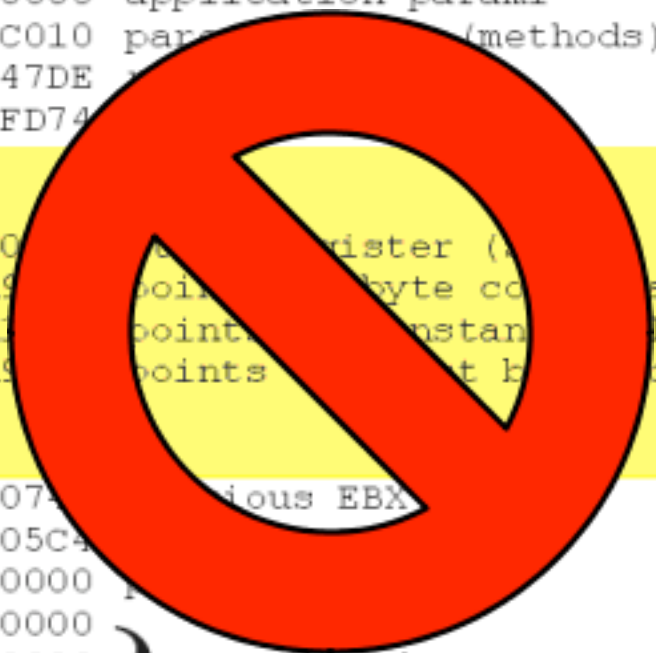


# Essentials of Garbage Collection :-)

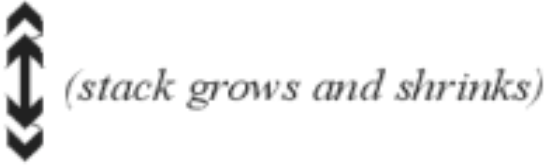
Gregory Cooper, Arjun Guha, and Shriram Krishnamurth

	Address	Value	Description	
	⋮			
			application paramn	
	0012FD40	00000000	...	
	0012FD3C	00000000	application param2	
	0012FD38	00000000	application param1	
	0012FD34	0018C010	parent object (methods)	
	0012FD30	004447DE	return pointer	
<b>EBP</b> →	0012FD2C	0012FD74	previous EBP	
	⋮			
				<b>Frame Data</b>
				<i>from EBP-04 to -</i>
EBP-4C	0012FCE0	01600744	stack register (SR)	
EBP-50	0012FCDC	001A9E18	points to byte code trailer (BCT)	
EBP-54	0012FCD8	001A1104	points to constant pool (CON)	
EBP-58	0012FCD4	001A9D70	points to first byte code in proc (ProcPC)	
	⋮			
	0012FCB0	01600744	previous EBX	
	0012FCAC	016005C4	previous ESI	
	0012FCA8	00000000	previous EDI	
	0012FCA4	00000000	} <i>application locals</i>	
	0012FCA0	00000000		
	0012FC9C	00000000		
	0012FC98	00000000		
	0012FC94	00000000		
<b>ESP</b> →	0012FC90	00000000		
	↕			
	↕			
	↕			
				<i>(stack grows and shrinks)</i>

	Address	Value	Description
	⋮		application paramn
	0012FD40	00000000	...
	0012FD3C	00000000	application param2
	0012FD38	00000000	application param1
	0012FD34	0018C010	param (methods)
	0012FD30	004447DE	
<b>EBP</b> →	0012FD2C	0012FD74	
	⋮		
EBP-4C	0012FCE0	01600754	previous EBX
EBP-50	0012FCDC	001A9000	pointer to byte code trailer (BCT)
EBP-54	0012FCD8	001A9000	pointer to constant pool (CON)
EBP-58	0012FCD4	001A9000	pointer to code in proc (ProcPC)
	⋮		
	0012FCB0	01600754	previous EBX
	0012FCAC	016005C4	
	0012FCA8	00000000	
	0012FCA4	00000000	
	0012FCA0	00000000	} application locals
	0012FC9C	00000000	
	0012FC98	00000000	
	0012FC94	00000000	
<b>ESP</b> →	0012FC90	00000000	



Frame Data  
from EBP-04 to -



# Goals

- Minimal curricular dependencies
- Minimal architectural detail
- Abstraction of *physical* memory
- Easy testing and debugging

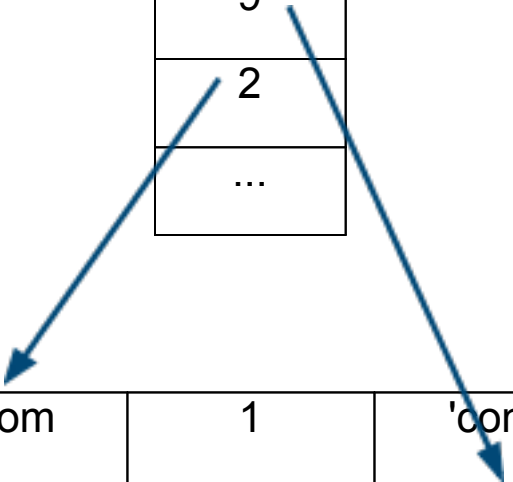
# Abstractions

## Continuation

28
43
9
2
...

## Store

'atom	'empty	'atom	1	'cons
0	2	'atom	2	'cons
7	4	...	...	...



Writing a collector isn't so bad now.

But what about testing and debugging?

# Exercising Collectors (Manual Approach)

```
(define (tree-add1 tree)
  (cond
    [(empty? tree) empty]
    [(number? tree) (add1 tree)]
    [(cons? tree)
     (cons (tree-add1 (car tree))
           (tree-add1 (cdr tree)))]))
```

# Exercising Collectors (Manual Approach)

```
(define (tree-add1 tree)
  (cond
    [(gc:empty? tree) (gc:empty)]
    [(gc:number? tree) (gc:add1 tree)]
    [(gc:cons? tree)
     (gc:cons (tree-add1 (gc:car tree))
              (tree-add1 (gc:cdr tree)))])
```





# Exercising Collectors (Manual Approach)

```
(define (tree-add1/k tree)
  (cond
    [(gc:empty? tree) (pop (gc:empty))]
    [(gc:number? tree) (gc:add1 tree)]
    [(gc:cons? tree)
     (push (make-left-frame (gc:cdr tree)))
     (tree-add1/k (gc:car tree))]))
```

```
(define (pop val)
  (match (stack-pop!)
    [(struct left-frame rt)
     (push (make-right-frame val))
     (tree-add1/k rt)]
    [(struct right-frame lv)
     (pop (gc:cons lv val))]))
```

# Exercising Collectors (Manual Approach)

```
(define (tree-add1/k tree)
  (cond
    [(gc:empty? tree) (pop (gc:empty))]
    [(gc:number? tree) (gc:add1 tree)]
    [(gc:cons? tree)
     (push (make-left-frame (gc:cdr tree)))
     (tree-add1/k (gc:car tree))]))
```

```
(define (pop val)
  (match (stack-pop!)
    [(struct left-frame rt)
     (push (make-right-frame val))
     (tree-add1/k rt)]
    [(struct right-frame lv)
     (pop (gc:cons lv val))]))
```

# Manual Transformation: Consequences

- Contorted program structure
- Curricular dependency on CPS
- Tedious and time-consuming
- Tight coupling of collector-mutator pairs
- "Test data problem"

# Alternative

Compilation or interpretation:

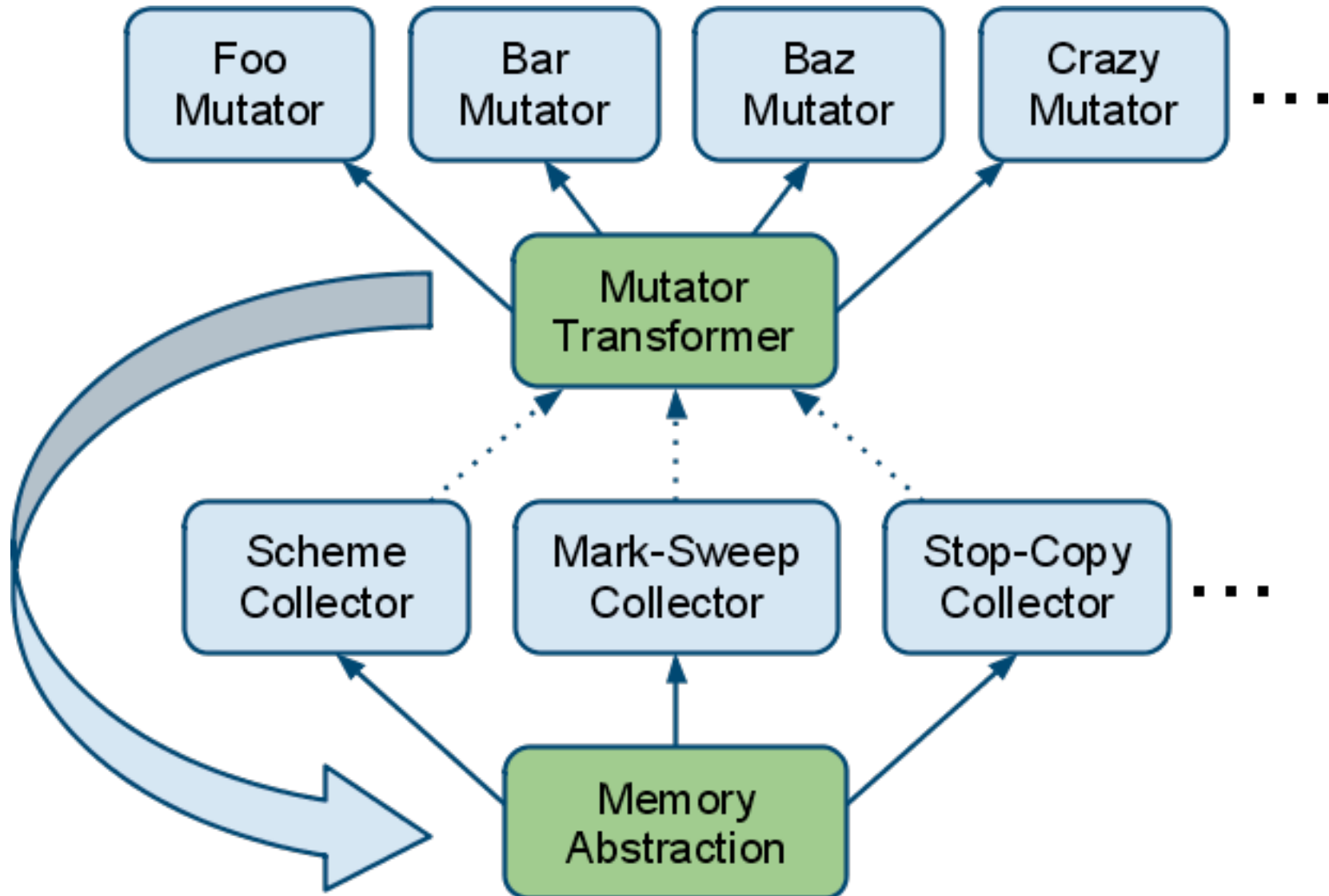
- Dependence on CPS
- Difficulty debugging
- Restricted mutators

# Solution Approach

Lightweight compilation and stack-inspection:

- Ordinary Scheme syntax
- Normal control flow

# Solution Architecture



# Continuation Marks

```
(define (traverse tree)
  (with-continuation-mark tree
    (cond
      [(empty? tree)
       (display (current-continuation-marks))
       empty]
      [(cons? tree)
       (cons (traverse (car tree))
             (traverse (cdr tree)))]
      [else tree])))
```

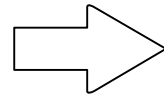
```
(traverse '(1 2)) ; prints: () (2) (1 2)
```

- Continuation marks use the *real* stack, so no more CPS.



# Representing & Recording Roots

```
(let ([x (cons 1 2)])  
  (tree-add1 x))
```

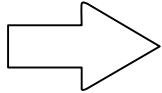


```
(let ([x (cons 1 2)])  
  (with-continuation-mark  
    (list  
      (case-lambda  
        [() x]  
        [(v) (set! x v)]))  
    (tree-add1 x)))
```

- Track variables in scope, wrap each non-tail-position procedure application in a **w-c-m** that records roots.
- Implementation: `syntax-case` and `#%module-begin`.

# Handling Anonymous Roots

```
(cons  
  (cons 1 empty)  
  (cons 2 empty))
```



```
(let* ([t1 (cons 1 empty)]  
       [t2 (cons 2 empty)])  
  (cons t1 t2))
```

- As a separate pre-processing step, convert all procedure applications to ANF.

# Wrapping Primitive Operators

```
;; gc:add1 : addressof number -> addressof number  
(define (gc:add1 addr)  
  (gc:alloc-flat (add1 (gc:deref addr))))
```

```
;; In general:
```

```
(define ((lift prim) . args)  
  (gc:alloc-flat (apply prim (map gc:deref args))))
```

# Putting It Together

```
(module mutator-lang scheme

  (define-syntax gc:app ...)
  (define-syntax (gc:module-begin stx)
    (syntax-case (expand-syntax stx) ...))
  (define-values (gc:add1 gc:+ gc:- gc:* ...)
    ...)
  (provide
    (rename gc:app #%app)
    (rename gc:module-begin #%module-begin))
    (rename gc:add1 add1)
    (rename gc:+ +)
    ...))
```

# Putting It Together

```
#lang mutator-lang
(allocator-setup 50 "stop-copy.ss")
(define (tree-add1 tree)
  (cond
    [(empty? tree) empty]
    [(number? tree) (add1 tree)]
    [(cons? tree)
     (cons (tree-add1 (car tree))
           (tree-add1 (cdr tree))))])
```

# Debugging Support

stop\_copy.ss - DrScheme

stop\_copy.ss (define ...)

Debug Macro Stepper Check Syntax Run Stop

(\* (/ heap-sz 2) semi-space) ==> 33 Pause Continue Step Over Out semi-space = 1

stop\_copy.ss test-mutator.ss

```
; Stop&Copy Garbage Collector
; list [root] -> void
(define (stop-and-copy roots)
  (switch-space)
  ; set the heap-ptr to the new space
  (set! heap-ptr (* (/ heap-sz 2) semi-space))
  (copy-roots roots))

; copy and set the new location of the roots
; list -> void
(define (copy-roots roots)
  (cond
    [(null? roots)]
    [else (local ([define root (car roots)]
                  [define rootloc (read-root root)])
             (case (heap-ref rootloc)
               [(prim) (local ([define new-ptr heap-ptr]
                               [define value (heap-ref (+ 1 rootloc))])
                          )
                ]
               [else]
                )
            )
    ]
  ))
```

Programming language: (module ...)

79:0 Read/Write running

# Debugging Support

stop\_copy.ss - DrScheme

stop\_copy.ss (define ...)

Debug Macro Stepper Check Syntax Run Stop

(\* (/ heap-sz 2) semi-space) ==> 33 Pause Continue Step Over Out semi-space = 1

stop\_copy.ss test-mutator.ss

```
; Stop&Copy Garbage Collector
; list [root] -> void
(define (stop-and-copy roots)
  (switch-space)
  ; set the heap-ptr to the new space
  (set! heap-ptr (* (/ heap-sz 2) semi-space))
  (copy-roots roots))

; copy and set the new location of the roots
; list -> void
(define (copy-roots roots)
  (cond
    [(null? roots)]
    [else (local ([define root (car roots)])
```

Heap

Last Known Roots: (4 4 42 6 6 32 9 9 19 19)

	0	1	2	3	4	5	6	7	8	9
0	prim	0	prim	1	prim	()	cons	2	4	cor
10	0	6	prim	2	prim	()	cons	12	14	cor
20	9	16	prim	#t	prim	#t	prim	#f	prim	#f
30	prim	#t	prim	1	prim	#t	prim	#f	prim	#f
40	prim	#t	prim	2	undefined	undefined	undefined	undefined	undefined	unc
50	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	unc
60	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	unc
70	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	undefined	unc

Programming language (module ...)

# Omitted Details (provided in the paper)

- Top-level variables
- Closures
- Tail calls



# Conclusions

- Well-designed macro and module systems can implement powerful transformations with surprising ease.
- Implementing and testing a garbage collector can be fun.
- Students do seem to learn better by implementing.

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