## CS 4770: Cryptography

# CS 6750: Cryptography and Communication Security 

Alina Oprea
Associate Professor, CCIS
Northeastern University

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## Review

- Hash functions
- Collision resistance
- Merkle-Damgaard construction
- Birthday attacks on hash functions
- Upper and lower bound on collision probability
- MAC constructions
- MACs from hash functions
- HMAC construction
- More efficient than CBC-MAC

Number theory review

## Greatest common divisor

Def: For integers $\mathrm{x}, \mathrm{y}$ : $\boldsymbol{\operatorname { g c d }}(\mathbf{x}, \mathbf{y})$ is the greatest common divisor d such that $\mathrm{d} \mid \mathrm{x}$ and $\mathrm{d} \mid \mathrm{y}$
Fact: for all integers $x, y$ there exist $a, b$ such that

$$
a \cdot x+b \cdot y=\operatorname{gcd}(x, y)
$$

Coef $a, b$ can be found with extended Euclidean algorithm

If $\operatorname{gcd}(x, y)=1$ we say that $x$ and $y$ are relatively prime

Fact: $x$ and $y$ are relatively prime if and only if there exist $a$, b such that

$$
a \cdot x+b \cdot y=1
$$

## Modular inversion

Over rationals, inverse of 2 is $1 / 2$. What about $Z_{N}$ ?

Definition: The multiplicative inverse of x in $Z_{N}$ is an element y in $Z_{N}$ such that $\mathrm{x} \cdot y=1$ in $Z_{N}$
y is denoted $\mathrm{x}^{-1}$
Example: Let N be an odd integer. What is the inverse of 2 in $Z_{N}$ ?

$$
2 \cdot \frac{N+1}{2}=\mathrm{N}+1=1 \bmod \mathrm{~N}
$$

## Modular inversion

Which elements have an inverse in $Z_{N}$ ?

Lemma: $\quad x$ in $Z_{N}$ has an inverse if and only if $\operatorname{gcd}(x, N)=1$
Proof:

- $\operatorname{gcd}(x, N)=1 \Rightarrow \exists a, b: a \cdot x+b \cdot N=1$ $a \cdot x=1 \bmod N \Rightarrow x^{-1}=a$
- If $x$ has an inverse $a \Rightarrow a \cdot x=1 \bmod N \Rightarrow$ exists $b$ $a \cdot x=b N+1 \Rightarrow a \cdot x-b N=1 \Rightarrow \operatorname{gcd}(x, N)=1$


## Solving modular linear equations

Solve: $\quad \mathrm{a} \cdot \mathrm{x}+\mathrm{b}=0$ in $Z_{N}$

$$
\begin{array}{ll}
\text { Solution: } & \mathrm{x}=-\mathrm{b} \cdot \mathrm{a}^{-1} \text { in } Z_{N} \\
& \text { only if a is invertible }
\end{array}
$$

Find $\mathrm{a}^{-1}$ in $Z_{N}$ using extended Euclidean alg. Run time: $\mathrm{O}\left(\log ^{2} \mathrm{~N}\right)$

## Groups

- Definition: A group ( $\mathrm{G},{ }^{*}$ ) is a set G on which a binary operation is defined which satisfies the following properties:
- Closure: For all $\mathrm{a}, \mathrm{b} \in \mathrm{G}, \mathrm{a} * \mathrm{~b} \in \mathrm{G}$.
- Associative: For all a, b, c G G, (a * b) ${ }^{*} \mathrm{c}=\mathrm{a}^{*}\left(\mathrm{~b}{ }^{*} \mathrm{c}\right)$.
- Identity: $\exists \mathrm{e} \in \mathrm{G}$ s.t. for all $\mathrm{a} \in \mathrm{G}, \mathrm{a}^{*} \mathrm{e}=\mathrm{a}=\mathrm{e}^{*} \mathrm{a}$.
- Inverse: For all $a \in G, \exists a^{-1} \in G$ s.t. $a^{*} a^{-1}=a^{-1 *} a=e$.
- Examples
$-\left(Z_{N},+\right)$ is a group, where + is addition modulo N
- $\left(Z_{p},{ }^{*}\right)$ is a group, where * is multiplication modulo p


## Abelian and cyclic groups

- Definition: A group (G, *) is called abelian if operation * is commutative
- Commutative: For all $\mathrm{a}, \mathrm{b} \in \mathrm{G}, \mathrm{a} * \mathrm{~b}=\mathrm{b}$ * a
- Example: $\left(Z_{N},+\right)$ is an abelian group
- Definition: A group G is cyclic if $\exists$ generator $g$ $\in G$ s.t. any $h \in G$ can be writen $h=g^{i}$
- Example: $\left(Z_{p},{ }^{*}\right)$ is a cyclic group


## Invertible elements in $Z_{N}$

Definition (group of invertible elements in $Z_{N}$ )

$$
\mathbb{Z}_{N}^{*}=\left\{x \in Z_{N}: \operatorname{gcd}(x, N)=1\right\}
$$

Examples:

1. for prime $p$,

$$
\mathbb{Z}_{p}^{*}=\mathbb{Z}_{p} \backslash\{0\}=\{1,2, \ldots, p-1\}
$$

2. $\mathbb{Z}_{12}^{*}=\{1,5,7,11\}$

For x in $\mathbb{Z}_{N}^{*}$, can find $\mathrm{x}^{-1}$ using extended Euclidean algorithm

## Order of group/elements

- Definition: The order of a group G, ord(G), is defined as the number of elements in the group.
- Example: The order of $\left(Z_{p},{ }^{*}\right)$ is $\mathrm{p}-1$
- Definition: The order of an element g from a finite group G, is the smallest power of $n$ such that $\mathrm{g}^{\mathrm{n}}=\mathrm{e}$, where e is the identity element.
- Example: What is the order of 2 in $\left(Z_{5}^{*},{ }^{*}\right)$ ?
- It is 4 because $2^{4} \equiv 1 \bmod 5$


## Facts on group order

Theorem: If G is a group of order m . Then for any element $\mathrm{g} \in \mathrm{G}, \mathrm{g}^{\mathrm{m}}=1$.
Proof (assume $G$ abelian): Let $g_{1}, \ldots, g_{\mathrm{m}}$ be all the elements of G and g an element in G . Then:

$$
g_{1} \cdot \ldots \cdot g_{m}=\left(g \cdot g_{1}\right) \ldots\left(g \cdot g_{m}\right)
$$

This is true because all $m$ elements on the right side of the equality are distinct.
Then: $g_{1} \cdot \ldots \cdot g_{m}=g^{m} g_{1} \cdot \ldots \cdot g_{m}$ and thus $g^{m}=1$

## Computing in the exponent

Theorem: Let G be a group of order m . Then for any element $\mathrm{g} \in \mathrm{G}, \mathrm{g}^{\mathrm{m}}=1$.
Corollary Let G be a group of order $\mathrm{m}>1$. Then for any element $\mathrm{g} \in \mathrm{G}$ and any integer x :

$$
g^{x}=g^{[x \bmod m]}
$$

## Fermat's Little Theorem

Theorem: Let G be a group of order m . Then for any element $\mathrm{g} \in \mathrm{G}, \mathrm{g}^{\mathrm{m}}=1$.
Corollary Let p be a prime. For any integer a :

$$
a^{p-1}=1 \bmod p
$$

Proof:
Apply the theorem for $\left(Z_{p}^{*},{ }^{*}\right)$. The order of $Z_{p}^{*}$ is $\mathrm{p}-1$ and the result follows immediately.

## The structure of $Z_{p}^{*}$

Theorem: $Z_{p}^{*}$ is a cyclic group, that is
$\exists \mathrm{g} \in Z_{p}^{*} \quad$ such that $\quad\left\{1, \mathrm{~g}, \mathrm{~g}^{2}, \ldots, \mathrm{~g}^{\mathrm{p}-2}\right\}=Z_{p}^{*}$
g is called a generator of $Z_{p}^{*}$
Example ( $p=7$ ):
$\left\{1,3,3^{2}, 3^{3}, 3^{4}, 3^{5}\right\}=\{1,3,2,6,4,5\}=Z_{7}^{*}$
Not every element is a generator

$$
\left\{1,2,2^{2}, 2^{3}, 2^{4}, 2^{5}\right\}=\{1,2,4\}
$$

## Euler's generalization of Fermat

## Definition: For an integer N define $\phi(\mathrm{N})=\left|Z_{N}^{*}\right|$

## Examples:

- $\phi(p)=p-1$ for $p$ prime
- $\phi(12)=|\{1,5,7,11\}|=4$
- For $N=p \cdot q, p$ and $q$ primes, $\phi(N)=(p-1)(q-1)$
- Num. elements divisible with p is $\mathrm{q}-1$
- Num. elements divisible with $q$ is $p-1$
$-\phi(N)=N-1-(p-1)-(q-1)=(p-1)(q-1)$


## Euler's Theorem

Theorem: Let G be a group of order m . Then for any element $\mathrm{g} \in \mathrm{G}, \mathrm{g}^{\mathrm{m}}=1$.
Corollary For any integer a:

$$
a^{\phi(N)}=1 \bmod N
$$

## Proof:

Apply the theorem for $\left(Z_{N}^{*},{ }^{*}\right)$. The order of $Z_{N}^{*}$ is $\phi(\mathrm{N})$ and the result follows immediately.
Example: $5^{\phi(12)}=5^{4}=625=1$ in $Z_{12}^{*}$
Basis of the RSA cryptosystem

## Modular e'th roots

We know how to solve modular linear equations:

$$
a \cdot x+b=0 \quad \text { in } Z_{N} \quad \text { Solution: } \quad x=-b \cdot a^{-1} \text { in } Z_{N}
$$

What about higher degree polynomials?

Example: Let $p$ be a prime and $c \in Z_{p}$.
Can we solve:
$x^{2}-c=0, \quad y^{3}-c=0, \quad z^{37}-c=0 \quad$ in $Z_{p}$

## Modular e'th roots

Let $p$ be a prime and $c \in Z_{p}$.
Definition: $x \in Z_{p}$ s.t. $\quad X^{e}=c$ in $Z_{p}$ is called an $e^{\prime}$ th root of c .

Examples:

$$
\begin{array}{lll}
7^{1 / 3}=6 & \text { in } \mathbb{Z}_{11} & 6^{3}=216=7 \bmod 11 \\
3^{1 / 2}=5 \text { in } \mathbb{Z}_{11} & \\
1^{1 / 3}=1 & \text { in } \mathbb{Z}_{11} & 2^{1 / 2} \text { does not exist in } \mathbb{Z}_{11}
\end{array}
$$

## The easy case

When does $\mathrm{c}^{1 / \mathrm{e}}$ in $Z_{p}^{*} \quad$ exist? Can we compute it efficiently?

The easy case: suppose $\operatorname{gcd}(\mathrm{e}, \mathrm{p}-1)=1$ Then for all c in $Z_{p}^{*}: \mathrm{c}^{1 / \mathrm{e}}$ exists in $Z_{p}^{*}$ and is easy to find.

Proof: There exists $a$ and $b$ s.t. $a \cdot e+b(p-1)=1$. $\mathrm{c}=c^{a e+b(p-1)}=c^{a e}\left(c^{p-1}\right)^{b}=c^{a e}$. Then $c^{a}$ is the e-th root of $c$

## The case $\mathrm{e}=2$ : square roots

If $p$ is an odd prime then $\operatorname{gcd}(2, p-1) \neq 1$
Fact: in $Z_{p}^{*}, \quad \mathrm{x} \rightarrow \mathrm{x}^{2}$ is a 2-to-1 function


Example: in $Z_{11}^{*}$ :


Definition: x in $Z_{p}^{*}$ is a quadratic residue (Q.R.) if it has a square root (exists y in in $Z_{p}^{*}$ such that $\mathrm{y}^{2}=x \bmod \mathrm{p}$ )

$$
\text { p odd prime } \Rightarrow \text { the \# of } Q . R \text {. in is }(p+1) / 2
$$

## Q.R. theorem for odd primes

Theorem: Let p be an odd prime. Then x in $Z_{p}^{*}$ is a Q.R. $\quad \Leftrightarrow \quad x^{(p-1) / 2}=1 \bmod p$

Example: $\left.\begin{array}{c}\text { in } \\ \mathbb{Z} \\ 11\end{array}, 1^{5}, 2^{5}, 3^{5}, 4^{5}, 5^{5}, 6^{5}, 7^{5}, 8^{5}, 9^{5}, 10^{5}\right\}$
Proof: If $x$ is Q.R., there exists $y$ such that:
$y^{2}=x \bmod p$. Then $x^{\frac{p-1}{2}}=y^{\mathrm{p}-1}=1 \bmod \mathrm{p}$

## Q.R. theorem for odd primes

Theorem: Let p be an odd prime. Then x in $Z_{p}^{*}$ is a Q.R. $\quad \Leftrightarrow \quad x^{(p-1) / 2}=1 \bmod p$

Proof: Let $\mathrm{p}=3 \bmod 4$. Assume $x^{\frac{p-1}{2}}=1 \bmod \mathrm{p}$.
Then: $\left[x^{\frac{p+1}{4}}\right]^{2}=x^{\frac{p+1}{2}}=x^{\frac{p-1}{2}} x=x$
So $x^{\frac{p+1}{4}}$ is the square root of x , and thus x is Q.R. Proof can be extended to $p=1 \bmod 4$.

## Solving quadratic equations mod $p$

Solve: $\quad a \cdot x^{2}+b \cdot x+c=0$ in $Z_{p}$

Solution: $\quad x=\left(-b \pm \sqrt{b^{2}-4 \cdot a \cdot c}\right) / 2 a$ in $Z_{p}$

- Find $(2 a)^{-1}$ in $Z_{p}$ using extended Euclidean alg.
- Find square root of $b^{2}-4 \cdot a \cdot c$ in $Z_{p}$ (if it exists) using a square root algorithm


## Computing e'th roots mod $N$ ??

Let N be a composite number and $\mathrm{e}>1$

When does $c^{1 / e}$ in $Z_{N}$ exist? Can we compute it efficiently?

Answering these questions requires the factorization of N
(as far as we know)

## Intractable problems with composites

Consider the set of integers: (e.g., for $n=1024$ )

$$
C(n):=\{N=p \cdot q \text { where } p, q \text { are } n \text {-bit primes }\}
$$

Problem 1: Factor a random N in $\mathrm{C}(\mathrm{n}) \quad($ for large $\mathrm{n}=1024)$
Problem 2: Given a polynomial $f(\mathbf{x})$ where degree(f) $>1$ and a random $N$ in $C(n)$ find $x$ in s.t. $f(x)=0 \bmod N$

RSA assumption: Taking modular roots $\mathbf{c}^{1 / e}$ in $Z_{N}$ for $e>2$ is hard
Factoring assumption is weaker than RSA

## The factoring problem

"The problem of distinguishing prime numbers from
Gauss (1805): composite numbers and of resolving the latter into their prime factors is known to be one of the most important and useful in arithmetic."

Best known alg. (NFS): run time $\exp (\tilde{O}(\sqrt[3]{n})$ ) for n-bit integer

Current world record: RSA-768 (232 digits)

- Work: two years on hundreds of machines
- Factoring a 1024-bit integer: about 1000 times harder
$\Rightarrow$ likely possible this decade


## Key insights

- Numbers have a unique factorization into primes
- Solving linear equations in $Z_{N}$ can be done efficiently with extended Euclidian algorithm
- Solving quadratic equations in $Z_{p}^{*}$ can be done efficiently
- Computing modular roots mod N (for N a random large number $N=p q, p, q$ primes) is considered an intractable problem
- Basis of RSA algorithm


## Further reading

- A Computational Introduction to Number

Theory and Algebra,
V. Shoup, 2008 (V2), Chapter 1-4, 11, 12

Available at //shoup.net/ntb/ntb-v2.pdf

## How to generate large primes?

- Input: length n; parameter t
- Output: a uniform n-bit prime p
- For $\mathrm{i}=1$ to t :
$-p^{\prime} \leftarrow\{0,1\}^{n-1}$
$-p=1| | p^{\prime}$
- If p is prime, return p

Primality test

- Return fail

The fraction of prime $n$-bit numbers is $>1 / 3 n$ Set $t$ to get a negligible prob of fail (e.g., for $t=3 n^{2}$, probability of failure $<\mathrm{e}^{-n}$ )

## Primality testing - Attempt I

- Goal: Distinguish primes from composites
- Test input number N
- If N is prime, then for all $a \in\{1, \ldots, N-$ $1\}, a^{N-1}=1 \bmod N$ (Fermat's theorem)
- If there exists an a for which $a^{N-1} \neq$ $1 \bmod N$, then N is composite
- Such an a is called a witness for N composite
- If there exists a witness a, then at least half elements in $Z_{N}^{*}$ are witnesses for N composite
- Some composites do not have witnesses!


## Primality testing - Refined

- Goal: Distinguish primes from composites
- Test input number N
- If N is even, it is composite
- If $N$ is perfect power ( $N=m^{\mathrm{e}}$ ), it is composite
- Otherwise, decompose $N-1=2^{r} u$, u odd
- An a is called strong witness if:
$-a^{u} \neq \pm 1 \bmod N$ and
$-a^{2^{i} u} \neq-1 \bmod N, \forall i \in\{1, \ldots, r-1\}$
- If $N$ is composite, then at least half elements in $Z_{N}^{*}$ are strong witnesses!


## Miller-Rabin primality test

- Input: Integer N; parameter t
- Output: A decision whether N is prime/composite
- If N even, return "composite"
- If N perfect power, return "composite"
- Decompose $N-1=2^{r} u$, u odd
- For $\mathrm{j}=1$ to t:
$-a \leftarrow\{1, \ldots, \mathrm{~N}-1\} / /$ choose at random
- If $a^{u} \neq \pm 1 \bmod N$ and $a^{2^{i} u} \neq-1 \bmod N, \forall i \in$ $\{1, \ldots, r-1\}$, return "composite"
- Return "prime"

If N composite, $\mathrm{prob} 1 / 2$ to find strong witness in each iteration If N composite, the probability that it outputs prime is $1 / 2^{\mathrm{t}}$

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Some of the slides and slide contents are taken from
http://www.crypto.edu.pl/Dziembowski/teaching and fall under the following:
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We have also used slides from Prof. Dan Boneh online cryptography course at Stanford University:
http://crypto.stanford.edu/~dabo/courses/OnlineCrypto/

