## CS 4770: Cryptography

# CS 6750: Cryptography and Communication Security 

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## Announcements

- Homework 3 will be out today
- Due date Fri 03/23
- Distinguished speaker on Thu 03/22
- Location 97 Cargill, 3-4:30pm
- Prof Mike Reiter, UNC Chappel Hill
- Title: "Side channels in multi-tenant environments"
- Extra credit for next homework: submit a paragraph about his talk
- If anyone is interested in meeting him 4:30-5pm, please email me


## Recap

- Collision-resistant hash functions are useful for many tasks
- Constructing hash functions using MerkleDaamgard paradigm
- Traditional designs: MD5, SHA-1, SHA-2
- SHA-3 is the new standard
- Explicit collision found in MD5
- Structural waeknesses in SHA-1
- Birthday paradox implies $\mathrm{n} / 2$ level of security for $n$-bit hash function in best case


## Outline

- Birthday attack
- Prove lower bound
- Generic attack on hash functions
- Construction of HMAC
- More efficient than CBC-MAC
- Applications of hash functions
- Merkle trees
- Introduction to number theory


## Collision-resistant hash functions

## short $\mathrm{H}(\mathrm{m})$

## a hash function <br> $H:\{0,1\}^{*} \rightarrow\{0,1\}^{n}$

collision-resistance
a "collision"

Requirement: it should be hard to find a pair ( $m, m^{\prime}$ ) such that $H(m)=H\left(m^{\prime}\right)$

## Hash functions - the security definition



H is a collision-resistant hash function if

polynomial-time adversary A
$\operatorname{Pr}\left[A\right.$ outputs $m, m^{\prime}$ such that $\left.H(m)=H\left(m^{\prime}\right)\right]$ is negligible

## Birthday paradox

- If we choose q elements $y_{1}, \ldots y_{q}$ at random from $\{1, \ldots, \mathrm{~N}\}$, what is the probability that there exists i and j such that $y_{i}=y_{j}$ ?


365 possible days

What is the probability that two people have the same birthday?

## Upper bound

- If we choose $y_{1}, \ldots y_{q}$ uniformly at random from $\{1, \ldots, N\}$, the probability of collision is upper bounded by:

$$
\operatorname{Coll}(q, N) \leq \frac{q(q-1)}{2 N}
$$

- Proof: (Union bound)

$$
\begin{aligned}
& \operatorname{Pr}[\operatorname{Coll}(q, N)]=\operatorname{Pr}\left[\exists i, j \text { st } y_{i}=y_{j}\right] \\
& \leq \sum_{i, j} \operatorname{Pr}\left[y_{i}=y_{j}\right]=\binom{q}{2} \frac{1}{N}=\frac{q(q-1)}{2 N}
\end{aligned}
$$

## Lower bound

- If we choose $y_{1}, \ldots y_{q}$ uniformly at random from $\{1, \ldots, \mathrm{~N}\}$ and $q \leq \sqrt{ } 2 N$, the probability of collision is lower bounded by:

$$
\operatorname{Coll}(q, N) \geq 1-e^{-\frac{q(q-1)}{2 N}} \geq \frac{q(q-1)}{4 N}
$$

- Proof: NoColl $_{i}=$ Event no collision in $y_{1}, \ldots y_{i}$ $\operatorname{Pr}\left[\mathrm{NoColl}_{q}\right]=\operatorname{Pr}\left[\mathrm{NoColl}_{1}\right] \operatorname{Pr}\left[\mathrm{NoColl}_{2} \mid \mathrm{NoColl}_{1}\right] \ldots$ $\operatorname{Pr}\left[\mathrm{NoColl}_{\mathrm{q}} \mid\right.$ NoColl $\left._{\mathrm{q}-1}\right]$
$\operatorname{Pr}\left[\mathrm{NoColl}_{1}\right]=1$
$\operatorname{Pr}\left[\right.$ NoColl $_{\mathrm{i}} \mid$ NoColli $\left._{\mathrm{i}-1}\right]=1-(\mathrm{i}-1) / \mathrm{N}$


## Lower bound

- If we choose $y_{1}, \ldots y_{q}$ uniformly at random from $\{1, \ldots, \mathrm{~N}\}$ and $q \leq \sqrt{2 N}$, the probability of collision is lower bounded by:

$$
\operatorname{Coll}(q, N) \geq 1-e^{-\frac{q(q-1)}{2 N}} \geq \frac{q(q-1)}{4 N}
$$

- Proof: NoColl $_{\mathrm{i}}=$ Event no collision in $y_{1}, \ldots y_{i}$

$$
\begin{gathered}
\operatorname{Pr}\left[\mathrm{NoColl}_{\mathrm{q}}\right]=\Pi(1-\mathrm{i} / \mathrm{N}) \\
\operatorname{Pr}\left[\mathrm{NoColl}_{\mathrm{q}}\right] \leq \prod_{\mathrm{i}} \mathrm{e}^{-\mathrm{i} / \mathrm{N}} \leq \mathrm{e}^{-\Sigma \mathrm{i} / \mathrm{N}}=\mathrm{e}^{-\mathrm{q}(q-1) / 2 \mathrm{~N}} \\
1-\operatorname{Pr}\left[\mathrm{NoColl}_{\mathrm{q}}\right] \geq 1-\mathrm{e}^{-\mathrm{q}(\mathrm{q}-1) / 2 \mathrm{~N}} \\
\geq \mathrm{q}(\mathrm{q}-1) / 4 \mathrm{~N}
\end{gathered}
$$

## Lower bound

- If we choose $y_{1}, \ldots y_{q}$ uniformly at random from $\{1, \ldots, \mathrm{~N}\}$ and $q \leq \sqrt{ } 2 N$, the probability of collision is lower bounded by:

$$
\frac{q(q-1)}{4 N} \leq \operatorname{Coll}(q, N) \leq \frac{q(q-1)}{2 N}
$$

If $q=\Theta(\sqrt{N})$, then $\operatorname{Coll}(q, N)$ is approx. $1 / 2$
Birthday paradox: $\mathrm{N}=365, \mathrm{q}=23$
Hash functions: $N=2^{n}, q=2^{n / 2}$

## Collision probability



## Generic attack on collision resistant hash functions

Let $\mathrm{H}: \mathrm{M} \rightarrow\{0,1\}^{\mathrm{n}}$ be a hash function ( $|M| \gg 2^{n}$ )
Generic alg. to find a collision in time $\mathbf{O}\left(\mathbf{2}^{n / 2}\right)$ hashes
Algorithm:

1. Choose $2^{n / 2}$ random messages in M : $\mathrm{m}_{1}, \ldots, \mathrm{~m}_{2^{n / 2}}$ (distinct w.h.p )
2. For $i=1, \ldots, 2^{n / 2}$ compute $t_{i}=H\left(m_{i}\right)$
3. Look for a collision ( $t_{i}=t_{j}$ )
4. If not found, got back to step 1

Running time: $\mathbf{O}\left(\mathbf{2}^{\boldsymbol{n} / \mathbf{2}}\right) \quad\left(\right.$ space $\left.\mathbf{O}\left(\mathbf{2}^{\boldsymbol{n} / \mathbf{2}}\right)\right)$

## Sample C.R. hash functions:

AMD Opteron, 2.2 GHz (Linux)


Best known collision finder for SHA-1 requires $2^{51}$ hash evaluations

## Security experiment for MAC

- Experiment $\operatorname{Exp}_{\Pi, A}^{\mathrm{MAC}}(n)$ :

1. Choose $k \leftarrow \operatorname{Gen}(n)$
2. $m, t \leftarrow A^{\operatorname{Tag}()}(n)$
3. Output 1 if $\operatorname{Ver}(m, t)=1$ and $m$ was not queried to the $\operatorname{Tag}()$ oracle
4. Output 0 otherwise

We say that (Gen, Tag,Ver) is a secure MAC if:
For every PPT adversary $A=\left(A_{1}, A_{2}\right)$ :
$\operatorname{Pr}\left[\operatorname{Exp}_{\Pi, A}^{\mathrm{MAC}}(n)=1\right]$ is negligible in $n$

## MACs from Collision Resistance

Let (Tag,Ver) be a MAC for short messages over (K,M)
Let $\mathrm{H}: \mathrm{M}^{\prime} \rightarrow \mathrm{M}$ be a collision resistant hash function
Def: (Tag', Ver') over ( $\mathrm{K}, \mathrm{M}$ ') as:

```
Tag'(k,m) = Tag(k,H(m))\quadVer'(k,m,t)=\operatorname{Ver}(k,H(m),t)
```

Thm: If (Tag,Ver) is a secure MAC and H is collision resistant then (Tag', Ver') is a secure MAC.

Example: $\quad(k, m)=$ CBC-MAC(k, SHA-256(m)) is a secure MAC.

## MACs from Collision Resistance

$\operatorname{Tag}^{\prime}(\mathrm{k}, \mathrm{m})=\operatorname{Tag}(\mathrm{k}, \mathrm{H}(\mathrm{m})) \quad ; \quad \operatorname{Ver}^{\prime}(\mathrm{k}, \mathrm{m}, \mathrm{t})=\operatorname{Ver}(\mathrm{k}, \mathrm{H}(\mathrm{m}), \mathrm{t})$
Collision resistance is necessary for security:
Suppose adversary can find $m_{0} \neq m_{1}$ s.t. $H\left(m_{0}\right)=H\left(m_{1}\right)$
Then: (Tag',Ver') is insecure under chosen msg attack step 1: adversary asks for $\mathrm{t} \leftarrow \operatorname{Tag}\left(\mathrm{k}, \mathrm{m}_{0}\right)$ step 2: output ( $\mathrm{m}_{1}, \mathrm{t}$ ) as forgery

## The Merkle-Damgard iterated construction



Thm: h collision resistant $\Rightarrow \mathrm{H}$ collision resistant

Can we use $\mathrm{H}($.$) to directly build a MAC?$

## MAC from a Merkle-Damgard Hash Function

$H: X^{\leq L} \longrightarrow T$ a C.R. Merkle-Damgard Hash Function
Attempt \#1: $\quad \operatorname{Tag}(\mathrm{k}, \mathrm{m})=\mathrm{H}(\mathrm{k} \| \mathrm{m})$
This MAC is insecure because:
Given $\mathrm{H}(\mathrm{k} \| \mathrm{m})$ can compute $\mathrm{H}(\mathrm{w}\|\mathrm{k}\| \mathrm{m} \| \mathrm{t})$ for any w . Given $\mathrm{H}(\mathrm{k} \| \mathrm{m})$ can compute $\mathrm{H}(\mathrm{k}\|\mathrm{m}\| \mathrm{w})$ for any w .
Given $\mathrm{H}(\mathrm{k} \| \mathrm{m})$ can compute $\mathrm{H}(\mathrm{k}\|\mathrm{m}\| \mathrm{I} \| \mathrm{l}$ ) for any w .
Anyone can compute $\mathrm{H}(\mathrm{k} \| \mathrm{m})$ for any m .

## Standardized method: HMAC (Hash-MAC)

Most widely used MAC on the Internet.
$\mathrm{H}:$ hash function.
example: SHA-256 ; output is 256 bits

Building a MAC out of a hash function:

$$
\text { HMAC: } \operatorname{Tag}(k, M)=H(k \oplus \text { opad, } H(k \oplus \text { ipad } \| \text { m }))
$$

## HMAC in pictures



## Applications of hash functions: Merkle trees

## Authenticate a file using its hash

## Client

Write file

$$
M=H(F)
$$

Store


## Server



Read file

Check integrity

Check $M=H(F)$

## How to authenticate multiple files?

Client

$M_{2}$
$\mathrm{M}_{\mathrm{n}} \quad M_{n}=H\left(F_{n}\right) \quad$ Check integrity

## Read file

Write file

$$
\begin{aligned}
& M_{1}=H\left(F_{1}\right) \\
& M_{2}=H\left(F_{2}\right)
\end{aligned}
$$

Server


1. Compute and store a hash per file + Fast to check integrity and update file - Linear storage on client

## How to authenticate multiple files?

## Client



Server

Read file


```
F
```


2. Compute and store a hash for all files

+ Small storage on client
- Linear time to check integrity and update file


## Merkle trees

- Introduced by Ralph Merkle, 1979
- "Classic" cryptographic construction
- Involves combining hash functions on binary tree structure
- An efficient data structure with many practical applications
- Constant amount of storage on client
- Logarithmic update and verification cost


## Merkle tree data structure

- Binary tree, nodes are assigned fixed-size values
- Files associated to each leaf



## How to authenticate multiple files?

## Client



Server


## Read/authenticate file

## Client

Read file


## Write/authenticate file

Client
Write file


Number theory review

## Prime Numbers

- An integer $p>1$ is a prime number iff its only positive divisors are 1 and $p$
- E.g., 3,5,7,11,13
- Otherwise, an integer that has other divisors is called composite
- E.g., 4,6,8,10,25,39
- Theorem [Fundamental theorem of arithmetic]

Any integer a > 1 can be factored in a unique way as

$$
a=p_{1}^{a_{1}} p_{2}^{a_{2}} \ldots p_{t}^{a_{t}}
$$

where $p_{1}<p_{2}<\ldots<p_{t}$ are primes and $a_{i}$ are positive integers

- Theorem [Infinite prime numbers]

The number of prime numbers is infinite

## Notation

From here on:

- $N$ denotes a positive integer.
- p denote a prime.

Notation: $Z_{N}=\{0,1, \ldots N-1\}$ group of size N

Can do addition and multiplication modulo N

## Modular arithmetic

Examples: let $N=12$

$$
\begin{array}{ll}
9+8=5 & \text { in } \mathbb{Z}_{12} \\
5 \times 7=11 & \text { in } \mathbb{Z}_{12} \\
5-7=10 & \text { in } \mathbb{Z}_{12}
\end{array}
$$

Arithmetic in $\mathbb{Z}_{N}$ works as you expect, e.g $x \cdot(y+z)=x \cdot y+x \cdot z$ in $\mathbb{Z}_{N}$

## Greatest common divisor

Def: For integers $\mathrm{x}, \mathrm{y}$ : $\boldsymbol{\operatorname { g c d }}(\mathbf{x}, \mathbf{y})$ is the greatest common divisor d such that $\mathrm{d} \mid \mathrm{x}$ and $\mathrm{d} \mid \mathrm{y}$

Example: $\operatorname{gcd}(12,18)=6$

Fact: for all integers $x, y$ there exist $a, b$ such that

$$
a \cdot x+b \cdot y=\operatorname{gcd}(x, y)
$$

Coefficients $a, b$ can be found efficiently using the extended Euclidean algorithm

If $\operatorname{gcd}(x, y)=1$ we say that $x$ and $y$ are relatively prime Example: $\operatorname{gcd}(14,25)=1$

## Facts on gcd

Proposition: If $c \mid a b$ and $\operatorname{gcd}(a, c)=1$, then $c \mid b$
Proof: If $c \mid a b$, there exists a value $u$ such that:
$\mathrm{cu}=\mathrm{ab}$
Since $\operatorname{gcd}(a, c)=1$, there exists some constants $v$ and $w$ such that: $a v+c w=1$
Multiply by $b: a v b+c w b=b \Rightarrow c u v+c w b=b$
$\Rightarrow c(u v+w b)=b \Rightarrow c \mid b$
Corolary: If $p$ is prime and $p \mid a b$, then $p \mid a$ or $p \mid b$ Proof: If $p$ prime, then $p \mid a \operatorname{or} \operatorname{gcd}(p, a)=1$. Then $p \mid a$ or $p \mid b$

## Modular inversion

Over rationals, inverse of 2 is $1 / 2$. What about $Z_{N}$ ?

Definition: The multiplicative inverse of x in $Z_{N}$ is an element y in $Z_{N}$ such that $\mathrm{x} \cdot y=1$ in $Z_{N}$
y is denoted $\mathrm{x}^{-1}$
Example: Let N be an odd integer. What is the inverse of 2 in $Z_{N}$ ?

$$
2 \cdot \frac{N+1}{2}=\mathrm{N}+1=1 \bmod \mathrm{~N}
$$

## Acknowledgement

Some of the slides and slide contents are taken from
http://www.crypto.edu.pl/Dziembowski/teaching and fall under the following:
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We have also used slides from Prof. Dan Boneh online cryptography course at Stanford University:
http://crypto.stanford.edu/~dabo/courses/OnlineCrypto/

