\ & [i \mapsto e_1[\mathbf{rec} f(x).e_1/f, w_1/x]] @ i \langle x_1. \exists x_2. x_1 \preceq^\mathcal{V} x_2 : \tau_2 \wedge j \mapsto_S K[x_2] \rangle]. \end{aligned}$$

**Lemma 21.**

$$\frac{\Delta; \Gamma \models e_1 \preceq e_2 : \tau_1 \rightarrow \tau_2 \quad \Delta; \Gamma \models f_1 \preceq f_2 : \tau_1}{\Delta; \Gamma \models e_1 f_1 \preceq e_2 f_2 : \tau_2}$$

*Proof.*

1. Let  $U, \rho : \Delta \rightarrow \text{VRel}, \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}$ .
2. Suppose  $\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\mathcal{V} \gamma_2(x) : \Gamma(x)$ .
3. Write  $e'_1 = e_1[\gamma_1/\Gamma], e'_2 = e_2[\gamma_2/\Gamma]$ .
4. Write  $f'_1 = f_1[\gamma_1/\Gamma], f'_2 = f_2[\gamma_2/\Gamma]$ .
5. Let  $K, i, j$ .
6. Write  $\eta = (\emptyset, \{\emptyset; [j \mapsto K[e'_2 f'_2]]\})$ .
7. Write  $Q = \exists x_2. x_1 \preceq^\mathcal{V} x_2 : \tau_2 \wedge j \mapsto_S K[x_2]$ .
8. Suffices to show  $U, \eta \models^\rho [i \mapsto e'_1 f'_1] @ i \langle x_1. Q \rangle$ .  
by Lem. 17.
9. Write  $Q' = \exists x'_2. x'_1 \preceq^\mathcal{V} x'_2 : \tau_1 \rightarrow \tau_2 \wedge j \mapsto_S K[x'_2 f'_2]$ .
10.  $U, \eta \models^\rho [i \mapsto e'_1] @ i \langle x'_1. Q' \rangle$ .  
by assumption and Lem. 17.
11.  $U, \eta \models^\rho [i \mapsto e'_1] @ i \langle x'_1. Q' \wedge \cdot \stackrel{\text{rely}}{\sqsupseteq} U \rangle$   
by Cor. 2.
12. Let  $v'_1 \in \text{Val}$ .
13. Let  $W', \eta'$  with  $W', \eta' \models^\rho Q'[v'_1/x'_1] \wedge \cdot \stackrel{\text{rely}}{\sqsupseteq} U$ .
14. Suffices to show  $W', \eta' \models^\rho [i \mapsto v'_1 f'_1] @ i \langle x_1. Q \rangle$   
by Lem. 16.
15. Suffices to show  $|W'|, \eta' \models^\rho [i \mapsto v'_1 f'_1] @ i \langle x_1. Q \rangle$   
by Cor. 1.
16. Suppose  $W'.k > 0$  WLOG.
17. Pick  $v'_2$  with  
 $|W'| \models^\rho v'_1 \preceq^\mathcal{V} v'_2 : \tau_1 \rightarrow \tau_2,$   
 $\eta' = (\emptyset, \{\emptyset; [j \mapsto K[v'_2 f'_2]]\}),$   
 $|W'| \stackrel{\text{rely}}{\sqsupseteq} U.$
18. Write  $Q'' = \exists x''_2. x''_1 \preceq^\mathcal{V} x''_2 : \tau_1 \wedge j \mapsto_S K[v'_2 x''_2]$ .
19.  $|W'|, \eta' \models^\rho [i \mapsto f'_1] @ i \langle x''_1. Q'' \rangle$ .  
by assumption and Lem. 17.
20.  $|W'|, \eta' \models^\rho [i \mapsto f'_1] @ i \langle x''_1. Q'' \wedge \cdot \stackrel{\text{rely}}{\sqsupseteq} |W'| \rangle$   
by Cor. 2.
21. Let  $v''_1 \in \text{Val}$ .



22. Let  $W'', \eta''$  with  $W'', \eta'' \models^\rho Q''[v_1''/x_1''] \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W'|$ .
23. Suffices to show  $W'', \eta'' \models^\rho [i \mapsto v_1' v_1'']@i \langle x_1. Q \rangle$   
by Lem. 16.
24. Suffices to show  $|W''|, \eta'' \models^\rho [i \mapsto v_1' v_1'']@i \langle x_1. Q \rangle$   
by Cor. 1.
25. Suppose  $W''.k > 0$  WLOG.
26. Pick  $v_2''$  with  
 $|W''| \models^\rho v_1'' \preceq^\nu v_2'' : \tau_1,$   
 $\eta'' = (\emptyset, \{\emptyset; [j \mapsto K[v_2'' v_2'']]\}),$   
 $|W''| \stackrel{\text{rely}}{\supseteq} |W'|.$
27. Let  $W''' \stackrel{\text{rely}}{\supseteq} |W''|, \eta_f \# \eta''.$
28. Suppose  $W'''.k > 0$  and  $h, \Sigma : W''', \eta'' \otimes \eta_f.$
29. Write  $v_1' = \mathbf{rec} f(x).g_1'$  and  $v_2' = \mathbf{rec} f(x).g_2'.$
30.  $h; [i \mapsto v_1' v_1''] \rightarrow h; [i \mapsto g_1'[v_1'/f, v_1''/x]].$
31. Write  $\Sigma = \{\emptyset; [j \mapsto K[v_2' v_2'']]\} \otimes \Sigma_0.$
32. Write  $\Sigma' = \{\emptyset; [j \mapsto K[g_2'[v_2'/f, v_2''/x]]]\} \otimes \Sigma_0.$
33.  $\Sigma \Rightarrow \Sigma'.$
34. Write  $\eta''' = (\emptyset, \{\emptyset; [j \mapsto K[g_2'[v_2'/f, v_2''/x]]]\}).$
35.  $h, \Sigma : \triangleright W''', \eta'' \otimes \eta_f$  by Lem. 12.
36.  $h, \Sigma' : \triangleright W''', \eta''' \otimes \eta_f.$
37. Suffices to show  $\triangleright W''', \eta''' \models^\rho [i \mapsto g_1'[v_1'/f, v_1''/x]]@i \langle x_1. Q \rangle.$
38. Suffices to show  $|\triangleright W'''|, \eta''' \models^\rho [i \mapsto g_1'[v_1'/f, v_1''/x]]@i \langle x_1. Q \rangle$   
by Cor. 1.
39. Suppose  $W'''.k > 0$  WLOG.
40.  $|W'''| \models^\rho v_1' \preceq^\nu v_2' : \tau_1 \rightarrow \tau_2.$
41.  $|\triangleright W'''| = \triangleright |W'''|.$
42.  $|\triangleright W'''| \models^\rho v_1'' \preceq^\nu v_2'' : \tau_1.$
43.  $|\triangleright W'''|, \eta''' \models^\rho [i \mapsto g_1'[v_1'/f, v_1''/x]]@i \langle x_1. Q \rangle$   
by Lem. 20.

□

**Lemma 22.**

$$\frac{\Delta; \Gamma, f : \tau_1 \rightarrow \tau_2, x : \tau_1 \models e_1 \preceq e_2 : \tau_2}{\Delta; \Gamma \models \mathbf{rec} f(x).e_1 \preceq \mathbf{rec} f(x).e_2 : \tau_1 \rightarrow \tau_2}$$

*Proof.*

1. Let  $U, \rho : \Delta \rightarrow \text{VRel}, \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}.$
2. Suppose  $\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\nu \gamma_2(x) : \Gamma(x).$
3. Write  $e_1' = e_1[\gamma_1/\Gamma], e_2' = e_2[\gamma_2/\Gamma].$
4. Let  $K, i, j.$
5. Write  $\eta = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{rec} f(x).e_2']]\}).$
6. Write  $Q = \exists x_2. x_1 \preceq^\nu x_2 : \tau_1 \rightarrow \tau_2 \wedge j \mapsto_S K[x_2].$

7. Suffices to show  $U, \eta \models^\rho [i \mapsto \mathbf{rec} f(x).e'_1]@i \langle x_1. Q \rangle$ .  
by Lem. 17.
8. Suffices to show  $U, \eta \models^\rho Q[\mathbf{rec} f(x).e'_1/x_1]$ .
9. Suffices to show  $U \models^\rho \mathbf{rec} f(x).e'_1 \preceq^\vee \mathbf{rec} f(x).e'_2 : \tau_1 \rightarrow \tau_2$ .
10. Suffices to show  $\forall U' \stackrel{\text{rely}}{\sqsupseteq} U. U' \models^\rho \mathbf{rec} f(x).e'_1 \preceq^\vee \mathbf{rec} f(x).e'_2 : \tau_1 \rightarrow \tau_2$ .
11. Proceed by induction on  $U'.k$ .
12. Suppose  $U'.k > 0$ .
13. Let  $w_1, w_2, U'' \stackrel{\text{rely}}{\sqsupseteq} \triangleright U'$  with  $U'' \models^\rho w_1 \preceq^\vee w_2 : \tau_1$ .
14. Let  $K, j, i$ .
15. Write  $\eta' = (\emptyset, \{\emptyset; [j \mapsto K[e'_2[\mathbf{rec} f(x).e'_2/f, w_2/x]]]\})$ .
16. Write  $Q' = \exists x_2. x_1 \preceq^\vee x_2 : \tau_2 \wedge j \mapsto_S K[x_2]$ .
17. Suffices to show  $U'', \eta' \models^\rho [i \mapsto e'_1[\mathbf{rec} f(x).e'_1/f, w_1/x]]@i \langle x_1. Q' \rangle$   
by Lem. 20.
18.  $\forall x \in \text{dom}(\Gamma). U'' \models^\rho \gamma_1(x) \preceq^\vee \gamma_2(x) : \Gamma(x)$ .
19.  $U'' \models^\rho \mathbf{rec} f(x).e'_1 \preceq^\vee \mathbf{rec} f(x).e'_2 : \tau_1 \rightarrow \tau_2$   
by induction hypothesis.
20.  $U'', \eta' \models^\rho [i \mapsto e'_1[\mathbf{rec} f(x).e'_1/f, w_1/x]]@i \langle x_1. Q' \rangle$   
by assumption and Lem. 17.

□

### 3.3.4 CAS

**Lemma 23.** For  $U.k \neq 0$  we have that  $U \models^\rho \ell_1 \preceq^\vee \ell_2 : \mathbf{ref}(\bar{\tau})$  implies the existence of an  $i \in \text{dom}(U.\omega)$  such that we have

$$\mathcal{I}[U.\omega(i)]_U = \{([\ell_1 \mapsto \bar{v}_1], \{[\ell_2 \mapsto \bar{v}_2]; \emptyset\}) \mid \bigwedge \overline{\triangleright U \models^\rho v_1 \preceq^\vee v_2 : \tau}\}.$$

**Lemma 24.** Assume that we have  $U \models^\rho v_1 \preceq^\vee v_2 : \sigma$  and  $U \models^\rho w_1 \preceq^\vee w_2 : \sigma$ . If  $U.k \neq 0$  and there are  $\eta, h$  and  $\Sigma$  such that  $h, \Sigma : U, \eta$  holds, then we have that

$$v_1 = w_1 \iff v_2 = w_2.$$

**Lemma 25.**

$$\frac{\tau_n = \sigma \quad \Delta; \Gamma \models e_1 \preceq e_2 : \mathbf{ref}(\bar{\tau}) \quad \Delta; \Gamma \models f_1 \preceq f_2 : \sigma \quad \Delta; \Gamma \models g_1 \preceq g_2 : \sigma}{\Delta; \Gamma \models \mathbf{CAS}(e_1[n], f_1, g_1) \preceq \mathbf{CAS}(e_2[n], f_2, g_2) : \mathbf{B}}$$

*Proof.*

1. Let  $U, \rho : \Delta \rightarrow \text{VRel}, \gamma_1, \gamma_2 : \text{dom}(\Gamma) \rightarrow \text{Val}$ .
2. Suppose  $\forall x \in \text{dom}(\Gamma). U \models^\rho \gamma_1(x) \preceq^\vee \gamma_2(x) : \Gamma(x)$ .
3. Write  $e'_1 = e_1[\gamma_1/\Gamma], e'_2 = e_2[\gamma_2/\Gamma]$ .
4. Write  $f'_1 = f_1[\gamma_1/\Gamma], f'_2 = f_2[\gamma_2/\Gamma]$ .
5. Write  $g'_1 = g_1[\gamma_1/\Gamma], g'_2 = g_2[\gamma_2/\Gamma]$ .
6. Let  $K, i, j$ .
7. Write  $\eta = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{CAS}(e'_2[n], f'_2, g'_2)]]\})$ .
8. Write  $Q = \exists x_2. x_1 \preceq^\vee x_2 : \mathbf{B} \wedge j \mapsto_S K[x_2]$ .

9. Suffices to show  $U, \eta \models^\rho [i \mapsto \text{CAS}(e'_1[n], f'_1, g'_1)]@i \langle x_1. Q \rangle$ .  
by Lem. 17.
10. Write  $Q' = \exists x'_2. x'_1 \preceq^\vee x'_2 : \mathbf{ref}(\bar{\tau}) \wedge j \mapsto_S K[\text{CAS}(x'_2[n], f'_2, g'_2)]$ .
11.  $U, \eta \models^\rho [i \mapsto e'_1]@i \langle x'_1. Q' \rangle$ . by assumption and Lem. 17.
12.  $U, \eta \models^\rho [i \mapsto e'_1]@i \langle x'_1. Q' \wedge \cdot \stackrel{\text{rely}}{\supseteq} U \rangle$ . by Cor. 2.
13. Let  $v'_1 \in \text{Val}$ .
14. Let  $W', \eta'$  with  $W', \eta' \models^\rho Q'[v'_1/x'_1] \wedge \cdot \stackrel{\text{rely}}{\supseteq} U$ .
15. Suffices to show  $W', \eta' \models^\rho [i \mapsto \text{CAS}(v'_1[n], f'_1, g'_1)]@i \langle x_1. Q \rangle$   
by Lem. 16.
16. Suffices to show  $|W'|, \eta' \models^\rho [i \mapsto \text{CAS}(v'_1[n], f'_1, g'_1)]@i \langle x_1. Q \rangle$   
by Cor. 1.
17. Suppose  $W'.k > 0$  WLOG.
18. Pick  $v'_2$  with  
 $|W'| \models^\rho v'_1 \preceq^\vee v'_2 : \mathbf{ref}(\bar{\tau})$ ,  
 $\eta' = (\emptyset, \{\emptyset; [j \mapsto K[\text{CAS}(v'_2[n], f'_2, g'_2)]]\})$ ,  
 $|W'| \stackrel{\text{rely}}{\supseteq} U$ .
19. Write  $Q'' = \exists x''_2. x''_1 \preceq^\vee x''_2 : \sigma \wedge j \mapsto_S K[\text{CAS}(v'_2[n], x''_2, g'_2)]$ .
20.  $|W'|, \eta' \models^\rho [i \mapsto f'_1]@i \langle x''_1. Q'' \rangle$  by assumption and Lem. 17.
21.  $|W'|, \eta' \models^\rho [i \mapsto f'_1]@i \langle x''_1. Q'' \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W'| \rangle$   
by Cor. 2.
22. Let  $v''_1 \in \text{Val}$ .
23. Let  $W'', \eta''$  with  $W'', \eta'' \models^\rho Q''[v''_1/x''_1] \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W'|$ .
24. Suffices to show  $W'', \eta'' \models^\rho [i \mapsto \text{CAS}(v''_1[n], v''_1, g'_1)]@i \langle x_1. Q \rangle$   
by Lem. 16.
25. Suffices to show  $|W''|, \eta'' \models^\rho [i \mapsto \text{CAS}(v''_1[n], v''_1, g'_1)]@i \langle x_1. Q \rangle$   
by Cor. 1.
26. Suppose  $W''.k > 0$  WLOG.
27. Pick  $v''_2$  with  
 $|W''| \models^\rho v''_1 \preceq^\vee v''_2 : \sigma$ ,  
 $\eta'' = (\emptyset, \{\emptyset; [j \mapsto K[\text{CAS}(v''_2[n], v''_2, g'_2)]]\})$ ,  
 $|W''| \stackrel{\text{rely}}{\supseteq} |W'|$ .
28. Write  $Q''' = \exists x'''_2. x'''_1 \preceq^\vee x'''_2 : \sigma \wedge j \mapsto_S K[\text{CAS}(v''_2[n], v''_2, x'''_2)]$ .
29.  $|W''|, \eta'' \models^\rho [i \mapsto g'_1]@i \langle x'''_1. Q''' \rangle$  by assumption and Lem. 17.
30.  $|W''|, \eta'' \models^\rho [i \mapsto g'_1]@i \langle x'''_1. Q''' \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W'| \rangle$   
by Cor. 2.
31. Let  $v'''_1 \in \text{Val}$ .
32. Let  $W''', \eta'''$  with  $W''', \eta''' \models^\rho Q'''[v'''_1/x'''_1] \wedge \cdot \stackrel{\text{rely}}{\supseteq} |W''|$ .
33. Suffices to show  $W''', \eta''' \models^\rho [i \mapsto \text{CAS}(v'''_1[n], v'''_1, v''_1)]@i \langle x_1. Q \rangle$   
by Lem. 16.
34. Suffices to show  $|W'''|, \eta''' \models^\rho [i \mapsto \text{CAS}(v'''_1[n], v'''_1, v''_1)]@i \langle x_1. Q \rangle$   
by Cor. 1.

35. Suppose  $W''' .k > 0$  WLOG.
36. Pick  $v_2'''$  with  
 $|W'''| \models^\rho v_1''' \preceq^\nu v_2''' : \sigma$ ,  
 $\eta''' = (\emptyset, \{\emptyset; [j \mapsto K[\text{CAS}(v_2'[n], v_2'', v_2''')]]\})$ ,  
 $|W'''| \stackrel{\text{rely}}{\cong} |W''|$ .
37. Let  $W'''' \stackrel{\text{rely}}{\cong} W''' , \eta_f \# \eta'''$ .
38. Suppose  $W'''' .k > 0$  and  $h, \Sigma : W'''' , \eta''' \otimes \eta_f$ .
39. Suppose  $h; [i \mapsto \text{CAS}(v_1'[n], v_1'', v_1''')] \rightarrow h'; T'$ .
40. Suppose  $W'''' .k > 1$  WLOG.
41. Write  $v_1' = \ell_1$  and  $v_2' = \ell_2$ .
42. Pick  $\bar{v}, \bar{w}, h_0, \Sigma_0$  with  
 $\bigwedge \triangleright |W''''| \models^\rho v \preceq^\nu w : \tau$ ,  
 $h = [\ell_1 \mapsto \bar{v}] \uplus h_0$ ,  
 $\Sigma = \{[\ell_2 \mapsto \bar{w}]; \emptyset\} \otimes \{\emptyset; [j \mapsto K[\text{CAS}(v_2'[n], v_2'', v_2''')]]\} \otimes \Sigma_0$   
by Lem. 23.
43.  $\triangleright |W''''| \models^\rho v_n \preceq^\nu w_n : \sigma$ .
44.  $\triangleright |W''''| \models^\rho v_1'' \preceq^\nu v_2'' : \sigma$ .
45.  $h, \Sigma : \triangleright |W''''|, \eta''' \otimes \eta_f$  by Lem. 12.
46.  $v_n = v_1'' \Leftrightarrow w_n = v_2''$  by Lem. 24.

**Case**  $\boxed{v_n = v_1'' \wedge w_n = v_2''}$

47. Write  $v_n^\dagger = v_1'''$  and  $v_m^\dagger = v_m, m \neq n$ .
48.  $h' = h[\ell_1 \mapsto \bar{v}^\dagger]$ .
49.  $T' = [i \mapsto \mathbf{true}]$ .
50. Write  $w_n^\dagger = v_2'''$  and  $w_m^\dagger = w_m, m \neq n$ .
51. Write  $\Sigma' = \{[\ell_2 \mapsto \bar{w}^\dagger]; \emptyset\} \otimes \{\emptyset; [j \mapsto K[\mathbf{true}]]\} \otimes \Sigma_0$ .
52.  $\Sigma \Rightarrow \Sigma'$ .
53. Write  $\eta'''' = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{true}]]\})$ .
54.  $\bigwedge \triangleright |W''''| \models^\rho v^\dagger \preceq^\nu w^\dagger : \tau$ .
55.  $h', \Sigma' : W'''' , \eta'''' \otimes \eta_f$ .
56.  $h', \Sigma' : \triangleright W'''' , \eta'''' \otimes \eta_f$  by Lem. 12.
57.  $\triangleright W'''' , \eta'''' \models^\rho [i \mapsto \mathbf{true}]@i \langle x_1. Q \rangle$ .

**Case**  $\boxed{v_n \neq v_1'' \wedge w_n \neq v_2''}$

58.  $h' = h$ .
59.  $T' = [i \mapsto \mathbf{false}]$ .
60. Write  $\Sigma' = \{[\ell_2 \mapsto \bar{w}]; \emptyset\} \otimes \{\emptyset; [j \mapsto K[\mathbf{false}]]\} \otimes \Sigma_0$ .
61.  $\Sigma \Rightarrow \Sigma'$ .
62. Write  $\eta'''' = (\emptyset, \{\emptyset; [j \mapsto K[\mathbf{false}]]\})$ .
63.  $h, \Sigma' : W'''' , \eta'''' \otimes \eta_f$ .

64.  $h, \Sigma' : \triangleright W''''', \eta'''' \otimes \eta_f$  by Lem. 12 .  
 65.  $\triangleright W''''', \eta'''' \models^\rho [i \mapsto \mathbf{false}]@i \langle x_1. Q \rangle$ .

□

### 3.4 May-refinement

**Theorem 1** (May-refinement). Suppose  $;\cdot \models e_1 \preceq e_2 : \mathbf{N}$  holds. Let  $h_1, h_2, i, j$  and  $n$  be arbitrary. If we have

$$\exists h'_1, T_1. h_1; [i \mapsto e_1] \rightarrow^* h'_1; [i \mapsto n] \uplus T_1$$

then we also have

$$\exists h'_2, T_2. h_2; [j \mapsto e_2] \rightarrow^* h'_2; [j \mapsto n] \uplus T_2.$$

*Proof.* Let  $M$  be the number of steps in the assumed reduction. Write

$$h_1; [i \mapsto e_1] = h_1^0; T_1^0 \rightarrow h_1^1; T_1^1 \rightarrow \dots \rightarrow h_1^M; T_1^M = h'_1; [i \mapsto n] \uplus T_1.$$

We proceed to prove by induction the claim that for all  $0 \leq m \leq M$  there are  $W_m, \eta_m \# \eta$ , and  $\Sigma_m$  with the following properties, where  $\eta = (h_1, \{h_2; \emptyset\})$  and  $\Sigma = \{h_2; [j \mapsto e_2]\}$ :

- $W_m, \eta_m \models^\rho T_1^m @i \langle x_1. \exists x_2. x_1 \preceq^{\mathcal{V}} x_2 : \mathbf{N} \wedge j \mapsto_S x_2 \rangle$ .
- $h_1^m, \Sigma_m : W_m, \eta_m \otimes \eta$ .
- $W_m.k = 1 + M - m$ .
- $\Sigma \Rightarrow \Sigma_m$ .

Let us initially consider the base case  $m = 0$ . We choose  $W_0 = (1 + M, \emptyset)$ ,  $\eta_0 = (\emptyset, \{\emptyset; [j \mapsto e_2]\})$  and  $\Sigma_0 = \{h_2; [j \mapsto e_2]\}$ . The different properties are easily verified; the only nontrivial is the first and that follows from the initial assumption  $;\cdot \models e_1 \preceq e_2 : \mathbf{N}$ . The induction step comes down to unrolling the definition of threadpool triples; we omit the details.

Instantiating our claim at  $m = M$  now gives us  $W_M, \eta_M \# \eta$ , and  $\Sigma_M$  such that:

- $W_M, \eta_M \models^\rho [i \mapsto n] \uplus T_1 @i \langle x_1. \exists x_2. x_1 \preceq^{\mathcal{V}} x_2 : \mathbf{N} \wedge j \mapsto_S x_2 \rangle$ .
- $h'_1, \Sigma_M : W_M, \eta_M \otimes \eta$ .
- $W_M = 1$ .
- $\Sigma \Rightarrow \Sigma_M$ .

A final unrolling of the definition of threadpool triples and a few calculations gives us  $\Sigma'$  with  $\Sigma \Rightarrow \Sigma'$  and  $\Sigma' = \{\emptyset; [j \mapsto n]\} \otimes \Sigma_0$ . All that remains is to pick an element from the nonempty set  $\Sigma'$ . □

## 4 The atomic triple

$$h; e \text{ atomic} \triangleq \forall h', e'. h; e \hookrightarrow h'; e' \implies e' \text{ a value} \quad \wedge \quad h; e \hookrightarrow -$$

$$\begin{aligned} \rho: (\llbracket P \rrbracket) e (\llbracket x. Q \rrbracket) &\triangleq \forall W, \eta \models^\rho P. \forall \eta_F \# \eta. W.k > 0 \text{ implies} \\ &(\eta \otimes \eta_F).h; e \text{ atomic and if } (\eta \otimes \eta_F).h; e \hookrightarrow h'; v \text{ then } \exists \eta' \# \eta_F. \\ &h' = (\eta' \otimes \eta_F).h, \quad (\eta \otimes \eta_F).\Sigma \Rightarrow (\eta' \otimes \eta_F).\Sigma, \quad \triangleright W, \eta' \models^\rho Q[v/x] \end{aligned}$$

$P, Q$  token-pure

$$\frac{\begin{array}{l} \text{rely} \\ \triangleright \iota \sqsupseteq \iota_0. \exists \iota' \sqsupseteq^{\text{guar}} \iota. \exists Q. \rho : (\iota' \wedge Q \Rightarrow R) \wedge \rho : (\triangleright \iota. J(\iota.s) * P) e (\llbracket x. \triangleright \iota'. J(\iota'.s) * Q \rrbracket) \end{array}}{\rho : \langle \iota_0 \wedge P \rangle e \langle x. R \rangle}$$

**Lemma 26** (Island focus). The island-focusing inference rule is sound.

*Proof.*

1. Fix  $i$  and  $W_0, \eta \models^\rho \iota_0 \wedge P$
2. Suffices to show  $W_0, \eta \models^\rho [i \mapsto e]@i \langle x. R \rangle$
3. Fix  $W \sqsupseteq^{\text{rely}} W_0$  and  $\eta_F \# \eta$
4. Suppose  $W.k > 0$  and  $h, \Sigma : W, \eta \otimes \eta_F$
5.  $W, \eta \models^\rho \iota_0$
6.  $\exists \iota \sqsupseteq^{\text{rely}} \iota_0, \omega_F, j.$   
 $W.\omega = \omega_F \uplus [j \mapsto \llbracket \iota \rrbracket_{W.k}^\rho]$
7.  $\exists \eta_\iota, \eta'_F.$  by semantics of world satisfaction  
 $(h, \Sigma) = \eta_\iota \otimes \eta \otimes \eta_F \otimes \eta'_F$   
 $\eta_\iota \in \mathcal{I}[\llbracket \iota \rrbracket_{W.k}^\rho]_W, \quad \eta'_F \in \mathcal{I}[\omega_F]_W$
8.  $\triangleright |W|, \eta_\iota \models \iota. J(\iota.s)$
9.  $\exists \iota' \sqsupseteq^{\text{guar}} \iota, Q.$  by assumption  
 $\rho : (\iota' \wedge Q \Rightarrow R)$   
 $\rho : (\triangleright \iota. J(\iota.s) * P) e (\llbracket x. \triangleright \iota'. J(\iota'.s) * Q \rrbracket)$
10. Let  $\hat{\eta} = \eta \otimes \eta_\iota$
11.  $|W|, \hat{\eta} \models^\rho \triangleright \iota. J(\iota.s) * P$  by (1, 8), token-purity of  $P$
12. Let  $\hat{\eta}_F = \eta_F \otimes \eta'_F$
13.  $h = (\hat{\eta} \otimes \hat{\eta}_F).h$
14.  $h; e$  atomic by (9)
15. Suppose  $h; [i \mapsto e] \rightarrow h'; T$
16. Suppose  $W.k > 1$  WLOG
17.  $\exists v. h; e \hookrightarrow h'; v, T = [i \mapsto v]$  by inversion, (14)
18.  $\exists \hat{\eta}'. h' = (\hat{\eta}' \otimes \hat{\eta}_F).h,$  by (9)  
 $(\hat{\eta} \otimes \hat{\eta}_F).\Sigma \Rightarrow (\hat{\eta}' \otimes \hat{\eta}_F).\Sigma,$   
 $\triangleright |W|, \hat{\eta}' \models^\rho \triangleright \iota'. J(\iota'.s) * Q[v/x]$
19. Let  $W' = (W.k - 1, [\omega_F]_{W.k-1} \uplus [j \mapsto \llbracket \iota' \rrbracket_{W.k-1}^\rho])$
20.  $W' \sqsupseteq^{\text{guar}} W$
21.  $\triangleright |W'| \sqsupseteq^{\text{rely}} |W'| \sqsupseteq^{\text{rely}} |\triangleright W| = \triangleright |W|$

22.  $\exists \eta'_L, \eta'. \widehat{\eta}' = \eta' \otimes \eta'_L,$   
 $\triangleright |W'|, \eta'_L \models \iota'. J(\iota'. s),$   
 $W', \eta' \models^\rho Q[v/x]$  by semantics of assertions, token-purity of  $Q$
23. Write  $\Sigma' = (\widehat{\eta}' \otimes \widehat{\eta}_F). \Sigma$
24.  $\Sigma \Rightarrow \Sigma'$
25.  $h', \Sigma' : W', \eta' \otimes \eta_F$  by (7, 22)
26.  $W', \eta' \models^\rho Q[v/x] \wedge \iota'$
27.  $W', \eta' \models^\rho R[v/x]$  by (9)
28.  $W', \eta' \models^\rho [i \mapsto v] @i \langle x. R \rangle$

□

## 5 Hoare-style reasoning

The following inference rules are sound:

$$\begin{array}{c}
\frac{\langle P \rangle e \langle x. P' \rangle \quad \langle P' \rangle e' \langle y. P'' \rangle}{\langle P \rangle \text{ let } x = e \text{ in } e' \langle y. P'' \rangle} \quad \frac{P \Rightarrow P' \quad \langle P' \rangle e \langle x. Q' \rangle \quad Q' \Rightarrow Q}{\langle P \rangle e \langle x. Q \rangle} \\
\\
\frac{\triangleright P \Rightarrow P}{P} \quad \frac{\langle P \rangle e \langle x. Q \rangle}{\langle P * R \rangle e \langle x. Q * R \rangle} \quad \frac{P \Rightarrow \langle Q \rangle e \langle x. R \rangle}{\langle P \wedge Q \rangle e \langle x. R \rangle} \\
\\
\frac{\langle P_1 \rangle e \langle x. Q_1 \rangle \quad \langle P_2 \rangle e \langle x. Q_2 \rangle}{\langle P_1 \vee P_2 \rangle e \langle x. Q_1 \vee Q_2 \rangle} \quad \langle \text{emp} \rangle \text{ cons}(x, y) \langle z. z \mapsto_1 (x, y) \rangle \\
\\
\langle \text{emp} \rangle v \langle x. x = v \wedge \text{emp} \rangle \quad \frac{\langle P \rangle e \langle x. \diamond Q \rangle}{\langle P \rangle e \langle x. Q \rangle}
\end{array}$$

**Note:** the rule of conjunction

$$\frac{\langle P_1 \rangle e \langle x. Q_1 \rangle \quad \langle P_2 \rangle e \langle x. Q_2 \rangle}{\langle P_1 \wedge P_2 \rangle e \langle x. Q_1 \wedge Q_2 \rangle}$$

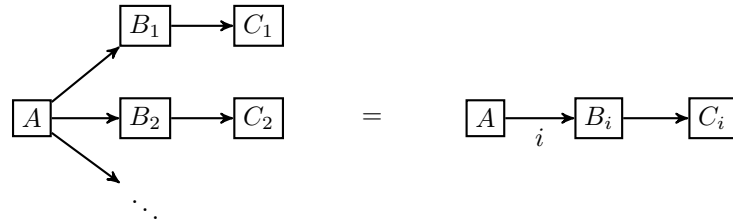
is *probably unsound* in our logic (as it is with many concurrent separation logics), because, in the termination branch of the triple, the splitting of resources between the main thread and remaining threads can be chosen arbitrarily.

An assertion  $P$  is *token-pure* if  $W, \eta \models^\rho P$  iff  $|W|, \eta \models^\rho P$ .



## 6 Examples

We use a compact notation to draw structured branches:



### 6.1 Counters

```
counter:  $\mathbf{1} \rightarrow \{ \mathbf{inc} : \mathbf{1} \rightarrow \mathbf{N} \}$   
counter  $\triangleq \lambda(). \mathbf{let} \ c = \mathbf{new} \ 0 \ \mathbf{in} \ \{$   
   $\mathbf{inc} = \mathbf{rec} \ \mathbf{try}().$   
   $\mathbf{let} \ x = \mathbf{get} \ c \ \mathbf{in}$   
   $\mathbf{if} \ \mathbf{CAS}(c, x, x + 1) \ \mathbf{then} \ x \ \mathbf{else} \ \mathbf{try}()$   
}
```

The ref island suffices.

## 6.2 Michael-Scott queue

```

MSQ:  $\forall \alpha. \mathbf{1} \rightarrow \{ \text{enq} : \alpha \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \text{ref}_?( \alpha ) \}$ 
MSQ  $\triangleq \Lambda. \lambda(). \text{let } \text{head} = \text{new } \text{cons}(\text{null}, \text{null}) \text{ in } \{$ 
   $\text{enq} = \lambda x. \text{let } n = \text{cons}(\text{some}(\text{new } x), \text{null}) \text{ in}$ 
     $\text{let rec } \text{try}(c). \text{case } c[2] \text{ of}$ 
       $\text{null} \Rightarrow \text{if } \text{CAS}(c[2], \text{null}, n) \text{ then } () \text{ else } \text{try}(c)$ 
       $| \text{some}(c') \Rightarrow \text{try}(c')$ 
     $\text{in } \text{try}(\text{getVal}(\text{head}[1])),$ 
   $\text{deq} = \text{rec } \text{try}().$ 
   $\text{let } c = \text{head}[1], n = \text{getVal}(c) \text{ in case } n[2] \text{ of}$ 
     $\text{null} \Rightarrow \text{null} \quad (* \text{queue is empty} *)$ 
     $| \text{some}(n') \Rightarrow \text{if } \text{CAS}(\text{head}[1], c, n') \text{ then } n'[1] \text{ else } \text{try}()$ 
 $\}$ 

```

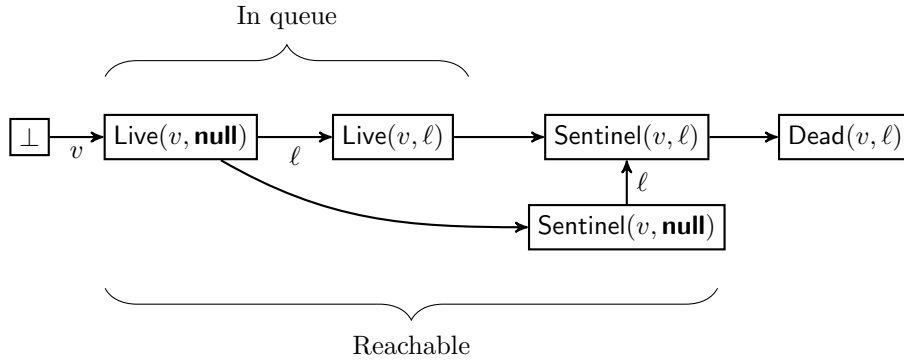
```

CGQ:  $\forall \alpha. \mathbf{1} \rightarrow \{ \text{enq} : \alpha \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \text{ref}_?( \alpha ) \}$ 
CGQ  $\triangleq \Lambda. \lambda(). \text{let } \text{head} = \text{new } \text{null}, \text{lock} = \text{new } \text{false} \text{ in } \{$ 
   $\text{deq} = \lambda(). \text{sync}(\text{lock}) \{ \text{case } \text{head}[1] \text{ of } \}$ 
     $\text{null} \Rightarrow \text{null} \quad | \quad \text{some}(n) \Rightarrow \text{head}[1] := n[2]; \text{some}(\text{new } n[1]),$ 
   $\text{enq} = \lambda x. \text{sync}(\text{lock}) \{ \}$ 
     $\text{case } \text{head}[1] \text{ of}$ 
       $\text{null} \Rightarrow \text{head}[1] := \text{cons}(x, \text{null})$ 
       $| \text{some}(c) \Rightarrow$ 
         $\text{let rec } \text{try}(c). \text{case } c[2] \text{ of}$ 
           $\text{null} \Rightarrow c[2] := \text{cons}(x, \text{null})$ 
           $| \text{some}(c') \Rightarrow \text{try}(c')$ 
         $\text{in } \text{try}(c)$ 
 $\}$ 

```

Each instance of the MSQueue will have a fixed location for the head reference, and similarly on the specification side. We fix these as  $\text{head}_I$  and  $\text{head}_S$  respectively. In addition, the spec has a lock,  $\text{lock}$ , of type  $\text{ref}(\mathbf{B})$ .

For every location  $\ell$ , we have an instance of the following transition system, which tracks the life story of a node at that location:



This leads us to our state space— $S_0$  is per-location, while  $S$  gives the state space for the whole island:

$$\begin{aligned}
S_0 &\triangleq \{ \perp \} \\
&\cup \{ \text{Live}(v, v') \mid v, v' \in \text{Val} \} \\
&\cup \{ \text{Sentinel}(v, v') \mid v, v' \in \text{Val} \} \\
&\cup \{ \text{Dead}(v, \ell) \mid v \in \text{Val}, \ell \in \text{Loc} \} \\
S &\triangleq \text{Loc} \overset{\text{fin}}{\times} S_0
\end{aligned}$$

The notation  $\stackrel{\text{fin}}{\mapsto}$  here means that a state only maps finitely-many locations to a non- $\perp$  state—so  $\perp$  is a useful pun.

We define  $\rightsquigarrow_0$  according to the transition system drawn above, and lift this pointwise to  $\rightsquigarrow$ . There are no tokens in this example, so all that's left is the interpretation. We use a pattern-matching notation on states  $s$  of the space  $S$ ; when nothing matches, the interpretation is  $\text{ff}$ .

$$I(s) \triangleq \text{head}_I \mapsto_I \ell_0 * \ell_0 \mapsto_I (v_0, v_I) * \text{lock} \mapsto_S \mathbf{false} * \exists v_S. \text{head}_S \mapsto_S v_S * \text{link}(v_I, v_S, s_L) \\ * \bigstar_{\ell \in \text{dom}(s_D)} \exists v, \ell'. s_D(\ell) = \text{Dead}(v, \ell') * s(\ell') \neq \perp * \ell \mapsto_I (v, \ell') \\ \text{when } s = [\ell_0 \mapsto \text{Sentinel}(v_0, v_I)] \uplus s_L \uplus s_D$$

$$\text{link}(\mathbf{null}, \mathbf{null}, \emptyset) \triangleq \text{emp} \\ \text{link}(\ell_I, \ell_S, [\ell_I \mapsto \text{Live}(v_I, v'_I)] \uplus s) \triangleq \exists \ell, v_S, v'_S. \ell \mapsto_I v_I * v_I \preceq^V v_S : \alpha \\ * \ell_I \mapsto_I (\ell, v'_I) * \ell_S \mapsto_I (v_S, v'_S) * \text{link}(v'_I, v'_S, s)$$

Let  $\Theta$  be the transition system above. We use the shorthand

$$x \propto s_0 \triangleq (\theta, I, [x \mapsto s_0], \emptyset)$$

for  $s_0 \in S_0$ .

### 6.2.1 Proof outline for `enq`

Stable assumptions:  $(\theta, I, \emptyset, \emptyset)$  and  $x_I \preceq^V x_S : \alpha$ .  
Let  $P \triangleq j \mapsto_S K[\text{enq}_S(x_S)]$ .

```

<P>
  let n = cons(some(new x_I), null) in
  <P * ∃ℓ. n ↦_I (ℓ, null) * ℓ ↦_I x_I>
  let rec try(c).
    <P * c ∝ Live(−, −) * ∃ℓ. n ↦_I (ℓ, null) * ℓ ↦_I x_I>
    case c[2] of
      null ⇒ <P * c ∝ Live(−, −) * ∃ℓ. n ↦_I (ℓ, null) * ℓ ↦_I x_I>
        if CAS(c[2], null, n)
          then <n ∝ Live(x_I, null) * j ↦_S K[()]>
            ()
          else <P * c ∝ Live(−, −) * ∃ℓ. n ↦_I (ℓ, null) * ℓ ↦_I x_I>
            try(c)
      | some(c') ⇒ <P * c' ∝ Live(−, −) * ∃ℓ. n ↦_I (ℓ, null) * ℓ ↦_I x_I>
        try(c')
    <ret. ret = () ∧ j ↦_S K[()]>
  in try(getVal(head[1]))
<ret. ret = () ∧ j ↦_S K[()]>

```

At the atomic triple level, the reasoning depends on a case analysis of rely-future states, which determine in particular whether the CAS succeeds. Because `Dead` nodes must have non-`null` next pointers, the CAS will never succeed on a `Dead` node.

### 6.2.2 Proof outline for deq

Stable assumptions:  $(\theta, I, \emptyset, \emptyset)$ .

Let  $P \triangleq j \mapsto_S K[\text{deq}_S()]$ .

```

⟨P⟩
  rec try().
    ⟨P⟩
      let c = head[1] in
        ⟨c ∝ Sentinel(-, -)⟩
          let n = getVal(c) in
            ⟨n ∝ Sentinel(-, -)⟩
              case n[2] of
                null ⇒ ⟨j ↦_S K[null]⟩
                null
                | some(n') ⇒ ⟨P * n ∝ Sentinel(-, n')⟩
                  if CAS(head[1], c, n')
                    then ⟨
                      n ∝ Dead(-, n') * ∃ℓ_I, v_I, ℓ_S, v_S. v_I ≼^V v_S : α *
                      n' ∝ Sentinel(ℓ_I, -) * ℓ_I ↦_I v_I *
                      j ↦_S K[ℓ_S] * ℓ_S ↦_S v_S
                    ⟩
                    else ⟨P⟩
                      try()
                      ⟨ret_I. ∃ret_S. ret_I ≼^V ret_S : ref?(α) ∧ j ↦_S K[ret_S]⟩
                      ⟨ret_I. ∃ret_S. ret_I ≼^V ret_S : ref?(α) ∧ j ↦_S K[ret_S]⟩

```

### 6.3 Late/early choice

$$\begin{aligned} \text{rand} &\triangleq \lambda(). \text{let } y = \text{new false in (fork } y := \text{true); } y[1] \\ \text{lateChoice} &\triangleq \lambda x. x := 0; \text{rand}() \\ \text{earlyChoice} &\triangleq \lambda x. \text{let } r = \text{rand() in } x := 0; r \end{aligned}$$

No internal protocol.

We use the *atomic Hoare triple* to show the details of the proof outline.

We have  $\langle \text{emp} \rangle \text{rand}() \langle \text{ret. ret} = \text{true} \vee \text{ret} = \text{false} \rangle$ .

$$\begin{aligned} &\langle x_I \preceq^V x_S : \text{ref}(\mathbf{N}) \wedge j \mapsto_S K[\text{earlyChoice}(x_S)] \rangle \\ &\quad \langle \triangleright (\exists y_I, y_S. y_I \preceq^V y_S : \mathbf{N} * x_I \mapsto_I y_I * x_S \mapsto_S y_S) * j \mapsto_S K[\text{earlyChoice}(x_S)] \rangle \\ &\quad \langle x_I \mapsto_I - * x_S \mapsto_S - * j \mapsto_S K[\text{earlyChoice}(x_S)] \rangle \\ &\quad \quad x_I := 0 \\ &\quad \langle x_I \mapsto_I 0 * x_S \mapsto_S - * j \mapsto_S K[\text{earlyChoice}(x_S)] \rangle \\ &\quad \langle x_I \mapsto_I 0 * x_S \mapsto_S 0 * \text{speculate}(j \mapsto_S K[\text{true}] \vee j \mapsto_S K[\text{false}]) \rangle \\ &\langle x_I \preceq^V x_S : \text{ref}(\mathbf{N}) \wedge \text{speculate}(j \mapsto_S K[\text{true}] \vee j \mapsto_S K[\text{false}]) \rangle \\ &\langle \text{speculate}(j \mapsto_S K[\text{true}] \vee j \mapsto_S K[\text{false}]) \rangle \\ &\quad \text{rand}() \\ &\langle \text{ret. (ret} = \text{true} \vee \text{ret} = \text{false}) * \text{speculate}(j \mapsto_S K[\text{true}] \vee j \mapsto_S K[\text{false}]) \rangle \\ &\langle \text{ret. } j \mapsto_S K[\text{ret}] \rangle \end{aligned}$$

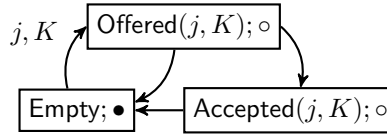
## 6.4 Red/blue flags

We use the *atomic Hoare triple* to show the details of the proof outline.

```

redFlag  $\triangleq$   $\lambda().$  let flag = new true, chan = new 0 in {
  flip = rec try().
  if CAS(chan, 1, 2) then () else
  if CAS(flag, true, false) then () else
  if CAS(flag, false, true) then () else
  if CAS(chan, 0, 1) then
    if CAS(chan, 1, 0) then try() else chan := 0
  else try(),
  read =  $\lambda().$  flag[1]
}
blueFlag  $\triangleq$   $\lambda().$  let flag = new true, lock = new false in {
  flip =  $\lambda().$  sync(lock) { flag := not flag[1] },
  read =  $\lambda().$  sync(lock) { flag[1] }
}

```



We use the shorthand  $\exists x : \mathbf{B}.P$  for  $\exists x. (x = \mathbf{true} \vee x = \mathbf{false}) \wedge P$ .

$$\begin{aligned}
Q &\triangleq \exists x : \mathbf{B}. \text{flag}_I \mapsto_I x * \text{flag}_S \mapsto_S x * \text{lock} \mapsto_S \mathbf{false} \\
I(s) &\triangleq Q * I_0(s) \\
I_0(\text{Empty}) &\triangleq \text{chan} \mapsto_I 0 \\
I_0(\text{Offered}(j, K)) &\triangleq \text{chan} \mapsto_I 1 * j \mapsto_S K[\text{flip}_S()] \\
I_0(\text{Accepted}(j, K)) &\triangleq \text{chan} \mapsto_I 2 * j \mapsto_S K[()]
\end{aligned}$$

An interesting aspect of this example: it does not matter which order we perform the *top-level* CASes in. Each CAS either succeeds and completes the spec, or fails and leaves our knowledge unchanged. Thus, we give triples only for the CASes themselves; they can be plugged together, within if expressions, in any order.

Let  $\theta$  be the transition system above.

Let  $P = (\theta, I, \text{Empty}, \emptyset) \wedge j \mapsto_S K[\text{flip}_S()]$ .

For each top-level CAS  $e$ , we want to prove the triple

$$\langle P \rangle e \langle \text{ret.} (\text{ret} = \mathbf{true} * j \mapsto_S K[()]) \vee (\text{ret} = \mathbf{false} * P) \rangle$$

We do this by proving atomic triples, and we need give the case where the CAS will succeed, since otherwise  $P$  trivially continues to hold (since a failed CAS has no effect).

For the first CAS, the abstract state tells us whether the CAS will succeed; we consider only the case where it does. Note that we use the diamond modality (defined in Section 2 of the appendix) to ‘take specification steps in the postcondition’:

$$\begin{aligned}
&\langle \triangleright I(\text{Offered}(j', K')) * j \mapsto_S K[\text{flip}_S()] \rangle \\
&\langle Q * \text{chan} \mapsto_I 1 * j' \mapsto_S K'[\text{flip}_S()] * j \mapsto_S K[\text{flip}_S()] \rangle \\
&\text{CAS}(\text{chan}, 1, 2) \\
&\langle \text{ret.} \text{ret} = \mathbf{true} * Q * \text{chan} \mapsto_I 2 * j' \mapsto_S K'[\text{flip}_S()] * j \mapsto_S K[\text{flip}_S()] \rangle \\
&\langle \text{ret.} \text{ret} = \mathbf{true} * \exists x : \mathbf{B}. \text{flag}_I \mapsto_I x * \text{flag}_S \mapsto_S x * \text{chan} \mapsto_I 2 * j' \mapsto_S K'[\text{flip}_S()] * j \mapsto_S K[\text{flip}_S()] \rangle \\
&\langle \text{ret.} \text{ret} = \mathbf{true} * \exists x : \mathbf{B}. \text{flag}_I \mapsto_I x * \text{flag}_S \mapsto_S \neg x * \text{chan} \mapsto_I 2 * j' \mapsto_S K'[] * j \mapsto_S K[\text{flip}_S()] \rangle \\
&\langle \text{ret.} \text{ret} = \mathbf{true} * \exists x : \mathbf{B}. \text{flag}_I \mapsto_I x * \text{flag}_S \mapsto_S x * \text{chan} \mapsto_I 2 * j' \mapsto_S K'[] * j \mapsto_S K[()] \rangle
\end{aligned}$$

$\langle \text{ret. ret} = \mathbf{true} * I(\text{Accepted}(j', K')) * j \mapsto_S K[()] \rangle$

We prove the second CAS for any state  $s$ :

$\langle \triangleright I(s) * j \mapsto_S K[\text{flip}_S()] \rangle$

$\langle \exists x : \mathbf{B}. \text{flag}_I \mapsto_I x * \text{flag}_S \mapsto_S x * I_0(s) * j \mapsto_S K[\text{flip}_S()] \rangle$

$\text{CAS}(\text{flag}, \mathbf{true}, \mathbf{false})$

$\langle \text{ret. } ((\text{ret} = \mathbf{true} * \text{flag}_I \mapsto_I \mathbf{false} * \text{flag}_S \mapsto_S \mathbf{true}) \vee (\text{ret} = \mathbf{false} * \text{flag}_I \mapsto_I \mathbf{false} * \text{flag}_S \mapsto_S \mathbf{false})) * I_0(s) * j \mapsto_S K[\text{flip}_S()] \rangle$

$\langle \text{ret. } (\text{ret} = \mathbf{true} * I(s) * j \mapsto_S K[()]) \rangle$

$\vee \langle \text{ret. } (\text{ret} = \mathbf{false} * I(s) * j \mapsto_S K[\text{flip}_S()]) \rangle$

The proof for  $\text{CAS}(\text{flag}, \mathbf{false}, \mathbf{true})$  is symmetric.

That leaves only the final top-level CAS, in which we make an offer:

$\langle P \rangle$

$\langle (\theta, I, \text{Empty}, \emptyset) \wedge j \mapsto_S K[\text{flip}_S()] \rangle$

$\text{CAS}(\text{chan}, 0, 1)$

$\langle \text{ret. } (\text{ret} = \mathbf{true} * (\theta, I, \text{Offered}(j, K), \bullet)) \vee (\text{ret} = \mathbf{false} * P) \rangle$

We are now in a state where we own the token.

For the inner CAS, we therefore need to consider only two possible future states:

$\langle \triangleright I(\text{Offered}(j, K)) \rangle$

$\langle Q * \text{chan} \mapsto_I 1 * j \mapsto_S K[\text{flip}_S()] \rangle$

$\text{CAS}(\text{chan}, 1, 0) \langle \text{ret. } \text{ret} = \mathbf{true} * Q * \text{chan} \mapsto_I 0 * j \mapsto_S K[\text{flip}_S()] \rangle$

$\langle \text{ret. } \text{ret} = \mathbf{true} * I(\text{Empty}) * j \mapsto_S K[\text{flip}_S()] \rangle$

$\langle \triangleright I(\text{Accepted}(j, K)) \rangle$

$\langle Q * \text{chan} \mapsto_I 2 * j \mapsto_S K[()] \rangle$

$\text{CAS}(\text{chan}, 1, 0) \langle \text{ret. } \text{ret} = \mathbf{false} * Q * \text{chan} \mapsto_I 2 * j \mapsto_S K[()] \rangle$

$\langle \text{ret. } \text{ret} = \mathbf{false} * I(\text{Accepted}(j, K)) \rangle$

Thus, the overall triple for the inner CAS is:

$\langle (\theta, I, \text{Offered}(j, K), \bullet) \text{ CAS}(\text{chan}, 1, 0) \left\langle \begin{array}{l} \text{ret. } (\text{ret} = \mathbf{true} * j \mapsto_S K[\text{flip}_S()]) \\ \vee \\ (\text{ret} = \mathbf{false} * (\theta, I, \text{Accepted}(j, K), \bullet)) \end{array} \right\rangle \rangle$

Finally, if the inner CAS fails, there is only one rely-future state:  $\text{Accepted}(j, K)$

Thus, we know exactly what the assignment to the channel will see:

$\langle \triangleright I(\text{Accepted}(j, K)) \rangle$

$\langle Q * \text{chan} \mapsto_I 2 * j \mapsto_S K[()] \rangle$

$\text{chan} := 0$

$\langle Q * \text{chan} \mapsto_I 0 * j \mapsto_S K[()] \rangle$

$\langle I(\text{Empty}) * j \mapsto_S K[()] \rangle$

## 6.5 CCAS

```

counterS  $\triangleq$ 
let  $c = \text{new } 0, f = \text{new false}, \text{lock} = \text{new false}$ 
let  $\text{get}() = \text{sync}(\text{lock}) \{ c[1] \}$ 
let  $\text{setFlag}(b) = \text{sync}(\text{lock}) \{ f := b \}$ 
let  $\text{cinc}() = \text{sync}(\text{lock}) \{$ 
   $c[1] := c[1] + \text{if } f[1] \text{ then } 1 \text{ else } 0 \}$ 
in  $(\text{get}, \text{setFlag}, \text{cinc})$ 

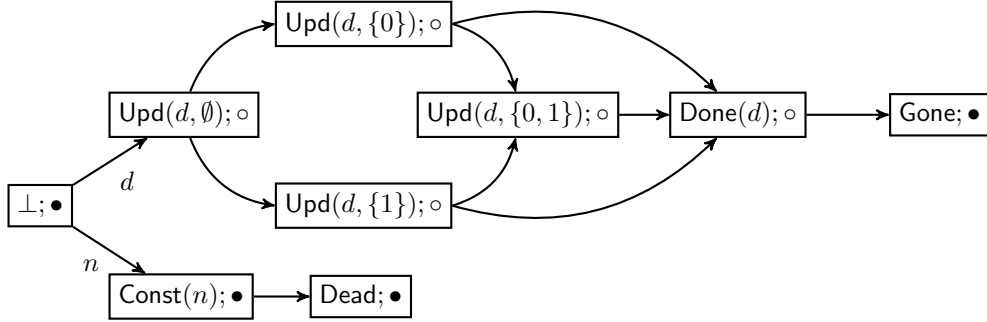
```

```

counterI  $\triangleq$ 
let  $c = \text{new inj}_1 0, f = \text{new false}$ 
let  $\text{complete}(o, x) =$ 
  if  $f[1]$  then  $\text{CAS}(c, o, \text{inj}_1 (x + 1))$ 
  else  $\text{CAS}(c, o, \text{inj}_1 x)$ 
let rec  $\text{get}() = \text{let } o = c[1] \text{ in case } o \text{ of}$ 
   $\text{inj}_1 x \Rightarrow x$ 
  |  $\text{inj}_2 x \Rightarrow \text{complete}(o, x); \text{get}()$ 
let  $\text{setFlag}(b) = f := b$ 
let rec  $\text{cinc}() = \text{let } o = c[1] \text{ in case } o \text{ of}$ 
   $\text{inj}_1 x \Rightarrow \text{let } n = \text{inj}_2 x \text{ in}$ 
  if  $\text{CAS}(c, o, n)$  then  $\text{complete}(n, x)$  else  $\text{cinc}()$ 
  |  $\text{inj}_2 x \Rightarrow \text{complete}(o, x); \text{cinc}()$ 
in  $(\text{get}, \text{setFlag}, \text{cinc})$ 

```

We have the following “life story” for every “descriptor” (injection into sum) location:



Formally, we have

$$\begin{aligned}
 d &::= n, j, K \\
 S_0 &\triangleq \{ \perp, \text{Done}(d), \text{Gone}, \text{Const}(n), \text{Dead} \} \cup \{ \text{Upd}(d, B) \mid B \subseteq \{0, 1\} \} \\
 S &\triangleq \left\{ s \in \text{Loc} \stackrel{\text{fin}}{\mapsto} S_0 \mid \exists ! \ell. (s(\ell) = \text{Const}(-) \vee s(\ell) = \text{Upd}(-)) \right\} \\
 A &\triangleq \text{Loc}
 \end{aligned}$$

following the pattern of MSQ. The transition relation on  $S$  is the pointwise lifting of that for  $S_0$ . Note that the product transition system has one token per location, that is, one token per local transition system. The free tokens  $F$  for the product system is the product of the banks for the local systems.



The interpretation is as follows:

$$\begin{aligned}
d &::= n, j, K & B &\subseteq \{0, 1\} & A &\triangleq \text{Loc} \\
S_0 &\triangleq \{\perp, \text{Upd}(d, B), \text{Done}(d), \text{Gone}, \text{Const}(n), \text{Dead}\} \\
S &\triangleq \left\{ s \in \text{Loc} \stackrel{\text{fin}}{\mapsto} S_0 \mid \exists! \ell. s(\ell) \in \{\text{Const}(-), \text{Upd}(-, -)\} \right\} \\
I(s) &\triangleq \exists b : \mathbf{B}. f_1 \mapsto_I b * f_S \mapsto_S b * \text{lock} \mapsto_S \mathbf{false} \\
&* \begin{cases} \text{linkUpd}(\ell, n, j, K, B) & \exists \ell. s(\ell) = \text{Upd}(n, j, K, B) \\ \text{linkConst}(\ell, n) & \exists \ell. s(\ell) = \text{Const}(n) \end{cases} \\
&* \bigstar_{s(\ell)=\text{Done}(n, j, K)} \ell \mapsto_I \mathbf{inj}_2 \ n * j \mapsto_S K[()] \\
&* \bigstar_{s(\ell)=\text{Gone}} \ell \mapsto_I \mathbf{inj}_2 \ - * \bigstar_{s(\ell)=\text{Dead}} \ell \mapsto_I \mathbf{inj}_1 \ - \\
\text{linkConst}(\ell, n) &\triangleq c_1 \mapsto_I \ell * \ell \mapsto_I \mathbf{inj}_1 \ n * c_S \mapsto_S n \\
\text{linkUpd}(\ell, n, j, K, B) &\triangleq c_1 \mapsto_I \mathbf{inj}_2 \ \ell * \ell \mapsto_I n \\
&* \begin{pmatrix} c_S \mapsto_S n * j \mapsto_S K[\text{cinc}()] \\ \oplus c_S \mapsto_S n * j \mapsto_S K[()] & \text{if } 0 \in B \\ \oplus c_S \mapsto_S (n+1) * j \mapsto_S K[()] & \text{if } 1 \in B \end{pmatrix}
\end{aligned}$$

We let  $\theta$  be the product transition system.

$$\begin{aligned}
\text{let complete}(o, x) = & \begin{cases} \langle o \times \text{Upd}(x, -, -, \emptyset) \rangle \\ \text{if } f[1] \text{ then} & \langle o \times \text{Upd}(x, -, -, \{1\}) \rangle \\ \text{CAS}(c, o, \mathbf{inj}_1(x+1)) & \langle o \times \text{Done}(x, -, -) \rangle \\ \text{else} & \langle o \times \text{Upd}(x, -, -, \{0\}) \rangle \\ \text{CAS}(c, o, \mathbf{inj}_1(x)) & \langle o \times \text{Done}(x, -, -) \rangle \end{cases} \\
\text{let rec cinc}() = & \begin{cases} \langle j \mapsto_S K[\text{cinc}_S()] * (\theta, I, \emptyset, \emptyset) \rangle \\ \langle j \mapsto_S K[\text{cinc}_S()] * \rangle \\ \text{let } o = c[1] \text{ in} & \langle (o \times \text{Const}(-) \vee o \times \text{Upd}(-, -)) \rangle \end{cases} \\
\text{case } o \text{ of} & \\
\mathbf{inj}_1 x \Rightarrow & \begin{cases} \langle j \mapsto_S K[\text{cinc}_S()] * o \times \text{Const}(x) \rangle \\ \text{let } n = \mathbf{inj}_2 x \text{ in} & \langle j \mapsto_S K[\text{cinc}_S()] * o \times \text{Const}(x) * \rangle \\ & \langle n \mapsto \mathbf{inj}_2 x \rangle \\ \text{if } \text{CAS}(c, o, n) \text{ then} & \langle o \times \text{Dead}(x) \wedge n \times_\bullet \text{Upd}(x, j, K, \emptyset) \rangle \\ & \therefore \langle n \times_\bullet \text{Upd}(x, j, K, \emptyset) \rangle \\ \text{complete}(n, x); & \langle n \times_\bullet \text{Done}(x, j, K) \rangle \\ () & \langle \text{ret. ret} = () \wedge j \mapsto_S K[()] \wedge n \times \text{Gone} \rangle \\ & \therefore \langle \text{ret. ret} = () \wedge j \mapsto_S K[()] \rangle \\ \text{else} & \langle j \mapsto_S K[\text{cinc}_S()] * (\theta, I, \emptyset, \emptyset) \rangle \\ \text{cinc}() & \langle \text{ret. ret} = () \wedge j \mapsto_S K[()] \rangle \end{cases} \\
| \mathbf{inj}_2 x \Rightarrow & \begin{cases} \langle j \mapsto_S K[\text{cinc}_S()] * o \times \text{Upd}(x, -, -, -) \rangle \\ \text{complete}(o, x); & \langle j \mapsto_S K[\text{cinc}_S()] * o \times \text{Done}(x, -, -) \rangle \\ & \therefore \langle j \mapsto_S K[\text{cinc}_S()] * (\theta, I, \emptyset, \emptyset) \rangle \\ \text{cinc}() & \langle \text{ret. ret} = () \wedge j \mapsto_S K[()] \rangle \end{cases}
\end{aligned}$$