CS 5100: Foundations of Artificial Intelligence

NLP & Support Vector Machines

Prof. Amy Sliva December 8, 2011

Outline

- Discuss final exam
- POS tagging and parsing review
- NLP semantics, pragmatics, machine translation
- Neural networks and support vector machines

Final exam

- December 15, room 135 Shillman Hall
- Topics—cumulative exam, but heavily skewed toward postmidterm material
 - 1. Probability theory
 - 2. Bayesian networks
 - 3. Machine learning
 - Naïve Bayes
 - Decision trees (and information theory)
 - Support vector machines
 - 4. NLP
 - Parsing
 - POS tagging
 - Semantic analysis

Extra credit opportunity!

IBM Watson talk

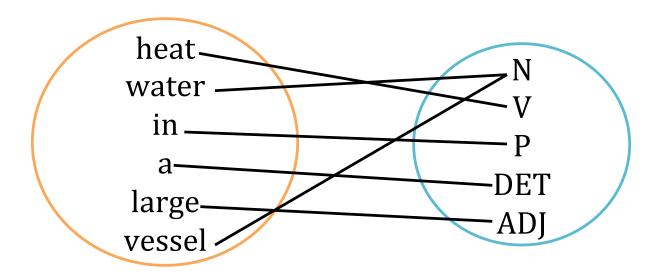
- Distinguished Speaker Series
 Fri. 12/09/11, 10am-11am 90 Snell Library
- What Is Watson?
 Michael P. Perrone, PhD
 Manager, Multicore Computing, IBM T.J. Watson Research Center
- Attend talk, write a reaction paper
 - Max 2 pages
 - Insightful thoughts about the application, technical and theoretical AI content, relevance to what you have learned in the course, etc.
- Up to 4 extra points on final grade!

Natural language processing (review)

- Natural language
 - Language spoken by people
 - E.g., English, Japanese, Swahili, etc. as opposed to artificial languages like C++, Java, etc.
- Natural language processing
 - Applications that deal with natural language in one way or another
- Levels of analysis
 - Lexical
 - Syntactic
 - Semantic
 - Pragmatic

Part-of-speech tagging (lexical analysis)

 Process of assigning a part-of-speech (POS) to each word in a sentence



Significance of parts of speech

- Word's POS tells us a lot about the word (and its neighbors)
 - Limits range of meanings (deal), pronunciation (<u>object</u> vs object) or both (wind)
 - Limits range of following words
 - Help select nouns from a document for summarization
 - Parsers can build trees directly on the POS tags instead of maintaining a lexicon

Methods for POS tagging

- Rule-based POS tagging
 - E.g., ENGTWOL (Voutilainen, 1995)—large collection (> 1000) of constraints on what sequences of tags are allowable
- Transformation-based tagging
 - E.g., Brill's tagger (Brill, 1995)—sorry, I don't know anything about this...
- Stochastic (Probabilistic) tagging
 - Uses supervised learning
 - E.g., TNT (Brants, 2000)—we'll talk about this in more detail!

Supervised learning approach

- Algorithms "learn" from data by generalizing a set of examples
- Training set—examples trained on
- Test set—used for evaluating the algorithm
 - Must be separate from training set (otherwise you cheated!)
- "Gold" standard
 - Test set that a community has agreed on and uses as a common benchmark

Cross-validation learning algorithms

- Cross-validation set—part of training set
- Used for tuning parameters of the algorithm without "polluting" (tuning to) the test data
 - Train on x%, and then cross-validate on the remaining 1-x%
 - E.g., train on 90% training data, cross-validate on the remaining 10%
 - Repeat several times with different splits to get the best parameter estimation
 - Allows you to choose the best settings to then use on the real test set
 - Only evaluate on the test set at the very end after the algorithm is as good as possible from cross-validation

Strong baselines

- When designing NLP algorithms, must evaluate by comparing to others
- Baseline algorithm
 - Algorithm that is simple, but can be expected to do well
 - Should get the best score possible by doing the somewhat obvious thing
- POS tagging baseline—for each word, assign its most frequent tag in the training set
- Want our stochastic taggers to improve on this!

N-grams for POS tagging

N stands for how many terms are used in conditional probability

• Unigram: 1 term (0th order) E.g., $P(X_i)$

• Bigram: 2 terms (1st order) E.g., $P(X_i | X_{i-1})$

• Trigram: 3 terms (2nd order) E.g., $P(X_i | X_{i-1}, X_{i-2})$

- Can use different kinds of terms
 - Character-based n-grams
 - Word-based n-grams
 - POS-based n-grams
- Helps determine context in which some linguistic phenomenon happens
 - E.g., what POS will a word have, given the preceding parts of speech?

First approach (unigram)

- Assign each word its most likely POS tag
- If w has tags $t_1,...,t_k$ then use

$$P(t_i | w) = \frac{c(w, t_i)}{c(w, t_1) + ... + c(w, t_k)}$$

- where $c(w, t_i)$ = number of times w/t_i appears in the corpus
- Success: 91% for English!
- Example
 - heat::noun/89, verb/5

Second approach (bigram)

• Given: sequence of words (i.e., a **sentence**) *W* s.t.

$$W = w_1, w_2, ..., w_n$$

- E.g., W = heat water in a large vessel
- Assign sequence of tags T s.t.

$$T = t_1, t_2, ..., t_n$$

• Find T that maximizes $P(T \mid W)$

Practical Stochastic Tagger

• By Bayes Rule: $P(T \mid W) = P(W \mid T) P(T) = \alpha P(W \mid T) P(T)$ P(W)

- So find T that maximizes $P(W \mid T) P(T)$
 - Chain rule:

$$P(T) = P(t_1)P(t_2 | t_1) P(t_3 | t_1, t_2) P(t_3 | t_1, t_2, t_3) ... P(t_n | t_1, ..., t_{n-1})$$

Markov assumption: as an approximation, use:

$$P(T) \approx P(t_1)P(t_2 | t_1) P(t_3 | t_2) ... P(t_n | t_{n-1})$$

 Naïve Bayes assumption: each word is dependent only on its own POS tag (given its POS tag, it is conditionally independent of the other words)

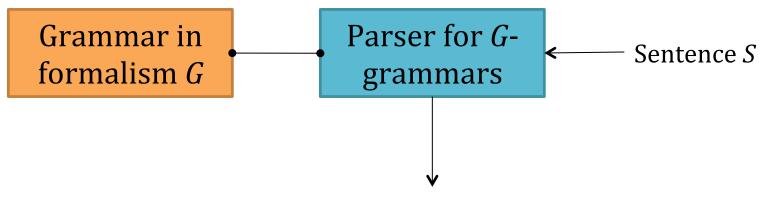
$$P(W | T) = P(w_1 | t_1) P(w_2 | t_2) ... P(w_n | t_n)$$

So

$$P(W | T) P(T) \approx P(w_1 | t_1) P(w_2 | t_2) \dots P(w_n | t_n) P(t_1) P(t_2 | t_1) P(t_3 | t_2) \dots P(t_n | t_{n-1})$$

Syntactic analysis (parsing)

- Uses formal grammar and parsing algorithm to find structure
 - Create parse trees from sentences



One or more parse trees for *S* (or fail)

- Limitations
 - Explosion of number of parse trees
 - Inability to handle ungrammatical input

Grammars for parsing

- Grammar—specifies the compositional structure of complex messages
 - E.g., speech (linear), text (linear), music (two-dimensional)
- A formal language is a set of strings of terminal symbols (actual words)
- Each string in the language can be analyzed/generated by the grammar
- Grammar is a set of rewrite rules (productions)

```
S → NP VP

Article → the | a | an | ...

NP → ...

VP → ...
```

Here S is the sentence symbol, NP and VP are non-terminals

Parse tree for a sentence

- Parse tree represents grammatical structure of sentence
 - May also indicate semantic interpretation
- Any sentence of formal language L has one or more parse trees
 - Show how it can be derived by repeated applications of production rules in the grammar

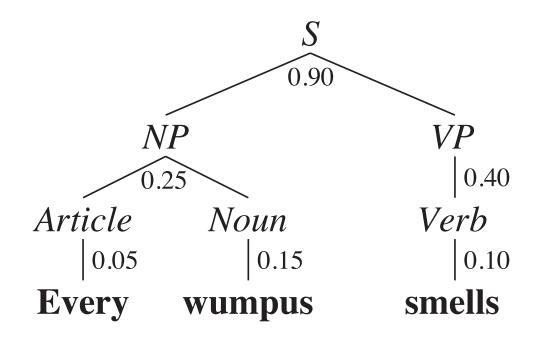
Parsing with CFGs (or PCFGs)

- Task of assigning correct trees to input strings
 - Tree covers all and only the elements of the input and has an S a at the top
- System may not be able to select the "correct" tree from among possible trees
 - Parsing involves search where choices must be made
 - Requires semantics to find the "right" tree!

Wumpus grammar

$S \rightarrow NP VP$ $S Conj S$	[0.90] [0.10]	I + feel a breeze I feel a breeze + and + it stinks
NP → Pronoun	[0.30]	I
Name	[0.10]	John
Noun	[0.10]	pits
Article Noun	[0.25]	the + wumpus
Article Adjs Noun	[0.05]	the + smelly dead + wumpus
Digit Digit	[0.05]	3 4
NP PP	[0.10]	the wumpus + in 13
NP RelClause	[0.05]	the wumpus + that is smelly
VP → Verb	[0.40]	stinks
VP NP	[0.35]	feel + a breeze
VP Adjective	[0.05]	smells + dead
VP PP	[0.10]	is + in 1 3
VP Adverb	[0.10]	go + ahead
Adjs → Adjective	[0.80]	smelly
Adjective Adj	[0.20]	smelly + dead
PP → Prep NP	[1.00]	to + the east
RelClause → RelPro VP	[1.00]	that + is smelly

Wumpus parse tree



• Total probability of the tree = $0.9 \times 0.25 \times 0.40 \times 0.05 \times 0.15 \times 0.10 = 0.0000675$

Simple parsing exercise

Context-free grammar for arithmetic expressions

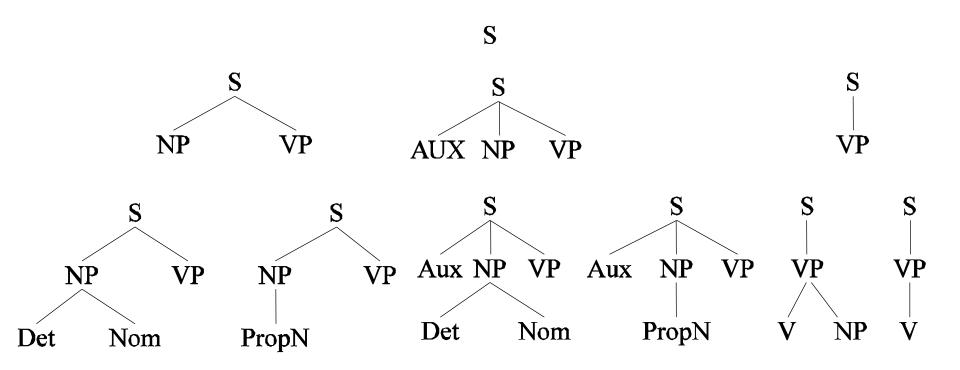
$$S \rightarrow digit$$

 $S \rightarrow S + S$
 $S \rightarrow S * S$

• 3 * 4 + 5 has two valid parse trees with different semantics. What are they?

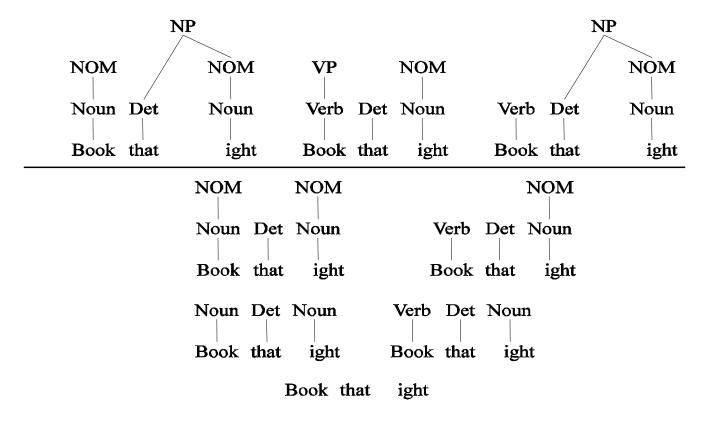
Top-down parsing

- Trying to find trees rooted with an S, so start with rules that give us an S
- Work your way down from there to the words



Bottom up parsing

- Since want trees that cover the input words, start with trees that match the words right away
- Work your way up from there



Top-down vs. bottom-up

Top-down

- Only searches for trees that can be answers, but suggests trees that are not consistent with the input words
- Guarantees that tree starts with S as root
- Does not guarantee that tree will match input words

Bottom-up

- Only forms trees consistent with the input words, but suggests trees that make no sense globally
- Guarantees that tree matches input words
- Does not guarantee that parse tree will lead to S as root
- Combine advantages of the two by doing a search constrained from both sides

Semantic analysis

- Determine the meaning of language
- Importance of semantics?
 - Machine translations: wrong translations
 - Information retrieval: wrong information
 - Anaphora resolution: wrong referents
- Biggest challenge: lexical ambiguity—words are ambiguous
 - "plant" = industrial plant
 - "plant" = living organism

Why do we need semantics?

- Machine translation example
 - The sea is home to millions of plants and animals

English → French translation (commercial MT system)

Le mer est a la maison de billlion des usines et des animaux

French → English

The sea is at the home for billions of factories and animals

• Hmmm...

Lexical ambiguity

- Extreme case—two words with the same spelling
 - · Wound, wound
- More frequent case—words that can be a noun, verb, adjective, etc.
 - Time, phone
- Many English words have multiple meanings even within one part of speech (POS)
 - Set, head, can, bear, ...
- WordNet—public domain lexical-semantic net
 - Demo!! (http://wordvis.com/)
- SemEval—a periodic "shared task" activity to evaluate semantic analysis tools

How to learn the meaning of words?

- How do we get a training set of semantic examples?
- From dictionaries: word sense
 - plant, works, industrial plant—(buildings for carrying on industrial labor; "They built a large plan to manufacture automobiles.")
 - plant, flora, plant life—(a living organism lacking the power of locomotion)
- Can these definitions help disambiguate all uses?
 - They are producing about 1,000 automobiles in the new plant.
 - The sea flora consists of 1,000 different plant species.
 - The plant was close to the farm.

How to learn the meaning of words? (cont.)

- Learn from annotated examples
 - Assume 100 examples containing "plant" previously tagged by a human
 - Train a learning algorithm to classify future instances of "plant"
- How to choose the learning algorithm?
- How to obtain the 100 tagged examples?

Fillmore's case grammar

- Assign semantically based cases to distinguish a word's "role" and disambiguate
- Charles Fillmore, "The Case for Case," 1968
 - Produced more than one version

Case grammar semantics

- Treats the verb as a predicate and the subject, objects, and other subordinate clauses as the "arguments"
- Labels arguments with their relationship to the verbpredicate (called cases)
 - E.g., John sold his car—agent and object cases
 John sold his car to Mary—agent, object, and recipient cases

Fillmore's list of cases

- Agentive (A)—case of the typically animate perceived instigator of the action identified by the verb
- **Instrumental** (I)—case of inanimate force or object causally involved in the action of state identified by the verb
- **Dative** (D) (later **Experiencer** (E))—the case of the animate being affected by the state or action identified by the verb
- **Factive** (F) (later **Goal** (G))—the case of the object or being resulting from the action or state identified by the verb, or understood as a part of the meaning of the verb
- Locative (L)—the case identifying location or spatial orientation of the state or action identified by the verb
- **Objective** (0)—the semantically most neutral case; anything representable by a noun whose role in the action or state identified by the verb is identified by the semantic interpretation of the verb itself

Analysis of case semantics

Strengths

- Only one Noun Phrase occupies each case role in relation to a particular verb
- Can classify verbs in terms of which case roles they took
 E.g., "open"—O, {A}, {I}
 "shout"—A, O, {E}

Weaknesses

- Researchers cannot agree on standard set of cases!
- Not easy to classify Noun Phrases as cases in practice
- Tendency to use the "Objective" case whenever it gets difficult

More issues in semantic analysis

- Reference resolution
 "Josh sold a book to Tom. He was happy."
 "Mary and I went out to dinner. It was fun."
- The pronoun (or other anaphoric noun phrase) may reference something that is implicitly mentioned by does not have a specific antecedent.

Pragmatics—semantics and context

- Classical view (pre-1953)—language consists of sentences that are true/false (like logic)
 - Why?To modify the beliefs of other agents
- Modern view (post-1953)—language is a form of action
 - Why?To change the actions of other agents

Speech act theory of pragmatics

Examples: "I pronounce you husband and wife"

"I sentence you to five years"

SITUATION

Speaker → Utterance → Hearer

Speech acts achieve the speaker's goals:

Inform "There is a pit in front of you"

Query "Can you see the gold?"

Command "Pick it up"

Promise "I'll share the gold with you"

Acknowledge "OK"

- Speech act planning requires knowledge of
 - Situation
 - Semantic and syntactic conventions
 - Hearer's goals, knowledge base, rationality

Machine translation

- Text to text machine translations
- Speech to speech machine translations
- Most of the work has addressed pairs of widely spread languages like English-French, English-Chinese

Issues in machine translations

- How to translate text?
 - Learn from previously translated data
 - Need parallel corpora
 - French-English, Chinese-English have the Hansards (transcripts of parliamentary debates)
- Reasonable translations?
 - Application dependent—do we need the general idea or precise language?
- Lack of data = lack of tools
 - Chinese-Hindi—no translator available!

Speech to speech translation challenges

Stages in communication (informing)

• **Intention** S wants to inform H that P

Generation S selects words W to express P in context C

Synthesis S utters words W

Perception H perceives W' in context C'

• Analysis H infers possible meanings $P_1,...,P_n$

• **Disambiguation** H infers intended meaning P_i

• **Incorporation** H incorporates P_i into KB

- How could this go wrong?
 - Insincerity (S doesn't believe P)
 - Speech wreck ignition (recognition!) failure
 - Ambiguous utterance
 - Differing understanding of current context ($C \neq C'$)

Information extraction

- Extract information and detect new patterns in data
 - Detect hacking, hidden information, etc.
- Government and military put a lot of money into IE research!
- Example:
 - "There was a group of about 8-9 people close to the entrance on Highway 75"
 - Who? "8-9 people"
 - Where? "Highway 75"

Information retrieval

- General model—Huge collection of texts and a query
- Tasks
 - Find **documents** that are relevant to the given query—Create an index, like the index in a book
 - Retrieve specific information—question answering
 "What is the height of Mount Everest?" 11,000 feet
- Types of models
 - Vector-space models
 - Boolean models
- Examples—Google, Yahoo, etc.

Cross-language information retrieval

- Find information across languages!
- Example:

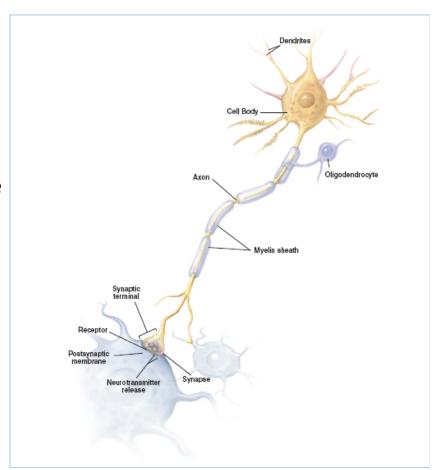
 "What is the minimum age requirement for car rental in Italy?"
 - Search English and also Italian texts for "eta minima per noleggio macchine"
- Integrate large number of languages and into performant IR engines

Perceptrons and neural networks

Another supervised learning approach—mathematical

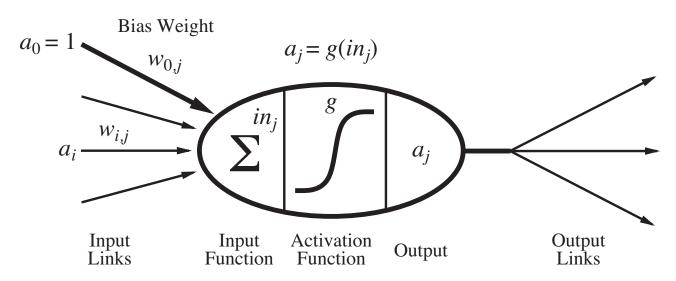
model of neurons

- Human brains
 - 10¹¹ neurons of > 20 types, 10¹⁴ synapses, 1ms-10ms cycle time
 - Signals are noisy "spike trains" of electrical potential



McCulloch-Pitts "unit"

Simple mathematical model for a neuron

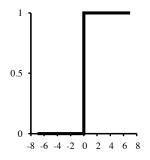


- Neural network—units connected by directed links
 - Propagates activation a_i from i to j
 - Network is a function $h_{\mathbf{w}}(\mathbf{a})$ parameterized by weights
- Output is a "squashed" linear function of the inputs

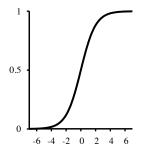
$$a_j = g(in_j) = g(\sum_i w_{i,j} a_i)$$

Activation functions

- Perceptron—hard threshold (step function) activation function
 - Changing the bias weight moves the threshold loction

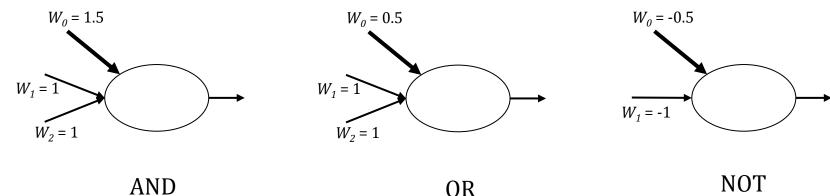


• Sigmoid—logistic activation function



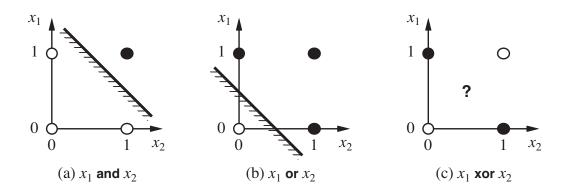
Perceptrons and threshold logic

- Perceptron—single layer neural network with only one neuron
 - Neuron unit calculates input through threshold activation function
 - Sometimes called threshold logic unit (TLU)
 - Discriminates data depending on whether sum is greater than threshold
 - g(in) = 1 iff in >threshold, 0 otherwise
- Can implement every boolean function



Perceptron learning

Provides linear discriminant for classification



- Main learning task is to learn the weights so we know how to classify new inputs
- Several possible algorithms for training single-layer perceptrons using
 - Perceptron rule
 - Gradient descent rule
 - Delta rule

Error-correction learning

- All rules for perceptron learning based on error-correction
- 1. Assign random weights (or set all to 0)
- 2. Cycle through input until change < target
- 3. Let α be the "learning coefficient"
- 4. For each input:
 - If perceptron gives correct answer, do nothing
 - If perceptron says yes when answer should be no, decrease weights on all units that "fired" by $\boldsymbol{\alpha}$
 - If perceptron says no when answer should be yes, increase weights by $\boldsymbol{\alpha}$

Perceptron rule

 Simple rule for updating the weights when perceptron answer is incorrect

$$w_i = w_i + \alpha (y - h_w(x_i)) x_i$$

- Perceptron convergence theorem
 - For any data set which is linearly separable the perceptron learning rule is guaranteed to find a solution in a finite number of steps

Perceptron learning example

- Suppose a perceptron accepts two inputs $x_1 = 2$ and $x_2 = 1$, with weights $w_1 = 0.5$ and $w_2 = 0.3$ and $w_0 = -1$ (meaning that the threshold is 1)
- The output of the perceptron is :

$$h_w(x) = 2 * 0.5 + 1 * 0.3 - 1 = 0.3$$
 which is > 0

• Therefore the output is 1. If the correct output however is -1, the weights will be adjusted according to the Perceptron rule as follows:

$$w_1 = 0.5 + 0.1 * (-1 - 1) * 2 = 0.1$$

 $w_2 = 0.3 + 0.1 * (-1 - 1) * 1 = 0.1$
 $w_0 = -1 + 0.1 * (-1 - 1) * 1 = -1.2$

The new weights would classify this input as follows:

$$h_w(x) = 2 * 0.1 + 1 * 0.1 - 1.2 = -0.9$$

Therefore we have done "error correction"

How to optimize the search

- Learn by adjusting weights to reduce error on the training set
- The squared error for an example with input x and true output y

$$E = \frac{1}{2} Err^2 \approx \frac{1}{2} (y - h_w(x))^2$$

- Gradient descent, hill climbing, simulated annealing
 - Optimization techniques that search for weights to reduce error faster
 - Find a new adjusted activation function g'
- Optimized perceptron learning rule

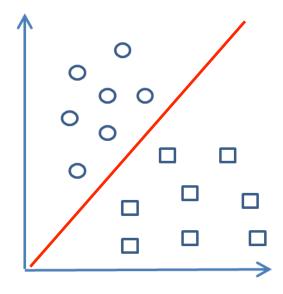
$$w_i = w_i + \alpha \times \text{Err} \times g'(x_i) \times x_i$$

Hill climbing optimization

- Given function F, find the x that gives the best F(x)
- How it works
 - Choose point in *n*-dimensional space to search as current "guess" $\mathbf{x} = x_1 \dots x_n$ (i.e., our current amount of error)
 - Take a small step in k directions
 - Choose the direction that results in maximum improvement in F(x) i.e., find a new value for g(in) s.t. the error y g(in) is lower
 - Make that the new guess
 - Repeat until no more improvement is possible or desirable
- Simulated annealing—variant that randomly jumps at intervals to find a better region
- Rather than incremental improvements, we can reduce error faster and get closer to the actual function

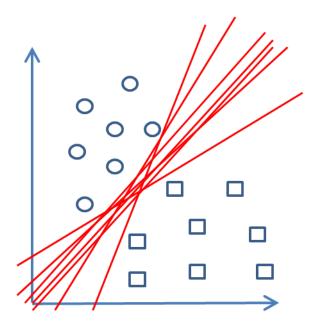
Brief overview of support vector machines

SVMs are also linear classifiers



Brief overview of SVMs

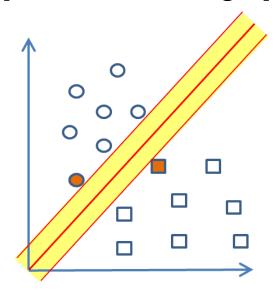
• But, which linear separator do we want to use?



Any is fine, but which is the best?

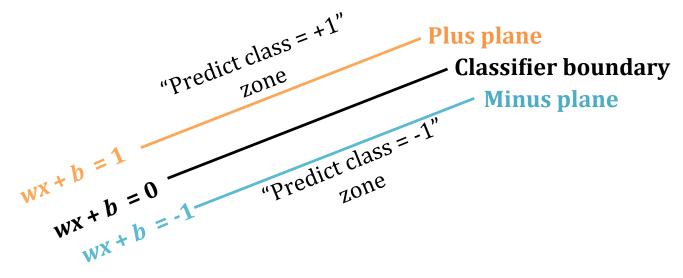
Maximum margin linear classifier

- Margin of a linear classifier is the width the boundary can be increased before hitting a data point
- Support vectors—data points that the margin pushes against

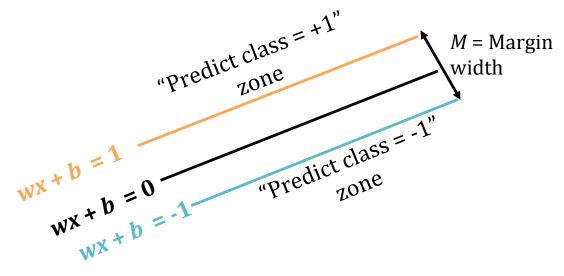


- Want to use the linear classifier with the maximum margin
 - **Conservative** estimation—if we have an error in the boundary, higher margin means less chance of misclassification
 - Robust to outliers—strong generalization ability
- Simplest kind of SVM—empirically works really well!

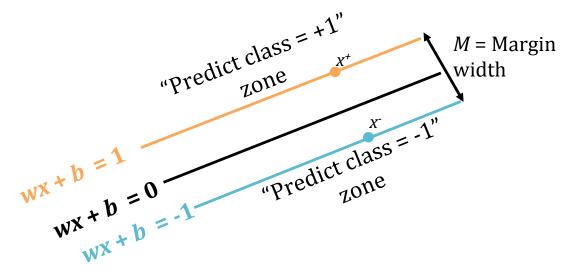
Mathematically specifying a line and margin



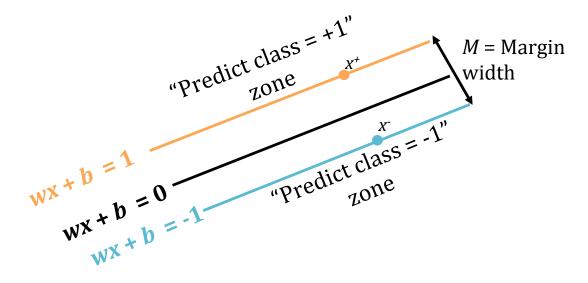
- Plus-plane = $\{x: wx + b = +1\}$
- Minus-plane = $\{x: wx + b = -1\}$



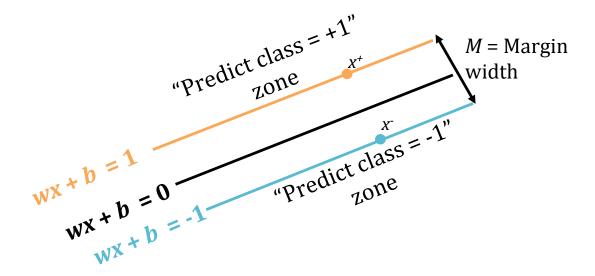
- Plus-plane = $\{x: wx + b = +1\}$
- Minus-plane = $\{x: wx + b = -1\}$
- How do we compute the margin M in terms of w and b?
- Claim: the vector w is perpendicular to the plus plane (and minus plane)
 - Suppose \mathbf{u} and \mathbf{v} are two vectors on the plus plane $\mathbf{w} \cdot (\mathbf{u} \mathbf{v}) = 0$



- Plus-plane = $\{x: wx + b = +1\}$
- Minus-plane = $\{x: wx + b = -1\}$
- How do we compute the margin M in terms of w and b?
- **w** is perpendicular to the Plus Plane
 - Let x be a point on the Minus Plane
 Let x be the closest point to x on the Plus Plane
 - Claim: $x^+ = x^- + \lambda w$ for some value of λ . Why?



- Plus-plane = $\{x: wx + b = +1\}$
- Minus-plane = $\{x: wx + b = -1\}$
- **w** is perpendicular to the Plus Plane
 - Let x be a point on the Minus Plane
 Let x be the closest point to x on the Plus Plane
 - Claim: $x^+ = x^- + \lambda w$ for some value of λ . Why? The line from x^+ to x^- is perpendicular to the planes, so travel some distance along w to get from one to another



What we know now:

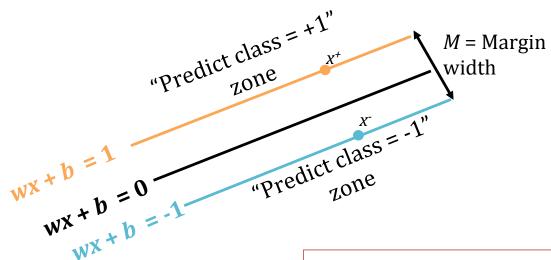
$$wx^{+} + b = 1$$

$$wx^{-} + b = -1$$

$$x^{+} - x^{-} = \lambda w$$

$$|x^{+} - x^{-}| = M$$

Now its easy to get M in terms of w and b



What we know now:

$$wx^{+} + b = 1$$

$$wx^{-} + b = -1$$

$$x^{+} - x^{-} = \lambda w$$

$$|x^{+} - x^{-}| = M$$

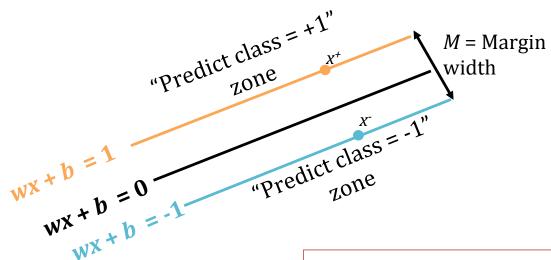
$$w \cdot (x + \lambda w) + b = 1$$

$$(w \cdot x + b) + \lambda w \cdot w = 1$$

$$-1 + \lambda w \cdot w = 1$$

$$\lambda = \frac{2}{w \cdot w}$$

Now its easy to get M in terms of w and b



What we know now:

$$wx^{+} + b = 1$$

$$wx^{-} + b = -1$$

$$x^{+} - x^{-} = \lambda w$$

$$|x^{+} - x^{-}| = M$$

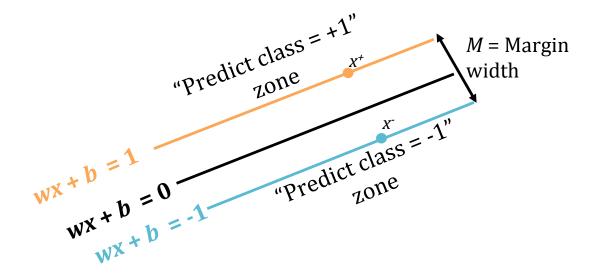
$$\lambda = 2$$

$$w \cdot w$$

$$M = |x^{+}-x^{-}| = |\lambda w| = \lambda |w|$$

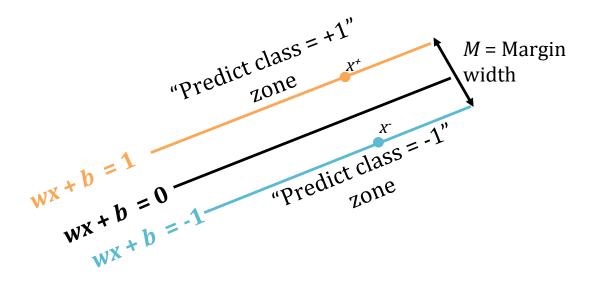
$$= \lambda \sqrt{(w \cdot w)}$$

$$= \frac{2\sqrt{(w \cdot w)}}{w \cdot w} = \frac{2}{\sqrt{(w \cdot w)}}$$



• Yay! Just maximize
$$M = 2$$
 $\sqrt{(w.w)}$

Wait...what about the data?!?



- Given a guess of w and b we can
 - Compute whether all data points are in the correct half-planes
 - Compute the width of the margin
- So now we need to write a program to search the space of w's and b's that finds the widest margin that fits all data points
 - Gradient descent, simulated annealing, etc.